



# School Standards and Organisation (Wales) Act 2013

2013 anaw 1

## PART 2

### STANDARDS

#### CHAPTER 1

##### INTERVENTION IN CONDUCT OF MAINTAINED SCHOOLS

###### *Intervention by a local authority*

### **3 Warning notice**

- (1) If a local authority is satisfied that one or more of grounds 1 to 6 exist in relation to one of its maintained schools, the authority may give a warning notice to the governing body of the school.
- (2) The local authority must specify each of the following in the warning notice—
  - (a) the grounds for intervention;
  - (b) the reasons why the authority is satisfied that the grounds exist;
  - (c) the action the authority requires the governing body to take in order to deal with the grounds for intervention;
  - (d) the period within which the action is to be taken by the governing body (“the compliance period”);
  - (e) the action the authority is minded to take if the governing body fails to take the required action.
- (3) If the local authority gives a warning notice to the governing body of a school, it must at the same time give a copy of the warning notice to—
  - (a) the head teacher;

**Changes to legislation:** *School Standards and Organisation (Wales) Act 2013, Section 3 is up to date with all changes known to be in force on or before 05 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

- (b) if the school is a foundation or voluntary school—
  - (i) the person who appoints the foundation governors, and
  - (ii) if the school has a religious character, the appropriate religious body;
- (c) the Welsh Ministers.

**Modifications etc. (not altering text)**

- C1** Pt. 2 Ch. 1 power to modify conferred by 2011 nawm 7 s. 18(1)(a) (as substituted (20.2.2014) by [School Standards and Organisation \(Wales\) Act 2013 \(anaw 1\), s. 100\(4\), Sch. 5 para. 13\(3\)\(a\)](#); S.I. 2014/178, art. 2(f) (with art. 3))
- C2** Pt. 2 Ch. 1 modified by 2002 c. 32, s. 34(7) (as amended) (20.2.2014) by [School Standards and Organisation \(Wales\) Act 2013 \(anaw 1\), s. 100\(4\), Sch. 5 para. 6\(2\)](#); S.I. 2014/178, art. 2(f) (with art. 3)
- C3** Pt. 2 Ch. 1 excluded by 2010 c. 15, s. 87(4) (as inserted (20.2.2014) by [School Standards and Organisation \(Wales\) Act 2013 \(anaw 1\), s. 100\(4\), Sch. 5 para. 11\(3\)](#); S.I. 2014/178, art. 2(f) (with art. 3))
- C4** Pt. 2 Ch. 1 modified by 1998 c. 30, s. 19(12) (as substituted (20.2.2014) by [School Standards and Organisation \(Wales\) Act 2013 \(anaw 1\), s. 100\(4\), Sch. 5 para. 3](#); S.I. 2014/178, art. 2(f) (with art. 3))
- C5** Pt. 2 Ch. 1 applied (with modifications) by 2010 c. 15, s. 87(3)(a) (as inserted (20.2.2014) by [School Standards and Organisation \(Wales\) Act 2013 \(anaw 1\), s. 100\(4\), Sch. 5 para. 11\(3\)](#); S.I. 2014/178, art. 2(f) (with art. 3))
- C6** Pt. 2 Ch. 1 modified by 1988 c. 40, s. 219(3A) (as inserted (W.) (20.2.2014) by [School Standards and Organisation \(Wales\) Act 2013 \(anaw 1\), s. 100\(4\), Sch. 5 para. 1\(3\)](#); S.I. 2014/178, art. 2(f) (with art. 3))

**Commencement Information**

- I1** S. 3 in force at 20.2.2014 by [S.I. 2014/178, art. 2\(a\)](#) (with art. 3)

**Changes to legislation:**

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**Changes and effects yet to be applied to the whole Act associated Parts and Chapters:**

Whole provisions yet to be inserted into this Act (including any effects on those provisions):

- Pt. 3 Ch. 3A inserted by [2022 asc 1 Sch. 4 para. 29\(7\)](#)
- s. 1(9A) inserted by [2022 asc 1 Sch. 4 para. 29\(2\)\(a\)](#)
- s. 38(2)(ca) inserted by [2022 asc 1 Sch. 4 para. 29\(3\)\(a\)](#)
- s. 38(5)(ca) inserted by [2022 asc 1 Sch. 4 para. 29\(3\)\(b\)](#)
- s. 39(1)(ca) inserted by [2022 asc 1 Sch. 4 para. 29\(4\)](#)
- Sch. 2 para. 19(2)(c)(i) word inserted by [2022 asc 1 Sch. 4 para. 29\(12\)\(b\)](#)