

Commission Decision of 9 November 2007 adopting a common specification of the national vehicle register provided for under Articles 14(4) and (5) of Directives 96/48/EC and 2001/16/EC (notified under document number C(2007) 5357) (2007/756/EC)

COMMISSION DECISION

of 9 November 2007

adopting a common specification of the national vehicle register provided for under Articles 14(4) and (5) of Directives 96/48/EC and 2001/16/EC

(notified under document number C(2007) 5357)

(2007/756/EC)

THE COMMISSION OF THE EUROPEAN COMMUNITIES,

Having regard to the Treaty establishing the European Community,

Having regard to Council Directive 96/48/EC of 23 July 1996 on the interoperability of the trans-European high-speed rail system⁽¹⁾, and in particular Articles 14(4) and (5) thereof,

Having regard to Directive 2001/16/EC of 19 March 2001 of the European Parliament and of the Council on the interoperability of the trans-European conventional rail system⁽²⁾, and in particular Articles 14(4) and (5) thereof,

Whereas:

- (1) Where Member States authorise the placing in service of rolling stock, they have to ensure that an identification code is assigned to each individual vehicle. This code should then be entered in a national vehicle register (hereafter NVR). This register must be accessible for consultation by authorised representatives from competent authorities and stakeholders. The different national registers should be consistent as regards data contents and data formatting. This requires their establishment on the basis of common operational and technical specifications.
- (2) The common specifications for the NVR should be adopted on the basis of the draft specification prepared by the European Railway Agency (hereafter the Agency). These draft specifications should include in particular the definition of: the content, the functional and technical architecture, the data format, the operating modes, including rules for data input and consultation.
- (3) This Decision has been prepared on the basis of the recommendation of the Agency No ERA/REC/INT/01-2006 dated 28 July 2006.
The NVR of a Member State should contain all vehicles authorised in that Member State. However freight wagons and passenger cars should be only registered in the NVR of the Member State where they are first placed in service.

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- (4) A standard form should be used for purposes of vehicles registration, confirmation of registration, alteration of registration item(s) and confirmation of the change(s).
- (5) Each Member State should establish a computer-based NVR. All NVRs should be linked to a central Virtual Vehicle Register (hereafter VVR) managed by the Agency in order to establish the register of documents on interoperability provided for Article 19 of Regulation (EC) No 881/2004⁽³⁾. The VVR should allow users to search all NVRs through a single portal and enable exchange of data between national NVRs. However, for technical reasons, the link to the VVR cannot be set up immediately. Therefore, Member States should only be required to connect their NVRs to the central VVR once the effective functioning of the VVR has been demonstrated. To this end, the Agency will carry out a pilot project.
- (6) According to item No 8 of the minutes of the meeting No 40 of the Regulatory Committee established under Article 21 of Directive 2001/16/EC, all existing vehicles should be registered in the NVR of the Member State where they were formerly registered. The transfer of data should take into account an adequate transition period and data availability.
- (7) In accordance with Article 14(4)(b) of Directive 96/48/EC and Article 14(4)(b) of Directive 2001/16/EC, the NVR should be kept and updated by a body independent of any railway undertaking. Member States should inform the Commission and the other Member States of the body which they have designated for this purpose, *inter alia*, in order to facilitate the exchange of information between these bodies.
- (8) Some Member States have an extensive 1 520 mm gauge network operating a fleet of wagons that is common to the Commonwealth of Independent States (CIS) countries. This has resulted in a common registration system that is an important element of the interoperability and safety of this 1 520 mm network. This specific situation should be recognized and specific rules established to avoid lack of consistency in the EU- and CIS-related obligations for the same vehicle.
- (9) The rules laid down in Annex P of the TSI on traffic operations and management are applicable with regard to the numbering system of vehicles for the purpose of registration in the NVR. The Agency will develop a guide for the harmonised application of these rules.
- (10) The measures provided for in this Decision are in accordance with the opinion of the Committee established under Article 21 of Directive 96/48/EC,

HAS ADOPTED THIS DECISION:

f^l Article 1 **U.K.**

The specifications for the National Vehicle Register provided for under regulation 36 of the 2011 Regulations are set out in the Annex.]

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Textual Amendments

- F1** Art. 1 substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **8**

^{F2}Article 1A **U.K.**

- 1 In this Decision—
“entity in charge of maintenance” and “keeper” have the meanings set out in regulation 2(1) of the Railways and Other Guided Transport Systems (Safety) Regulations 2006;
“the 2011 Regulations” means the Railways (Interoperability) Regulations 2011.
- 2 Unless the context otherwise requires, terms used in this Decision have the meaning set out in the 2011 Regulations.]

Textual Amendments

- F2** Art. 1A inserted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **9**

^{F3}Article 1a **U.K.**

Textual Amendments

- F3** Art. 1a omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **10**

^{F4}Article 2 **U.K.**

Textual Amendments

- F4** Art. 2 omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **10**

Article 3 **U.K.**

[^{F5}The registration entity] shall register existing vehicles as provided for in section 4 of the Annex.

Textual Amendments

- F5** Words in Art. 3 substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **11**

^{F6}Article 4 **U.K.**

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Textual Amendments

- F6** [Art. 4](#) omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **12**

^{F7}Article 5 **U.K.**

Textual Amendments

- F7** [Art. 5](#) omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **12**

^{F8}Article 6 **U.K.**

Textual Amendments

- F8** [Art. 6](#) omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **12**

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[^{F9}ANNEX U.K.]

Textual Amendments

F9 Substituted by [Commission Decision of 10 February 2011 amending Decision 2007/756/EC adopting a common specification of the national vehicle register \(notified under document C\(2011\) 665\) \(Text with EEA relevance\) \(2011/107/EU\)](#).

1. DATA U.K.

The data format of the national vehicle register (hereinafter referred to as ‘NVR’) is as follows.

The item numbering follows the logic of the proposed standard registration form in Appendix 4.

In addition, field(s) may be added such as comments, identification of vehicles under investigation (see Section 3.4), etc.

1.	[^{F10}Numeric identification code as defined in Appendix 6]	Compulsory
Content	Numeric identification code as defined in Annex P to the [^{F11} NTSN] on ‘operation and traffic management’ (hereinafter referred to as ‘[^{F12} OPE NTSN]’)	
Format	1.1. Number	12 digits
	1.2. Previous number (if applicable, for renumbered vehicle)	
2.	[^{F13}Safety Authority]	Compulsory
Content	[^{F14} Safety Authority that authorised its placing in service]	
Format	F15 ...	F15 ...
	2.2. Name of [^{F16} Safety Authority]	Text

a For vehicle types authorised in accordance with Article 26 of Directive 2008/57/EC.

b Authorisation delivered in accordance with Chapter V of Directive 2008/57/EC or authorisation delivered in accordance with the authorisation regimes existing before transposition of Directive 2008/57/EC.

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3.	Manufacturing year	Compulsory
Content	Year in which the vehicle left the factory	
Format	3. Manufacturing year	YYYY
4.	EC [^{F17}or UK] reference	Compulsory (when available)
Content	References to the 'EC [^{F17} or UK]' declaration of verification and the issuing body (the applicant)	
Format	4.1. Date of declaration	Date
	4.2. EC [^{F17} or UK] reference	Text
	4.3. Name of issuing body (applicant)	Text
	4.4. Registered business number	Text
	4.5. Address of organisation, street and number	Text
	4.6. Town	Text
	4.7. Country code	ISO (see Appendix 2)
	4.8. Post code	Alphanumeric code
5.	Reference to the [^{F18}UK List of Authorised Types]	Compulsory ^a
Content	Reference allowing retrieval of the relevant technical data from [^{F19} the UK List of Authorised Types]; the reference is compulsory if the type is defined in [^{F19} the UK List of Authorised Types]	

a For vehicle types authorised in accordance with Article 26 of Directive 2008/57/EC.

b Authorisation delivered in accordance with Chapter V of Directive 2008/57/EC or authorisation delivered in accordance with the authorisation regimes existing before transposition of Directive 2008/57/EC.

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Format	5. Reference allowing retrieval of the relevant technical data from [^{F19} the UK List of Authorised Types]	Alphanumeric code(s)
5bis	Series	Optional
Content	Identification of a series, if the vehicle is part of a series	
	5bis Series	Text
6.	Restrictions	Compulsory
Content	Any restrictions on how the vehicle may be used	
Format	6.1. Coded restrictions (see Appendix 1)	Code
	6.2. Non-coded restrictions	Text
7.	Owner	Compulsory
Content	Identification of the owner of the vehicle	
Format	7.1. Name of organisation	Text
	7.2. Registered business number	Text
	7.3. Address of organisation, street and number	Text
	7.4. Town	Text
	7.5. Country code	ISO (see Appendix 2)
	7.6. Post code	Alphanumeric code
8.	Keeper	Compulsory

a For vehicle types authorised in accordance with Article 26 of Directive 2008/57/EC.

b Authorisation delivered in accordance with Chapter V of Directive 2008/57/EC or authorisation delivered in accordance with the authorisation regimes existing before transposition of Directive 2008/57/EC.

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Content	Identification of the keeper of the vehicle	
Format	8.1. Name of organisation	Text
	8.2. Registered business number	Text
	8.3. Address of organisation, street and number	Text
	8.4. Town	Text
	8.5. Country code	ISO (see Appendix 2)
	8.6. Post code	Alphanumeric code
	8.7. VKM (if available)	Alphanumeric code
9.	Entity in charge of maintenance	Compulsory
Content	Reference to the entity in charge of maintenance	
Format	9.1. Entity in charge of maintenance	Text
	9.2. Registered business number	Text
	9.3. Address of entity, street and number	Text
	9.4. Town	Text
	9.5. Country code	ISO
	9.6. Post code	Alphanumeric code
	9.7. E-mail address	E-mail
10.	Withdrawal	Compulsory when applicable

a For vehicle types authorised in accordance with Article 26 of Directive 2008/57/EC.

b Authorisation delivered in accordance with Chapter V of Directive 2008/57/EC or authorisation delivered in accordance with the authorisation regimes existing before transposition of Directive 2008/57/EC.

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Content	Date of official scrapping and/or other disposal arrangement and the code for withdrawal mode	
Format	10.1. Mode of disposal (see Appendix 3)	Two-digit code
	10.2. Withdrawal date	Date
11.	F20	F20

F20	F20	
...	...	
F20	F20	F20
...
12.	Authorisation number	Compulsory
Content	Harmonised authorisation number for placing in service, generated by [^{F21} the Safety Authority]	
Format	12. Authorisation number	For existing vehicles: text For new vehicles: alphanumeric code based on EIN, see Appendix 2
13.	Authorisation of placing in service	Compulsory
Content	Date of authorisation for placing the vehicle in service ^b and its validity	
Format	13.1. Date of authorisation	Date (YYYYMMDD)
	13.2. Authorisation valid until (if specified)	Date (YYYYMMDD)
	13.3. Suspension of authorisation	Yes/No

a For vehicle types authorised in accordance with Article 26 of Directive 2008/57/EC.

b Authorisation delivered in accordance with Chapter V of Directive 2008/57/EC or authorisation delivered in accordance with the authorisation regimes existing before transposition of Directive 2008/57/EC.

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Textual Amendments

- F10** Substituted by Commission Decision of 14 November 2012 concerning the technical specification for interoperability relating to the ‘operation and traffic management’ subsystem of the rail system in the European Union and amending Decision 2007/756/EC (notified under document C(2012) 8075) (Text with EEA relevance) (2012/757/EU).
- F11** Word in Annex s. 1 table item 1 substituted (31.12.2020) by The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(2)(a)(i)**
- F12** Words in Annex s. 1 table item 1 substituted (31.12.2020) by The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(2)(a)(ii)**
- F13** Words in Annex s. 1 table item 2 substituted (31.12.2020) by The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(2)(b)(i)**
- F14** Words in Annex s. 1 table item 2 substituted (31.12.2020) by The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(2)(b)(ii)**
- F15** Words in Annex s. 1 table item 2 omitted (31.12.2020) by virtue of The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(2)(b)(iii)(aa)**
- F16** Words in Annex s. 1 table item 2 substituted (31.12.2020) by The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(2)(b)(iii)(bb)**
- F17** Words in Annex s. 1 table item 4 inserted (31.12.2020) by The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(2)(c)**
- F18** Words in Annex s. 1 table item 5 substituted (31.12.2020) by The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(2)(d)(i)**
- F19** Words in Annex s. 1 table item 5 substituted (31.12.2020) by The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(2)(d)(ii)**
- F20** Annex s. 1 table item 11 omitted (31.12.2020) by virtue of The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(2)(e)**
- F21** Words in Annex s. 1 table item 12 substituted (31.12.2020) by The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(2)(f)**

2. ^{F22} ... **U.K.**

Textual Amendments

- F22** Annex s. 2 omitted (31.12.2020) by virtue of The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(3)**

3. OPERATING MODE **U.K.**

3.1. Use of the NVR **U.K.**

The NVR is to be used for the following purposes:

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- recording authorisation,
- recording the EVN allocated to vehicles,
- F23 ...
- following up legal aspects such as obligations and legal information,
- retrieving information for inspections mainly related to safety and maintenance,
- enabling contact with the owner and keeper,
- cross-checking some safety requirements before issuing Safety Certificates,
- following up a particular vehicle.

Textual Amendments

F23 Words in [Annex s. 3.1](#) omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(4)(a)**

3.2. Application forms U.K.

[^{F24}3.2.1. Application for registration U.K.

The form in Appendix 4 shall be used for the application for registration.

The entity applying for vehicle registration shall tick the ‘New registration’ box. It shall fill in the form and forward it [^{F25}to the RE.]

Textual Amendments

F25 Words in [Annex s. 3 para. 3.2.1](#) substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(4)(b)**

Textual Amendments

F24 Substituted by [Commission Implementing Decision \(EU\) 2018/1614 of 25 October 2018 laying down specifications for the vehicle registers referred to in Article 47 of Directive \(EU\) 2016/797 of the European Parliament and of the Council and amending and repealing Commission Decision 2007/756/EC \(Text with EEA relevance\)](#).

3.2.2. Registering a vehicle and issuing a European Vehicle Number. U.K.

In the case of first registration, the RE concerned issues the European Vehicle number.

It is possible to have a separate registration form per vehicle or a single form for a whole set of vehicles of the same series or order with a list of the vehicle numbers attached.

The RE must take reasonable steps to ensure the accuracy of the data it enters in the NVR. ^{F26}...

Textual Amendments

F26 Words in [Annex s. 3 para. 3.2.2](#) omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(4)(c)**

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3.2.3. Changing one or more registration item(s) U.K.

The entity applying for a change to its vehicle registration item(s):

- ticks the ‘Modification’ box,
- fills in the current EVN (item No 0),
- ticks the box(es) before the modified item(s),
- enters the new content of the modified item(s), and then forwards the form to the [F27RE].

Textual Amendments

F27 Word in Annex s. 3 para. 3.2.3 substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(4)(d)(i)**

The use of the standard form might not be sufficient for certain cases. If necessary, the RE concerned may therefore submit additional documents in either paper or electronic form.

Unless otherwise specified in the registration documents, the keeper of the vehicle is considered to be the [F28owner of the vehicle referred to in regulation 36(8) of the 2011 Regulations].

Textual Amendments

F28 Words in Annex s. 3 para. 3.2.3 substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(4)(d)(ii)**

Should a keeper change, it is the responsibility of the currently registered keeper to notify the RE and the RE has to notify the new keeper of the change of registration. The former keeper is removed from the NVR and relieved of its responsibilities only when the new keeper has acknowledged its acceptance of keeper status. If on the date of de-registration of the currently registered keeper no new keeper has accepted the keeper status, the registration of the vehicle is suspended.

In cases where, in accordance with the [F29OPE NTSN], due to technical changes, the vehicle has to be given a new EVN, the [F30owner of the vehicle shall inform the RE of] these changes and, if applicable, of the new authorisation for placing in service F31.... This RE shall assign to the vehicle a new EVN.

Textual Amendments

F29 Words in Annex s. 3 para. 3.2.3 substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(4)(d)(iii)(aa)**

F30 Words in Annex s. 3 para. 3.2.3 substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(4)(d)(iii)(bb)**

F31 Words in Annex s. 3 para. 3.2.3 omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(4)(d)(iii)(cc)**

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[^{F32}The RE shall register the changes in the NVR within 20 working days from the receipt of a complete application file. The RE shall, within this deadline, either register the vehicle or request correction/clarification.]

Textual Amendments

F32 Inserted by [Commission Implementing Decision \(EU\) 2018/1614 of 25 October 2018 laying down specifications for the vehicle registers referred to in Article 47 of Directive \(EU\) 2016/797 of the European Parliament and of the Council and amending and repealing Commission Decision 2007/756/EC \(Text with EEA relevance\)](#).

3.2.4. *Withdrawal of registration* **U.K.**

The entity applying for withdrawal of registration ticks the ‘Withdrawal’ box. It then fills in item No 10 and forwards the form to the [^{F33}RE].

Textual Amendments

F33 Word in [Annex s. 3 para. 3.2.4](#) substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(4)(e)**

The RE delivers the withdrawal registration by filling in the date of withdrawal and acknowledging the withdrawal to the entity.

^{F34}3.2.5. **U.K.**

Textual Amendments

F34 [Annex s. 3 para. 3.2.5](#) omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(4)(f)**

[^{F32}3.2.6. *Transfer of registration and change of EVN* **U.K.**

1. The EVN shall be changed when it does not reflect the interoperability capability or technical characteristics in accordance with Appendix 6 due to technical modifications of the vehicle. Such technical modifications may require a new authorisation for placing in service ^{F35}... or a new authorisation for placing on the market and, where appropriate, a new vehicle type authorisation, in accordance with [^{F36}the 2011 Regulations]. The keeper shall inform the RE [^{F37}with which] the vehicle is registered of those changes and, if applicable, of the new authorisation for placing in service or of a new authorisation for placing on the market. That RE shall assign to the vehicle a new EVN.

Textual Amendments

F35 Words in [Annex s. 3 para. 3.2.6\(1\)](#) omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(4)(g)(i)**

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- F36** Words in Annex s. 3 para. 3.2.6(1) substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(4)(g)(ii)**
- F37** Words in Annex s. 3 para. 3.2.6(1) substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(4)(g)(iii)**

^{F38}2.]

Textual Amendments

F38 Annex s. 3 para. 3.2.6(2) omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(4)(h)**

^{F24}3.3. **Access rights** **U.K.**

The access rights [^{F39}c for the relevant entities to data in the UK NVR] are listed in the table below:

Textual Amendments

F39 Words in Annex s. 3 para. 3.3 substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(4)(i)(i)**

^{F40} Relevant] Entity	Read rights	Update rights
RE ^{F41} ...	All data	All data in vehicle register ^{F42} ...
^{F43} Safety Authority] ^{F44} ...	All data ^{F44} ...	None ^{F44} ...
Keeper	All data on vehicles of which it is keeper	None
ECM	All data, except owner's references, of vehicles for which it is ECM	None
Owner	All data on vehicles of which it is owner	None
Railway undertaking	All data, except owner's references, based on one or more vehicle numbers	None

a Directive (EU) 2016/798 of the European Parliament and of the Council of 11 May 2016 on railway safety (OJ L 138, 26.5.2016, p. 102).

b Directive 2012/34/EU of the European Parliament and of the Council of 21 November 2012 establishing a single European railway area (OJ L 343, 14.12.2012, p. 32).

c The Agency shall, in cooperation with the NSAs, define the procedure for recognising legitimate users.]

Changes to legislation: This version of this Decision was derived from EUR-Lex on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on legislation.gov.uk. (See end of Document for details)

Infrastructure manager	All data, except owner's references, based on one or more vehicle numbers	None
[^{F45} Rail Accident Investigation Branch]	All data on vehicles being checked or audited	None
EC [^{F46} or UK] declaration of verification issuing body (the applicant)	All data on vehicles of which it is EC [^{F46} or UK] declaration of verification issuing body (the applicant), except owner's references	None
Other legitimate user recognised by [^{F47} the Safety Authority]	To be defined as appropriate, duration possibly limited, except owner's references	None
a	Directive (EU) 2016/798 of the European Parliament and of the Council of 11 May 2016 on railway safety (OJ L 138, 26.5.2016, p. 102).	
b	Directive 2012/34/EU of the European Parliament and of the Council of 21 November 2012 establishing a single European railway area (OJ L 343, 14.12.2012, p. 32).	
c	The Agency shall, in cooperation with the NSAs, define the procedure for recognising legitimate users.]	

Textual Amendments

- F40** Word in Annex s. 3.3 table inserted (31.12.2020) by The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(4)(i)(ii)(aa)**
- F41** Words in Annex s. 3.3 table omitted (31.12.2020) by virtue of The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(4)(i)(ii)(bb)**
- F42** Words in Annex s. 3.3 table omitted (31.12.2020) by virtue of The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(4)(i)(ii)(cc)**
- F43** Words in Annex s. 3.3 table substituted (31.12.2020) by The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(4)(i)(ii)(dd)**
- F44** Words in Annex s. 3.3 table omitted (31.12.2020) by The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(4)(i)(ii)(ee)**
- F45** Words in Annex s. 3.3 table substituted (31.12.2020) by The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(4)(i)(ii)(ff)**
- F46** Words in Annex s. 3.3 table inserted (31.12.2020) by The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(4)(i)(ii)(gg)**
- F47** Words in Annex s. 3.3 table substituted (31.12.2020) by The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(4)(i)(ii)(hh)**

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Textual Amendments

F48 Words in [Annex s. 3.3](#) omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(4)(i)(iii)**

3.4. Historical records **U.K.**

All data in the NVR must be retained for 10 years from the date of withdrawal of a vehicle registration. As a minimum, data must be available online for the first 3 years. After 3 years, data may be kept either electronically, in paper form or in any other archiving system. If at any time during the 10-year period an investigation involving a vehicle or vehicles is started, data relating to these vehicles must be retained beyond the 10-year period if so required.

After withdrawal of a vehicle registration, any of the registration numbers assigned to the vehicle must not be assigned to any other vehicle for 100 years from the date the vehicle is withdrawn.

Any changes in the NVR should be recorded. The management of historical changes could be addressed by IT solutions.]

4. EXISTING VEHICLES **U.K.**

4.1. Data content **U.K.**

The 13 data items retained are listed below, with an indication as to which are compulsory and which are not.

4.1.1. Item No 1 — European Vehicle Number (Compulsory) **U.K.**

(a) Vehicles already possessing a 12-digit number **U.K.**

[^{F49}Vehicles with numbers issued outside the UK]:

Textual Amendments

F49 Words in [Annex s. 4](#) para. 4.1.1(a) substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(5)(a)(i)**

These vehicles should keep their current number. The 12-digit number should be registered as such without any modification.

^{F50}
...

Textual Amendments

F50 Words in [Annex s. 4](#) para. 4.1.1(a) omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(5)(a)(ii)**

(b) Vehicles without a 12-digit number **U.K.**

A two-step procedure applies.

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- A 12-digit number (according to [^{F51}OPE NTSN]) defined in accordance with the vehicle's characteristics is allocated in the NVR. The IT system should link this registered number to the current vehicle number.
- For vehicles used in international traffic, except those reserved for historical use: the 12-digit number is physically applied to the vehicle itself within a period of 6 years after allocation in the NVR. For vehicles used in domestic traffic and for those reserved for historical use: the physical application of the 12-digit number is voluntary.

Textual Amendments

F51 Words in Annex s. 4 para. 4.1.1(b) substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(5)(b)**

^{F52}4.1.2. **U.K.**

.....

Textual Amendments

F52 Annex s. 4 para. 4.1.2 omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(5)(c)**

4.1.3. *Item No 3 — Manufacturing Year* **U.K.**

Where the manufacturing year is not known precisely, the approximate year should be entered.

4.1.4. *Item No 4 — EC [^{F53}or UK] Reference* **U.K.**

Normally such a reference does not exist for existing vehicles except for a handful of HS RS. To be recorded only if available.

Textual Amendments

F53 Words in Annex s. 4 para. 4.1.4 heading inserted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(5)(d)**

4.1.5. *Item No 5 — Reference to the [^{F54}UK List of Authorised Types]* **U.K.**

To be recorded only if available.

^{F55}
...

Textual Amendments

F55 Words in Annex s. 4 para. 4.1.5 omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(5)(e)(ii)**

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Textual Amendments

F54 Words in Annex s. 4 para. 4.1.5 heading substituted (31.12.2020) by The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(5)(e)(i)**

4.1.6. *Item No 6 — Restrictions* **U.K.**

To be recorded only if available.

4.1.7. *Item No 7 — Owner (Compulsory)* **U.K.**

Compulsory and normally available.

4.1.8. *Item No 8 — Keeper (Compulsory)* **U.K.**

Compulsory and normally available. The VKM (unique code as indicated in the VKM register) must be entered if the keeper has it.

4.1.9. *Item No 9 — Entity in charge of maintenance (Compulsory)* **U.K.**

This item is compulsory.

4.1.10. *Item No 10 — Withdrawal* **U.K.**

Applicable as appropriate.

^{F56}4.1.11. **U.K.**

Textual Amendments

F56 Annex s. 4 para. 4.1.11 omitted (31.12.2020) by virtue of The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(5)(f)**

4.1.12. *Item No 12 — Authorisation number* **U.K.**

To be recorded only if available

4.1.13. *Item No 13 — Placing in service (Compulsory)* **U.K.**

Where the date of placing into service is not known precisely, the approximate year should be entered.

4.2. **Procedure** **U.K.**

The entity previously responsible for vehicle registration is to make all information available to the [^{F57}Safety Authority] or RE ^{F58}....

Textual Amendments

F57 Words in Annex s. 4.2 substituted (31.12.2020) by The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(5)(g)(i)(aa)**

F58 Words in Annex s. 4.2 omitted (31.12.2020) by virtue of The Railways (Interoperability) (Miscellaneous Amendments and Revocations) (EU Exit) Regulations 2020 (S.I. 2020/318), regs. 1(2)(c), **13(5)(g)(i)(bb)**

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[^{F59}Existing freight wagons and passenger cars must be registered in the UK NVR.]

Textual Amendments

F59 Words in Annex s. 4.2 substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(5)(g)(ii)**

F60

...

Textual Amendments

F60 Words in Annex s. 4.2 omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(5)(g)(iii)**

The [^{F61}Safety Authority] or RE includes the information in its NVR.

Textual Amendments

F61 Words in Annex s. 4.2 substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(5)(g)(iv)**

The [^{F62}Safety Authority] or RE informs all the parties involved when the information transfer is completed. At least the following entities are to be informed:

Textual Amendments

F62 Words in Annex s. 4.2 substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(5)(g)(v) (aa)**

— the entity previously responsible for vehicle registration,

— the keeper,

— ^{F63}...

Textual Amendments

F63 Words in Annex s. 4.4 omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **13(5)(g)(v) (bb)**

Changes to legislation: This version of this Decision was derived from EUR-Lex on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on legislation.gov.uk. (See end of Document for details)

[^{F24}Appendix 1 U.K.]

RESTRICTION CODING

1. PRINCIPLES U.K.

The restrictions referred to in the authorisation for placing in service in accordance with [^{F64}the 2011 Regulations] or in the authorisation for placing on the market and, where appropriate, a new vehicle type authorisation, in accordance with [^{F65}the 2011 Regulations] shall be assigned a ^{F66} ... code.

Textual Amendments

- F64** Words in Annex Appendix 1 Section 1 substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **14(2)(a)**
- F65** Words in Annex Appendix 1 Section 1 substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **14(2)(b)**
- F66** Words in Annex Appendix 1 Section 1 omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **14(2)(c)**

2. STRUCTURE U.K.

Each code is a combination of:

- category of restriction,
- type of restriction,
- value or specification,

which are joined by a dot (.):

[Category].[Type].[Value or specification].

3. RESTRICTION CODES U.K.

1. [^{F67}These codes are available on the website of the European Union Agency for Railways.

The use of non-coded restrictions must be limited to those restrictions that, due to their particular character, are unlikely to be applied to several types of vehicle.]

Textual Amendments

- F67** Annex Appendix 1 Section 3 para. 1 substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **14(3)(a)**

^{F68}2.

Changes to legislation: This version of this Decision was derived from EUR-Lex on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on legislation.gov.uk. (See end of Document for details)

Textual Amendments

F68 Annex Appendix 1 Section 3 paras. 2-5 omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **14(3)(b)**

F68 3.

F68 4.

F68 5.]

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Appendix 2 **U.K.**

STRUCTURE AND CONTENT OF THE EUROPEAN IDENTIFICATION NUMBER

[^{F69}The structure and content of the European Identification Number (EIN), including the codification of the types of documents concerned, are available in a technical document on the website of the European Union Agency for Railways. The United Kingdom country codes are either UK or CT for the Channel Tunnel.]

Textual Amendments

F69 Words in [Appendix 2](#) substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **15**

Changes to legislation: This version of this Decision was derived from EUR-Lex on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on legislation.gov.uk. (See end of Document for details)

Appendix 3 **U.K.****WITHDRAWAL CODING**

Code	Withdrawal mode	Description
00	None	The vehicle has a valid registration.
10	Registration suspended No reason specified	The vehicle's registration is suspended at the request of the owner or keeper or by a decision of the [^{F70} Safety Authority] or RE.
11	Registration suspended	The vehicle is destined for storage in working order as an inactive or strategic reserve.
20	Registration transferred	The vehicle is known to be reregistered under a different number or by a different NVR, for continued use on (all or part of) the [^{F71} UK] railway network.
30	Withdrawal No reason specified	The vehicle's registration for operating on the [^{F71} UK] railway network has ended without known reregistration.
31	F72 ...	F72 ...
32	Withdrawal	The vehicle is destined for the recovery of major interoperable constituents/modules/spares or major rebuilding.
33	Withdrawal	The vehicle is destined for scrapping and disposal of materials (including major spares) for recycling.
34	F72 ...	F72 ...

Textual Amendments

- F70** Words in [Appendix 3](#) substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **16(a)**
- F71** Word in [Appendix 3](#) substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **16(b)**

Changes to legislation: This version of this Decision was derived from EUR-Lex on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on legislation.gov.uk. (See end of Document for details)

F72 Words in [Appendix 3](#) omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **16(c)**

Use of codes

- If the reason for withdrawal is not specified, codes 10, 20 and 30 are to be used to indicate the change of registration status.
- If the reason for withdrawal is available, codes 11, [^{F73}32 and 33] are options available within the NVR database. These codes are based solely on information provided by the keeper or owner to the RE.

Textual Amendments

F73 Words in [Appendix 3](#) substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **16(d)**

Registration issues

- A vehicle that has its registration suspended or withdrawn may not operate on the [^{F74}UK] railway network under the recorded registration.
- A reactivation of a registration after suspension will require the verification by the registration entity of the conditions which caused the suspension.
- A transfer of registration under the conditions set out in [^{F75}the OPE NTSN], consists of a new registration of the vehicle and subsequent withdrawal of the old registration.

Textual Amendments

F74 Word in [Appendix 3](#) substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **16(e)(i)**

F75 Words in [Appendix 3](#) substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **16(e)(ii)**

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F76 Appendix 4 U.K.

STANDARD FORM FOR REGISTRATION

STANDARD FORM FOR REGISTRATION OF AUTHORISED VEHICLES

(in conformity with the Railways (Interoperability) Regulations 2011)

Application for: New Registration Modification Withdrawal

INFORMATION ABOUT THE VEHICLE

1. Number

1.1 European Vehicle Number

1.2 Number previously assigned to the vehicle

2. Safety Authority

Safety Authority which authorised placing in service

.....

3. Manufacturing year

4. EC or UK Reference

4.1 Date of declaration

4.2 EC or UK Reference

4.3 Name of issuing body

4.4 Registered business number

Address of the organisation

4.5 Street and number

4.6 Town

4.7 Country Code 4.8 Postcode

5. Reference to the UK list of authorised types

5bis Series

6. Restrictions

6.1 Restrictions (code)

.....

.....

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6.2 Restrictions (text)
.....

INFORMATION ABOUT THE ENTITIES RESPONSIBLE FOR THE VEHICLE

7. Owner

7.1 Name of organisation

7.2 Registered business number

Address of organisation

7.3 Street and number

7.4 Town

7.5 Country Code 7.6 Postcode

8. Keeper

8.1 Name of organisation

8.2 Registered business number

Address of organisation

8.3 Street and number

8.4 Town

8.5 Country Code 8.6 Postcode

8.7 Vehicle Keeper Marking (VKM)

OPERATIONAL INFORMATION

9. Entity in charge of maintenance

9.1 Name of organisation

9.2 Registered business number

Address of organisation

9.3 Street and number

9.4 Town

9.5 Country Code 9.6 Postcode

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9.7 Email address

10. Withdrawal

10.1 Mode (code)

10.2 Date

Identification of the entity applying for registration

.....

Name and signature of responsible officer

.....

Date

SAFETY AUTHORITY REFERENCES

11. Allocated European Vehicle Number(1)

12. Authorisation number

13. Placing in service

13.1 Date of authorisation

13.2 Authorisation valid until

Date application received

Date application withdrawn

(1) It is possible to attach a list for several vehicles of the same series or order.

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F77F78F79F80F81F82F83F84F85F86F87F88F89F90F91F92F93F94F95F96 Appendix 5 U.K.

GLOSSARY

Abbreviation	Definition
CCS	Control Command System
F77	F77
...	...
COTIF	Convention concerning International Carriage by Rail
CR	Conventional Rail (System)
DB	Database
EC	European Commission
F78	F78
...	...
EIN	European Identification Number
EN	European Standard (Euro Norm)
EVN	European Vehicle Number
F79	F79
...	...
F80	F80
...	...
ERTMS	European Rail Traffic Management System
F81	F81
...	...
HS	High Speed (System)
IB	Investigating Body
ISO	International Organisation for Standardisation
IM	Infrastructure Manager
INF	Infrastructure
IT	Information Technology
LR	Local Register
NoBo	Notified Body
F82	F82
...	...
[^{F93} NTSN]	[^{F94} National Technical Specification Notice]
NVR	National Vehicle Register

Changes to legislation: This version of this Decision was derived from EUR-Lex on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on legislation.gov.uk. (See end of Document for details)

[^{F95} OPE NTSN]	Operation and Traffic management [^{F96} NTSN]
OTIF	Intergovernmental Organisation for International Carriage by Rail
RE	Registration Entity, i.e. the body responsible for keeping and updating the NVR
RB	Regulatory Body
F83	F83
...	...
F84	F84
...	...
RS or RST	Rolling Stock
F85	F85
...	...
RU	Railway Undertaking
F86	F86
...	...
F87	F87
...	...
F88	F88
...	...
VKM	Vehicle Keeper Marking
F89	F89
...	...
F90	F90
...	...
F91	F91
...	...
F92	F92
...	...

Changes to legislation: This version of this Decision was derived from EUR-Lex on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on legislation.gov.uk. (See end of Document for details)

^{F97} Appendix 6 U.K.

PART ' 0 ' — U.K.

VEHICLE IDENTIFICATION

General remarks

This appendix describes the European Vehicle Number and linked marking applied in a visible manner on the vehicle to identify it uniquely and in a permanent manner during operation. It does not describe other numbers or markings eventually engraved or fixed in a permanent manner on the chassis or the main components of the vehicle during its construction.

European Vehicle number and linked abbreviations

Each railway vehicle receives a number consisting of 12 figures (called European Vehicle Number (EVN)) with the following structure:

Rolling stock group	Interoperability capability and vehicle type [2 figures]	Country in which the vehicle is registered [2 figures]	Technical characteristics [4 figures]	Serial number [3 figures]	Check digit [1 figure]
Wagons	00 to 09 10 to 19 20 to 29 30 to 39 40 to 49 80 to 89 <i>[details in part 6]</i>	01 to 99 <i>[details in part 4]</i>	0000 to 9999 <i>[details in part 9]</i>	000 to 999	0 to 9 <i>[details in part 3]</i>
Hauled passenger vehicles	50 to 59 60 to 69 70 to 79 <i>[details in part 7]</i>		0000 to 9999 <i>[details in part 10]</i>	000 to 999	
Tractive rolling stock and units in a train set in fixed or pre-defined formation	90 to 99 <i>[details in part 8]</i>		0000000 to 8999999 ^{F98} ...		
Special vehicles			9000 to 9999 <i>[details in part 11]</i>	000 to 999	

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Textual Amendments

F98 Words in Annex Appendix 6 Pt. 0 Table omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2) (c), **19(2)(a)**

[^{F99}The] 7 digits of technical characteristics and serial number are sufficient to identify uniquely a vehicle inside the groups of hauled passenger vehicles and special vehicles⁽⁴⁾.

Textual Amendments

F99 Word in Annex Appendix 6 Pt. 0 substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2) (c), **19(2)(b)**

Alphabetical markings complete the number:

- (a) ^{F100} ...
- (b) Vehicle Keeper Marking (*details in part 1*) ;
- (c) abbreviations of the technical characteristics (*details in part 12 for the wagons, part 13 for the hauled passenger vehicles*).

Textual Amendments

F100 Words in Annex Appendix 6 Pt. 0 omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2) (c), **19(2)(c)**

The European Vehicle Number shall be changed when it does not reflect the interoperability capability or technical characteristics according to this Appendix due to technical modifications of the vehicle. [^{F101}Such technical modifications may require a new authorisation for placing in service in accordance with regulation 13 of the 2011 Regulations.]

Textual Amendments

F101 Words in Annex Appendix 6 Pt. 0 substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2) (c), **19(2)(d)**

PART 1 — U.K.

VEHICLE KEEPER MARKING

[^{F24}1. Definition of the Vehicle Keeper Marking (VKM) U.K.]

A Vehicle Keeper Marking (VKM) is an alphabetic code, consisting of 2 to 5 letters⁽⁵⁾. A VKM is inscribed on each rail vehicle, near the European Vehicle Number. The VKM identifies the Vehicle Keeper as registered in a National Vehicle Register.]

Changes to legislation: This version of this Decision was derived from EUR-Lex on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on legislation.gov.uk. (See end of Document for details)

F102

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Textual Amendments

F102 Words in Annex Appendix 6 Pt. 1 omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **19(3)(a)**

2. Format of the Vehicle Keeper Marking **U.K.**

The VKM is representation of the full name or abbreviation of the vehicle keeper, if possible in a recognisable manner. All 26 letters of the Latin alphabet may be used. The letters in the VKM are written in capitals. Letters that do not stand for first letters of words in the keeper's name may be written in lower case. For checking uniqueness, the letters written in lower case will be taken as written in capitals.

F103

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Textual Amendments

F103 Words in Annex Appendix 6 Pt. 1 omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **19(3)(b)**

3. Provisions about allocation of Vehicle Keeper Markings **U.K.**

A vehicle keeper can be issued more than one VKM, in case:

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F104

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...

— a vehicle keeper has good cause to distinguish between separate vehicle fleets within his organisation.

Textual Amendments

F104 Words in Annex Appendix 6 Pt. 1 omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **19(3)(c)**

A single VKM can be issued for a group of companies:

—

that belong to single corporate structure (e.g. holding structure);

—

that belong to a single corporate structure that has appointed and mandated one organisation within this structure to handle all issues on behalf of all others;

—

that has mandated a separate, single legal entity for handling all issues on their behalf, in which event the legal entity is the keeper.

4. Register of Vehicle Keeper Markings and procedure for allocation **U.K.**

The register of VKM is public and updated on a real time basis.

An application for a VKM is filed with the [^{F105}Safety Authority]. ^{F106}...

Changes to legislation: This version of this Decision was derived from EUR-Lex on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on legislation.gov.uk. (See end of Document for details)

Textual Amendments

- F105** Words in Annex Appendix 6 Pt. 1 substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **19(3)(d)(i)(aa)**
- F106** Words in Annex Appendix 6 Pt. 1 omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **19(3)(d)(i)(bb)**

The holder of a VKM must inform the [^{F107}Safety Authority] when he ends the use of a VKM ^{F108}.... A VKM will then be revoked once the keeper has proved that the marking has been changed on all vehicles concerned. It will not be reissued for 10 years, unless it is reissued to the original holder or at his request to another holder.

Textual Amendments

- F107** Words in Annex Appendix 6 Pt. 1 substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **19(3)(d)(ii)(aa)**
- F108** Words in Annex Appendix 6 Pt. 1 omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **19(3)(d)(ii)(bb)**

A VKM can be transferred to another holder, which is the legal successor to the original holder. A VKM stays valid when the VKM's holder changes his name to a name that does not bear resemblance to the VKM.

In case of a change of keeper which entails a change of VKM, the vehicles concerned must be marked with the new VKM within three months from the date of registration of the change of keeper in the National Vehicle Register. In case of inconsistency between the VKM marked on the vehicle and the data registered in the NVR, the NVR-registration supersedes.

PART 2 — U.K.

Not used

PART 3 — U.K.

RULES FOR THE DETERMINATION OF THE CHECK-DIGIT (DIGIT 12)

The check-digit is determined in the following manner:

- the digits in the even positions of the basic number (counting from the right) are taken at their own decimal value;
- the digits in the odd positions of the basic number (counting from the right) are multiplied by 2;
- the sum formed by the digits in even position and by all the digits which constitute the partial products obtained from the odd positions is then established;
- the unit's digit of this sum is retained;

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- the complement required to bring the unit's digit to 10 forms the check-digit; should this units digit be nought, then the check-digit will also be nought.

Examples :

1 —

Let the basic number be	3	3	8	4	4	7	9	6	1	0	0
Multiplication factor	1	2	1	2	1	2	1	2	1	2	2
	6	3	16	4	8	7	18	6	2	0	0

Sum: $6 + 3 + 1 + 6 + 4 + 8 + 7 + 1 + 8 + 6 + 2 + 0 + 0 = 52$

The unit's digit of this sum is 2.

The check-digit number will therefore be 8 and the basic number thus becomes the registration number 33 84 4796 100 – 8.

2 —

Let the basic number be	3	1	5	1	3	3	2	0	1	9	8
Multiplication factor	1	2	1	2	1	2	1	2	1	2	2
	6	1	10	1	6	3	4	0	2	9	16

Sum: $6 + 1 + 1 + 0 + 1 + 6 + 3 + 4 + 0 + 2 + 9 + 1 + 6 = 40$

The unit's digit of this sum is 0.

The check-digit number will therefore be 0 and the basic number thus becomes the registration number 31 51 3320 198 – 0.

[^{F24}PART 4 — U.K.]

CODING OF THE COUNTRIES IN WHICH THE VEHICLES ARE REGISTERED (DIGITS 3-4 AND ABBREVIATION)

The Information relating to third countries is given for information purposes only.

Countries	Alphabetical country code (¹)	Numerical country code		Countries	Alphabetical country code (¹)	Numerical country code
Albania	AL	41		Lithuania	LT	24
Algeria	DZ	92		Luxembourg	L	82
Armenia	AM	58		Former Yugoslav	MK	65

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				Republic of Macedonia		
Austria	A	81		Malta	M	
Azerbaijan	AZ	57		Moldova	MD (¹)	23
Belarus	BY	21		Monaco	MC	
Belgium	B	88		Mongolia	MGL	31
Bosnia-Herzegovina	BIH	50 and 44 (²)		Montenegro	MNE	62
Bulgaria	BG	52		Morocco	MA	93
China	RC	33		Netherlands	NL	84
Croatia	HR	78		North Korea	PRK (¹)	30
Cuba	CU (¹)	40		Norway	N	76
Cyprus	CY			Poland	PL	51
Czech Republic	CZ	54		Portugal	P	94
Denmark	DK	86		Romania	RO	53
Egypt	ET	90		Russia	RUS	20
Estonia	EST	26		Serbia	SRB	72
Finland	FIN	10		Slovakia	SK	56
France	F	87		Slovenia	SLO	79
Georgia	GE	28		South Korea	ROK	61
Germany	D	80		Spain	E	71
Greece	GR	73		Sweden	S	74
Hungary	H	55		Switzerland	CH	85
Iran	IR	96		Syria	SYR	97
Iraq	IRQ (¹)	99		Tajikistan	TJ	66
Ireland	IRL	60		Tunisia	TN	91
Israel	IL	95		Turkey	TR	75
Italy	I	83		Turkmenistan	TM	67
Japan	J	42		Ukraine	UA	22
Kazakhstan	KZ	27		United Kingdom	GB	70
Kyrgyzstan	KS	59		Uzbekistan	UZ	29
Latvia	LV	25		Vietnam	VN (¹)	32

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Lebanon	RL	98		(¹)	According to the alphabetical coding system described in Appendix 4 to the 1949 convention and Article 45(4) of the 1968 convention on road traffic.
				(²)	Bosnia-Herzegovina uses 2 specific railway codes. A numerical country code 49 is reserved.]

PART 5 U.K.

Not used

PART 6 — U.K.

INTEROPERABILITY CODES USED FOR WAGONS (DIGITS 1-2)

	2nd digit	1st digit	0	1	2	3	4	5	6	7	8	9	2nd digit	1st digit
			Track Gauge variable	fixed	fixed	variable	fixed	variable	fixed	variable	fixed	variable	Track Gauge variable	
Wagons conform to [F109 WAG NTSN] including section 7.1.2 and all conditions set out in Appendix C	1	with bogies	Not to be used	wagons	not to be used ^b							PPV/PPW wagons (variable gauge)	with 0 axles	
	2	with bogies		wagons								PPV/PPW wagons (fixed gauge)	with 2 axles	
	3	with bogies									wagons	with 3 bogies		
Other wagons	4	with axles ^a	maintained related wagons	Other wagons							Wagons with special ^a	with 4 axles		

^a Fixed or variable gauge.

^b Except for wagons in category I (temperature-controlled wagons), not to be used for new vehicles authorised placed in service.

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	8	with bogies ^a										number for technical characteristics F110 ...		8	with bogies
	2nd digit	1st digit	0	1	2	3	4	5	6	7	8	9		2nd digit	1st digit

a Fixed or variable gauge.

b Except for wagons in category I (temperature-controlled wagons), not to be used for new vehicles authorised placed in service.

Textual Amendments

F109 Words in Annex Appendix 6 Pt. 6 substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **19(4)(a)**

F110 Words in Annex Appendix 6 Pt. 6 omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **19(4)(b)**

PART 7 — **U.K.**

INTERNATIONAL TRAFFIC ABILITY CODES USED FOR HAULED PASSENGER VEHICLES (DIGITS 1-2)

	Domestic traffic	TEN ^a and/or COTIF ^b and/or PPV/PPW				Domestic or international traffic by special agreement	TEN ^a and/or COTIF ^b	PPV/PPW		
2nd digit	0	1	2	3	4	5	6	7	8	9
5	Vehicles for	Fixed-gauge non-	Gauge-adjustable (1435/1520)	Not to be used	Gauge-adjustable (1435/1668)	Historical vehicles	Not to be used ^c	Fixed-gauge vehicles	Gauge-adjustable (1435/1520)	Gauge-adjustable (1435/1520)

a Compliance with the applicable TSIs, see appendix P, part 5.

b Including vehicles, which according to existing regulations carry the digits defined in the present table. COTIF: vehicle compliant with COTIF regulation in force at the moment of placing in service

c Excepted for coaches with fixed gauge (56) and adjustable gauge (66) already in service, not to be used for new vehicles

Changes to legislation: This version of this Decision was derived from EUR-Lex on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on legislation.gov.uk. (See end of Document for details)

	domestic traffic	air-conditioned vehicles (including car-carrying wagons)	non-air-conditioned vehicles		non-air-conditioned vehicles				vehicles with change of bogies	vehicles with gauge-adjustable axles
6	Service vehicles	Fixed-gauge air-conditioned vehicles	Gauge-adjustable vehicles (1435/1520)	Service vehicles	Gauge-adjustable air-conditioned vehicles (1435/1668)	Car-carrying wagons	Not to be used ^c			
7	Air-conditioned and pressure-tight vehicles	Not to be used	Not to be used	Pressure-tight fixed-gauge air-conditioned vehicles	Not to be used	Other vehicles	Not to be used	Not to be used	Not to be used	Not to be used

a Compliance with the applicable TSIs, see appendix P, part 5.

b Including vehicles, which according to existing regulations carry the digits defined in the present table. COTIF: vehicle compliant with COTIF regulation in force at the moment of placing in service

c Excepted for coaches with fixed gauge (56) and adjustable gauge (66) already in service, not to be used for new vehicles

PART 8 — U.K.

TYPES OF TRACTIVE ROLLING STOCK AND UNITS IN A TRAIN SET IN FIXED OR PRE-DEFINED FORMATION (DIGITS 1-2)

The first digit is '9'.

If the second digit describes the type of tractive stock, following coding is mandatory:

Code	General vehicle type
0	Miscellaneous
1	Electric locomotive
2	Diesel locomotive
3	Electric multiple-unit set (high speed) [power car or trailer]
4	Electric multiple-unit set (except high speed) [power car or trailer]
5	Diesel multiple-unit set [power car or trailer]
6	Specialised trailer,
7	Electric shunting engine
8	Diesel shunting engine

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9	Special vehicle
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PART 9 — U.K.

STANDARD NUMERICAL MARKING OF WAGONS (DIGITS 5 TO 8)

Part 9 indicates the numerical marking associated to the main technical characteristics of the wagon and it is published on the [^{F111}website of the European Union Agency for Railways] (www.era.europa.eu).

Textual Amendments

F111 Words in Annex Appendix 6 Pts. 9-13 substituted (31.12.2020) by [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **19(5)(a)**

An application for a new code is filed with the registering entity ^{F112}....

Textual Amendments

F112 Words in Annex Appendix 6 Pts. 9-13 omitted (31.12.2020) by virtue of [The Railways \(Interoperability\) \(Miscellaneous Amendments and Revocations\) \(EU Exit\) Regulations 2020 \(S.I. 2020/318\)](#), regs. 1(2)(c), **19(5)(b)**

PART 10 — U.K.

CODES FOR THE TECHNICAL CHARACTERISTICS OF THE HAULED PASSENGER STOCK (DIGITS 5-6)

Part 10 is published on the [^{F111}website of the European Union Agency for Railways] (www.era.europa.eu).

An application for a new code is filed with the registering entity ^{F112}....

PART 11 — U.K.

CODES FOR THE TECHNICAL CHARACTERISTICS OF THE SPECIAL VEHICLES (DIGIT 6 TO 8)

Part 11 is published on the [^{F111}website of the European Union Agency for Railways] (www.era.europa.eu).

An application for a new code is filed with the registering entity ^{F112}....

Changes to legislation: This version of this Decision was derived from EUR-Lex on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on legislation.gov.uk. (See end of Document for details)

PART 12 — U.K.

**LETTER MARKING FOR WAGONS EXCLUDING
ARTICULATED AND MULTIPLE WAGONS**

Part 12 is published on the [F111]website of the European Union Agency for Railways] (www.era.europa.eu).

An application for a new code is filed with the registering entity F112

PART 13 — U.K.

LETTER MARKING FOR HAULED PASSENGER STOCK

Part 13 is published on the [F111]website of the European Union Agency for Railways] (www.era.europa.eu).

An application for a new code is filed with the registering entity F112]]

Changes to legislation: This version of this Decision was derived from EUR-Lex on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on legislation.gov.uk. (See end of Document for details)

- (1) OJ L 235, 17.9.1996, p. 6. Directive as last amended by Commission Directive 2007/32/EC (OJ L 141, 2.6.2007, p. 63).
- (2) OJ L 110, 20.4.2001, p. 1. Directive as last amended by Commission Directive 2007/32/EC).
- (3) OJ L 164, 30.4.2004, p. 1, as corrected by OJ L 220, 21.6.2004, p. 3.
- (4) ^{F9}^{F97}For special vehicles, the number has to be unique in a given country with the first digit and the 5 last digits of the technical characteristics and serial number.]]
- (5) ^{F9}^{F97}^{F24}For NMBS/SNCB, the use of an encircled single letter B can be continued.]]]

Textual Amendments

- F9** Substituted by Commission Decision of 10 February 2011 amending Decision 2007/756/EC adopting a common specification of the national vehicle register (notified under document C(2011) 665) (Text with EEA relevance) (2011/107/EU).
- F24** Substituted by Commission Implementing Decision (EU) 2018/1614 of 25 October 2018 laying down specifications for the vehicle registers referred to in Article 47 of Directive (EU) 2016/797 of the European Parliament and of the Council and amending and repealing Commission Decision 2007/756/EC (Text with EEA relevance).
- F97** Inserted by Commission Decision of 14 November 2012 concerning the technical specification for interoperability relating to the ‘operation and traffic management’ subsystem of the rail system in the European Union and amending Decision 2007/756/EC (notified under document C(2012) 8075) (Text with EEA relevance) (2012/757/EU).

Changes to legislation:

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