

Commission Regulation (EU) No 1158/2010 of 9 December 2010 on a common safety method for assessing conformity with the requirements for obtaining railway safety certificates (Text with EEA relevance) (repealed)

Article 1	Subject-matter
Article 2	Definitions
Article 3	Procedures for assessing applications
Article 4	Supervision
Article 5	Entry into force
	Signature

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ANNEX I

Procedure for assessing conformity with requirements for obtaining safety certificates to be issued in accordance with Article 10(2)(a) and (b) of Directive 2004/49/EC

1. ....
  - (a) Setting up and reviewing the assessment process
  - (b) Quality of the assessment process
  - (c) Scope of the assessment
  - (d) Timing of the assessment
  - (e) Decision making during the assessment
2. ....
3. ....
4. ....
5. ....
6. ....
7. ....
8. ....
9. ....
10. ....

ANNEX II

Criteria for assessing conformity with the requirements for obtaining safety certificates to be issued in accordance with Article 10(2)(a)

**Changes to legislation:** There are outstanding changes not yet made to Commission Regulation (EU) No 1158/2010 (repealed). Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details) [View outstanding changes](#)

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of Directive 2004/49/EC related to the railway undertaking's safety management system as described in Article 9 and Annex III of that Directive

- A. RISK CONTROL MEASURES FOR ALL RISKS ASSOCIATED WITH THE ACTIVITY...
  - A.1 .....
  - A.2 .....
  - A.3 .....
  - A.4 .....
  - A.5 .....
  - A.6 .....
  
- B. RISK CONTROL RELATED TO THE SUPPLY OF MAINTENANCE AND MATERIAL...
  - B.1 .....
  - B.2 .....
  - B.3 .....
  - B.4 .....
  - B.5 .....
  - B.6 .....
  
- C. RISK CONTROL RELATED TO THE USE OF CONTRACTORS AND CONTROL...
  - C.1 .....
  - C.2 .....
  - C.3 .....
  - C.4 .....
  - C.5 .....
  
- D. RISKS ARISING FROM THE ACTIVITIES OF OTHER PARTIES EXTERNAL TO...
  - D.1 .....
  - D.2 .....
  - D.3 .....
  
- E. DOCUMENTATION OF THE SAFETY MANAGEMENT SYSTEM
  - E.1 .....
  - E.2 .....
  - E.3 .....
  - E.4 .....
  
- F. DISTRIBUTION OF RESPONSIBILITIES
  - F.1 .....
  - F.2 .....
  - F.3 .....
  - F.4 .....
  
- G. SECURING CONTROL BY THE MANAGEMENT ON DIFFERENT LEVELS
  - G.1 .....
  - G.2 .....
  - G.3 .....
  - G.4 .....
  - G.5 .....

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- H. INVOLVING STAFF AND THEIR REPRESENTATIVES ON ALL LEVELS
  - H.1 .....
  - H.2 .....
- I. ENSURING CONTINUOUS IMPROVEMENT
- J. SAFETY POLICY APPROVED BY THE ORGANISATION'S CHIEF EXECUTIVE AND COMMUNICATED...
- K. QUALITATIVE AND QUANTATIVE TARGETS OF THE ORGANISATION FOR MAINTAINING AND...
  - K.1 .....
  - K.2 .....
  - K.3 .....
  - K.4 There are procedures in place to regularly monitor and review...
  - K.5 .....
- L. PROCEDURES TO MEET EXISTING, NEW AND ALTERED TECHNICAL AND OPERATIONAL...
  - L.1 For safety related requirements relevant to the type and extent...
  - L.2 .....
  - L.3 .....
- M. PROCEDURES AND METHODS FOR CARRYING OUT RISK EVALUATION AND IMPLEMENTING...
  - M.1 .....
  - M.2 .....
  - M.3 .....
- N. PROVISION OF STAFF TRAINING PROGRAMMES AND SYSTEMS TO ENSURE THAT...
  - N.1 There is a competence management system that includes at least:...
  - N.2 There are procedures within the competence management system providing for:...
- O. ARRANGEMENTS FOR THE PROVISION OF SUFFICIENT INFORMATION WITHIN THE ORGANISATION...
  - O.1 There are procedures to ensure that:
  - O.2 There are procedures to ensure that:
  - O.3 .....
- P. PROCEDURES AND FORMATS FOR DOCUMENTING SAFETY INFORMATION, AND DESIGNATION OF...
  - P.1 .....
  - P.2 There are procedures to:
  - P.3 .....
- Q. PROCEDURES TO ENSURE THAT ACCIDENTS, INCIDENTS, NEAR MISSES AND OTHER...
  - Q.1 There are procedures to ensure that accidents, incidents, near misses...
  - Q.2 There are procedures to ensure that:
  - Q.3 .....

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- R. PROVISION OF PLANS FOR ACTION AND ALERTS AND INFORMATION IN...
- R.1 . . . . .
  - R.2 There are procedures in place to ensure that, for each...
  - R.3 . . . . .
  - R.4 Plans for action, alerts and information exist and include:
  - R.5 . . . . .
  - R.6 . . . . .
  - R.7 . . . . .
  - R.8 . . . . .
  - R.9 . . . . .
- S. PROVISIONS FOR RECURRENT INTERNAL AUDITING OF THE SAFETY MANAGEMENT SYSTEM...
- S.1 . . . . .
  - S.2 . . . . .
  - S.3 . . . . .
  - S.4 Procedures are in place to:
  - S.5 . . . . .
  - S.6 . . . . .

### ANNEX III

Criteria for assessing conformity with the requirements for obtaining safety certificates to be issued in accordance with Article 10(2)(b) of the Directive 2004/49/EC

#### GENERAL

- A. COMPLIANCE WITH NETWORK-SPECIFIC RULES
- A.1 . . . . .
  - A.2 . . . . .
  - A.3 . . . . .
  - A.4 . . . . .
  - A.5 . . . . .
- B. COMPLIANCE WITH NETWORK-SPECIFIC REQUIREMENTS FOR STAFF COMPETENCE
- B.1 The documentation demonstrates that the applicant's safety management system contains...
  - B.2 . . . . .
  - B.3 . . . . .
- C. COMPLIANCE WITH NETWORK-SPECIFIC REQUIREMENTS FOR MANAGEMENT OF ROLLING STOCK
- C.1 . . . . .
  - C.2 . . . . .
  - C.3 . . . . .
  - C.4 . . . . .

### ANNEX IV

Principles for supervision after the award of a Part A or Part B certificate

- 1. . . . .
- 2. . . . .

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- 3. ....
- 4. ....
- 5. ....
- 6. ....
- 7. ....
- 8. ....

**Changes to legislation:**

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**Changes and effects yet to be applied to :**

- Art. 1 omitted by [S.I. 2019/837 reg. 12\(2\)](#)
- Art. 1 omitted by [S.I. 2019/837 reg. 17\(2\)](#)
- Art. 2 word substituted by [S.I. 2019/837 reg. 12\(3\)\(a\)](#)
- Art. 2 word substituted by [S.I. 2019/837 reg. 17\(3\)\(a\)](#)
- Art. 2 words inserted by [S.I. 2019/837 reg. 12\(3\)\(b\)](#)
- Art. 2 words inserted by [S.I. 2019/837 reg. 17\(3\)\(b\)](#)
- Art. 3(1) substituted by [S.I. 2019/837 reg. 12\(4\)\(a\)](#)
- Art. 3(1) substituted by [S.I. 2019/837 reg. 17\(4\)\(a\)](#)
- Art. 3(3) words inserted by [S.I. 2019/837 reg. 12\(4\)\(b\)\(ii\)](#)
- Art. 3(3) words inserted by [S.I. 2019/837 reg. 17\(4\)\(b\)\(ii\)](#)
- Art. 3(3) words substituted by [S.I. 2019/837 reg. 12\(4\)\(b\)\(i\)](#)
- Art. 3(3) words substituted by [S.I. 2019/837 reg. 17\(4\)\(b\)\(i\)](#)
- Art. 4 words inserted by [S.I. 2019/837 reg. 12\(5\)](#)
- Art. 4 words inserted by [S.I. 2019/837 reg. 17\(5\)](#)

**Changes and effects yet to be applied to the whole legislation item and associated provisions**

- Signature words omitted by [S.I. 2019/837 reg. 12\(6\)](#)
- Signature words omitted by [S.I. 2019/837 reg. 17\(6\)](#)
- Annex 1 para. 10 omitted by [S.I. 2019/837 reg. 17\(7\)\(f\)](#)
- Annex 1 para. 10 substituted by [S.I. 2019/837 reg. 12\(7\)\(f\)](#)
- Annex 1 para. 6 word inserted by [S.I. 2019/837 reg. 12\(7\)\(c\)\(ii\)](#)
- Annex 1 para. 8 word inserted by [S.I. 2019/837 reg. 12\(7\)\(e\)\(ii\)](#)
- Annex 1 para. 6 word inserted by [S.I. 2019/837 reg. 17\(7\)\(c\)\(ii\)](#)
- Annex 1 para. 8 word inserted by [S.I. 2019/837 reg. 17\(7\)\(e\)\(ii\)](#)
- Annex 1 para. 6 words inserted by [S.I. 2019/837 reg. 12\(7\)\(c\)\(i\)](#)
- Annex 1 para. 6 words inserted by [S.I. 2019/837 reg. 17\(7\)\(c\)\(i\)](#)
- Annex 1 para. 6 words omitted by [S.I. 2019/837 reg. 12\(7\)\(c\)\(iii\)](#)
- Annex 1 para. 8 words omitted by [S.I. 2019/837 reg. 12\(7\)\(e\)\(i\)](#)
- Annex 1 para. 6 words omitted by [S.I. 2019/837 reg. 17\(7\)\(c\)\(iii\)](#)
- Annex 1 para. 8 words omitted by [S.I. 2019/837 reg. 17\(7\)\(e\)\(i\)](#)
- Annex 1 heading words substituted by [S.I. 2019/837 reg. 12\(7\)\(a\)](#)
- Annex 1 para. 2 words substituted by [S.I. 2019/837 reg. 12\(7\)\(b\)](#)
- Annex 1 para. 7 words substituted by [S.I. 2019/837 reg. 12\(7\)\(d\)](#)
- Annex 1 heading words substituted by [S.I. 2019/837 reg. 17\(7\)\(a\)](#)
- Annex 1 para. 2 words substituted by [S.I. 2019/837 reg. 17\(7\)\(b\)](#)
- Annex 1 para. 7 words substituted by [S.I. 2019/837 reg. 17\(7\)\(d\)](#)
- Annex 1 para. 2 words substituted in earlier amending provision [S.I. 2019/837, reg. 17\(7\)\(b\)](#) by [S.I. 2019/1310 reg. 7\(5\)](#)
- Annex 2 heading substituted by [S.I. 2019/837 reg. 12\(8\)\(a\)](#)
- Annex 2 heading substituted by [S.I. 2019/837 reg. 17\(8\)\(a\)](#)
- Annex 2 point A.4 words substituted by [S.I. 2019/837 reg. 12\(8\)\(b\)](#)
- Annex 2 point E.3 words substituted by [S.I. 2019/837 reg. 12\(8\)\(c\)](#)
- Annex 2 point K.3 words substituted by [S.I. 2019/837 reg. 12\(8\)\(d\)](#)
- Annex 2 point M.2 words substituted by [S.I. 2019/837 reg. 12\(8\)\(e\)](#)
- Annex 2 point Q.2 words substituted by [S.I. 2019/837 reg. 12\(8\)\(f\)](#)
- Annex 2 point A.4 words substituted by [S.I. 2019/837 reg. 17\(8\)\(b\)](#)
- Annex 2 point E.3 words substituted by [S.I. 2019/837 reg. 17\(8\)\(c\)](#)

- Annex 2 point K.3 words substituted by S.I. 2019/837 reg. 17(8)(d)
- Annex 2 point M.2 words substituted by S.I. 2019/837 reg. 17(8)(e)
- Annex 2 point Q.2 words substituted by S.I. 2019/837 reg. 17(8)(f)
- Annex 3 heading substituted by S.I. 2019/837 reg. 12(9)(a)
- Annex 3 heading substituted by S.I. 2019/837 reg. 17(9)(a)
- Annex 3 word inserted by S.I. 2019/837 reg. 12(9)(b)(i)
- Annex 3 word inserted by S.I. 2019/837 reg. 17(9)(b)(i)
- Annex 3 words substituted by S.I. 2019/837 reg. 12(9)(b)(ii)
- Annex 3 words substituted by S.I. 2019/837 reg. 17(9)(b)(ii)
- Annex 4 para. 1 words omitted by S.I. 2019/837 reg. 12(10)(b)
- Annex 4 para. 1 words omitted by S.I. 2019/837 reg. 17(10)(b)
- Annex 4 heading words substituted by S.I. 2019/837 reg. 12(10)(a)
- Annex 4 para. 7 words substituted by S.I. 2019/837 reg. 12(10)(c)
- Annex 4 heading words substituted by S.I. 2019/837 reg. 17(10)(a)
- Annex 4 para. 7 words substituted by S.I. 2019/837 reg. 17(10)(c)