



Legal Services (Scotland) Act 2010

2010 asp 16

LEGAL SERVICES (SCOTLAND) ACT 2010

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Changes to legislation: There are currently no known outstanding effects for the Legal Services (Scotland) Act 2010. (See end of Document for details)

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SCHEDULE 1 — Performance targets

Application

- 1 This schedule applies where the Scottish Ministers—

Power to set targets

- 2 (1) The Scottish Ministers may— (a) set one or more...

Notice of intention

- 3 (1) Before setting a performance target, or requiring the approved...

Consultation

- 4 (1) The approved regulator has 28 days beginning with the...

Decision

- 5 (1) The Scottish Ministers must have regard to any representations...

SCHEDULE 2 — Directions

Application

- 1 This schedule applies where the Scottish Ministers are satisfied that—...

Power to direct

- 2 (1) The Scottish Ministers may direct the approved regulator to...

Notice of intention

- 3 (1) Before giving a direction to an approved regulator under...

Consultation

- 4 (1) The approved regulator has 28 days beginning with the...

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Decision

- 5 (1) The Scottish Ministers must have regard to any representations...

Extension of time to comply

- 6 (1) The Scottish Ministers may, on an application by an...

Enforcement

- 7 (1) If at any time it appears to the Scottish...

SCHEDULE 3 — Censure

Application

- 1 This schedule applies where the Scottish Ministers are satisfied that—...

Power to censure

- 2 The Scottish Ministers may make and publish a statement censuring...

Preliminary advice

- 3 Before making the statement, the Scottish Ministers must consult such...

Notice of intention

- 4 (1) If, after consulting under paragraph 3, the Scottish Ministers...

Consultation

- 5 (1) The approved regulator has 28 days beginning with the...

Decision

- 6 (1) The Scottish Ministers must have regard to any representations...

SCHEDULE 4 — Financial penalties

Application

- 1 This schedule applies where the Scottish Ministers are satisfied that...

Power to impose penalty

- 2 (1) The Scottish Ministers may impose on the approved regulator...

Amount of penalty

- 3 (1) When considering the appropriate amount of a penalty to...

Notice of intention

- 4 (1) Before imposing a financial penalty, the Scottish Ministers must...

Consultation

- 5 (1) The approved regulator has 28 days beginning with the...

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Decision

6 (1) The Scottish Ministers must have regard to any representations...

Variation of penalty

7 (1) The Scottish Ministers may, on an application from an...

Appeal

8 (1) An approved regulator on which a financial penalty is...

Appeal grounds

9 The grounds for an appeal under paragraph 8 are—

Time for appeal

10 (1) An appeal under paragraph 8 is to be made—...

Interest

11 (1) If the whole or part of a penalty is...

Default

12 (1) Sub-paragraph (2) applies where the whole or part of...

SCHEDULE 5 — Amendment of authorisation

Application

1 This schedule applies where the Scottish Ministers are satisfied that—...

Power to amend

2 (1) The Scottish Ministers may amend the authorisation of the...

Notice of intention

3 (1) Before amending the approved regulator's authorisation, the Scottish Ministers...

Consultation

4 (1) The approved regulator has 28 days beginning with the...

Decision

5 (1) The Scottish Ministers must have regard to any representations...

SCHEDULE 6 — Rescission of authorisation

Application

1 This schedule applies where the Scottish Ministers are satisfied that—...

Power to rescind

2 The Scottish Ministers may rescind the authorisation of the approved...

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Notice of intention

- 3 (1) Before rescinding the approved regulator's authorisation, the Scottish Ministers...

Consultation

- 4 (1) The approved regulator has 28 days beginning with the...

Decision

- 5 (1) The Scottish Ministers must have regard to any representations...

SCHEDULE 7 — Surrender of authorisation

Application

- 1 This schedule applies where an approved regulator proposes to surrender...

Surrender notice

- 2 (1) The approved regulator must give the Scottish Ministers a...

Consultation

- 3 (1) The Scottish Ministers must, as soon as reasonably practicable...

Decision

- 4 (1) The Scottish Ministers must, within 28 days beginning with...

Date of surrender

- 5 (1) If the Scottish Ministers agree to the surrender of...

SCHEDULE 8 — Investors in licensed providers

Initial notification requirements

- 1 (1) An applicant for a licence (issuable in accordance with...
2 (1) It is an offence for a person to fail...

Continuing notification requirements

- 3 (1) This paragraph applies where— (a) a person takes, or...

Exemption from notification requirements

- 4 (1) An approved regulator may in relation to any exemptible...

Requirement to notify investors

- 5 (1) Where an applicant gives information under paragraph 1, the...

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- 6 (1) An approved regulator may require a person whose identity...

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SCHEDULE 9 — Index of expressions used

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