Commission Regulation (EC) No 1010/2009 of 22 October 2009 laying down detailed rules for the implementation of Council Regulation (EC) No 1005/2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing

COMMISSION REGULATION (EC) No 1010/2009

of 22 October 2009

laying down detailed rules for the implementation of Council Regulation (EC) No 1005/2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing

THE COMMISSION OF THE EUROPEAN COMMUNITIES,

Having regard to the Treaty establishing the European Community,

Having regard to Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing⁽¹⁾, and in particular Articles 6(3), 8(3), 9(1), 12(4), 12(5), 13(1), 16(1), 16(3), 17(3), 20(4), 49(1), 52 thereof,

After consulting the European Data Protection Supervisor,

Whereas:

- (1) Regulation (EC) No 1005/2008 provides for the adoption of detailed rules and measures to implement the provisions it sets out.
- (2) In accordance with Articles 6(3) and 16(1) of Regulation (EC) No 1005/2008 the period of three working days set out for the prior notification of landings or transhipments in port and for the submission of catch certificates before the estimated time of arrival of fishery products at the place of entry into the territory of the Community may be modified in the light of certain factors. These factors include: the type of fishery product; the distance between the fishing ground, landing places and ports where the vessels in question are registered or listed; the distance to the place of entry into the territory of the Community; the transport means used. Fresh fishery products and consignments arriving by air, road or rail require a shorter period than three working days.
- (3) Consistency should be ensured in documents transmitted in relation to prior notification of landings and transhipments, landing and transhipment declarations and sighting reports. For that reason formats for these must be set out in accordance with Articles 6(1), 8(3) and 49(1) of Regulation (EC) No 1005/2008.
- (4) Article 9(1) and Article 17(3) of Regulation (EC) No 1005/2008 provide that Member States shall carry out port inspections of at least 5 % of landing and transhipment operations by third country fishing vessels as well as verifications deemed necessary to ensure that the provisions of the Regulation are correctly applied, in accordance with benchmarks determined on the basis of risk management and on the basis of

national or Community risk management criteria. It is appropriate to lay down common risk management criteria for checking, inspection and verification activities in order to allow timely risk analyses and global assessments of relevant control information. The common criteria aim at ensuring a harmonised approach to inspection and verification in all Member States and to establish a level playing field for all operators.

- (5) Article 52 of Regulation (EC) No 1005/2008 provides that measures necessary for implementing the provisions of that Regulation are to be adopted in accordance with the Committee procedure. Given the fact that the Community should take account of possible capacity constraints for the proper implementation of the certification scheme, it is deemed necessary to adapt the scheme for some fishery products obtained by small fishing vessels, introducing the possibility of a simplified catch certificate. In the absence of a general definition of small scale fisheries certain specific criteria should be laid down under which the validation of a simplified catch certificate may be requested by the exporter. These criteria should take account in the first instance of the limited capacity of the fishing vessels concerned, in relation to which the obligation to apply the standard catch certification scheme would constitute a disproportionate burden.
- (6) Article 13(1) of Regulation (EC) No 1005/2008 provides for the recognition of catch documentation schemes agreed and in force in the framework of regional fisheries management organisations (hereinafter referred to as RFMO) in so far as they comply with the requirements of the Regulation. Some of these schemes can be recognised as complying with the requirements of Regulation (EC) No 1005/2008, while others are subject to additional conditions.
- (7) Economic operators who fulfil the conditions for obtaining the status of approved economic operator should be able to benefit from a simplified procedure when importing fishery products into the territory of the Community. It is necessary to establish common conditions in all Member States for the granting, amendment or withdrawal of approved economic operators' certificates, or for suspension or revocation of the status of approved economic operator, and rules on the application for and issuing of approved economic operators' certificates.
- (8) Article 12(4) of Regulation (EC) No 1005/2008 provides for an administrative cooperation between the Commission and third countries in areas pertaining to the implementation of the catch certification provisions. Within the framework of Article 20(4) of Regulation (EC) No 1005/2008, the catch certificate may be established, validated or submitted by electronic means or may be replaced by electronic traceability systems ensuring the same level of control by authorities, in agreement with flag States. These administrative arrangements with flag States shall be regularly updated and Member States and the public shall be informed in due time.
- (9) According to Article 51(2) of Regulation (EC) No 1005/2008 a system shall be established for mutual assistance between the Member States, with third countries and the Commission. Such administrative cooperation is essential to ensure that the Community catch certification scheme can be applied properly and that IUU fishing is properly investigated and sanctioned. Rules should therefore be drawn up for a systematic exchange of information either on request or spontaneously, and for the

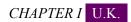
possibility to request enforcement measures and administrative notification by another Member State. Practical procedures should be laid down for exchanging information and requesting assistance. However, these provisions are not such as to affect the application in the Member States of rules on judicial cooperation in criminal cases.

- (10) The protection of individuals with regard to the processing of personal data by the Member States is governed by Directive 95/46/EC of the European Parliament and of the Council of 24 October 1995 on the protection of individuals with regard to the processing of personal data and on the free movement of such data⁽²⁾. The protection of individuals with regard to the processing of personal data by the Commission is governed by Regulation (EC) No 45/2001 of the European Parliament and of the Council of 18 December 2000 on the protection of individuals with regard to the processing of personal data by the Community institutions and bodies and on the free movement of such data⁽³⁾, in particular as regards to the requirements of confidentiality and security of processing, the transfer of personal data from the national systems of Member States to the Commission, the lawfulness of processing, and the rights of data subjects to information, access to and rectification of their personal data.
- (11) Annex I of Regulation (EC) No 1005/2008, which lists the products excluded from the definition of 'fishery products', may be reviewed annually in accordance with Article 12(5), on the basis of information gathered under Chapters II, III, IV, V, VIII, X and XII. Hence, on the basis of the information gathered under the cooperation provided for in Article 20(4), Annex I shall be amended accordingly.
- (12) The measures provided for in this Regulation are in accordance with the opinion of the Management Committee for Fisheries and Aquaculture,

HAS ADOPTED THIS REGULATION:

TITLE I U.K.

INSPECTIONS OF THIRD COUNTRY FISHING VESSELS IN MEMBER STATES PORTS



Conditions for access to port by third country fishing vessels



Prior notification

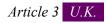
By way of derogation from Article 6(1) of Regulation (EC) No 1005/2008, vessels landing the types of fishery products set out in Annex I to this Regulation shall be subject to a prior notification period of 4 hours.



Prior notification form

1 The form for prior notification referred to in Article 6(1) of Regulation (EC) No 1005/2008 is set out in Annex IIA to this Regulation.

2 Where all catches are accompanied by a validated catch certificate, the simplified prior notification form set out in Annex IIB may be used.



Procedures and forms for pre-landing and pre-transhipment declarations

1 The form of the pre-landing declaration referred to in Article 8(1) of Regulation (EC) No 1005/2008 shall be as set out in Annex IIIA to this Regulation.

2 The form of the pre-transhipment declaration referred to in Article 8(1) of Regulation (EC) No 1005/2008 shall be as set out in Annex IIIB to this Regulation.

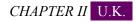
3 A third country fishing vessel may submit the pre-landing or pre-transhipment declaration in electronic format if the Member State whose designated ports of landing and transhipment facilities it intends to use and the flag State of the vessel have agreed to electronic exchange of data.

4 Unless otherwise provided for in the agreement referred to in paragraph 3, a third country fishing vessel shall submit the pre-landing or pre-transhipment declaration either in:

a the official language of the Member State of landing or transhipment; or

b English if accepted by the Member State of landing or transhipment.

5 The pre-landing or pre-transhipment declaration shall be submitted at least 4 hours before the intended landing or transhipment.



Port inspections

Article 4 U.K.

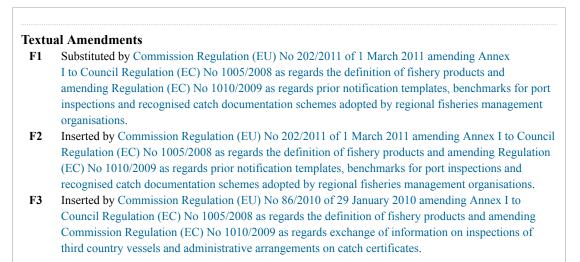
Benchmarks for port inspections

The benchmarks for port inspections as referred to in Article 9(1) of Regulation (EC) No 1005/2008 shall consist of the following criteria:

- (a) the species concerned are subject to a management or recovery plan;
- (b) the fishing vessel is suspected of not implementing applicable provisions on VMS according to Chapter IV of Commission Regulation (EC) No 2244/2003 of 18 December 2003 laying down detailed provisions regarding satellite-based Vessel Monitoring Systems⁽⁴⁾;

- (c) the fishing vessel has not been controlled at port in the port Member State in the last 3 months;
- (d) the fishing vessel has not been controlled by the port Member State in the last 6 months;
- (e) the fishing vessel is not on the list of establishments from which imports of specified products of animal origin are permitted, as set out in Article 12 of Regulation (EC) No 854/2004 of the European Parliament and of the Council⁽⁵⁾;
- (f) importation, exportation or trade in fishery products obtained from species of high commercial value;
- (g) introduction of new kinds of fishery products or discovery of new trade patterns;
- (h) inconsistencies between the trade patterns and the known fishing activities of a flag State in particular in respect of species, volumes or characteristics of its fishing fleet;
- (i) inconsistencies between the trade patterns and the known fishing-related activities of a third country in particular in respect of the characteristics of its processing industry or its trade in fishery products;
- (j) trade pattern not justified in terms of economic criteria;
- (k) involvement of a newly established operator;
- (l) significant and sudden increase in trade volume for a certain species;
- submission of copies of catch certificates accompanying processing statements according to Annex IV of Regulation (EC) No 1005/2008, for instance when the catch has been split during production;
- (n) prior notification, required under Article 6 of Regulation (EC) No 1005/2008, not transmitted at the proper time or information incomplete;
- (o) inconsistencies between catch data declared by the operator and other information available to the competent authority;
- (p) vessel or vessel owner suspected of being or having been involved in IUU fishing activities;
- (q) vessel having recently changed name, flag or registration number;
- (r) flag State not notified according to Article 20 of Regulation (EC) No 1005/2008 or information available on possible irregularities in the validation of catch certificates by a given flag State (e.g. stamps or validation seal from a competent authority lost, stolen or forged);
- (s) presumed deficiencies in the control system of a flag State;
- (t) operators concerned who have already been involved in illegal activities constituting a potential risk in respect of IUU fishing[^{F1};]
- (u) [^{F2}the fishing vessel has been denied entry or use of port in accordance with the Agreement on Port State Measures to Prevent, Deter and Eliminate Illegal, Unreported and Unregulated Fishing, concluded in the framework of the Food and Agriculture Organisation of the United Nations (FAO).]

[^{F3}In respect of points (c) and (d) of the first paragraph, Member States shall without delay report to the Commission the name and the flag of the third country vessel inspected and the date of inspection. The Commission shall make this information available to other Member States.]





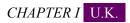
Reporting on the application of benchmarks

1 Member States shall report on the application of benchmarks referred to in Article 4 in their report to be transmitted to the Commission every two years according to Article 55(1) of Regulation (EC) No 1005/2008.

2 On the basis of those reports and its own observations, the Commission shall undertake an evaluation and possible adjustment of the benchmarks.

TITLE II U.K.

CATCH CERTIFICATION SCHEME FOR IMPORTATION AND EXPORTATION OF FISHERY PRODUCTS



Catch certificates

Article 6 U.K.

Simplified catch certificate

- 1 This Article shall apply to third country fishing vessels:
 - a with an overall length of less than 12 metres without towed gear; or
 - b with an overall length of less than 8 metres with towed gear; or
 - c without a superstructure; or

d of less than measured 20 GT.

2 Catches from third country fishing vessels referred to in paragraph 1 which are only landed in the flag State of those vessels and which together constitute one consignment may be accompanied by a simplified catch certificate instead of the catch certificate referred to in Article 12 of Regulation (EC) No 1005/2008. The simplified catch certificate shall contain all the information specified in the specimen shown in Annex IV to this Regulation and shall be validated by a public authority of the flag State with the necessary powers to attest the accuracy of the information.

3 The validation of the simplified catch certificate shall be requested by the exporter of the consignment upon submission to the public authority of all the information specified in the specimen shown in Annex IV.



Recognised catch documentation schemes in RFMOs

1 The catch documentation schemes adopted by regional fisheries management organisations listed in Annex V, Part I, to this Regulation, shall be recognised for the purposes of Article 13(1) of Regulation (EC) No 1005/2008 as complying with the requirements of that Regulation without additional conditions.

2 The catch documentation schemes adopted by regional fisheries management organisations listed in Annex V, Part II, of this Regulation, shall be recognised, for the purposes of Article 13(1) of Regulation (EC) No 1005/2008, as complying with the requirements of that Regulation subject to additional conditions.

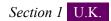


Deadline for the submission of catch certificates

By way of derogation from Article 16(1) of Regulation (EC) No 1005/2008, the submission of catch certificates for imports of fishery products in consignments by means of transportation referred to in Annex VI to this Regulation shall be subject to the shorter deadlines set out in that Annex.



Approved economic operators



Conditions for granting the approved economic operator certificate

Article 9 U.K.

General provisions

Economic operators may, following an application, be granted a certificate of approved economic operator (hereinafter referred to as APEO certificate) for the purposes of Article 16 of Regulation (EC) No 1005/2008 only if they:

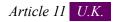
- (a) hold an authorised economic operator certificate (hereinafter referred to as AEO certificate) in accordance with Commission Regulation (EEC) No 2454/93⁽⁶⁾ (hereinafter referred to as the Implementing Rules of the Community Customs Code); and
- (b) fulfil the criteria laid down in Article 16(3)(a) to (g) of Regulation (EC) No 1005/2008 and detailed in Articles 10 to 13 of this Regulation.

Article 10 U.K.

Sufficient import

1 The sufficient number and volume of import operations referred to in Article 16(3)(b) of Regulation (EC) No 1005/2008 must be achieved in the Member State of establishment.

2 Each Member State shall determine the minimum threshold for number and volume of import operations and inform the Commission thereof.



Record of compliance

1 The record of compliance with the requirements of conservation and management measures referred to in Article 16(3)(c) of Regulation (EC) No 1005/2008 shall be considered as appropriate if, over the last three years preceding the submission of the application, the applicant:

- a has not committed a serious infringement of the rules of the common fisheries policy;
- b has not committed repeated infringements of the rules of the common fisheries policy;
- c has not directly or indirectly participated in or supported activities of vessels or operators engaged in IUU fishing or which are currently subject to investigation in that respect; and
- d has not directly or indirectly participated in or supported activities of vessels included in IUU vessel lists adopted by a RFMO.

2 Notwithstanding paragraph 1, the record of compliance with the requirements of conservation and management measures may be considered as appropriate if the competent Member State authority considers an infringement committed by the applicant:

- a not to be serious; and
- b of negligible quantitative importance in relation to the number or size of the importrelated operations carried out by the applicant.

Article 12 U.K.

Management of records

The system of managing catch certificates and, where appropriate, processing records, as referred to in Article 16(3)(d) of Regulation (EC) No 1005/2008, shall be considered satisfactory if it ensures:

- (a) the handling of catch certificates connected to the trade in fisheries products;
- (b) the archiving of the applicant's records and information; and
- (c) the protection against the loss of information.



The applicant's facilities, as referred to in Article 16(3)(e) of Regulation (EC) No 1005/2008, shall be considered appropriate if they:

- (a) prevent unauthorised access to storage areas, shipping areas, loading docks and cargo areas;
- (b) ensure the handling of fishery products including protection against tampering with cargo units;
- (c) ensure the handling of import and/or export licenses connected to prohibitions and restrictions and to distinguish fishery products subject to catch certificates from fishery products not subject to catch certificates.

Section 2 U.K.

Application for an APEO certificate

Article 14 U.K.

Submission of the application

1 The application for an APEO certificate shall be submitted to the competent Member State authority on whose territory the importer is established in accordance with the specimen set out in Annex VII.

2 The application shall include records and documentation enabling the competent Member State authority to verify and monitor the compliance with the criteria laid down

in Articles 9 to 13 of this Regulation, including a copy of the AEO certificate issued in accordance with the Implementing Rules of the Community Customs Code. Applicants shall submit necessary data to the competent Member State authority.

3 If a part of the relevant records and documentation is kept in another Member State, the consultation procedure referred to in Article 17 shall apply.

4 Where the competent Member State authority establishes that the application does not contain all the information required, it shall, within 30 calendar days of receipt of the application, require the applicant to supply the relevant information.

5 When the authority has received all the information necessary it shall inform the applicant that the application has been deemed complete, specifying the date from which the time limits laid down in Article 18(2) of this Regulation will run.

6 An operator who has been granted the status of approved economic operator in one Member State shall when applying for the same status in a subsequent Member State, attach a copy of the APEO certificate granted by the first Member State.

Article 15 U.K.

Inadmissibility of applications

The application referred to in Article 14 shall be inadmissible in the following cases:

- (a) where the application does not comply with Article 14; or
- (b) where the application is submitted within three years after the withdrawal of the APEO certificate referred to in points (a), (b) and (d) of Article 27(1).

Section 3 U.K.

Procedure for issuing APEO certificates

Article 16 U.K.

Examination of application

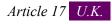
1 The issuing Member State authority shall examine whether the criteria laid down in Articles 9 to 13 are met. The examination and its results shall be documented by the competent Member State authority.

2 Where the applicant holds an 'AEO certificate — Security and safety' or an 'AEO certificate — Customs simplification/security and safety', as referred to in Article 14a of the Implementing Rules of the Community Customs Code, the criteria set out in Article 13 need not be examined.

3 In cases where the applicant has previously been granted the status of approved economic operator in another Member State, the issuing authority shall examine whether the following criteria are met:

- a the criteria set out in Articles 12 and 13;
- b optionally, the criteria set out in Articles 10 and 11.

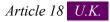
4 The issuing authority may accept conclusions provided by an expert in the relevant fields referred to in Articles 12 and 13 in respect of the criteria referred to in those Articles. The expert shall not in any way be related to the applicant.



Consultation of other Member States

1 The issuing authority shall consult the competent authorities of other Member States if the examination of the compliance with one or more of the criteria laid down in Articles 9 to 13 cannot be performed by it due either to a lack of information or to the impossibility of checking it. The consulted competent authorities of the Member States shall respond within 60 calendar days, starting from the date of the communication of the request by the issuing Member State authority.

2 If the consulted competent authority fails to respond within the deadline of 60 calendar days referred to in paragraph 1, the issuing authority may assume that the applicant meets the criteria for which the consultation took place.



Issuing of an APEO certificate

1 The issuing authority shall issue the APEO certificate in accordance with the specimen set out in Annex VIII.

2 The APEO certificate shall be issued within 90 calendar days starting from the date of receipt of all the information necessary in accordance with Article 14.

3 The period of 90 calendar days provided for in paragraph 2 may be extended by one further period of 30 calendar days where the competent authority is unable to meet the deadline. In such cases, the competent Member State authority shall, before the expiry of the period referred to in paragraph 2, inform the applicant of the reasons for the extension.

4 The period provided for in paragraph 2 may also be extended if, in the course of the examination of the compliance with the criteria laid down in Articles 9 to 13, the applicant carries out adjustments in order to satisfy those criteria and communicates them to the competent authority.

Article 19 U.K.

Rejection of an application

1 Where the result of the examination carried out in accordance with Articles 16 and 17 is likely to lead to the rejection of the application, the issuing authority shall communicate the findings to the applicant and provide him with the opportunity to respond within 30 calendar days, before rejecting the application. The period laid down in paragraph 2 shall be suspended accordingly.

2 If the application is rejected, the competent authority shall inform the applicant of the reasons on which the decision is based. The decision to reject an application shall be notified to the applicant within the time limits laid down in paragraphs 2, 3 and 4 of Article 18 and paragraph 1 of this Article.

3 The issuing authority shall inform the Commission, as soon as possible, that an application has been rejected. The Commission shall make that information available to the competent authorities of the other Member States by electronic means.



Status of approved economic operator

Article 20 U.K.

Verifications

1 When the holder of an APEO certificate has advised the competent Member State authority of the arrival of fishery products, that authority may, before the arrival of the consignment into that Member State, notify the approved economic operator when, as a result of a risk analysis in accordance with Article 17 of Regulation (EC) No 1005/2008, the consignment has been selected for further verification. That notice shall only be provided where it does not jeopardise the verification to be carried out.

2 The holder of an APEO certificate shall be subject to fewer physical and documentbased verifications than other importers, unless the competent Member State authority decides otherwise in order to take into account a specific risk, or control obligations set out in other Community legislation.

3 Where, following a risk analysis, the competent Member State authority selects for further examination a consignment accompanied by a catch certificate lodged by an approved economic operator, it shall carry out the necessary verifications as a matter of priority. If the approved economic operator so requests, and subject to agreement with the competent Member State authority concerned, those verifications may be carried out at a place which is different from the place of the office of the competent Member State authority.

Section 5 U.K.

Legal effects of APEO certificates

Article 21 U.K.

General provisions

1 The APEO certificate shall take effect on the tenth working day after the date of its issue. Its period of validity shall not be limited.

2 The APEO certificate shall only be valid in the Member State of the issuing authority.

3 The competent authorities shall monitor compliance with the criteria laid down in Articles 9 to 13.

4 In the case of an APEO certificate issued to an applicant established for less than three years, close monitoring shall take place during the first year after issue.

5 A reassessment of the compliance with the criteria referred to in Articles 9 to 13 shall be carried out by the issuing authority in the following cases:

- a major changes to the relevant Community legislation;
- b reasonable indication that the relevant criteria are no longer met by the approved economic operator.
- 6 Article 16(4) shall apply to the reassessment.

7 The issuing authority shall inform the Commission, as soon as possible, of the results of the reassessment. The Commission shall make that information available to the competent authorities of all Member States, by electronic means.

Article 22 U.K.

Suspension of the status of an approved economic operator

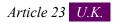
1 The status of approved economic operator shall be suspended by the issuing authority in the following cases:

- a where non-compliance with the criteria laid down in Articles 9 to 13 has been detected;
- b where the competent Member State authorities have sufficient reason to believe that an act has been perpetrated by the approved economic operator, which gives rise to legal proceedings and is linked to an infringement of the rules of the common fisheries policy or of Regulation (EC) No 1005/2008;
- c where the status of the authorised economic operator has been suspended in accordance with the Implementing Rules of the Community Customs Code;
- d where the suspension is requested by the approved economic operator which is temporarily unable to meet any of the criteria laid down in Articles 9 to 13.

2 Before taking a decision in accordance with paragraph 1(a), (b) and (c), the competent Member State authorities shall communicate their findings to the economic operator concerned. The operators shall be entitled to express its point of view within 30 calendar days starting from the date of receiving the communication.

3 However, where the nature or the level of the threat to the conservation measures for a certain stock or stocks so require, suspension shall take place immediately. The suspending authority shall immediately inform the Commission in order to permit other Member States to take appropriate action.

4 The suspension referred to in paragraph 1 shall take effect from the day following its notification to the approved economic operator. The suspension shall however not affect any import procedure already started before the date of suspension and not yet completed.



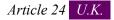
Suspension in the case of non-compliance with relevant criteria

1 In the case referred to in point (a) of Article 22(1), if the approved economic operator does not regularise the situation within the period referred to in paragraph 2 of that Article, the status of approved economic operator shall be suspended for a period of 30 calendar days. The competent Member State authority shall, without delay, notify the economic operator of the suspension and the competent authorities of the other Member States.

2 Where the economic operator concerned has been unable to regularise the situation within the suspension period of 30 calendar days referred to in paragraph 1 but can provide evidence that the conditions can be met if the suspension period is extended, the issuing authority

shall suspend the status of approved economic operator for a further 30 calendar days. The competent authorities of the other Member States shall be informed of the extension.

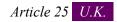
3 When the economic operator concerned has, within the time limit set out in paragraphs 1 or 2, taken the necessary measures to comply with the criteria laid down in Articles 9 to 13, the issuing authority shall withdraw the suspension and inform the economic operator concerned and the Commission. The suspension may be withdrawn before the expiry of the time limit laid down in paragraphs 1 or 2.



Suspension in case of legal proceedings

1 In the case referred to in point (b) of Article 22(1), the issuing authority shall suspend the status of the approved economic operator for the duration of the proceedings. It shall notify the approved economic operator thereof. Notification shall also be sent to the competent authorities of the other Member States.

2 The competent Member State authority may however decide not to suspend the status of approved economic operator if it considers the infringement to be of negligible quantitative importance in relation to the number or volume of the import-related operations carried out by that operator.



Suspension related to the status of authorised economic operator

In the case referred to in point (c) of Article 22(1), the issuing authority shall suspend the status of the approved economic operator until the suspension of the status of authorised economic operator has been withdrawn. It shall notify the approved economic operator thereof. It shall also notify the competent authorities of the other Member States.

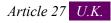
Article 26 U.K.

Suspension upon request

1 In the case referred to in Article 22(1)(d) the approved economic operator shall notify the issuing authority of its temporary inability to meet the criteria laid down in Articles 9 to 13, specifying the date when the criteria will be met again. The approved economic shall also notify the issuing authority of any planned measures and their timescale.

2 The issuing authority shall send the notification to the Commission and the competent authorities of the other Member States.

3 If the approved economic operator fails to regularise the situation within the period set out in its notification, the issuing authority may grant a reasonable extension, provided that the approved economic operator has acted in good faith. The extension shall be notified to the Commission and the competent authorities of the other Member States.



Withdrawal of the APEO certificate

1 The APEO certificate shall be withdrawn in the following cases:

- a where the approved economic operator fails to take the necessary measures to comply with the criteria laid down in Articles 9 to 13 in accordance with Article 23(3);
- b where it has been established that a serious infringement or repeated infringements related to the rules of the common fisheries policy or of Regulation (EC) No 1005/2008 have been committed by the approved economic operator and there is no further right of appeal;
- c where the approved economic operator fails to take the necessary measures to comply with the criteria laid down in Articles 9 to 13 in accordance with Article 26;
- d where the status of authorised economic operator, granted in accordance with the Implementing Rules of the Community Customs Code, has been withdrawn;
- e upon request of the approved economic operator.

2 In the case referred to in point (b) of paragraph 1, the competent authority may decide not to withdraw the APEO certificate if the infringements are of negligible quantitative importance in relation to the number or size of the import-related operations carried out by that operator.

3 The withdrawal shall take effect from the day following its notification to the approved economic operator.

4 The issuing authority shall immediately inform the Commission of the withdrawal of an APEO certificate.

Section 6 U.K.

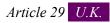
Information exchange

Article 28 U.K.

Information requests

1 The approved economic operator shall inform the issuing authority of all factors arising after the certificate is granted which may influence its continuation.

2 All relevant information at the disposal of the issuing authority concerning economic operators approved by it shall *upon request* be made available to the Commission and the competent authorities of the other Member States where the approved economic operators carry out import-related activities.



Sharing of information on approved economic operators

1 The Commission and the competent authorities in all Member States shall store for a period of three years or longer in accordance with national rules, and have access to the following information:

- a the electronically transmitted data of the applications;
- b the APEO certificates, and where applicable, their amendment or withdrawal of those certificates, or the suspension of the status of approved economic operator.

2 The IUU fishing information system referred to in Article 51 of Regulation (EC) No 1005/2008 may be used for the information and communication process between the competent authorities and for information of the Commission and of the economic operators as provided for in this chapter.

3 The list of approved economic operators may be disclosed by the Commission to the public via the Internet with prior agreement of the approved economic operators concerned. The list shall be kept up to date.

Article 30 U.K.

Reporting obligations and evaluation

1 Member States shall include information on the application of the approved economic operator scheme as laid down in this chapter in their report to be transmitted to the Commission every two years according to Article 55(1) of Regulation (EC) No 1005/2008.

2 On the basis of those reports and its own observations, the Commission shall undertake an evaluation and possible adjustment of the approved economic operator scheme.

CHAPTER III U.K.

Verifications related to catch certificates

Article 31 U.K.

Community criteria for verifications

Verifications intended to ensure that the provisions of Regulation (EC) No 1005/2008 are complied with, as referred to in Article 17 of that Regulation, shall be focused towards risks identified on the basis of the following Community criteria:

- (a) importation, exportation or trade in fishery products obtained from species of high commercial value;
- (b) introduction of new kinds of fishery products or discovery of new trade patterns;
- (c) inconsistencies between the trade patterns and the known fishing activities of a flag State in particular in respect of species, volumes or characteristics of its fishing fleet;

- (d) inconsistencies between the trade patterns and the known fishing-related activities of a third country in particular in respect of the characteristics of its processing industry or its trade in fishery products;
- (e) trade pattern not justified in terms of economic criteria;
- (f) involvement of a newly established operator;
- (g) significant and sudden increase in trade volume for a certain species;
- (h) submission of copies of catch certificates accompanying processing statements according to Annex IV of Regulation (EC) No 1005/2008, for instance when the catch has been split during production;
- (i) prior notification, required under Article 6 of Regulation (EC) No 1005/2008, not transmitted at the proper time or information incomplete;
- (j) inconsistencies between catch data declared by the operator and other information available to the competent authority;
- (k) vessel or vessel owner suspected of being or having been involved in IUU fishing activities;
- (l) vessel having recently changed name, flag or registration number;
- (m) flag State not notified according to Article 20 of Regulation (EC) No 1005/2008 or information available on possible irregularities in the validation of catch certificates by a given flag State (e.g. stamps or validation seal from a competent authority lost, stolen or forged);
- (n) presumed deficiencies in the control system of a flag State;
- (o) operators concerned who have already been involved in illegal activities constituting a potential risk in respect of IUU fishing.

Article 32 U.K.

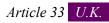
Reporting obligations and evaluation

1 Member States shall include information on the application of the Community criteria referred to in Article 31 in their report to be transmitted to the Commission every two years according to Article 55(1) of Regulation (EC) No 1005/2008.

2 On the basis of those reports and its own observations, the Commission shall undertake an evaluation and possible adjustment of the Community criteria.



Cooperation with third countries



Administrative cooperation with third countries concerning catch certificates

1 The administrative arrangements in which the catch certificate is established, validated or submitted by electronic means or is replaced by electronic traceability systems ensuring the same level of control by authorities, established within the framework of the administrative cooperation set out in Article 20(4) of Regulation (EC) No 1005/2008, are listed in Annex IX to this Regulation.

2 The Commission shall within 15 working days after establishment of a new administrative arrangement pertaining to the implementation of the catch certification provisions of Regulation (EC) No 1005/2008, inform the competent authorities of Member States thereof, place the information on its website as soon as possible and update Annex IX to this Regulation.



Form for submission of information regarding sighted fishing vessels

1 The form for submission of information regarding sighted fishing vessels referred to in Article 49(1) of Regulation (EC) No 1005/2008 is set out in Annex XA to this Regulation.

2 The instructions for filling in the form referred to in paragraph 1 are set out in Annex XB to this Regulation.



MUTUAL ASSISTANCE



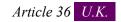
Scope

1 This Title lays down the conditions under which the Member States shall administratively cooperate with each other, third countries, the Commission and the body

designated by it in order to ensure the effective application of Regulation (EC) No 1005/2008 and this Regulation.

2 This Title shall not bind Member States to grant each other assistance where that would be likely to be injurious to their national legal system, public policy, security or other fundamental interests. Before denying a request for assistance, the requested Member State shall consult the applicant Member State to determine whether assistance may be given in part, subject to specific terms and conditions. Where a request for assistance cannot be complied with the applicant Member State and the Commission shall promptly be notified of that fact and reasons shall be stated.

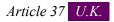
3 This Title shall not affect the application in the Member States of rules on criminal procedure and mutual assistance in criminal matters, including those on secrecy of judicial inquiries.



Protection of personal data

1 This Regulation leaves intact and in no way affects the level of protection of individuals with regard to the processing of personal data under the provisions of Community and national law, and in particular does not alter either the obligations of Member States relating to their processing of personal data under Directive 95/46/EC or the obligations of the Community institutions and bodies relating to their processing of personal data under Regulation (EC) No 45/2001 when fulfilling their responsibilities. The Member States and the Commission shall ensure that all applicable provisions laid down in Regulation (EC) No 45/2001 and Directive 95/46/EC are respected.

2 The rights of persons with regard to their registration data processed in national systems shall be exercised in accordance with the law of the Member State which stored their personal data, and in particular the provisions implementing Directive 95/46/EC, and, with regard to their registration data processed in Community systems, shall be exercised in accordance with Regulation (EC) No 45/2001.



Use of information and protection of professional and commercial secrecy

1 The applicant Member State shall use information communicated according to this Title solely for the purposes of implementing Regulation (EC) No 1005/2008 and at all times in accordance with Directive 95/46/EC. The use of such information for other purposes shall be subject to prior written consultation of the requested Member State which provided the information. Such use shall then be subject to any conditions established by the requested Member State for non-disclosure of information in accordance with Directive 95/46/EC. The use of personal information data for other purposes shall be in compliance with the conditions laid down in Directive 95/46/EC.

2 The applicant Member State shall consider specific demands related to disclosure of the information such as the safety and privacy of persons identified or identifiable by the information.

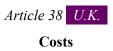
3 The information shall benefit from the same protection accorded to similar data by the national legislation of the Member State receiving them and, for a Community institution

receiving them, by the corresponding provisions applicable to this institution. It may be invoked as evidence in administrative or criminal proceedings by the Member State receiving the information, in accordance with the law of that Member State.

4 Information communicated in any form to persons working for national public authorities and the Commission shall be covered by duties of confidentiality and professional secrecy if their disclosure would undermine

- a the protection of the privacy and the integrity of the individual, in particular in accordance with Community legislation regarding the protection of personal data;
- b the commercial interests of a natural or legal person, including intellectual property;
- c court proceedings and legal advice; or
- d the purpose of inspections or investigations.

5 Paragraph 4 shall not apply where the disclosure is necessary to bring about the cessation of IUU fishing or serious infringements referred to in Article 42(1)(b) and (c) of Regulation (EC) No 1005/2008 and the authority communicating the information consents to its disclosure.



Member States shall bear their own costs of executing a request for assistance and shall waive all claims for the reimbursement of expenses incurred in applying this Title.

Article 39 U.K. Single authority

1 Each Member State shall designate a single liaison office responsible for the application of this Title.

2 Each Member State shall communicate to the Commission and the other Member States the identity of the single liaison office and keep that information up to date.

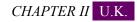
3 The Commission shall publish and update the list of single liaison offices in the *Official Journal of the European Union*.



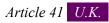
Follow-up measures

1 Where national authorities decide, in response to a request for assistance based on this Title or following a spontaneous exchange of information, to take measures which may be implemented only with the authorisation or at the demand of a judicial authority, they shall communicate to the Member State concerned and the Commission any information on those measures which is related to IUU fishing or serious infringements referred to in Article 42(1) (b) and (c) of Regulation (EC) No 1005/2008, or to infringements of this Regulation.

2 Any such communication must have the prior authorisation of the judicial authority if such authorisation is required by national law.



Information without prior request

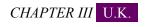


Information without prior request

1 When a Member State becomes aware of any potential IUU fishing activity or serious infringements referred to in Article 42(1)(b) and (c) of Regulation (EC) No 1005/2008 or reasonably suspects that such an activity or infringement may occur, it shall notify the other Member States concerned and the Commission, without delay. That notification shall supply all necessary information and shall be made via the single authority as referred to in Article 39.

2 When a Member State takes enforcement measures in relation to an IUU fishing activity or infringement referred to in paragraph 1, it shall notify the other Member States concerned and the Commission via the single authority as referred to in Article 39.

3 All notifications according to this Article shall be made in writing.



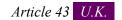
Requests for assistance

Article 42 U.K.

Definitions

For the purposes of this Title 'request for assistance' means a request addressed by one Member State to another Member State for:

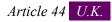
- (a) information;
- (b) enforcement measures; or
- (c) administrative notification.



General requirements

1 The applicant Member State shall ensure that all requests for assistance contain sufficient information to enable a requested Member State to fulfil the request, including any necessary evidence obtainable in the territory of the applicant Member State.

2 Requests for assistance shall be limited to substantiated cases where there is reasonable cause to believe that IUU fishing or serious infringements referred to in Article 42(1)(b) and (c) of Regulation (EC) No 1005/2008 have occurred and where the applicant Member State is not able to obtain the requested information or to take the requested measures by its own means.

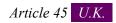


Transmission of requests and replies

1 Requests shall only be sent by the single authority of the applicant Member State or by the Commission to the single authority of the requested Member State. All replies to a request shall be communicated in the same way.

2 Requests for mutual assistance and the respective replies shall be made in writing.

3 The languages used for requests and for the communication of information shall be agreed by the single authorities concerned before requests are made. If no agreement can be reached, requests shall be communicated in the official language(s) of the applicant Member State and replies in the official language(s) of the requested Member State.



Requests for information

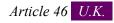
1 A requested Member State shall, at the request of an applicant Member State, or of the Commission, supply any relevant information required to establish whether IUU fishing or serious infringements referred to in Article 42(1)(b) and (c) of Regulation (EC) No 1005/2008 have occurred or to establish whether there is a reasonable suspicion it may occur. That information shall be supplied via the single authority as referred to in Article 39.

2 The requested Member State shall, at the request of the applicant Member State or of the Commission, carry out the appropriate administrative enquiries concerning operations which constitute, or appear to the applicant Member State to constitute, IUU fishing or serious infringements referred to in Article 42(1)(b) and (c) of Regulation (EC) No 1005/2008. The requested Member State shall communicate the results of such administrative enquiries to the applicant Member State and to the Commission.

At the request of the applicant Member State, or of the Commission, the requested Member State may permit a competent official of the applicant Member State to accompany the officials of the requested Member State or of the Commission, in the course of administrative enquiries referred to in paragraph 2. In so far as national provisions on criminal proceedings restrict certain acts to officials specifically designated by national law, the officials of the applicant Member State shall not take part in such acts. In no event, shall they participate in searches of premises or the formal questioning of persons under criminal law. The officials of the applicant Member States present in the requested Member State must at all time be able to present written authority stating their identity and their official functions.

4 At the request of the applicant Member State, the requested Member State shall supply it with any document or certified true copies in its possession which relates to IUU fishing or serious infringements referred to in Article 42(1)(b) and (c) of Regulation (EC) No 1005/2008.

5 The standard form for the exchange of information on request is set out in Annex XI.

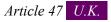


Requests for enforcement measures

1 A requested Member State shall, based on the evidence referred to in Article 43, at the request of an applicant Member State, or of the Commission, take all necessary enforcement measures to bring about the cessation, within its territory or within maritime waters under its sovereignty or jurisdiction, of any IUU fishing or serious infringements referred to in Article 42(1)(b) and (c) of Regulation (EC) No 1005/2008 without delay.

2 The requested Member State may consult the applicant Member State and the Commission in the course of taking the enforcement measures referred to in paragraph 1.

3 The requested Member State shall report the measures taken and their effect to the applicant Member State, the other Member States concerned and the Commission, via the single authority as referred to in Article 39.



Deadline for replies to requests for information and enforcement measures

1 The requested Member State shall provide the information referred to in Article 45(1) and Article 46 (3) as quickly as possible, but not later than 4 weeks following the date of receipt of the request. Different time limits may be agreed between the requested and the applicant Member State or the Commission.

2 Where the requested Member State is unable to respond to the request by the deadline, it shall inform the applicant Member State or the Commission in writing of the reason for its failure to do so, and indicate when it considers it will be able to respond.

Article 48 U.K.

Requests for administrative notification

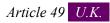
1 A requested Member State shall, at the request of an applicant Member State and in accordance with its national rules governing the notification of similar instruments and decisions, notify the addressee of all instruments and decisions taken in the field covered by Regulation (EC) No 1005/2008 which emanate from the administrative authorities of the applicant Member State and are to be served in the territory of the requested Member State.

2 Requests for notification shall be made using the standard form attached to this Regulation in Annex XII.

3 The requested Member State shall transmit its reply to the applicant Member State immediately after the notification via the single authority referred to in Article 39. The reply shall be made using the standard form attached to this Regulation in Annex XII.



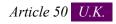
Relations with the Commission



Communication between the Member States and the Commission

1 Each Member State shall communicate to the Commission as soon as it is available to it any information it considers relevant concerning methods, practices or revealed tendencies used or suspected of having been used for IUU fishing or serious infringements referred to in Article 42(1)(b) and (c) of Regulation (EC) No 1005/2008.

2 The Commission shall communicate to the Member States, as soon as it becomes available to it, any information that would help them to enforce the implementation of Regulation (EC) No 1005/2008.



Coordination by the Commission

1 Where a Member State becomes aware of operations which constitute, or appear to constitute, IUU fishing or serious infringements referred to in Article 42(1)(b) and (c) of Regulation (EC) No 1005/2008 and which are of particular relevance at Community level, it shall communicate to the Commission as soon as possible any relevant information needed to determine the facts. The Commission shall convey that information to the other Member States concerned.

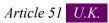
2 For the purposes of paragraph 1, operations which constitute IUU fishing or serious infringements referred to in Article 42(1)(b) and (c) of Regulation (EC) No 1005/2008 shall be deemed to be of particular relevance at Community level especially where:

- a they have, or might have, connections in other Member States; or
- b it appears likely to the Member State that similar operations have also been carried out in other Member States.

3 Where the Commission considers that operations which constitute IUU fishing or serious infringements referred to in Article 42(1)(b) and (c) of Regulation (EC) No 1005/2008 have taken place in one or more Member States, it shall inform the Member States concerned thereof which shall as soon as possible carry out enquiries. The Member States concerned shall, as soon as possible, communicate to the Commission the findings of those enquiries.



Relations with third countries



Information exchange with third countries

1 When a Member State receives information relevant to ensure the effective application of Regulation (EC) No 1005/2008 and this Regulation from a third country, it shall communicate

that information to the other Member States concerned via the single authority, in so far as it is permitted to do so by bilateral assistance agreements with that third country.

2 Information received under this Title may be communicated to a third country by a Member State via its single authority under a bilateral assistance agreement with that third country; That communication shall take place after consultation of the Member State that originally communicated the information and in accordance with Community legislation and national legislation regarding the protection of individuals with regard to the processing of personal data.

The Commission may, in the framework of fisheries agreements concluded between the Community and third countries or in the framework of Regional Fisheries Management Organisations or similar arrangements to which the Community is a Contracting Party or a noncontracting Cooperating Party, communicate relevant information concerning IUU fishing or serious infringements referred to in Article 42(1)(b) and (c) of Regulation (EC) No 1005/2008 to other parties to those agreements, organisations or arrangements, subject to the consent of the Member State that supplied the information.



Transitional provision

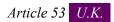
Article 52 U.K.

Establishment of an IUU Fishing Information System

Pending the establishment of the 'IUU Fishing Information System', as referred to in Article 51(2) of Regulation (EC) No 1005/2008, the competent authorities of the Member States shall cooperate under this title with each other and the Commission through existing information arrangements.

TITLE V U.K.

AMENDMENTS



Amendments to Regulation (EC) No 1005/2008

Annex I of Regulation (EC) No 1005/2008, containing the list of products excluded from the definition of 'fisheries products' in Article 2(8) of that Regulation is amended as set out in Annex XIII to this Regulation.



FINAL PROVISIONS

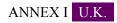
Article 54 U.K.

Entry into force

This Regulation shall enter into force on the seventh day following its publication in the *Official Journal of the European Union*.

It shall apply from 1 January 2010.

This Regulation shall be binding in its entirety and directly applicable in all Member States.



Prior notification period for certain types of fishery products referred to in Article 1 Four-hour prior notification period

Landings of fresh fishery products by fishing vessels into designated Community ports.

evant fields before sending the prior notice:

		Inte	Intended port of call				orm
atch egis	atching, carrier or support): egistration):	12. 13. 14	Name of the port (ISO al Purpose of the call (land Port and date of last port	 Name of the port (ISO alpha-2 country code + 3 letter port code (*)): Purpose of the call (landing, transhipment or access to services): Port and date of last port call (ISO alpha-2 country code + name of port/3-letter port code (*)) 	er port code (*)): to services): ode + name of port/3-lette		for pric
Ipha-2 ber (extr call siç formatio stry ID:	Ilpha-2 country code + name of port/3-letter port code (*)): per (external identification): call sign: cormation: stry ID:						or notificat
tion s	er (if issued): tional), yes (RFMO)); if yes, type: s — length: beam: draft:						ion for
		Fis	Fishing authorisation				third
ng t ed t	ng trip: ed time of arrival at port:	15. 17. 18.	 Fishing authorisation nurr Authorisation to support 1 Issuing authority: RFMO ID, if applicable: 	 Fishing authorisation number and expiration date (also specify fishing area, species and gear): Authorisation to support fishing operations/tranship fishery products and expiration date: Issuing authority: RFMO ID, if applicable: 	o specify fishing area, sp shery products and expiral		country f
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for e)	 22. Date of transhipment (if 23. Area or port of transhipment (FAO transhipment has taken (ICES) area, FAO (ICES) division, FAO place elsewhere than the (ICES) subdivision and if relevant ICES port of landing) 23. Area or port of transhipment (if 23. Area or port of landing) 	24. Name of the species (FAO alpha-3 code)	25. Catch area (FAO (ICES) area, FAO (ICES) division, FAO (ICES) subdivision and if relevant ICES statistical rectangle and fishing effort zone)	26. Estimated total live weight on board (in kg) or number of fish if required	27. Estimated total live weight of fish to be landed/transhipped (in kg) or number of fish if required	28. Presentation of fish and state of preservation (use letter codes (*))	vessels referr

ing	vessels referre	ed to	o in	Ar	ticle	e 2(1	l)
	28. Presentation of fish and state of preservation (use letter codes (*))						
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[^{F1}ANNEX IIA U.K.

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EC) No 1010/2009. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

nn: http://ec.europa.eu/fisheries/cfp/control/technologies/ers/index_en.htm

ality:

port of call and additional vessel details

- of the port (ISO alpha-2 country code + 3 letter port code (*)):
 - se of the call (landing, transhipment or access to services):
- and estimated time of arrival at port:
- (no, yes (national), yes (RFMO)); if yes, type:
- draft: beam: ÷ I dimensions - length:
 - cate of registry ID:

 -) ID, if applicable:
- nd date of last port call (ISO alpha-2 country code + name of port/3-letter port code):

es of species retained on board

	ANNEX I	IB	U	.K.			
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	 Presentation of fish and state of preservation (use letter codes (*)) 						
	 Estimated total live weight of fish to be landed/ transhipped (in kg) or number of fish if required 						
	 Estimated total live weight on board (in kg) or number of fish if required 						
	11. Area or port of transhipment (FAO (ICES) area, FAO (ICES) division, FAO (ICES) subdivision and if relevant ICES statistical rectangle and fishing effort zone) 12. Name of the species (FAO alpha-3 code)						
	 Date of transhipment (if transhipment has taken place elsewhere than the port of landing) 						
	of catching (s) and catch certificate r(s) for this/these						

e and address of vessel owner:

e of master of vessel/representative and nationality:

ature:

g vessel fill in points 1-8, 12 plus 14 and 15

vessel fill in points 1-15

t vessel fill in points 1-8

fill in points 16-19

codes for ports, the state of the fish and the presentation: http://ec.europa.eu/fisheries/cfp/control/technologies/ers/index_en.htm'

30

No 1010/2009. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

Form for prior notification for s referred to in Article 2(2)]

- Vessel name:
- Type of vessel (catching or carrier):
- Home port (ISO alpha-2 country code + name of port): Flag (country of registration):
 - Registration number (external identification):

 - International radio call sign:

 - IMO/Lloyd's number (if issued):

beparture information

- 0. Dates and time of departure:
- 1. Port of departure (ISO alpha-2 country code + name of port):

tuantities of species retained on board

10. Data and time of departure: 10. Data and time of departure: 11. Endrong morraneon 10. Tori of departure: 10. Data of time of port): 12. But and estimated time of landing (SO alpha-2 country code + 3 letter port code (1): 11. Fort of departure: 12. Data of time of genotic: 13. Sort by maskin/representation: 12. Data of time of tim					and a state of the					
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Port 18. Name of the species 19. Calchi area respecies 20. Estimated respecies 21. Estimated table 22. If processed table 23. If processed table 24. If processed table 26. If p	departure (ISO alpha	a-2 country code +	name of port):		13. Intended por	t of landing (ISC) alpha-2 country	code + 3 letter po	ort code (*)):	pre
(6. Date of tran- shittment (f (13. Catch area shittment (f (14. f (14. f <td< th=""><th>s of species retained</th><th>d on board</th><th></th><th></th><th>14. Odin by illas</th><th>itel/16/16/16/16/16/16/16/16/16</th><th>i.</th><th></th><th></th><th>-141</th></td<>	s of species retained	d on board			14. Odin by illas	itel/16/16/16/16/16/16/16/16/16	i.			-141
		17. Area or port of tran- shipment FAO (ICES) area, FAO (ICES) area, FAO (ICES) area fAO (ICES) area tal trelevant ICES sta- tistical rectangle)	18. Name of the species (FAO alpha-3 code)	19. Catch area (FAO (ICES) area, FAO division, FAO division, FAO (ICES) subdivision and if relevant ICES statistical and if relevant ishing effort zone)						If processed fishery products, average weight per unit of per unit of packing (in kg)
Article										

9. Signature:

0. Date:

catching vessel fill in points 1-15 plus 18-30 carrier vessel fill in all points

Contact

8. Name of the master/representative: 9. Address of master/representative: Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EC) No 1010/2009. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

ANNEX IIIA U.K.

31

l identification

sel name:

8. Name of the master/representative: 9. Address of master/representative:

Contact

- e of vessel (catching or carrier):
- g (country of registration):
- ne port (ISO alpha-2 country code + name of port):
 - jistration number (external identification):
 - mational radio call sign:
- //Lloyd's number (if issued):

ture information

- ites and time of departure:
- vt of departure (ISO alpha-2 country code + name of port):

12. Date and estimated time of transhipment:

Transhipment information

ities of species retained on board

the and address of vessel owner:

ime of master of vessel/representative:

gnature:

je:

ning vessel fill in points 1-18 plus 21-33

er vessel fill in all points

or codes for ports, the state of the fish and the presentation: http://ec.europa.eu/fisheries/cfp/control_enforcement/ers_en.htm

Commission Regulation (EC) No 1010/2009 of 22 October 2009 laying down detailed rules... ANNEX I Document Generated: 2023-12-31

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EC) No 1010/2009. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

ANNEX IIIB U.K.

Form for pre-transhipment declarations referred to in Article 3(2)

32

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Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EC) No 1010/2009. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

ANNEX IV U.K.

EUROPEAN COMMUNITY CATCH CERTIFICATE Simplified form for fishery products fulfilling the requirements in Article 6 of this Regulation

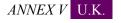
(i) EUROPEAN COMMUNITY CATCH CERTIFICATE — Simplified form for fishery products fulfilling the requirements in Article 6 of this Regulation										
Document number				Va	lida	ating auth	ority (nar	ne, addı	ress, tel., fax)	
1. Description of product	2. R	eferen	ces of	applicab	ole	conservat	ion and i	manage	ment measures	
Species			Produ	ct code			Verifi	ed weig	ht landed (kg)	
 List of vessels that have number, etc. annexed): 	provi	ded ca	atches	and the	e qu	uantities I	by each	vessel (name, registration	
4. Name, address, tel. and	Sign	ature			Dat	te		Sea	l (stamp)	
fax of exporter										
5. Flag State authority validation:										
Name/Title Signature Date Seal (stamp)									stamp)	
6. Transport details (see Appendix)										
7. Importer declaration:										
Name and address of import	er Si	lgnatur	e	Date			Seal (stamp)	Product CN code	
8. Import control: Authority Place Importation authorised (*) Importation (*) Verification (*)								ation requested —		
Customs declaration (if issued)	Numb	ber				Date		Place		
(*) Tick as appropriate.										

(11)	EUR	OPEA		(RE-EXPORT	CE	RTIFICATE		
Certificate number		Date				Member \$	State	
1. Description of re-export	ed p	roduct	ł	Weight (kg)				
Species			Product code		Bal in 1	ance from the catch c	total qu ertificat	antity declared e
2. Name of re-exporter Addr			Address			Signature		Date
3. Authority								
Name/Title Signature			ature			Date		Seal/Stamp
4. Re-export control								
Place:	Re-	export	authorised (*)	Verificatio requested				oort declaration or and date

(*) Tick as appropriate.

rippendix	0.1		
2. Exporter sign	ature		
Container number(s)	Name	Address	Signature
list attached			
	2. Exporter sign Container number(s)	Container Name number(s)	2. Exporter signature Container number(s) Name Address

Appendix U.K.



Catch documentation schemes adopted by regional fisheries management organisations recognised as complying with the requirements of Regulation (EC) No 1005/2008

Part ICatch documentation schemes recognised as complying with the requirements of Regulation (EC) No 1005/2008:

- Dissostichus spp. catch documentation scheme as set out in Council Regulation (EC) No 1035/2001 of 22 May 2001 establishing a catch documentation scheme for *Dissostichus* spp⁽⁷⁾.
- [^{F1}ICCAT Bluefin tuna Catch Documentation Programme as set out in Regulation (EU) No 640/2010 of the European Parliament and of the Council]⁽⁸⁾
- Part II Catch documentation schemes recognised as complying with the requirements of Regulation (EC) No 1005/2008, subject to additional conditions: U.K.
- CCSBT (Commission for the Conservation of Southern Bluefin Tuna) Resolution on the implementation of a CCSBT Catch Documentation scheme (adopted at the Fifteenth Annual Meeting — 14-17 October 2008). In addition to the catch documents and any related documents validated in conformity with the CCSBT Catch Documentation scheme, the importer shall submit to the authorities of the Member

States of importation the information on transport details, specified in the Appendix on transport details included in Annex II of Regulation (EC) No 1005/2008.

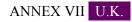


Submission periods of catch certificates for consignments referred to in Article 8 Four-hour period for submission of catch certificate prior to entry into the Community

Consignments of fishery products entering the Community by airfreight **Two-hour period for submission of catch certificate prior to entry into the Community**

Consignments of fishery products entering the Community by road Four-hour period for submission of catch certificate prior to entry into the Community

Consignments of fishery products entering the Community by railway



$ \begin{array}{ccc} & \stackrel{\wedge}{\sim} & \stackrel{\wedge}{\sim} \\ & \stackrel{\wedge}{\sim} & \stackrel{\wedge}{\sim} \\ & \stackrel{\wedge}{\sim} & \stackrel{\wedge}{\sim} \end{array} $
* *

SPECIMEN

EUROPEAN COMMUNITY

Application for APEO certificate

(Referred to in Article 14)

NB: please refer to the explanatory note when filling out this form

1. Applicant		Reserved fo	r authorities	
2. Legal status of applicant		:	3. Date of es	stablishment
4. Address of establishment		·		
5. Location of main business				
6. Contact person (name, phone,	fax, email)	7. Correspo	ondence add	ress
8. VAT ID number(s)	9. Trader identif number(s)/ E0		10. Le	gal registration number

11. AEO certificate number	12. Member Stat customs rela carried out	e where ted activities are	13. Monthly average number/ volume of import operations		
14. Office where catch certificate documentation is kept					
15. Office responsible for providing all catch certificate documentation					
16. Location(s) where imported products are kept					
17.					
Signature:		Date:			
Name:		Number of annex	es:		

Explanatory notes:

The application and related documents must be submitted, electronically or in paper form, as requested by the Member State to which the application is sent.

1.	Applicant
	Enter the full name of the applicant economic operator.
2.	Legal status
	Enter the legal status as mentioned in the document of establishment.
3.	Date of establishment
	Enter — with numbers — the day, month and year of establishment.
4.	Address of establishment
	Enter the full address of the place where your entity was established, including the country.
5.	Location of main place of business
	Enter the full address of the place of your business where the main activities are carried out.
6.	Contact person

Indicate the full name, phone and fax numbers, and email address of the contact person designated by you within your company to be contacted by the authorities when examining the application.

7. Correspondence address

Fill in only in case it differs from your address of establishment

8, 9 and 10. VAT ID, trader identification and legal registration numbers

Enter the required numbers

The trader identification number(s) is(are) the identification number(s) registered by the customs authority(ies)

The economic operators registration and identification (EORI) number is the identification number registered by the customs authority(ies)

The legal registration number is the registration number given by the company registration office.

If these numbers are the same, enter only the VAT ID number.

11. AEO certificate number

Enter the required number

12. Member State where customs related activities are carried out

Enter the relevant ISO alpha-2 country code. Must be the same as Member State where the status of authorised economic operator has been granted.

13. Monthly average number/volume of import operations

Enter the monthly average number/volume of import operations over the past 12 months.

14, 15 and 16. Offices/locations for documentation/products

Enter the full addresses of the relevant offices/locations. If the offices/locations have the same address, fill in only Box 14.

17. Name, date and signature of the applicant

Signature: the signatory should add his capacity. The signatory should always be the person who represents the applicant as a whole.

Name: name of the applicant and the stamp of the applicant.

Number of annexes: the applicant shall provide the following general information:

- 1. The application, including annexes, for the status of authorised economic operator
- 2. The AEO certificate from the authorities granting the status of authorised economic operator
- 3. Documentation for the number of import operations over the past 12 months.



APEO certificate



EUROPEAN COMMUNITY

SPECIMEN

	(certificate number)
1. Holder of the APEO certificate	2. Issuing authority
3. Date from which the certificate is effective	

Explanatory notes:

Certificate number

The certificate number shall always begin with the ISO alpha-2 country code of the issuing Member State, followed by the national authorisation number.

1. Holder of the APEO certificate

The full name of the Holder shall be mentioned, as indicated in Box 1 of the Application form in Annex VII, as well as the VAT ID number(s) as indicated in Box 8 of the application form, and the AEO number as indicated in Box 11 of the application form.

2. Issuing authority

Signature, the name of the Member State's administration and the stamp.

The name of the Member State's administration can be mentioned on a regional level, if the organisational structure of the administration so requires.

3. Date from which the certificate is effective

Indicate the day, month and the year, in accordance with Article 21(1).

ANNEX IX U.K.

Administrative arrangement with flag States pertaining to the implementation of the catch certification provisions (Article 12(4) of Regulation (EC) No 1005/2008)

[^{F3}[^{F4}Section 1 U.K.

NORWAY

CATCH CERTIFICATION SCHEME

In accordance with Article 12(4) of Regulation (EC) No 1005/2008, the catch certificate provided for in Article 12 and Annex II of that Regulation shall be replaced — for fisheries products obtained from catches made by fishing vessels flying the Norwegian flag — by the Norwegian catch certificate, based on the Norwegian system on weighing and recording of catches, which is an electronic traceability system under the control of the Norwegian authorities ensuring the same level of control by authorities as required under the European Union catch certification scheme.

Specimens of the Norweigian catch certificate which shall replace the European Union Catch Certificate and Re-export Certificate and are given in Appendix I.

Documents referred to in Article 14(1) and (2) of Regulation (EC) No 1005/2008 may be communicated by electronic means.

Norway shall require a catch certificate for landings and imports to Norway of catches made by fishing vessels flying the flag of a Member State of the European Union. MUTUAL ASSISTANCE

Mutual assistance under Article 51 of Regulation (EC) No 1005/2008 shall be developed to facilitate the exchange of information and the assistance between the respective authorities in Norway and in the Member States of the European Union, based on the detailed rules on mutual assistance laid down in Regulation (EC) No 1010/2009. Appendix IJ

NORWAY Catch Certificate				
Catch certification scheme for fishery products exported from Norway to the European Community under Article 20 (4) of Council Regulation (EC) No 1005/2008 and Commission Regulation (EC) No 1010/2009 laying down the detailed rules for the implementation of th same Regulation to replace the European Community catch certificate.				
Issued and validated electronically by	ý			
	Telephone number	Telefax number		
	ishery products exported from No Commission Regulation (EC) No 10 ame Regulation to replace the Eur	ishery products exported from Norway to the European Community u Commission Regulation (EC) No 1010/2009 laying down the detailed re ame Regulation to replace the European Community catch certificate. Issued and validated electronically by		

Country of exportation NORWAY		Port / airport / other place of departure	
Vessel name and flag Flight number/airway bill number		Truck nationality and reg.number	Railway bill number
	Other transport documents		

3. Description of exported product(s):

Species	Product code	Product CN code (*)	Product weight (**)

Exporter references: (****)

8. Name and address of exporter	Signature	Date			
9. Flag State Authority Validation:					
This certificate is issued and validated electronically in accordance with the catch certification scheme for fishery products exported from Norway to the European Community under Article 20 (4) of Council Regulation (EC) No 1005/2008 and Commission Regulation (EC) No 1010/2009 laying down the detailed rules for the implementation of the same Regulation.					
Ref: www.catchcertificate.no where the original electronic version may be accessed. Document security code:					

(*) If provided by exporter (**) Net weight kg (estimated if direct landing) (***) Weight of any part of the consignment stemming from catches made by non-Norwegian vessels is not included, cf. Council Regulation (EC) No 1005/2008, Article 14 (***) If provided by exporter (e.g. invoice number or other info to importer)

Form: NO-Cc-110401

Catch certification scheme for fishery products exported from Norway to the European Community under Article 20 (4) of Council Regulation (EC) No 1005/2008 and the Commission Regulation (EC) No 1010/2009 laying down the detailed rules for the implementation of the same Regulation to replace the European Community catch certificate.				
1. Document No: NO-999-999999-999999 Fishing vessel and catch details				
Fishing vessel name	Registration number	Catch area	Landing date	Sales note number

Form: NO-Cc-110401

Page: 2

Catch certification scheme for fishery products exported from Norway to the European Community under Article 20 (4) of Council Regulation (EC) No 1005/2008 and the Commission Regulation (EC) No 1010/2009 laying down the detailed rules for the implementation of the same Regulation to replace the European Community catch certificate.

1. Document No: NO-999-999999-999999

11. Importer declaration: Name and	address of importer	Signature	Date	Seal	Product CN code
Documents under Articles 14(1), (2) of Regulation (EC 1005/2008)	References				
12. Import control: Authority		Place	Importation authorised (*)	Importation suspended (*)	Verification requested -date
Customs declaration (if issued)	Number	Date	Place		

EUROPEAN COMMUNITY RE-EXPORT CERTIFICATE					
Certificate number		Date	Member State		
1. Description of re-exported product:			Weight (kg)		
Species	Product code		Balance (kg) (**)		
2. Name of re-exporter	Address Signature		Date		
2. Name of re-exponen	Address	Signature	Date		
3. Authority					
Name/Title	Signature	Date	Seal/Stamp		
4. Re-export control:					
Place:	Re-export authorized (*) Verification requested (*)		Re-export declaration number and date		

(*) Tick as appropriate (**) Balance from total quantity declared in the catch certificate

Form: NO-Cc-110401

Regulation (EC) No	Catch certification scheme for fishery products exported from Norway to the European Community under Article 20 (4) of Council Regulation (EC) No 1005/2008 and the Commission Regulation (EC) No 1010/2009 laying down the detailed rules for the implementation of the same Regulation to replace the European Community catch certificate.						
			1. Document	No: NO-999-999999-999999			
	List of containers						

Form: NO-Cc-110401

Page: 4

[^{F5}Section 2 U.K.

UNITED STATES

CATCH CERTIFICATION SCHEME

In accordance with Article 12(4) of Regulation (EC) No 1005/2008, the catch certificate provided for in Article 12 and Annex II of Regulation (EC) No 1005/2008 shall be replaced – for fisheries products obtained from catches made by fishing vessels flying the flag of the United States – by the U.S. catch certificate based on the U.S. Catch Certification System (described in Appendix 2) which is an electronic reporting and record keeping system under the control of the U.S. authorities ensuring the same level of control by authorities as required under the European Union catch certification scheme.

A specimen of the U.S. catch certificate, which shall replace the European Union Catch Certificate and Re-export Certificate, is provided in Appendix 1. This revised U.S. catch certificate may cover fisheries products obtained from catches made by single vessels or groups of vessels as described in Appendix 2. MUTUAL ASSISTANCE

Mutual assistance under Article 51 of Regulation (EC) No 1005/2008 shall be developed to facilitate the exchange of information and administrative cooperation between respective competent authorities in the United States and Member States of the European Union, based on the detailed rules on mutual assistance laid down in Regulation (EC) No 1010/2009. Appendix 1

UNITED STATES DEPA			ISTRATION	Document Nu Validating Au	
The state of surger					od Inspection Program
UNITED STATES Attes	tation of Legal	Catch for I	Products Caugh		
VALIDATING AUTHORITY	and of Degan		Address		
Name			Address		
Tel:			-		
		Expor			
Name			Seal	NMM42	
Address			-		
				Reduct of UST A	
Signature	Date			21MM	
	C a				
DESCRIPTION OF PRODUCT	Co	mmodity D	Description		
Species (Scientific Name)	Net weight	U.S. Comm	odity Code	FAO Catch Area	Catch Date or Range
	- Dr	oduction D	oscription		
VESSEL NAME/FISHING GROUP			CENCE/REGISTRA	TION DETAILS	
	Fla - S				
	riag Si	ate Authol	rity Validation		
ATTESTATION This attestation is admissible in all courts of	the United States as	prima facie es	vidence of the truth of	of the statements therein	contained. This
attestation does not excuse failure to comply forges or counterfeits any official Seafood In	with any Federal or	state laws. W	ARNING: Any pers	on who knowingly false	ly makes, issues, alters,
false making, issuing, altering, forging or co both (7 U.S.C. §1622).	interfeiting, is subject	ct to a fine of	not more than \$1000	or imprisonment for no	t more than 1 year, or
I certify to the best of my knowledge that the	items in the shipme	nt listed herei	n were caught in cor	upliance with	OFFICIAL STAMP
the Magnuson-Stevens Fishery Conservation State and Federal conservation and managen	and Management A	ct (16 U.S.C.	1801 /et seq./) and c	ther applicable	NO NID ATMOSPHERIO
management measures to which the United S		uons, and me	mauonai conservauo	đ	
				ATOWA	TIMITE
				2	No.
Name and Signature of Official Inspector			D	late	P GERMATMENT OF COMMENCE
NOAA National Marine Fisheries Service					ment of a

								Docu	ment Number:	
								Date:		
			Tr	ansnor	t Details					
Country of Exp	ortation				/other place	e of depart	ure (embarl	kation):	:	
Vessel Name ar	nd Flag:				umber(s): I if necessar	ry) Nar	ne			
Flight number/a	airway bill number:					Add	lress			
Other transport	document(s):					Sig	nature			
			Im	porter	Declarat	ion				
EU IMPORTI Name	ER					Sea	l			
Address										
Signature				Date		Pro	duct CN Co	ode		
Documents refe	erences			Refere	nces					
			Impo		trol Auth	nority				
IMPORT CON	NTROL AUTHORI	ΊTΥ		Place				Verification requested – date		
					portation su	tation authorized tation suspended				
Customs declar	ation (if issued)		Number			Date			Place	
			Declaration	of Tra	nsshipme	ent at sea	a			
Fishing Vessel	Name	Name, Signa	ture and date		Transshipment Date/ Area/ P			ea/ Position Est. weight (kg)		
Receiving Vess	el Name	Name, Signa	iture		Call Sign	1			IMO/Lloyds	Number (if issued)
		Transs	hipment Au	thoriza	ation wit	hin a Po	rt Area			
Name	Authority	Signature	Address		Tel.	Port	of Landing	Da	te of Landing	Seal
		R	e–Export Ce	ertifica	te Inforn	nation				
CERTIFICAT	E NUMBER	Г	Date				Memb	er State		
Description of r	re-exported product:	l			Weight (Kg	g)				
Species		Product Co	ode	l			Balanc catch c		total quantity dete:	eclared in the
Name of re-exp	orter	Address	Address		Signature		ure		Date	;
Authority		I								
Name/Title		Signature				Date		Se	eal/Stamp	
Re-export Cont	rol									
Place		Re-exp	ort Authorized				Re-exp	ort Dec	claration numbe	r and Date
Verification Requested			l							

Appendix 2

The U.S. Catch Documentation Scheme, as revised in 2019, is designed to issue a single form of catch certificate for export consignments from the United States to the European Union (EU) of fishery products, raw and processed.

On the U.S. catch certificate, U.S. exporters will be required to 1) list the single vessel responsible for the harvest of fish or fisheries products comprising the respective consignment with all of the applicable information required on the United States Attestation of Legal Catch; or 2) provide a vessel grouping name responsible for the harvest of fish or fisheries products comprising the respective consignment with all of the applicable information required on the United States Attestation of Legal Catch.

The grouping feature will be used for fisheries subject to significant commingling of catch at-sea or on-shore (for example, fisheries including but not limited to: those where initial catches are divided by size before further dispatch e.g., lobster; or those in which multiple harvest vessels deliver fish to tender vessels at sea).

Groupings will be managed by the U.S. producer or processor requesting the certificate and subject to audit. Reflecting current U.S. practices, the U.S. producer or processor will be responsible for retaining all information corresponding to the vessels or list of vessels which contributed to the consignment and providing that information to the U.S. Government competent authority upon request.

The single vessel or grouping feature will enable the United States to produce a single certificate per shipment while having access to the complete vessel information behind each shipment.

This information will be available to authorities in importing Member States upon request to the U.S. Government competent authority.]

Textual Amendments

F5 Substituted by Commission Implementing Regulation (EU) 2020/423 of 19 March 2020 amending Regulation (EC) No 1010/2009 as regards administrative arrangements with third countries on catch certificates for marine fisheries products.

Section 3 U.K.

NEW ZEALAND

CATCH CERTIFICATION SCHEME

In accordance with Article 12(4) of Regulation (EC) No 1005/2008, the catch certificate provided for in Article 12 and Annex II of Regulation (EC) No 1005/2008 shall be replaced — for fisheries products obtained from catches made by fishing vessels flying the flag of New Zealand — by the New Zealand catch certificate, which is an electronic traceability and certification system under the control of the New Zealand authorities ensuring the same level of control by authorities as required under the Community catch certification scheme.

A specimen of the New Zealand catch certificate, which shall replace the European Community Catch Certificate and Re-export Certificate for catches by fishing vessels registered in New Zealand and which are landed in New Zealand from 1 January 2010, is given in Appendix I.

Supporting explanatory notes on the New Zealand catch certificate are given in Appendix II.

Documents referred to in Article 14(1) and (2) of Regulation (EC) No 1005/2008 may be communicated by electronic means. MUTUAL ASSISTANCE

Mutual assistance under Article 51 of Regulation (EC) No 1005/2008 shall be developed to facilitate the exchange of information and assistance between respective competent authorities

in New Zealand and Member States of the European Community, based on detailed rules on mutual assistance laid down in Commission Regulation (EC) No 1010/2009. Appendix I

Specimen of the New Zealand catch certificate

NEW ZEALAND MINISTRY FOR PRIMARY INDUSTRIES

Certificate Number

Catch Certificate

Name a	nd address of consignor:		porting Country New Zealand mpetent Authority Ministry for Primary Industries				
Name a	and address of consignee:	Dep	parture Date:	Port of Loading:			
		Mea	ans of Transport:				
Item	Number and kind of packag	es Descript	ion of product	Net Weight			
	Packages in Total			Total Weight			
Vessel	names / Registration:						
Permit ł	nolder signatures/numbers:						
IMO nui	mbers:		Catch areas:				
Harmon	ised System Code:		Catch dates:				
Species	:	Batch/Lot:	Co	ontainer (& Seal) Numbers:			
Comme	nts:						
Unofficia	al Commercial Information:						

Contact point of validating authority:

New Zealand Ministry for Primary Industries, Pastoral House, 25 The Terrace, P.O. Box 2526, Wellington 6140, New Zealand. Phone +64 4 894 0100, Fax +64 4 894 0720.

- 1. The fish was not subject to transhipment.
- 2. This fish from which this consignment was derived were caught by New Zealand vessels which, at the time of harvesting, were registered and operating under the authority of a valid fishing permit and under the jurisdiction of New Zealand's fisheries management laws as contained in the Fisheries Act 1996 of international fisheries agreements and conservation management measures to which New Zealand is a party.

Official information:	
Done at Seal	Signature of official inspector, New Zealand Government
	Name, title and qualifications

EU300.7

For Community Use Only

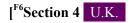
1. Importer Declaration											
Name and address of import	er		Signature			Date		Seal		Product CN code	
Documents under Articles 14 Regulation (EC) No 1005/200	ocuments under Articles 14(1), (2) of References egulation (EC) No 1005/2008		ces								
2. Import control – authority	F	Place	Place Importati authorise						/erificati requeste	on ed – date	
Cumstoms declaration (if issued)	Num	Number			Date Place)			
(*) Tick as appropriate											
									7		

EUROPEAN COMMUNITY RE-EXPORT CERTIFICATE							
Certificate number	Date			Member St	ate		
1. Description of re-exported p	product	7	Weight (kg	1)			
Species	Species Product code			Balance from total quantity declared in the catch certificate			
2. Name of re-exporter Address			Signature		Date		
3. Authority							
Name/Title	Signature		Date		Seal/Stamp		
4. Re-export control							
Place	Re-export authorised (*)	Verif	ication requ	ested (*)	Re-export declaration number and date		
(*) Tick as appropriate				•			

Appendix II Supporting explanatory notes on the New Zealand catch certificate

The 'consignor' is the 'exporter'

Any information included in an 'unofficial information' box and information that follows the New Zealand government signatures is not validated by the New Zealand government.



ICELAND

CATCH CERTIFICATION SCHEME

In accordance with Article 12(4) of Regulation (EC) No 1005/2008, the catch certificate provided for in Article 12 and Annex II to that Regulation shall, as of 1 January 2010, be replaced — for fisheries products obtained from catches made by fishing vessels flying the Icelandic flag — by the Icelandic catch certificate, based on the Icelandic System on weighing and recording of catches, which is an electronic traceability system under the control of the Icelandic authorities ensuring the same level of control by authorities as required under the EU catch certification scheme.

A specimen of the Icelandic catch certificate is given in the Appendix.

Documents referred to in Article 14(1) and (2) of Regulation (EC) No 1005/2008 may be communicated by electronic means.

Iceland shall require a catch certificate for landings and imports to Iceland of catches made by fishing vessels flying the flag of a Member State of the European Union. MUTUAL ASSISTANCE

Mutual assistance pursuant to Article 51 of Regulation (EC) No 1005/2008 shall be developed to facilitate the exchange of information and the assistance between the respective authorities in Iceland and in the Member States of the European Union, based on the detailed rules on mutual assistance laid down in Commission Regulation (EC) No 1010/2009. Appendix

CATCH CERTIFICATE		www.fiskistofa.is	ies
Country of dispatch: Iceland Competent authority: Directorate of Fish Inspection body: Directorate of Fish			
. Details identifying the fishery products			
Description: Product code Description - Species (scientific nam	ne) Processing	Packaging and nr. o	f Net weight
			Sum
I. Provenance of the fishery products Registration number(s) and name(s) of the the competent authority, landing date(s) of vessel and port of landing:			
Registration number(s) and name(s) of the the competent authority, landing date(s) of vessel and port of landing:		hery product(s) authorized for e	
Registration number(s) and name(s) of the the competent authority, landing date(s) of		hery product(s) authorized for e	r of the
Registration number(s) and name(s) of the the competent authority, landing date(s) of vessel and port of landing: III. Destination of the fishery product Name and address of consignor: IV. Transportation details Means of transport (please fill out the appropr Country of exportation: Iceland	the catch to be exported, fi iate section) sel name and flag:	hery product(s) authorized for e shing gear, fishing area, operato Name and address of consign	r of the
Registration number(s) and name(s) of the the competent authority, landing date(s) of vessel and port of landing: III. Destination of the fishery product Name and address of consignor: IV. Transportation details Means of transport (please fill out the appropric outry of exportation: Iceland Container no: Vess	the catch to be exported, fi iate section) sel name and flag:	hery product(s) authorized for e shing gear, fishing area, operato Name and address of consign	r of the

Done at Hafnarfjörður, xxxxx

Electronic identification of exporter

Directorate of Fisheries

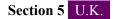
Importer declaration						
Name and adress of importer	Signature	Date	Seal	Product CN code		
Documents under Articles 14(1), (2) of Regulation (EC) No 1005/2008	References					
Import control authority	Place	Importation authorised(*)	Importation suspended(*)	Verification requested date		
Customs declaration (if issued)	Number		Date	Place		

Importer declaration, import control and re-export certificate (For EC use only)

EUROPEAN COMMUNITY RE-EXPORT CERTIFICATE							
Certificate number	Date	Date			Member State	Ð	
1. Description of re-ex	ported produ	uct	Weight (kg)				
Species		Product code			Balance from total quantity dec in the catch certificate		
2. Name of re-exporter	Ado	Address			gnature		Date
3. Authority							
Name/title	Sig	nature		Date			Seal/stamp
4. Re-export control							
Place	e Re-export authorised (*)						rt declaration and date
(*) Tick as appropriate.							

Textual Amendments

F6 Inserted by Commission Regulation (EU) No 395/2010 of 7 May 2010 amending Commission Regulation (EC) No 1010/2009 as regards administrative arrangements on catch certificates.



CANADA

CATCH CERTIFICATION SCHEME

In accordance with Article 12(4) of Council Regulation (EC) No 1005/2008, the catch certificate provided for in Article 12 and Annex II to that Regulation shall be replaced — for fisheries products obtained from catches made by fishing vessels flying the flag of Canada — by Canadian catch certificates based on the Canadian Fisheries Certificate System (FCS) (described in Appendix 3), which is an electronic traceability system under the control of the Canadian authorities ensuring the same level of control by authorities as required under the EU catch certification scheme.

Specimens of the Canadian catch certificates which shall replace the European Community Catch Certificate and Re-export Certificate as from 1 January 2010 are given in Appendices 1 and 2.

Catches stemming from aboriginal fishing techniques or from fishing vessels as defined in Article 6 of Commission Regulation (EC) No 1010/2009 shall be accompanied by a simplified Canadian catch certificate given in Appendix 2.

Documents referred to in Article 14(1) and (2) of Regulation (EC) No 1005/2008 may be communicated by electronic means. MUTUAL ASSISTANCE

Mutual assistance pursuant to Article 51 of Regulation (EC) No 1005/2008 shall be developed to facilitate the exchange of information and administrative cooperation between respective competent authorities in Canada and Member States of the European Union, based on detailed rules on mutual assistance laid down in Commission Regulation (EC) No 1010/2009. Appendix 1

Fisheries and Oceans Pêches et Océans Canada Canada

Canadian Catch Certificate (Standard) for the European Community	
Certificat de capture canadien (standard) pour la Communauté européenne	

Security Code / Code de sécurité :

Document Number	Validating Authority
Numéro du document	Autorité validante

Identification

Issued and Validated Electronically by: / Émis et validé électroniquement par :

Name	Address	Tel / Fax
Nom	Adresse	Tél / Téléc

Fishing Vessel(s) / Navire(s) de pêche

Fishing Vessel Name Nom du navire de pêche	Flag Pavi	ilag Home Por avillon Port d'atta			Call Sign Indicatifd'appel	IMO / Lloyds Number (if issued) Numéro Lloyd's / OMI (le cas échéant)
	Registration Number Numéro d'immatriculation					
Fishing License Number Numéro du permis de pêche				INMARSAT Number Numéro, téléphone,	Address (if issued) ARSAT (le cas échéant)	

Product / Produit

Type of Processing Authorized on Board / Type de transformation autorisée à bord

Species / Espèces

References of applicable conservation and management measures / Références aux mesures applicables de conservation et de gestion									
Common Name) Espèces	Product Code (Harmonized System) Code du produit (systême harmonisê)	Product Weight Poids du produit	Catch Area(s) and Date Zone(s) et dates de capture	Estimated Live Weight Poids vif estimé	Est. Weight to be Landed Poids à débarquer estimé	Verified Weight Landed Poids débarqué vérifié			
		(kg)		(kg)	(kg)	(kg)			

Master of Fishing Vessel / Capitaine du navire de pêche

Name of Master of Fishing Vessel:

Nom du capitaine du navire de pêche :

CERTIFICATE - DO NOT COPY CERTIFICAT - NE PAS COPIER

THIS CERTIFICATE MAY BE VERIFIED AT: CE CERTIFICAT PEUT ÊTRE VÉRIFIÉ À : http://fcs-scp.dfo-mpo.gc.ca/fcsweb/ViewCertificate.aspx



Canadian Catch Certificate (Standard) for the European Community Certificat de capture canadien (standard) pour la Communauté européenne

Security Code / Code de sécurité :

Declaration of Transhipment at Sea / Déclaration de transbordement en mer

Name of Master of Fishing Vessel	Signature and Date		Transhipment Date / A	Est. Weight (kg)			
Nom du capitaine du navire de pêche	Signature et date		Date / zone / position (Poids estimé (kg)			
Name of Master of Receiving Vessel Nom du capitaine du navire receveur	Signature	ure Vessel Nom du		Call Sign IMO / Llo Indicatif d'appel kuméro l échéant)		yds Number (if issued) Lloyd's / OMI (le cas	

Transhipment Authorization Within a Port Area / Autorisation de transbordement dans une zone portuaire

Name Nom	Authority Autorité	Signature	 Tel. Tél.	Port of Landing Port de	Date of Landing Date du	Seal Cachet
				débarquement	débarquement	

Exporter / Exportateur

Name and Address Nom et adresse	Signature (if available / si disponible)	Date	Seal Cachet

Flag of Authority Validation / Validation du pavillon autoritaire

Name - Title Nom - titre	Signature	Seal Cachet

ATTESTATION

The signatory attests that the catch identified in this catch and re-export certificate is validated as non-IUU in accordance with the Agreed Record of fisheries consultations between the European Community and Canada on the European Community regulation (No. 1005/2008), signed in November 2009, to prevent, deter and eliminate illegal, unreported and unregulated fishing.

Le signataire affirme que la capture, identifiée sur ce certificat de capture et de réexportation, a été validée comme étant non-INN conformément à l'entente de Le agricement aimine que la vapore, nomme sur les centres de laport et le receptionation, ette vanice continue taim non-intra concontentent à terrettie de collaboration des consultations sur les pêches entre la Communaté européenne et le Canada pour le règlement de la Communauté européenne (No. 1005/2008), signée en novembre 2009, afin de prévenir, décourager et éradiquer la pêche illicite, non décklarée et non réglementée (INN).

CERTIFICATE - DO NOT COPY CERTIFICAT - NE PAS COPIER

THIS CERTIFICATE MAY BE VERIFIED AT:

CE CERTIFICAT PEUT ÊTRE VÉRIFIÉ À : http://fcs-scp.dfo-mpo.gc.ca/fcsweb/ViewCertificate.aspx

Page 2 of / de 5

Canadian Catch Certificate (Standard) for the European Community Certificat de capture canadien (standard) pour la Communauté européenne

Security Code / Code de sécurité :

Transport Details (See Appendix A) / Détails relatifs au transport (voir l'appendice A)

Importer Declaration / Déclaration de l'importateur

Name and Address of Importer Nom et adresse de l'importateur	Signature	Date	Seal Cachet	Product CN Code Code NC du produit
Documents under Articles 14(1), (2) of Regulation (EC No. 1005/2008)	References Références			
Documents relevants des Articles 14(1), (2) du Règlement (EC No. 1005/2008)				

Import Control / Contrôle de l'importation

Import Control - Authority Contrôle à l'importation - Autorité	Place Lieu	Importation Authorized (*) Importation autorisée (*)	Importation Suspended (*) Importation suspendue (*)	Verification Requested - Date Vérification demandée - date
Customs Declaration (if issued) Déclaration des douanes (le cas échéant)	Number Numéro			Place Lieu

(*) Check as appropriate / Cocher la case appropriée

CERTIFICATE - DO NOT COPY CERTIFICAT - NE PAS COPIER

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Page 3 of / de 5

Canadian Catch Certificate (Standard) for the European Community Certificat de capture canadien (standard) pour la Communauté européenne

Security Code / Code de sécurité :

Appendix A / Appendice A

Transport Details / Détails relatifs au transport

Country of Exportation	Name and Address
Pays d'exportation	Nom et adresse
Port - Airport - Other Place of Departure	7
Port - aéroport - autre lieu de départ	
Vessel Name and Flag	Exporter Signature
Nom et pavillon du navire	Signature de l'exportateur
Flight Number - Airway Bill Number	Container Number(s)
Numéro de vol - Numéro de lettre de transport aérien	Numéro du (des) conteneur(s)
Truck Nationality and Registration Number	
Nationalité et numéro d'immatriculation du camion	
Railway Bill Number	
Numéro de lettre de voiture de train	
Other Transport Document	1
Autre document de transport	

CERTIFICATE - DO NOT COPY CERTIFICAT - NE PAS COPIER

THIS CERTIFICATE MAY BE VERIFIED AT: CE CERTIFICAT PEUT ÊTRE VÊRIFIÊ À: http://fcs-scp.dfo-mpo.gc.ca/fcsweb/ViewCertificate.aspx

Page 4 of / de 5

Canadian Catch Certificate (Standard) for the European Community Certificat de capture canadien (standard) pour la Communauté européenne

Security Code / Code de sécurité :

Information on Re-exportation / Information sur la réexportation

Certificate Number Numéro du certificat		Date				Member State État membre			
Description of Re-Exported Product Description du produit réexporté				Weight (kg) Poids (kg)					
Species Espèces			Code du produit		Balance from Total Quantity Declared in the Catch Certificate (kg) Écart par rapport à la quantité déclarée dans Certificat de capture (kg)			quantité déclarée dans le	
[······ -··· -··· -··· -··· -··· -··· -		Address Adresse			ture				Date
Authority / Autorité									-
Name - Title Nom - Titre		Signatur	e		Da	ite			- Stamp het - Tampon
Re-Export Control / Contrôle à la	a réexportation	·							
	Re-Export Authorized (*) Réexportation autorisée					Re-Exportation Declaration Number and Date Numéro et date de la déclaration de réexportation			

CERTIFICATE - DO NOT COPY CERTIFICAT - NE PAS COPIER

THIS CERTIFICATE MAY BE VERIFIED AT: CE CERTIFICAT PEUT ÊTRE VÉRIFIÉ À : http://fcs-scp.dfo-mpo.gc.ca/fcsweb/ViewCertificate.aspx

se appropriée

(*) Check as appropriate / Co

Page 5 of / de 5

Appendix 2

Fisheries and Oceans Canada Pêches et Océans Canada

Canadian Catch Certificate (Group Based) for the European Community	
Certificat de capture canadien (basé sur le groupe) pour la Communauté européenne	

Security Code / Code de sécurité :

Docu	ment Number	Validating Authority
Numé	ero du document	Autorité validante

Identification

Issued and Validated Electronically by: / Émis et validé électroniquement par :

Name	Address	Tel / Fax
Nom	Adresse	Tél / Téléc

Group(s) / Groupe(s)

Fishing Group Name Nom du groupe de pêche	Community / Fishing Licence Details Détails sur la communauté / le permis de pêche	Group Characteristic Caractéristique du groupe

Species / Espèces

References of applicable conservation and management measures / Références aux mesures applicables de conservation et de gestion										
Species (Taxoomic Serial Number - Common Name) ESpèces (Numèro de sèrie taxonomique - nom commun)	Product Code (Harmonized System) Code du produit (systeme harmonise)	Product Weight Poids du produit (kg)	Catch Area(s) and Date Zone(s) et dates de capture	Estimated Live Weight Poids vif estimé (kg)	Est. Weight to be Landed Poids à débarquer estimé (kg)	Verified Weight Landed Poids débarqué vérifié (kg)				

Declaration of Transhipment at Sea (if applicable) / Déclaration de transbordement en mer (le cas échéant)

Name of Master of Fishing Vessel Nom du capitaine du navire de pêche	, °			Date / Area / Position osition de transborde	Est. Weight (kg) Poids estimé (kg)	
Name of Master of Receiving Vessel Nom du capitaine du navire receveur	Signature	Signature Vessel Name Nom du navi		, v	IMO / Lloyds Number (if issued) Numéro Lloyd's / OMI (le cas échéant)	

CERTIFICATE - DO NOT COPY CERTIFICAT - NE PAS COPIER

THIS CERTIFICATE MAY BE VERIFIED AT: CE CERTIFICAT PEUT ÊTRE VÊRIFIÊ À: http://fcs-scp.dfo-mpo.gc.ca/fcsweb/ViewCertificate.aspx



Canadian Catch Certificate (Group Based) for the European Community Certificat de capture canadien (basé sur le groupe) pour la Communauté européenne

Security Code / Code de sécurité :

Transhipment Authorization Within a Port Area (if applicable) /

Autorisation d	e transbordem	ent dans une zon	e portuaire (le cas	s échéant)			
Name	Authority	Signature	Address	Tel.	Port of Landing	Date of Landing	Seal
Nom	Autorité	-	Adresse	Tél.	Port de	Date du	Cachet
					débarquement	débarquement	

Exporter / Exportateur

Name and Address Nom et adresse	Signature (if available / si disponible)	Seal Cachet

Flag of Authority Validation / Validation du pavillon autoritaire

Name - Title / Nom - titre	Signature	Date	Seal Cachet

ATTESTATION

The signatory attests that the catch identified in this catch and re-export certificate is validated as non-IUU in accordance with the Agreed Record of fisheries consultations between the European Community and Canada on the European Community regulation (No. 1005/2008), signed in November 2009, to prevent, deter and eliminate illegal, unreported and unregulated fishing.

Le signataire affirme que la capture , identifiée sur ce certificat de capture et de réexportation, a été validée comme étant non-INN conformément à l'entente de collaboration des consultations sur les pêches entre la Communauté européenne et le Canada pour le règlement de la Communauté européenne (No. 1005/2008), signée en novembre 2009, afin de prévenir, décourager et éradiquer la pêche illicite, non déclarée et non réglementée (INN).

CERTIFICATE - DO NOT COPY CERTIFICAT - NE PAS COPIER

THIS CERTIFICATE MAY BE VERIFIED AT:

CE CERTIFICAT PEUT ÊTRE VÉRIFIÉ À: http://fcs-scp.dfo-mpo.gc.ca/fcsweb/ViewCertificate.aspx

Page 2 of / de 5

Canadian Catch Certificate (Group Based) for the European Community Certificat de capture canadien (basé sur le groupe) pour la Communauté européenne

Security Code / Code de sécurité :

Transport Details (See Appendix A) / Détails relatifs au transport (voir l'appendice A)

Importer Declaration / Déclaration de l'importateur

Name and Address of Importer Nom et adresse de l'importateur	Signature	Date	Seal Cachet	Product CN Code Code NC du produit
Documents under Articles 14(1), (2) of Regulation (EC No. 1005/2008)	References / Références			
Documents relevants des Articles 14(1), (2) du Règlement (EC No. 1005/2008)				

Import Control / Contrôle de l'importation

Import Control - Authority Contrôle à l'importation - Autorité	Place Importation Lieu Authorized (*) Importation autorisée (*)		Importation Suspended (*) Importation suspendue (*)	Verification Requested - Date Vérification demandée - date
Customs Declaration (if issued) Déclaration des douanes (le cas échéant)	Number Numéro		Date	Place Lieu

(*) Check as appropriate / Cocher la case appropriée

CERTIFICATE - DO NOT COPY CERTIFICAT - NE PAS COPIER

THIS CERTIFICATE MAY BE VERIFIED AT:

CE CERTIFICAT PEUT ÊTRE VÊRIFIÊ À: http://fcs-scp.dfo-mpo.gc.ca/fcsweb/ViewCertificate.aspx

Page 3 of / de 5

Canadian Catch Certificate (Group Based) for the European Community Certificat de capture canadien (basé sur le groupe) pour la Communauté européenne

Security Code / Code de sécurité :

Appendix A / Appendice A

Transport Details / Détails relatifs au transport

Country of Exportation	Name and Address
Pays d'exportation	Nom et adresse
Port - Airport - Other Place of Departure	
Port - aéroport - autre lieu de départ	
Vessel Name and Flag	-
Nom et pavillon du navire	
Flight Number - Airway Bill Number	Exporter Signature
Numéro de vol - Numéro de lettre de transport aérien	Signature de l'exportateur
Truck Nationality and Registration Number	Container Number(s)
Nationalité et numéro d'immatriculation du camion	Numéro du (des) conteneur(s)
Railway Bill Number	
Numéro de lettre de voiture de train	
Other Transport Document	1
Autre document de transport	

CERTIFICATE - DO NOT COPY CERTIFICAT - NE PAS COPIER

THIS CERTIFICATE MAY BE VERIFIED AT: CE CERTIFICAT PEUT ÊTRE VÊRIFIÊ À: http://fcs-scp.dfo-mpo.gc.ca/fcsweb/ViewCertificate.aspx

Page 4 of / de 5

Canadian Catch Certificate (Group Based) for the European Community Certificat de capture canadien (basé sur le groupe) pour la Communauté européenne

Security Code / Code de sécurité :

Information on Re-exportation / Information sur la réexportation

Certificate Number Numéro du certificat			Date	Date			Member State État membre			
Description of Re-Exported Product Description du produit réexporté					Weigh Poids					
Species Espèces			Code du produit C			Balance from Total Quantity Declared in the Catch Certificate (kg) Écart par rapport à la quantité déclarée dans l Certificat de capture (kg)				
Name of Re-Exporter Addree Add					Signatu	Signature			Date	
Authority / Autorité										
Name - Title Nom - Titre		ę	Signature)			Date			I - Stamp :het - Tampon
Re-Export Control / Contrôle a	à la réexportation									
Place Re-Export Authorized (*) Lieu Réexportation autorisée					Re-Exportation Declaration Number and Date Numéro et date de la déclaration de réexportation					

CERTIFICATE - DO NOT COPY CERTIFICAT - NE PAS COPIER THIS CERTIFICATE MAY BE VERIFIED AT: CE CERTIFICATE MAY BE VERIFIED AT: CE CERTIFICATE PEUT ÊTRE VÊRIFIE À : http://fcs-scp.dfo-mpo.gc.ca/fcsweb/View/Certificate.aspx

Page 5 of / de 5

Appendix 3

The Canadian Fisheries Certificate System (FCS) is designed to issue standard and simplified catch certificates.

The FCS will be used to issue and to validate a catch certificate for export consignments from Canada to the European Union of conventional fishery products including live, fresh, frozen, salted, canned and/or smoked and dried fish, using raw material from non-vessel fisheries,

aboriginal fisheries, or small and large fishing vessels and/or involving production comprising several steps in the production process.

On simplified certificates, Canada will group certain vessels to maximise efficiency. However, the FCS will maintain a complete link to vessels contained in groupings and these vessels are further linked to their license or registration information and the declared catch on the certificate.

The grouping feature will be used for some product forms and, in particular, for fisheries using collector vessels which purchase from several fishing vessels and issue records of sales at sea, for non-vessel fisheries such as beach seine, beach clams, ice fishing, for some inshore fisheries, and for aboriginal fisheries where fisheries may occur at the community level. Groupings will be export company-specific and will be modified for each shipment, as required.

The grouping feature will enable Canada to produce a single certificate per shipment while keeping all the information behind the certificate (vessel license/registration) available in the database.

This information will be available to EU Member States authorities in importing countries through our website or through direct telephone line to our certificate office.

Third parties countries may also contact the certificate office for information on indirect exports.

Section 6 U.K.

FAROE ISLANDS

CATCH CERTIFICATION SCHEME

In accordance with Article 12(4) of Regulation (EC) No 1005/2008, the catch certificate provided for in Article 12 and Annex II to that Regulation shall be replaced — for fisheries products obtained from catches made by fishing vessels flying the Faroese flag — by the Faroese catch certificate based on the Faroese system of sales notes and logbooks which is a traceability system under the control of the Faroese authorities ensuring the same level of control by authorities as required under the EU catch certification scheme.

A specimen of the Faroese catch certificate, which shall replace the European Community Catch Certificates and Re-export Certificate as from 1 January 2010, is given in the Appendix.

Documents referred to in Article 14(1) and (2) of Regulation (EC) No 1005/2008 may be communicated by electronic means. MUTUAL ASSISTANCE

Mutual assistance pursuant to Article 51 of Regulation (EC) No 1005/2008 shall be developed to facilitate the exchange of information and assistance between respective competent authorities of the Faroe Islands and Member States of the European Union, based on detailed rules on mutual assistance laid down in Commission Regulation (EC) No 1010/2009. Appendix]]

Catch certification scheme for fishery products exported from Faroes to the European Community under Articles 12(4) and 20(4) of the Council Regulation EC 1005/2008 and the Commission Regulation EC 1010/2009 laying down the detailed rules for the implementaion of the same Regulation to replace the European Community catch certificate.

Document number 1.1	1. Validating authority	authority			Address				Tel.		Fax.	
2. Fishing Vessel name Ho ref	Home port, registration number	Call sign	Fishing licence No - Valid to	3. Catch area	Start	End	Species	Product code	Verified landing weight (kg), (Estimated weight to be landed if direct landing in EC port)	4. Reference of applicable conservation and management measures	Number of fishing logbook	5. Name of master of fishing vessel
6. If transhipment at sea. date. area/position	e. area/posi	Ition					7. If transhipment wi	7. If transhipment within a port area. date and name of port	and name of port			
Varie of receiving vessel	e' ai cal has		Call sign		I Sp/o/Lloyds I	No (if issued)	IMO/Lloyds No (if issued) Name of master of receiving vessel	sceiving vessel			Estimated weight (kg)	eight (kg)
8. Name and address of exporter	rter		Address of exporter	porter				Signature			Date	
9. Authority validation Validated by (name/title)									Date			
10. Transport details, country of exportation	/ of exporta	tion				Port/airport/	Port/airport/other place of departure	ture				
Vessel name and flag						Container nu	Container number(s): list attached			Name and address of exporter	ıf exporter	
Flight number/airway bill number	mber											
Truck nationality and registration number	ation numbe	ŝ										
Railway bill number												
Other transport documents												

Changes to legislation: There are outstanding changes not yet made to Commission Regulation (EC) No 1010/2009. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

11. Importer declaration					
Name and address of importer	Signature	Date	Seal		Product CN code
Documents under Article 14(1),(2) of Regulation (EC 1005/2008)	References				
12. Import control - authority	Place		Importation authorised*	Importation suspended*	Verification requested - date
Custom declaration (if issued)	Number		Date		Place
# Tick as announcieta					

Tick as appropriate

	EUROPEAN COMMUNITY RE-EXPORT CERTIFICATE	Y RE-EXPORT CERTIFI	CATE		
Certificate number	Date	Member state			
1. Description of re-exported product		Weight (kg)			
Species	Product code	t code	Balance from certificate	Balance from total quantity declared in catch certificate	
Name of re-exporter	Address	Signature		Date	
3. Authority					
Name/title	Signature	Date		Seal/stamp	
4. Re-export control					
Place	Re-export authorised (*)	Verification requested (*)		Re-export declaration number and date	
(*) Tick as appropriate					-



SOUTH AFRICA

CATCH CERTIFICATION SCHEME

In accordance with Article 12(4) of Regulation (EC) No 1005/2008, the catch certificate provided for in Article 12 and Annex II of that Regulation shall be replaced — for fisheries products obtained from catches made by fishing vessels flying the flag of South Africa — by South African catch certificates, which is an electronic traceability system under the control of the South African authorities ensuring the same level of control by authorities as required under the European Union catch certification scheme.

Specimens of the South African catch certificates which shall replace the European Union Catch Certificate and Re-export Certificate and are given in Appendix I.

Documents referred to in Article 14(1) and (2) of Regulation (EC) No 1005/2008 may be communicated by electronic means. Appendix I]

EU	ROPEAN COMMUNIT	Y CATCH CERTIFICA	TE
Document Number:			Application Number:
1. VALIDATING AUTHORITY			
Name:	Address:		T <mark>eleph</mark> one:
Contact: (Name/Title)	Telephone No:	Fax No:	Email Address:

2. FISHING VES	SEL(S)				<	· ///	
Vessel Name	Flag/Home Port/Reg No	Call Sign	IMO/ Loyd's No	Licence No	Valid To	Inmarsat No	Inmarsat Tel No

Vessel Name	HS Tariff Code	Species	Catch Area	Catch Dates	Net Weight (kg)	Verified Net Weight (kg)
					-	N/A
				1		N/A
		///		/		N/A
				1		N/A
				1		N/A
				1		N/A
				1		N/A

Type of processing allowed onboard

4. REFERENCES OF APPLICABLE CONSERVATION AND MANAGEMENT MEASURES

5. FISHING VESSEL MASTER OR REPR	ESENTATIVE	
Name:	Signature:	Date:
	Signed electronically in terms of Articles 12(4) and 20(4) of Council Regulation EC 1005/2008	
Telephone No	Fax No	Email Address

6. DECLARATION OF TRANSHIPMENT AT SEA:

Inapplicable under South African Law

7. TRANSHIPM	ENT AUTHORISAT	ION WITHIN A PO	RT AREA	DRA			
Name	Authority	Signature	Address	Telephone	Port Of Landing	Date Of Landing	Seal (Stamp)
N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

8. EXPORTER DECLARATION			
Name and Address	Signature	Date	Seal (Stamp)

Signed electronically in terms of Articles 12(4) and 20(4) of Council Regulation EC 1005/2008

9. FLAG STATE AUTHORITY VALIDATION

This certificate is issued and validated electronically in accordance with the catch certificate scheme for fish and fish products exported from the Republic of South Africa to the European Union under Articles 12(4) and 20(4) of Council Regulation EC 1005/2008 Ref:www.catchcertificate.co.za

10. TRANSPORT	DETAILS					- 11	0
Country Of Exportation	Place Of Departure	Date Shipped	Shipped On (Vessel)	Flight / Waybill No	Shipped To	Cont No/Bill of lading	Seal No

Product CN code	Name and Address	Email Address	Signature	Seal
	Product CN code			
Documents (Articles 14(1),(2) of Regulation (EC) No 1005/2008)				
	Documents (Articles 14(1),(2)	of Regulation (EC) No 1005/2	008)	
	Documents (Articles 14(1),(2)	of Regulation (EC) No 1005/2	008)	
	Documents (Articles 14(1),(2)	o of Regulation (EC) No 1005/2	008)	
	Documents (Articles 14(1),(2)	of Regulation (EC) No 1005/2	008)	

12. IMPORT CONTROL		• <i>/ X</i> A R K	R .	
Authority	Place	Importation Authorised (*)	Importation Suspended (*)	Verification Requested - Date
Customs Declaration (if issued)	Number	Date	Place	
(*) Tick As Appropriate				

Certificate Number:	Date:	Member State	Member State	
Certificate Number.	Date.	Member State		
1. Description of re-exported pro	duct	Weight (kg)	2	
Species	Product Code	Balance from total quan certificate.	tity declared in the catch	
2. Name of re-exporter	Address	Signature	Date	
3. Authority Name/Title	Signature	Date	Seal/Stamp	
4. Re-export control				
Place	Re-export authorised (*)	Verification Requested (*)	Re-exported declaration number and date	
			1	

Textual Amendments

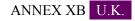
F7 Inserted by Commission Implementing Regulation (EU) No 1222/2011 of 28 November 2011 amending Regulation (EC) No 1010/2009 as regards administrative arrangements with third countries on catch certificates for marine fisheries products.

ANNEX XA U.K.	ANNEX XA	U.K.
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				garding sighted fis	shing vessels Flag:
Registration numb	ber (and, if a	opropriate, L	oyds IMO number):		
Description of ve	ssel (distingui	ishing markir	ngs):		
Type of vessel (e	.g. longliner,	trawler):			
Initial position: La	titude		Long	jitude (east/west)	
Fishing area, sub	area, division	:			
Contact/sighting (tick appropria	ate box): Visi	ual 🗆	Radar 🗆	Radio traffic 🗆
Radio contact ma	de with the v	/essel: Yes (⊐No □		
Details of person	(s) contacted	on board of	sighted vessel:		
Summary content	of radio con	versation: _			
Time and activity	(e.g. fishing,	transiting) o	f sighted vessel:		
Date:	Time:	:	Activity:	Direction:	Position:
Date:	Time:	:	Activity:	Direction:	Position:
Date:	Time:	:	Activity:	Direction:	Position:
Date:	Time:	:	Activity:	Direction:	Position:
Date:	Time:	:	Activity:	Direction:	Position:
Record of sighting	gs (e.g. by pl	hotograph or	video):		
Comments:					
Photograph or sk	etch of vesse	el, indicating	distinguishing struct	ures, profile, masts and	I markings:

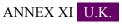
Date of reporting:

Reported by (contact details): _



Instructions for filling the format set out in Annex XA FILL IN AS MUCH INFORMATION AS POSSIBLE

- 1. Vessel name, call sign, flag and if possible registration and Lloyd IMO number are to be obtained from what is seen on the vessel or from radio contact with the vessels (the source of this information must be reported).
- 2. Distinguishing markings: state whether the name and port of registration of the vessel was visible or not. Record hull and superstructure colours, number of masts, position of bridge and funnel length, etc.
- 3. Type of vessel: describe the type of vessel and gear sighted (e.g. longliner, trawler, factory ship, carrier ship).
- 4. Position: record the initial sighting of the vessel, including fishing area/subarea/ division.
- 5. Activity of the sighted vessel: record the time of the sighting, activity of the vessel at that time and heading (degrees). Record whether the vessel was fishing, setting fishing gear, trawling, hauling or other activities. Space is available for up to five sightings of the same vessel, if more space is needed complete this section on the back of the form or on a separate sheet of paper. Record presence/absence of a streamer line.
- 6. Record of sighting: indicate if the sighting of the vessel was recorded on video or with photographs (record where documents have been deposited under comments).
- 7. Comments: indicate the direction in which the vessel was transiting. Summarise any radio conversation that took place with indication of the name, nationality, position given by the person(s) contacted on board of the sighted vessel.
- 8. Diagram of vessel: draw the profile of the vessel, indicating any distinguishing markings that could be used for identification.



Standard form for the exchange of information on request according to Article 45 I. REQUEST FOR INFORMATION

Applicant authority	
— Member State	
— name	
— address	
— contact details of the official in charge	
Requested authority	
— Member State	
— name	
— address	
— contact details of the official in charge	
Date of transmission of the request	
Reference number applicant authority	
No of attachments to this request	
Details on the natural or legal person and/or fishing vessel subject to the request	Provide all information available for the identification of concerned fishing vessels, masters, holders of fishing licences and/or fishing authorisations, owner, etc
Information requested on	
 possible IUU fishing as defined in Article 2(1) of Regulation (EC) No 1005/2008 or serious infringements referred to in Article 42(1)(b) and (c) of Regulation (EC) No 1005/2008 	Provide detailed questions and necessary background information and justification for the request
Article 45(1)	
possible infringements of Regulation (EC) No 1005/2008	Provide detailed questions and necessary background information and justification for the request
Article 45(1)	
Request to carry out administrative enquiries	Provide detailed questions and necessary background
Article 45(2)	information and justification for the request
Request for the supply of documents or certified true copies in the possession of the requested authority	Provide detailed questions and necessary background information and justification for the request
Article 45(4)	
Any other general information or question	

II. REPLY

Applicant authority	
— Member State	
— name	
— address	
— contact details of the official in charge	
Requested authority	
— Member State	
— name	
— address	
 contact details of the official in charge 	
Date of transmission of the request	
Reference number applicant authority	
Date of transmission of the reply	
Reference number requested authority	
No of attachments to this reply	
Information requested on	
□ possible IUU fishing as defined in Article 2(1) of Regulation (EC) No 1005/ 2008 or serious infringements referred to in Article 42(1)(b) and (c) of Regulation (EC) No 1005/2008	Provide all relevant information available or gathered in the context of the request
possible infringement of Regulation (EC) No 1005/2008	Provide all relevant information available or gathered in the context of the request
Request to carry out administrative enquiries	Provide details and results of the administrative enquiries carried out
Article 45(2)	
Request for the supply of documents or certified true copies in the possession of the requested authority	List the documents provided and attached as annex to this reply form
Article 45(4)	
Any other information	



Standard form for the request for administrative notification according to Article 48 I. REQUEST FOR ADMINISTRATIVE NOTIFICATION

Applicant authority	
— Member State	
— name	
— address	
— contact details of the official in charge	
Requested authority	
— Member State	
— name	
— address	
- contact details of the official in charge	
Date of transmission of the request	
Reference number applicant authority	
No of attachments to this request	
Details on the natural or legal person subject to the request	Provide all information available for the identification of the addressee of the administrative notification
Information on the subject of the instrument or decision to be notified	Provide all possible information on the subject of the instrument or decision to be notified

II. REPLY

Applicant authority	
— Member State	
— name	
— address	
- contact details of the official in charge	
Requested authority	
— Member State	
— name	
— address	
- contact details of the official in charge	
Date of transmission of the request	
Reference number applicant authority	
Date of transmission of the reply	
Reference number requested authority	

No of attachments to this reply	
Requested notification	
Information on the requested notification:	
- Date of notification to the addressee	Indicate date in case of successful notification
— failure of notification	Indicate reasons in case of failed notification
Other information	



[^{F8}List of products excluded from the definition of 'fishery products' set out in point 8 of Article 2 of Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing]

Textual Amendments

F8 Deleted by Commission Regulation (EU) No 202/2011 of 1 March 2011 amending Annex I to Council Regulation (EC) No 1005/2008 as regards the definition of fishery products and amending Regulation (EC) No 1010/2009 as regards prior notification templates, benchmarks for port inspections and recognised catch documentation schemes adopted by regional fisheries management organisations.

[^{F8}.....]

- (1) OJ L 286, 29.10.2008, p. 1.
- (**2**) OJ L 281, 23.11.1995, p. 31.
- (**3**) OJ L 8, 12.1.2001, p. 1.
- (4) OJ L 333, 20.12.2003, p. 17.
- (5) OJ L 226, 25.6.2004, p. 83.
- (6) OJ L 253, 11.10.1993, p. 1.
- (7) OJ L 145, 31.5.2001, p. 1.
- (8) [^{F1}OJ L 194, 24.7.2010, p. 1.]

Textual Amendments

F1 Substituted by Commission Regulation (EU) No 202/2011 of 1 March 2011 amending Annex I to Council Regulation (EC) No 1005/2008 as regards the definition of fishery products and amending Regulation (EC) No 1010/2009 as regards prior notification templates, benchmarks for port inspections and recognised catch documentation schemes adopted by regional fisheries management organisations.

Changes to legislation:

There are outstanding changes not yet made to Commission Regulation (EC) No 1010/2009. Any changes that have already been made to the legislation appear in the content and are referenced with annotations.

View outstanding changes

Changes and effects yet to be applied to :

- Title 1 applied (with modifications) by S.I. 2020/1599 reg. 6(2)(a)(3)
- Title 1 heading words substituted by S.I. 2019/739 reg. 12(2)
- Title 3 omitted by S.I. 2019/739 reg. 12(28)
- Title 2 Ch. 4 omitted by S.I. 2019/739 reg. 12(27)
- Title 4 omitted by S.I. 2019/739 reg. 12(28)
- Annex 1 applied (with modifications) by S.I. 2020/1599 reg. 6(2)(b)(3)
- Annex 1 word omitted by S.I. 2019/739 reg. 12(30)
- Annex 7 words omitted by S.I. 2019/739 reg. 12(33)
- Annex 8 words omitted by S.I. 2019/739 reg. 12(34)
- Annex 4 words omitted by S.I. 2019/739 reg. 12(31)
- Annex 9-12 omitted by S.I. 2019/739 reg. 12(35)
- Annex 6 words substituted by S.I. 2019/739 reg. 12(32)
- Art. 3(3) omitted by S.I. 2019/739 reg. 12(3)
- Art. 3(4) omitted by S.I. 2019/739 reg. 12(3)
- Art. 4 word substituted by S.I. 2019/739 reg. 12(4)(a)
- Art. 4 words omitted by S.I. 2019/739 reg. 12(4)(e)
- Art. 5 omitted by S.I. 2019/739 reg. 12(5)
- Art. 6(2) word substituted by S.I. 2019/739 reg. 12(6)
- Art. 10 omitted by S.I. 2019/739 reg. 12(7)
- Art. 11(1) words substituted by S.I. 2019/739 reg. 12(8)(a)
- Art. 11(2) words substituted by S.I. 2019/739 reg. 12(8)(b)
- Art. 14(1) words substituted by S.I. 2019/739 reg. 12(9)(a)
- Art. 14(2) words substituted by S.I. 2019/739 reg. 12(9)(b)
- Art. 14(3) omitted by S.I. 2019/739 reg. 12(9)(c)
- Art. 14(4) words substituted by S.I. 2019/739 reg. 12(9)(d)
- Art. 14(5) words substituted by S.I. 2019/739 reg. 12(9)(e)
- Art. 14(6) omitted by S.I. 2019/739 reg. 12(9)(f)
- Art. 16(1) words substituted by S.I. 2019/739 reg. 12(10)(a)(i)
- Art. 16(1) words substituted by S.I. 2019/739 reg. 12(10)(a)(ii)
- Art. 16(3) omitted by S.I. 2019/739 reg. 12(10)(b)
- Art. 17 omitted by S.I. 2019/739 reg. 12(11)
- Art. 18(1) words substituted by S.I. 2019/739 reg. 12(12)(a)
- Art. 18(3) words substituted by S.I. 2019/739 reg. 12(12)(b)
- Art. 19(1) words substituted by S.I. 2019/739 reg. 12(13)(a)(i)
- Art. 19(1) words substituted by S.I. 2019/739 reg. 12(13)(a)(ii)
- Art. 19(3) omitted by S.I. 2019/739 reg. 12(13)(b)
- Art. 20(1) words substituted by S.I. 2019/739 reg. 12(14)(a)(i)
- Art. 20(1) words substituted by S.I. 2019/739 reg. 12(14)(a)(ii)
- Art. 20(1) words substituted by S.I. 2019/739 reg. 12(14)(a)(iii)
- Art. 20(2) word omitted by S.I. 2019/739 reg. 12(14)(b)(ii)
- Art. 20(2) words substituted by S.I. 2019/739 reg. 12(14)(b)(i)
- Art. 20(3) words substituted by S.I. 2019/739 reg. 12(14)(c)(i)
- Art. 20(3) words substituted by S.I. 2019/739 reg. 12(14)(c)(ii)
- Art. 20(3) words substituted by S.I. 2019/739 reg. 12(14)(c)(iii)
- Art. 21(2) words substituted by S.I. 2019/739 reg. 12(15)(a)
- Art. 21(3) words substituted by S.I. 2019/739 reg. 12(15)(b)
- Art. 21(5) words substituted by S.I. 2019/739 reg. 12(15)(c)(i)
- Art. 21(7) omitted by S.I. 2019/739 reg. 12(15)(d)
- Art. 22(1) words substituted by S.I. 2019/739 reg. 12(16)(a)(i)
 - Art. 22(2) words substituted by S.I. 2019/739 reg. 12(16)(b)

Art. 22(3) words omitted by S.I. 2019/739 reg. 12(16)(c) Art. 23(1) words omitted by S.I. 2019/739 reg. 12(17)(a)(ii) Art. 23(1) words substituted by S.I. 2019/739 reg. 12(17)(a)(i) Art. 23(2) words omitted by S.I. 2019/739 reg. 12(17)(b)(ii) Art. 23(2) words substituted by S.I. 2019/739 reg. 12(17)(b)(i) Art. 23(3) words omitted by S.I. 2019/739 reg. 12(17)(c)(ii) Art. 23(3) words substituted by S.I. 2019/739 reg. 12(17)(c)(i) Art. 24(1) words omitted by S.I. 2019/739 reg. 12(18)(a)(ii) Art. 24(1) words substituted by S.I. 2019/739 reg. 12(18)(a)(i) Art. 24(2) words substituted by S.I. 2019/739 reg. 12(18)(b) Art. 25 words omitted by S.I. 2019/739 reg. 12(19)(b) Art. 25 words substituted by S.I. 2019/739 reg. 12(19)(a) Art. 26(1) words substituted by S.I. 2019/739 reg. 12(20)(a) Art. 26(2) omitted by S.I. 2019/739 reg. 12(20)(b) Art. 26(3) words omitted by S.I. 2019/739 reg. 12(20)(c)(ii) Art. 26(3) words substituted by S.I. 2019/739 reg. 12(20)(c)(i) Art. 27(4) omitted by S.I. 2019/739 reg. 12(21)(b) Art. 28(1) words substituted by S.I. 2019/739 reg. 12(22)(a) Art. 28(2) omitted by S.I. 2019/739 reg. 12(22)(b) Art. 29(1) substituted by S.I. 2019/739 reg. 12(23)(a) Art. 29(2) omitted by S.I. 2019/739 reg. 12(23)(b) Art. 29(3) omitted by S.I. 2019/739 reg. 12(23)(b) Art. 30 omitted by S.I. 2019/739 reg. 12(24) Art. 31 heading word omitted by S.I. 2019/739 reg. 12(25)(a) Art. 31 word omitted by S.I. 2019/739 reg. 12(25)(b) Art. 32 omitted by S.I. 2019/739 reg. 12(26) Art. 54 omitted by S.I. 2019/739 reg. 12(29)

Changes and effects yet to be applied to the whole legislation item and associated provisions

- Annex 2A applied (with modifications) by S.I. 2020/1599 reg. 6(2)(b)(3)
- Annex 3A applied (with modifications) by S.I. 2020/1599 reg. 6(2)(b)(3)
- Annex 3B applied (with modifications) by S.I. 2020/1599 reg. 6(2)(b)(3)
- Art. 4(b) words substituted by S.I. 2019/739 reg. 12(4)(b)
- Art. 4(c) words substituted by S.I. 2019/739 reg. 12(4)(c)
- Art. 4(d) words substituted by S.I. 2019/739 reg. 12(4)(d)
- Art. 21(5)(a) word omitted by S.I. 2019/739 reg. 12(15)(c)(ii)
- Art. 22(1)(b) words substituted by S.I. 2019/739 reg. 12(16)(a)(ii)
- Art. 22(1)(b) words substituted by S.I. 2019/739 reg. 12(16)(a)(iii)
- Art. 27(1)(b) words substituted by S.I. 2019/739 reg. 12(21)(a)
- Art. 31(m) omitted by S.I. 2019/739 reg. 12(25)(c)