

SCHEDULES

SCHEDULE 2

Regulation 10(4)

[^{F1}Listed Activities]

Textual Amendments

- F1** Sch. 2 heading substituted (17.8.2022) by The Financial Services Act 2021 (Prudential Regulation of Credit Institutions and Investment Firms) (Consequential Amendments and Miscellaneous Provisions) Regulations 2022 (S.I. 2022/838), regs. 1(2), **12(4)(a)** (with regs. 24-26)

The activities ^{F2}... are—

“2. Lending including, inter alia: consumer credit, credit agreements relating to immovable property, factoring, with or without recourse, financing of commercial transactions (including forfeiting).

3. Financial leasing.

[^{F3}4. Payment services as defined in regulation 2(1) of the Payment Services Regulations 2017^{F4}, other than an account information service (within the meaning of that term in regulation 2(1) of those Regulations).]

5. Issuing and administering other means of payment (e.g. travellers' cheques and bankers' drafts) ^{F5}....

6. Guarantees and commitments.

7. Trading for own account or for account of customers in any of the following:

- (a) money market instruments (cheques, bills, certificates of deposit, etc.);
- (b) foreign exchange;
- (c) financial futures and options;
- (d) exchange and interest-rate instruments;
- (e) transferable securities.

8. Participation in securities issues and the provision of services relating to such issues.

9. Advice to undertakings on capital structure, industrial strategy and related questions and advice as well as services relating to mergers and the purchase of undertakings.

10. Money broking.

11. Portfolio management and advice.

12. Safekeeping and administration of securities.

14. Safe custody services.

15. Issuing electronic money.”

Changes to legislation: There are currently no known outstanding effects for the The Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017, SCHEDULE 2. (See end of Document for details)

Textual Amendments

- F2** Words in Sch. 2 omitted (17.8.2022) by virtue of The Financial Services Act 2021 (Prudential Regulation of Credit Institutions and Investment Firms) (Consequential Amendments and Miscellaneous Provisions) Regulations 2022 (S.I. 2022/838), regs. 1(2), **12(4)(b)** (with regs. 24-26)
- F3** Sch. 2 para. 4 substituted (1.9.2022) by The Money Laundering and Terrorist Financing (Amendment) (No. 2) Regulations 2022 (S.I. 2022/860), regs. 1(5), **15**
- F4** S.I. 2017/752. There have been amendments to regulation 2 but none are relevant.
- F5** Words in Sch. 2 omitted (17.8.2022) by virtue of The Financial Services Act 2021 (Prudential Regulation of Credit Institutions and Investment Firms) (Consequential Amendments and Miscellaneous Provisions) Regulations 2022 (S.I. 2022/838), regs. 1(2), **12(4)(d)** (with regs. 24-26)

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There are currently no known outstanding effects for the The Money Laundering, Terrorist Financing and Transfer of Funds (Information on the Payer) Regulations 2017, SCHEDULE 2.