

[^{F1}SCHEDULE 9

Regulation 18A(4)

Applications for UK-issued ECM certificates by entities in charge of maintenance

Textual Amendments

- F1** Schs. 8-11 inserted (31.12.2020) by [The Rail Safety \(Amendment etc.\) \(EU Exit\) Regulations 2019 \(S.I. 2019/837\)](#), reg. 1, **Sch. para. 1** (as amended in Sch. 11 paras. 14, 16, 17 by S.I. 2019/1310, reg. 1(2)(c), **Sch. 1 paras. 2, 3, 4**); 2020 c. 1, Sch. 5 para. 1(1)

(This Schedule substantially reproduces Annexes IV and V of Commission Regulation (EU) No 445/2011 on a system of certification of entities in charge of maintenance for freight wagons and amending Regulation (EC) No 653/2007, with amendments for the purpose of addressing deficiencies arising out of the UK's withdrawal from the EU.) ^{M1}

Marginal Citations

- M1** The operative provisions of Commission Regulation (EU) No 445/2011 are substantially reproduced in Schedule 10.

PART 1

1. Applications to certification bodies must be made—
 - (a) where the applicant is an entity in charge of maintenance for freight wagons applying for a UK-issued ECM certificate, in the form provided in Part 2;
 - (b) where the applicant is a contractor applying for a maintenance functions certificate, in the form provided in Part 3.
- 2.—(1) A UK-issued ECM certificate must be issued in the form provided in Part 4.
(2) A maintenance functions certificate must be issued in the form provided in Part 5.
3. For the purposes of this Schedule, a “maintenance functions certificate” is a certificate issued pursuant to paragraph 8 of Schedule 10.

PART 2

Application for a UK-issued ECM certificate

4. The application form referred to in paragraph 1(a) follows.

Changes to legislation: There are currently no known outstanding effects for the The Railways and Other Guided Transport Systems (Safety) Regulations 2006, SCHEDULE 9. (See end of Document for details)

Application for a UK-issued ECM Certificate

Application for a certification confirming acceptance of the maintenance system of an entity in charge of maintenance (ECM) in conformity with the Railways and Other Guided Transport Systems (Safety) Regulations 2006

Certification body contact information

- 1.1 Organisation addressed for the application _____

- 1.2 Certification body reference number _____

- 1.3 Complete postal address (street, postal code, city, country) _____

Applicant information

- 2.1 Legal title _____

- 2.2 Complete postal address (street, postal code, city, country) _____

- 2.3 Phone number _____ 2.4 Fax number _____

- 2.5 Email address _____ 2.6 Website _____

- 2.7 Registration business No. _____ 2.8 VAT No. _____

Changes to legislation: There are currently no known outstanding effects for the The Railways and Other Guided Transport Systems (Safety) Regulations 2006, SCHEDULE 9. (See end of Document for details)

2.9 Other information _____

Contact person information

3.1 Family name and first name _____

3.2 Complete postal address (street, postal code, city, country) _____

3.3 Phone number _____ 3.4 Fax number _____

3.5 Email address _____

Application details

4.1 Application reference (given by the applicant)

This application is for a

4.2 New certificate 4.3 Updated/amended certificate
4.4 Renewal certificate

Operational details

Type of company

5.1 RU/IM 5.2 Keeper

5.3 Others Specify: _____

Changes to legislation: There are currently no known outstanding effects for the The Railways and Other Guided Transport Systems (Safety) Regulations 2006, SCHEDULE 9. (See end of Document for details)

Scope of activities

- 5.4 Covers tank wagons for dangerous goods YES/NO
Covers other wagons specialised in transport of dangerous goods YES/NO

- 5.5 Maintenance development 5.5.1 5.5.2 5.5.3
- 5.6 Fleet maintenance management 5.6.1 5.6.2 5.6.3
- 5.7 Maintenance delivery 5.7.1 5.7.2 5.7.4

Submitted documents

- 6.1 Maintenance system documentation

- 6.2 Others Specify: _____

Signatures

Applicant _____
(first name, family name)

Date _____ Signature: _____

Certification Body _____

Internal reference Number _____

Changes to legislation: There are currently no known outstanding effects for the The Railways and Other Guided Transport Systems (Safety) Regulations 2006, SCHEDULE 9. (See end of Document for details)

Date application received _____

Date _____ Signature: _____

SPACE RESERVED FOR THE ADDRESSED OFFICE /AUTHORITY

Changes to legislation: There are currently no known outstanding effects for the The Railways and Other Guided Transport Systems (Safety) Regulations 2006, SCHEDULE 9. (See end of Document for details)

PART 3

Application for a maintenance functions certificate

5. The form referred to in paragraph 1(b) follows.

Changes to legislation: There are currently no known outstanding effects for the The Railways and Other Guided Transport Systems (Safety) Regulations 2006, SCHEDULE 9. (See end of Document for details)

Application for a Maintenance Functions Certificate

Application for a certification confirming acceptance of the maintenance system in conformity with the Railways and Other Guided Transport Systems (Safety) Regulations 2006.

Certification body contact information

- 1.1 Organisation addressed for the application _____
- 1.2 Certification body reference number _____
- 1.3 Complete postal address (street, postal code, city, country) _____

Applicant information

- 2.1 Legal title _____
- 2.2 Complete postal address (street, postal code, city, country) _____

- 2.3 Phone number _____ 2.4 Fax number _____
- 2.5 Email address _____ 2.6 Website _____
- 2.7 Registration business No. _____ 2.8 VAT No. _____
- 2.9 Other information _____

Changes to legislation: There are currently no known outstanding effects for the The Railways and Other Guided Transport Systems (Safety) Regulations 2006, SCHEDULE 9. (See end of Document for details)

Contact person information

3.1 Family name and first name _____

3.2 Complete postal address (street, postal code, city, country) _____

3.3 Phone number _____ 3.4 Fax number _____

3.5 Email address _____

Application details

4.1 Application reference (given by the applicant)
This application is for a

4.1.1 New certificate 4.1.2 Updated/amended certificate

4.1.3 Renewal certificate

Operational details

Type of company

5.1 RU/IM 5.2 Keeper

5.3 Others Specify: _____

Changes to legislation: *There are currently no known outstanding effects for the The Railways and Other Guided Transport Systems (Safety) Regulations 2006, SCHEDULE 9. (See end of Document for details)*

Scope of activities

- 5.4 Covers tank wagons for dangerous goods YES/NO
Covers other wagons specialised in transport of dangerous goods YES/NO
- 5.5 Maintenance development Yes No Partial
5.6 Fleet maintenance management Yes No Partial
5.7 Maintenance delivery Yes No Partial

For partial maintenance functions, the sub-functions for which the application is submitted

Submitted documents

- 6.1 Maintenance system documentation
- 6.2 Others Specify: _____

Signatures

Applicant _____
(first name, family name)

Date _____ Signature: _____

Certification Body _____

Changes to legislation: There are currently no known outstanding effects for the The Railways and Other Guided Transport Systems (Safety) Regulations 2006, SCHEDULE 9. (See end of Document for details)

Internal reference number _____

Date application received _____

6.2 Others Specify: _____

Date _____ Signature: _____

SPACE RESERVED FOR THE ADDRESSED OFFICE /AUTHORITY

PART 4

UK-issued ECM certificate

6. The form referred to in paragraph 2(1) follows.

UK-issued ECM certificate

Confirming acceptance of the maintenance system of an entity in charge of maintenance (ECM) in conformity with the Railways and Other Guided Transport Systems (Safety) Regulations 2006.

1. Certified entity in charge of maintenance

Legal title:	
Commercial designation or acronym (voluntary):	
Complete postal address (street, postal code, city, country):	
Registration Business No:	VAT No:

2. Certification body

Legal title:
Complete postal address (street, postal code, city, country):
Certification body reference number:

3. Certification information

This is a	- new certificate	<input type="checkbox"/>	EU ECM or UK-issued ECM identification number of the previous certificate:
	- renewed certificate	<input type="checkbox"/>	
	- updated/amended certificate	<input type="checkbox"/>	
Validity from:		to:	
Type of company: (railway undertaking keeper, maintenance supplier, etc.)			

4. Scope of ECM activities

Covers tank wagons for dangerous goods	Yes/No
Covers other wagons specialised in transport of dangerous goods	Yes/No

5. Additional information

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Date issued and validity	
Signature	
Internal reference number	
Certification body's stamp	

Changes to legislation: There are currently no known outstanding effects for the The Railways and Other Guided Transport Systems (Safety) Regulations 2006, SCHEDULE 9. (See end of Document for details)

PART 5

Maintenance functions certificate

7. The form referred to in paragraph 2(2) follows.]

Changes to legislation: There are currently no known outstanding effects for the The Railways and Other Guided Transport Systems (Safety) Regulations 2006, SCHEDULE 9. (See end of Document for details)

Maintenance Functions Certificate

Confirming acceptance of the maintenance system in conformity with the Railways and Other Guided Transport Systems (Safety) Regulations 2006.

1. Certified organisation

Legal title:	
Commercial designation or acronym (voluntary):	
Complete postal address (street, postal code, city, country):	
Registration Business No:	VAT No:

2. Certification body

Legal title:
Complete postal address (street, postal code, city, country):
Certification body reference number:

3. Certification information

This is a	- new certificate	<input type="checkbox"/>	EU ECM or UK-issued ECM Identification Number of the previous certificate:
	- renewed certificate	<input type="checkbox"/>	
	- updated/amended certificate	<input type="checkbox"/>	
Validity from:		to:	
Type of company: Railway Undertaking (railway undertaking keeper, maintenance supplier, etc.)			

4. Scope of maintenance activities

Covers tank wagons for dangerous goods	Yes/No
Covers other wagons specialised in transport of dangerous goods	Yes/No

Changes to legislation: There are currently no known outstanding effects for the The Railways and Other Guided Transport Systems (Safety) Regulations 2006, SCHEDULE 9. (See end of Document for details)

5. Maintenance functions

Maintenance development	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Fleet maintenance management	Yes <input type="checkbox"/>	No <input type="checkbox"/>
Maintenance delivery	Yes <input type="checkbox"/>	No <input type="checkbox"/>

For partial maintenance functions, the sub-functions for which this certificate is valid

6. Additional information

Date issued and validity	
Signature	
Internal reference number	
Certification body's stamp	

Changes to legislation:

There are currently no known outstanding effects for the The Railways and Other Guided Transport Systems (Safety) Regulations 2006, SCHEDULE 9.