

Directive 2014/33/EU of the European Parliament and of the Council of 26 February 2014 on the harmonisation of the laws of the Member States relating to lifts and safety components for lifts (recast) (Text with EEA relevance)

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## ANNEX I

### ESSENTIAL HEALTH AND SAFETY REQUIREMENTS

#### PRELIMINARY REMARKS

1. Obligations under essential health and safety requirements apply only where...
2. The essential health and safety requirements contained in the Directive...
3. The manufacturer and the installer are under an obligation to...
1. General

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- 1.1. Application of Directive 2006/42/EC
- 1.2. Carrier
- 1.3. Means of suspension and means of support
- 1.4. Control of loading (including overspeed)
  - 1.4.1. Lifts must be so designed, constructed and installed as to...
  - 1.4.2. Lifts must be equipped with an overspeed governor.
  - 1.4.3. Fast lifts must be equipped with a speed-monitoring and speed-limiting...
  - 1.4.4. Lifts driven by friction pulleys must be designed so as...
- 1.5. Machinery
  - 1.5.1. All passenger lifts must have their own individual lift machinery....
  - 1.5.2. The installer must ensure that the lift machinery and the...
- 1.6. Controls
  - 1.6.1. The controls of lifts intended for use by unaccompanied disabled...
  - 1.6.2. The function of the controls must be clearly indicated.
  - 1.6.3. The call circuits of a group of lifts may be...
  - 1.6.4. Electrical equipment must be so installed and connected that:
2. Risks for persons outside the car
  - 2.1. The lift must be designed and constructed to ensure that...
  - 2.2. The lift must be designed and constructed to prevent the...
  - 2.3. The landings at the entrance and exit of the car...
3. Risks for persons in the car
  - 3.1. Lift cars must be completely enclosed by full-length walls, fitted...
  - 3.2. In the event of a power cut or failure of...
  - 3.3. Buffers must be installed between the bottom of the shaft...
  - 3.4. Lifts must be so designed and constructed as to make...
4. Other risks
  - 4.1. The landing doors and car doors or the two doors...
  - 4.2. Landing doors, where they have to contribute to the protection...
  - 4.3. Counterweights must be so installed as to avoid any risk...
  - 4.4. Lifts must be equipped with means enabling people trapped in...
  - 4.5. Cars must be fitted with two-way means of communication allowing...
  - 4.6. Lifts must be so designed and constructed that, in the...
  - 4.7. Cars must be designed and constructed to ensure sufficient ventilation...
  - 4.8. The car should be adequately lit whenever in use or...
  - 4.9. The means of communication referred to in point 4.5 and...
  - 4.10. The control circuits of lifts which may be used in...
5. Marking
  - 5.1. In addition to the minimum particulars required for any machine...
  - 5.2. If the lift is designed to allow people trapped in...
6. Instructions
  - 6.1. The safety components for lifts referred to in Annex III...
  - 6.2. Each lift must be accompanied by instructions. The instructions shall...

## ANNEX II

- A. CONTENT OF THE EU DECLARATION OF CONFORMITY FOR SAFETY COMPONENTS...

## B. CONTENT OF THE EU DECLARATION OF CONFORMITY FOR LIFTS

## ANNEX III

## LIST OF SAFETY COMPONENTS FOR LIFTS

1. Devices for locking landing doors.
2. Devices to prevent falls referred to in point 3.2 of...
3. Overspeed limitation devices.
4. Energy-accumulating buffers: non-linear, or with damping of the return movement....
5. Safety devices fitted to jacks of hydraulic power circuits where...
6. Electric safety devices in the form of safety circuits containing...

## ANNEX IV

## EU-TYPE EXAMINATION FOR LIFTS AND SAFETY COMPONENTS FOR LIFTS

- A. EU-type examination of safety components for lifts
  1. EU-type examination is the part of a conformity assessment procedure...
  2. The application for EU-type examination shall be lodged by the...
  3. The technical documentation shall make it possible to assess whether...
  4. The notified body shall:
  5. Where the type of the safety component for lifts meets...
  6. The notified body shall keep itself apprised of any changes...
  7. The manufacturer shall inform the notified body that holds the...
  8. Each notified body shall inform its notifying authority concerning the...
  9. The Commission, the Member States and the other notified bodies...
  10. The manufacturer shall keep with the technical documentation a copy...
  11. Authorised representative
- B. EU-type examination of lifts
  1. EU-type examination of lifts is the part of a conformity...
  2. The application for EU-type examination shall be lodged by the...
  3. The technical documentation shall make it possible to assess the...
  4. The notified body shall:
  5. The notified body shall draw up an evaluation report that...
  6. Where the type meets the essential health and safety requirements...
  7. The notified body shall keep itself apprised of any changes...
  8. The installer shall inform the notified body of any modifications...
  9. Each notified body shall inform its notifying authority concerning the...
  10. The Commission, the Member States and the other notified bodies...
  11. The installer shall keep with the technical documentation a copy...
  12. Authorised representative

## ANNEX V

### FINAL INSPECTION FOR LIFTS

1. Final inspection is the part of a conformity assessment procedure...
2. Obligations of the installer
3. Final inspection
  - 3.1. The installer shall lodge an application for final inspection with...
  - 3.2. The examinations shall include at least one of the following:...
  - 3.3. The tests of the lift shall include at least the...
4. If the lift satisfies the essential health and safety requirements...
5. CE marking and EU declaration of conformity
  - 5.1. The installer shall affix the CE marking in the car...
  - 5.2. The installer shall draw up a written EU declaration of...
6. The Commission and the Member States may obtain a copy...
7. Authorised representative

## ANNEX VI

### CONFORMITY TO TYPE BASED ON PRODUCT QUALITY ASSURANCE FOR SAFETY COMPONENTS FOR LIFTS

1. Conformity to type based on product quality assurance for safety...
2. Obligations of the manufacturer
3. Quality system
  - 3.1. The manufacturer shall lodge an application for assessment of his...
  - 3.2. Under the quality system, each safety component for lifts shall...
  - 3.3. The notified body shall assess the quality system to determine...
  - 3.4. The manufacturer shall undertake to fulfil the obligations arising from...
  - 3.5. The manufacturer or his authorised representative shall keep the notified...
4. Surveillance under the responsibility of the notified body
  - 4.1. The purpose of surveillance is to make sure that the...
  - 4.2. The manufacturer shall for assessment purposes allow the notified body...
  - 4.3. The notified body shall periodically carry out audits to ensure...
  - 4.4. Additionally, the notified body may pay unexpected visits to the...
5. CE marking and EU declaration of conformity
  - 5.1. The manufacturer shall affix the CE marking, and, under the...
  - 5.2. The manufacturer shall draw up a written EU declaration of...
6. The manufacturer shall for a period ending 10 years after...
7. Each notified body shall inform its notifying authority of quality...
8. Authorised representative

## ANNEX VII

**CONFORMITY BASED ON FULL QUALITY ASSURANCE FOR SAFETY COMPONENTS FOR LIFTS**

1. Conformity based on full quality assurance for safety components for...
2. Obligations of the manufacturer
3. Quality system
  - 3.1. The manufacturer shall lodge an application for assessment of his...
  - 3.2. The quality system shall ensure compliance of the safety components...
  - 3.3. The notified body shall assess the quality system to determine...
  - 3.4. The manufacturer shall undertake to fulfil the obligations arising from...
  - 3.5. The manufacturer shall keep the notified body which has approved...
4. Surveillance under the responsibility of the notified body
  - 4.1. The purpose of surveillance is to make sure that the...
  - 4.2. The manufacturer shall for assessment purposes allow the notified body...
  - 4.3. The notified body shall carry out periodic audits to make...
  - 4.4. Additionally, the notified body may pay unexpected visits to the...
5. CE marking and EU declaration of conformity
  - 5.1. The manufacturer shall affix the CE marking, and, under the...
  - 5.2. The manufacturer shall draw up a written EU declaration of...
6. The manufacturer shall, for a period ending 10 years after...
7. Each notified body shall inform its notifying authority of quality...
8. Authorised representative

## ANNEX VIII

**CONFORMITY BASED ON UNIT VERIFICATION FOR LIFTS**

1. Conformity based on unit verification is the conformity assessment procedure...
2. Obligations of the installer
  - 2.1. The installer shall take all measures necessary so that the...
  - 2.2. The installer shall apply to a single notified body of...
3. The technical documentation shall allow an assessment of the conformity...
4. Verification
5. CE marking and EU declaration of conformity
  - 5.1. The installer shall affix the CE marking in the car...
  - 5.2. The installer shall draw up a written EU declaration of...
6. The installer shall keep with the technical documentation a copy...
7. Authorised representative

## ANNEX IX

**CONFORMITY TO TYPE WITH RANDOM CHECKING FOR SAFETY COMPONENTS FOR LIFTS**

1. Conformity to type with random checking is the part of...
2. Manufacturing
3. The manufacturer shall lodge an application for random checking with...
4. The notified body shall carry out or have carried out...

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5. CE marking and EU declaration of conformity
  - 5.1. The manufacturer shall affix the CE marking, and, under the...
  - 5.2. The manufacturer shall draw up a written EU declaration of...
6. Authorised representative

## ANNEX X

### CONFORMITY TO TYPE BASED ON PRODUCT QUALITY ASSURANCE FOR LIFTS

1. Conformity to type based on product quality assurance is the...
2. Obligations of the installer
3. Quality system
  - 3.1. The installer shall lodge an application for assessment of his...
  - 3.2. Under the quality system, each lift shall be examined and...
  - 3.3. The notified body shall assess the quality system to determine...
  - 3.4. The installer shall undertake to fulfil the obligations arising from...
    - 3.4.1. The installer shall keep the notified body which has approved...
    - 3.4.2. The notified body shall assess the modifications proposed and decide...
4. Surveillance under the responsibility of the notified body
  - 4.1. The purpose of surveillance is to make sure that the...
  - 4.2. The installer shall, for assessment purposes, allow the notified body...
  - 4.3. The notified body shall periodically carry out audits to ensure...
  - 4.4. Additionally, the notified body may pay unexpected visits to the...
5. The installer shall, for 10 years after the last lift...
6. Each notified body shall inform its notifying authority of quality...
7. CE marking and EU declaration of conformity
  - 7.1. The installer shall affix the CE marking in the car...
  - 7.2. The installer shall draw up a written EU declaration of...
8. Authorised representative

## ANNEX XI

### CONFORMITY BASED ON FULL QUALITY ASSURANCE PLUS DESIGN EXAMINATION FOR LIFTS

1. Conformity based on full quality assurance plus design examination for...
2. Obligations of the installer
3. Quality system
  - 3.1. The installer shall lodge an application for assessment of his...
  - 3.2. The quality system shall ensure compliance of the lifts with...
  - 3.3. Design examination
    - 3.3.1. When the design is not entirely in accordance with harmonised...
    - 3.3.2. Where the design does not satisfy the applicable essential health...
    - 3.3.3. The installer shall keep the notified body that has issued...
    - 3.3.4. Each notified body shall inform its notifying authority of the...
    - 3.3.5. The installer shall keep a copy of the EU design...
  - 3.4. Assessment of the quality system
  - 3.5. The installer shall undertake to fulfil the obligations arising from...
4. Surveillance under the responsibility of the notified body
  - 4.1. The purpose of surveillance is to make sure that the...

- 4.2. The installer shall, for assessment purposes, allow the notified body...
- 4.3. The notified body shall carry out periodic audits to make...
- 4.4. Additionally, the notified body may pay unexpected visits to the...
5. The installer shall, keep at the disposal of the national...
6. Each notified body shall inform its notifying authority of full...
7. CE marking and EU declaration of conformity
  - 7.1. The installer shall affix the CE marking in the car...
  - 7.2. The installer shall draw up a written EU declaration of...
8. Authorised representative

## ANNEX XII

CONFORMITY TO TYPE BASED ON PRODUCTION QUALITY ASSURANCE FOR  
LIFTS

1. Conformity to type based on production quality assurance for lifts...
2. Obligations of the installer
3. Quality system
  - 3.1. The installer shall lodge an application for assessment of his...
  - 3.2. The quality system shall ensure compliance of the lifts with...
  - 3.3. The notified body shall assess the quality system to determine...
  - 3.4. The installer shall undertake to fulfil the obligations arising from...
    - 3.4.1. The installer shall keep the notified body that has approved...
    - 3.4.2. The notified body shall assess the modifications proposed and decide...
4. Surveillance under the responsibility of the notified body
  - 4.1. The purpose of surveillance is to make sure that the...
  - 4.2. The installer shall, for assessment purposes, allow the notified body...
  - 4.3. The notified body shall carry out periodic audits to make...
  - 4.4. Additionally, the notified body may pay unexpected visits to the...
5. The installer shall, keep at the disposal of the national...
6. Each notified body shall inform its notifying authority of quality...
7. CE marking and EU declaration of conformity
  - 7.1. The installer shall affix the CE marking in the car...
  - 7.2. The installer shall draw up a written EU declaration of...
8. Authorised representative

## ANNEX XIII

## PART A

## PART B

## ANNEX XIV

Signature



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- (1) [OJ C 181, 21.6.2012, p. 105.](#)
- (2) Position of the European Parliament of 5 February 2014 (not yet published in the Official Journal) and decision of the Council of 20 February 2014.
- (3) [OJ L 213, 7.9.1995, p. 1.](#)
- (4) See Annex XIII, Part A.
- (5) [OJ L 218, 13.8.2008, p. 30.](#)
- (6) [OJ L 218, 13.8.2008, p. 82.](#)
- (7) [OJ L 134, 20.6.1995, p. 37.](#)
- (8) [OJ L 316, 14.11.2012, p. 12.](#)
- (9) Approved by Council Decision 2010/48/EC of 26 November 2009 concerning the conclusion, by the European Community, of the United Nations Convention on the Rights of Persons with Disabilities ([OJ L 23, 27.1.2010, p. 35](#)).
- (10) [OJ L 55, 28.2.2011, p. 13.](#)