

Changes to legislation: The Financial Services and Markets Act 2000 (Markets in Financial Instruments) Regulations 2017, Paragraph 3 is up to date with all changes known to be in force on or before 17 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

SCHEDULE 5

Amendments to other secondary legislation

Uncertificated Securities Regulations 2001

3. In paragraph 28 of Schedule 1 to the Uncertificated Securities Regulations 2001 ^{M1} (requirements for approval of a person as operator)—

- (a) omit sub-paragraph (3) ^{M2}; and
- (b) in sub-paragraph (4) ^{M3}—
 - (i) in the definition of “branch”, for “4.1.26” substitute “ 4.1.30 ”;
 - (ii) in the definition of “financial instrument”, for “4.1.17” substitute “ 4.1.15 ”;
 - (iii) in the definition of “markets in financial instruments directive” for “2004/39/EC” substitute “ 2014/65/EU ”; and
 - (iv) in the definition of “markets in financial instruments directive” for “21st April 2004” substitute “ 15 May 2014 ”.

Marginal Citations

M1 [S.I. 2001/3755](#); paragraph 28 was inserted by [S.I. 2007/124](#).

M2 [Paragraph 28\(3\)](#) was inserted by [S.I. 2007/124](#).

M3 [Paragraph 28\(4\)](#) was inserted by [S.I. 2007/124](#) and amended by [S.I. 2013/3115](#), there are other amendments to this paragraph but none is relevant.

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Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- reg. 15A inserted by [2023 c. 29 Sch. 2 para. 45](#)
- reg. 16(1A)(1B) omitted by [2023 c. 29 Sch. 2 para. 46\(4\)](#)