

Changes to legislation: This version of this Regulation was derived from EUR-Lex on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on legislation.gov.uk. (See end of Document for details)

ANNEX IV

LIST OF WASTES SUBJECT TO THE PROCEDURE OF PRIOR WRITTEN NOTIFICATION AND CONSENT ('AMBER' LISTED WASTE)^{(1)F1}

Textual Amendments

- F1** Words in Annex 4 title footnote substituted (1.1.2021) by [The International Waste Shipments \(Amendment of Regulation \(EC\) No 1013/2006\) Regulations 2020 \(S.I. 2020/1455\)](#), regs. 2, 7(2)

Part I

The following wastes will be subject to the procedure of prior written notification and consent:

Wastes listed in Annexes II and VIII to the Basel Convention⁽²⁾.

For the purposes of this Regulation:

- (a) Any reference to list B in Annex VIII to the Basel Convention shall be understood as a reference to Annex III to this Regulation.
- (b) In Basel entry A1010, the term 'excluding such wastes specifically listed on List B (Annex IX)' is a reference both to Basel entry B1020 and the note on B1020 in Annex III to this Regulation, Part I(b).
- (c) Basel entries A1180 and A2060 do not apply and OECD entries GC010, GC020 and GG040 in Annex III, Part II apply instead when appropriate.
- (d) Basel entry A4050 includes spent potlinings from aluminium smelting because they contain Y33 inorganic cyanides. If the cyanides have been destroyed, spent potlinings are assigned to Part II entry AB120 because they contain Y32, inorganic fluorine compounds excluding calcium fluoride.
- (e) [^{F2}Basel entry A3210 does not apply and entry AC300 in Part II applies instead when appropriate.]

Textual Amendments

- F2** Annex 4 Pt. 1 point (e) inserted (1.1.2021) by [The International Waste Shipments \(Amendment of Regulation \(EC\) No 1013/2006\) Regulations 2020 \(S.I. 2020/1455\)](#), regs. 2, 7(3)

Part II

The following wastes will also be subject to the procedure of prior written notification and consent:

Metal bearing wastes

Changes to legislation: This version of this Regulation was derived from EUR-Lex on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on legislation.gov.uk. (See end of Document for details)

[^{F3} AA010	261900	Dross, scalings and other wastes from the iron and steel industry] ^a
AA060	262050	Vanadium ashes and residues ^a
AA190	810420ex 810430	Magnesium waste and scrap that is flammable, pyrophoric or emits, upon contact with water, flammable gases in dangerous quantities

a This listing includes wastes in the form of ash, residue, slag, dross, skimming, scaling, dust, powder, sludge and cake, unless a material is expressly listed elsewhere.

Textual Amendments

F3 Substituted by [Commission Regulation \(EU\) No 413/2010 of 12 May 2010 amending Annexes III, IV and V to Regulation \(EC\) No 1013/2006 of the European Parliament and of the Council on shipments of waste so as to take account of changes adopted by OECD Council Decision C\(2008\) 156 \(Text with EEA relevance\)](#).

Wastes containing principally inorganic constituents, which may contain metals and organic materials

AB030		Wastes from non-cyanide based systems which arise from surface treatment of metals
AB070		Sands used in foundry operations
AB120	ex 281290ex 3824	Inorganic halide compounds, not elsewhere specified or included
AB130		Used blasting grit
AB150	ex 382490	Unrefined calcium sulphite and calcium sulphate from flue gas desulphurisation (FGD)

Wastes containing principally organic constituents, which may contain metals and inorganic materials

AC060	ex 381900	Hydraulic fluids
AC070	ex 381900	Brake fluids
AC080	ex 382000	Antifreeze fluids
AC150		Chlorofluorocarbons
AC160		Halons

Changes to legislation: This version of this Regulation was derived from EUR-Lex on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on legislation.gov.uk. (See end of Document for details)

AC170	ex 440310	Treated cork and wood wastes
AC250		Surface active agents (surfactants)
AC260	ex 3101	Liquid pig manure; faeces
AC270		Sewage sludge
[^{F4} AC300		Plastic waste, including mixtures of such wastes, containing or contaminated with OECD Decision, Appendix 1 constituents to an extent that it exhibits an OECD Decision, Appendix 2 characteristic]

Textual Amendments

- F4** Annex 4 Pt. 2 Table entry inserted (1.1.2021) by [The International Waste Shipments \(Amendment of Regulation \(EC\) No 1013/2006\) Regulations 2020 \(S.I. 2020/1455\)](#), regs. 2, **7(5)**

Wastes which may contain either inorganic or organic constituents

AD090	ex 382490	Wastes from production, formulation and use of reprographic and photographic chemicals and materials not elsewhere specified or included
AD100		Wastes from non-cyanide based systems which arise from surface treatment of plastics
AD120	ex 391400ex 3915	Ion exchange resins
AD150		Naturally occurring organic material used as a filter medium (such as bio-filters)

Wastes containing principally inorganic constituents, which may contain metals and organic materials

RB020	ex 6815	Ceramic based fibres of physico-chemical characteristics similar to those of asbestos
[^{F5} Y48		Plastic waste, including mixtures of such waste,

Changes to legislation: This version of this Regulation was derived from EUR-Lex on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on legislation.gov.uk. (See end of Document for details)

with the exception of the following:

- Plastic waste classified under (OECD) entry AC300 in Annex IV, Part 2
- Plastic waste listed below, provided it is destined for recycling/reclamation in an environmentally sound manner and almost free from contamination and other types of wastes:
 - Plastic waste almost exclusively consisting of one nonhalogenated polymer, including but not limited to the following polymers:
 - o Polyethylene (PE)
 - o Polypropylene (PP)
 - o Polystyrene (PS)
 - o Acrylonitrile butadiene styrene (ABS)
 - o Polyethylene terephthalate (PET)
 - o Polycarbonates (PC)
 - o Polyethers
 - Plastic waste almost exclusively consisting of one cured resin or condensation product including, but not limited to the following resins:
 - o Urea formaldehyde resins
 - o Phenol formaldehyde resins
 - o Melamine formaldehyde resins
 - o Epoxy resins
 - o Alkyd resins
 - Plastic waste, except post-consumer waste, almost exclusively consisting of one of the following fluorinated polymers:
 - o Perfluoroethylene/propylene (FEP)
 - o Perfluoro alkoxy alkane
 - o Tetrafluoroethylene/perfluoro vinyl ether (PFA)
 - o Tetrafluoroethylene/perfluoro methylvinyl ether (MFA)
 - o Polyvinyl fluoride (PVF)

Changes to legislation: This version of this Regulation was derived from EUR-Lex on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on legislation.gov.uk. (See end of Document for details)

	<p>o Polyvinylidene fluoride (PVDF)</p> <p>—Mixtures of plastic wastes, consisting of polyethylene (PE), polypropylene (PP) or polyethylene terephthalate (PET), provided they are destined for separate recycling/reclamation of each material and in an environmentally sound manner, and almost free from contamination and other types of wastes</p> <p>In this entry, “recycling/reclamation” means either of the following, as evidenced by contractual or relevant official documentation—</p> <p>(a) the recycling/reclamation of organic substances which are not used as solvents, or</p> <p>(b) if needed, temporary storage limited to one operation, provided that it is followed by the recycling/reclamation of organic substances which are not used as solvents]</p>
--	---

Textual Amendments

F5 Annex 4 Pt. 2 Table entry inserted (1.1.2021) by [The International Waste Shipments \(Amendment of Regulation \(EC\) No 1013/2006\) Regulations 2020 \(S.I. 2020/1455\)](#), regs. 2, **7(6)**

Changes to legislation: This version of this Regulation was derived from EUR-Lex on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on legislation.gov.uk. (See end of Document for details)

- (1) This list ^{F1}is no longer the same as the list in] the OECD Decision, Appendix 4.
- (2) Annex VIII to the Basel Convention is listed in this Regulation in Annex V, Part 1, List A. Annex II to the Basel Convention contains the following entries: Y46 Waste collected from households unless appropriately classified under a single entry in Annex III. Y47 Residues arising from the incineration of household wastes.

Textual Amendments

- F1** Words in Annex 4 title footnote substituted (1.1.2021) by [The International Waste Shipments \(Amendment of Regulation \(EC\) No 1013/2006\) Regulations 2020 \(S.I. 2020/1455\)](#), regs. 2, 7(2)

Changes to legislation:

This version of this Regulation was derived from [EUR-Lex](#) on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on [legislation.gov.uk](#).