## SCHEDULE

Paragraph 2(7)

# Form 13.2-AA

Rule 13.2(1A)

## Form of Summons and backing – actions subject to Chapter 26A Procedure (Group Procedure)



(This space will contain the cause reference number assigned to the summons on being presented for signeting and registration)

## IN THE COURT OF SESSION

#### SUMMONS

#### in the cause

[A.B.], Representative Party (full name, designation and address)

Representative Party for Pursuers

against

[C.D.] (designation, statement of any special capacity in which the defender[s] is [are] being sued, and address)

Defender[s]

Elizabeth II, by the Grace of God, of the United Kingdom of Great Britain and Northern Ireland and of Her other Realms and Territories, Queen, Head of the Commonwealth, Defender of the Faith, to [C.D.].

By this summons, the court having authorised [A.B.] to be a representative party in group proceedings and having granted permission to [A.B.] to bring the proceedings, the representative party for the pursuers craves the Lords of our Council and Session to pronounce a decree against you in terms of the conclusions appended to this summons. If you have any good reason why such decree should not be pronounced, you must enter appearance at the Office of Court, Court of Session, 2 Parliament Square, Edinburgh EH1 1RQ, within three days after the date of the calling of the summons in court. The summons shall not call in court earlier than [21] days after the date of service on you of this summons.

Be warned that, if appearance is not entered on your behalf, the representative party for the pursuers may obtain decree against you in your absence.

This summons is warrant for intimation to (name and address and reason for intimation as set out in the rule of the Rules of the Court of Session 1994 requiring intimation).

Given under our Signet at Edinburgh on (date)

(Signed)

(Name and address of agent for representative party)

#### Warrant for diligence

This summons is warrant for [arrestment to found jurisdiction] [arrestment *in rem* (*details of ship or cargo*)] [dismantling (*details of ship*)].

(Signed)

Lord/Lady

Date: (date)

(Next page – back of first page and following pages)

(State the conclusions, followed by the condescendence and pleas-in-law.)

(Backing of summons)

This space will contain the cause reference number assigned to the summons on being presented for signeting and registration)

## IN THE COURT OF SESSION

## Summons

#### in the cause

[A.B.], Representative Party for Pursuers

against

## [C.D.], Defender[s]

Action of (nature of action as in the appropriate heading, if any, of the forms of conclusion shown in Form 13.2-B).

(Name of firm of agent for Representative Party for Pursuers)

# Form 26A.5

Rule 26A.5(1)

## Form of application under section 20(3)(b) of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018 seeking authorisation to be a representative party

#### UNTO THE RIGHT HONOURABLE THE LORDS OF COUNCIL AND SESSION

## IN THE COURT OF SESSION

## APPLICATION

for

## AUTHORISATION TO BE A REPRESENTATIVE PARTY

## under section 20(3)(b) of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018

by

#### [A.B.], [Representative Party] (full name, designation and address)

Applicant

#### HUMBLY SHEWETH:-

- 1. The applicant, (*insert full name, designation and address of the applicant*), applies under section 20(3)(b) of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018 ("the Act") for authorisation by the court to be a representative party to bring group proceedings on behalf of (*insert details, in brief, of the group of two or more persons*), each of whom has a separate claim which may be the subject of civil proceedings.
- 2. The applicant [is a member of the group of persons on whose behalf proceedings are to be brought] [is not a member of the group of persons on whose behalf proceedings are to be brought]. [(In the event that the applicant is not a member of the group on whose behalf proceedings are to be brought) The applicant is (insert the capacity in which the applicant is applying to be a representative party).]
- A description of the group of persons on whose behalf proceedings are to be brought is as follows:—

(insert a full and detailed description of the group of persons on whose behalf group proceedings are to be brought).

4. The steps taken by the applicant to identify and notify all potential members of the group about the group proceedings are as follows:—

(insert a statement setting out all the efforts made by the applicant to identify and notify all potential members of the group about the proceedings).

5. The applicant is [*an appropriate person who*] [*a body which*] can fairly and adequately represent the interests of the group, should authorisation under section 20(3)(b) of the Act be given by the court for the following reasons:—

(insert a full and detailed note to include the following:-

the special abilities and relevant expertise of the applicant;

the applicant's own interest in the proceedings;

whether there would be any potential benefit to the applicant, financial or otherwise, should the application be authorised;

confirmation that the applicant is independent from the defender;

a demonstration that the applicant would act fairly and adequately in the interests of the group members as a whole, and that the applicant's own interests do not conflict with those of the group whom the applicant seeks to represent;

a demonstration of sufficient competence by the applicant, including financial resources, to litigate the claims properly, and to meet any expenses awards (the details of funding arrangements do not require to be disclosed)).

6. The applicant [*has*] [*has not*] previously applied to the court for such authorisation relating, to any extent, to the same matter. [(*Where any such previous application has been made, insert details (including, in particular, the outcome of such application).*]

MAY IT THEREFORE please your Lordships to make an order authorising [A.B.] to be a Representative Party

According to Justice, etc.

(Signed)

Applicant

[or Solicitor [or Agent] for [Applicant]]

# Form 26A.8

Rule 26A.8(1) or (2)

## Form of application under section 20(3)(b) of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018 seeking the replacement of a representative party

## UNTO THE RIGHT HONOURABLE THE LORDS OF COUNCIL AND SESSION

#### IN THE COURT OF SESSION

## APPLICATION

in the cause (Cause Reference No. )

## for

## REPLACEMENT OF A REPRESENTATIVE PARTY

under section 20(3)(b) of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018

by

[A.B.], [Representative Party] [Group Member] (full name, designation and address)

Applicant

## HUMBLY SHEWETH:-

1. The applicant, (insert full name, designation and address of the applicant), was authorised by the court to be a representative party to bring group proceedings on behalf of (insert details, in brief, of the group of two or more persons), each of whom has a separate claim which may be the subject of civil proceedings, on (insert date). The applicant applies, under section 20(3)(b) of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018 ("the Act"), seeking the authority of the court to withdraw as the representative party in those group proceedings and be replaced with another person ("Person A") (insert full name, designation and address of Person A).

or

The representative party, (*insert full name, designation and address of the representative party*), was authorised by the court to be a representative party to bring group proceedings on behalf of (*insert details, in brief, of the group of two or more persons*), each of whom has a separate claim which may be the subject of civil proceedings, on (*insert date*). The applicant, (*insert full name, designation and address of the applicant*), applies under section 20(3)(b) of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018 ("the Act"), for authorisation by the court to appoint Person A (*insert full name, designation and address of Person A*) as a representative party to bring group proceedings on behalf of (*insert details, in brief, of the group of two or more persons*) in place of the representative party.

- 2. Person A (insert full name) [is a member of the group of persons on whose behalf proceedings are to be brought [have been brought]] [is not a member of the group of persons on whose behalf proceedings are to be brought [have been brought]]. [(In the event that Person A is not a member of the group on whose behalf proceedings are to be brought or have been brought) Person A is (insert the capacity in which Person A may be, or is applying to be, a representative party).]
- 3. The applicant can no longer act as the representative party in the group proceedings and, accordingly, seeks the authorisation of the court to withdraw and be replaced as the representative party by Person A for the following reasons:—

(insert detailed reasons as to why the applicant can no longer act as the representative party in the group proceedings).

or

The applicant seeks the authority of the court to appoint Person A to bring the group proceedings in place of the representative party (*insert full name of the representative party*) for the following reasons:—

(insert detailed reasons as to why the court should authorise the replacement of the representative party with Person A).

4. Person A (*insert full name*) is a suitable [*person who*] [*body which*] can act in the capacity of representative person should such authorisation be given, having regard to the matters mentioned in rule 26A.7(2), for the following reasons:—

(insert a full and detailed note to include the following:-

the special abilities and relevant expertise of Person A;

Person A's own interest in the proceedings;

whether there would be any potential benefit to Person A, financial or otherwise, should the application be authorised;

confirmation that Person A is independent from the defender;

a demonstration that Person A would act fairly and adequately in the interests of the group members as a whole, and that Person A's own interests do not conflict with those of the group whom Person A seeks to represent;

a demonstration of sufficient competence by Person A, including financial resources, to litigate the claims properly, and to meet any expenses awards (the details of funding arrangements do not require to be disclosed)).

5. Person A (*insert full name*) [*has*] [*has not*] previously applied to the court for such authorisation relating, to any extent, to the same matter. [*Where any such previous application has been made, insert details (including, in particular, the outcome of such application*)].

MAY IT THEREFORE please your Lordships to make an order authorising [Person A] to be a Representative Party

According to Justice, etc.

(Signed)

Representative Party [Applicant]

[or Solicitor [or Agent] for Representative Party [Applicant (insert full name)]]

# FORM 26A.9

Rule 26A.9(1)

## Form of application under section 20(5) of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018 for permission for group proceedings to be brought

## UNTO THE RIGHT HONOURABLE THE LORDS OF COUNCIL AND SESSION

## IN THE COURT OF SESSION

## APPLICATION

## for

## PERMISSION FOR GROUP PROCEEDINGS TO BE BROUGHT

under section 20(5) of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018

by

[A.B.], [Representative Party] (full name, designation and address)

Applicant

against

[C.D.] (full name[s], designation(s) and address[es])

Defender[s]

- 1. [A.B.], [*Representative Party*], (*insert full name, designation and address of the applicant*) applies for permission for group proceedings (within the meaning given in section 20(1) of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018 ("the Act")) to be brought on behalf of (*insert details, in brief, of the group of two or more persons*), each of whom has a separate claim which may be the subject of civil proceedings.
- 2. The applicant [*has applied for authorisation by the court to be a representative party* (*within the meaning of section 20(2) of the Act*)] [*is a representative party (within the meaning of section 20(2) of the Act*)].
- 3. The applicant [is a member of the group of persons on whose behalf proceedings are to be brought] [is not a member of the group of persons on whose behalf proceedings are to be brought]. [(In the event that the applicant is not a member of the group on whose behalf proceedings are to be brought) The applicant is (insert the capacity in which the applicant is applying to be a representative party).]
- 4. [The lead pursuer, if any, in the group proceedings is (*insert full name, designation and address of the lead pursuer, if there is one*).]

- 5. The defender[s] in the group proceedings is [are] (insert full name[s] and address[es] of the defender[s]).
- The grounds on which the applicant seeks permission for group proceedings to be brought are as follows:—

(set out the grounds in numbered paragraphs, to include the information set out below:—

confirmation that all the claims made in the proceedings raise issues (whether of fact or law) that are the same as, or similar or related to, each other, and provide a detailed summary of the issues;

the steps taken by the applicant to identify and notify all potential members of the group about the proceedings;

demonstration that there is a prima facie case;

demonstration that it is a more efficient administration of justice for the claims to be brought as group proceedings rather than as separate individual proceedings;

demonstration that the proposed proceedings have any real prospects of success;

the number and nature of any proceedings relating to the same matter as the application for permission which have already been raised, if applicable, failing which confirmation that no such proceedings have been raised;

the number of parties, including the number of group members, that are likely to be involved in the proceedings;

the aggregate total value of the claims;

confirmation as to whether there are any matters that distinguish smaller groups of claims within the wider group and, if so, a note of such matters.).

8. A list of persons who have consented to being members of the group on whose behalf group proceedings are proposed to be brought is attached (*attach a list of persons who have consented to being members of the group on whose behalf group proceedings are proposed to be brought*).

(Signed)

Solicitor [*or* Agent] for [A.B.], Applicant [Representative Party]

# FORM 26A.14-A

Rule 26A.14(1)

## Group proceedings under section 20(7)(a) of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018 – Opt-in proceedings – Form of notice of consent for a person's claim to be brought in group proceedings

This Form is to be completed by you for the purpose of providing express consent for your claim, which may be the subject of civil proceedings, to be brought in group proceedings (within the meaning of section 20(1) of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018 ("the Act")) under section 20(7)(a) of the Act.

Before your claim can be brought in the group proceedings the completed Form must be sent to your Representative Party (within the meaning of section 20(2) of the Act), or to the person who has submitted, or is to submit, an application for such authorisation to the court under section 20(3)(b) of the Act, as the case may be.

You may send this Form to your Representative Party (or, as the case may be, the person who has submitted, or is to submit, an application for such authorisation to the court) either by posting the notice or, where your representative party (or, as the case may be, that person) has confirmed that they accept e-mail service, by using electronic means.

Name (provide your full name and designation): Address (provide your full address, including postcode):

Date of birth (*provide your date of birth*): Contact telephone number (*provide your contact telephone number*): E-mail address (*provide your email address*):

Lead pursuer (if any) in the group proceedings is (*insert full name, designation and address of the lead pursuer (if any and if known)*):

Defender[s] in the group proceedings is [are] (insert full name[s] and address[es] of the defender[s] (if known)):

#### Claim:

(provide a full and detailed summary of your claim to include all such information which shows that you satisfy the eligibility criteria to be a member of the group on whose behalf group proceedings are to be, or have been, brought, such as:—

any reference numbers/individual identifiers (for example, washing machine serial number and model number, NHS number or airline flight number etc.);

the date of purchase, date of your injury or operation (as the case may be) etc.;

evidence in support of your claim must be provided.).

I confirm that I am aware that by providing my express consent for my claim to be brought in group proceedings that I will be bound by interlocutors pronounced by the court in the proceedings, should the court grant permission for the bringing of the proceedings. I confirm that I am aware that this could include interlocutors pronounced by the court in the proceedings prior to my joining the group.

I, hereby, provide my express consent to [insert the name and address of your Representative Party, or the person who has sought, or is to seek, such authority of the court] to bring the group proceedings on my behalf.

[I confirm that no other civil proceedings are ongoing in respect of my claim, nor have I settled my claim judicially or non-judicially.]

I consent to the sharing of the information provided within this notice by [insert the name and address of your Representative Party, or the person who has sought, or is to seek, such authority of the court] with the Court of Session.

I consent to the sharing of my information which may be recorded in the Group Register (my name, address, date of birth and any additional information relevant to my claim) with the defender in accordance with Chapter 26A of the Rules of the Court of Session.

The further sharing of the information provided within this notice, or any such other personal data in connection with my claim, by [insert the name and address of your Representative Party, or the person who has sought, or is to seek, such authority of the court] may only be done with my express consent.

(Signed)

Pursuer

[or Solicitor [or Agent] for [Pursuer]]

# FORM 26A.14-B

Rule 26A.14(2)

## Group proceedings under section 20(7)(a) of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018 – Opt-in proceedings – Notice withdrawing consent for a person's claim to be brought in group proceedings

This Form is to be completed by you if you are a member of a group of persons on whose behalf group proceedings (within the meaning of section 20(1) of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018 ("the Act")) are to be, or have been, brought and you no longer consent for your claim to be brought in the proceedings under section 20(7)(a) of the Act.

The completed Form must be sent to your Representative Party (within the meaning of section 20(2) of the Act), or to the person who has submitted, or is to submit, an application for such authorisation to the court under section 20(3)(b) of the Act, before your claim is no longer brought in the group proceedings.

You may send this Form to your Representative Party (or, as the case may be, the person who has submitted, or is to submit, an application for such authorisation to the court) either by posting the notice or, where your Representative Party (or, as the case may be, that person) has confirmed that they accept e-mail service, by using electronic means.

Name (provide your full name and designation): Address (provide your full address, including postcode):

Date of birth (*provide your date of birth*): Contact telephone number (*provide your contact telephone number*): E-mail address (*provide your email address*):

Lead pursuer (if any) in the group proceedings is (insert full name, designation and address of the pursuer (if any and if known)):

Defender[s] in the group proceedings is [are] (insert full name[s] and address[es] of the defender[s] (if known)):

Claim:

(provide a full and detailed summary of your claim and the date on which you provided your express consent to your Representative Party to bring proceedings on your behalf)

I, hereby, withdraw my consent which I had given to [*insert the name and address of your Representative Party, or the person who has sought, or is to seek, such authority of the court*] for my claim to be brought in the group proceedings on my behalf.

I consent to the information provided within this notice to be shared by [insert the name and address of your Representative Party, or the person who has sought, or is to seek, such authority of the court] with the Court of Session.

I acknowledge that by withdrawing my consent for my claim to be brought in the group proceedings on my behalf the defender is to be advised of my withdrawal from the proceedings by the service of a revised Group Register in accordance with Chapter 26A of the Rules of the Court of Session.

The further sharing of the information provided within this notice, or any such other personal data in connection with my claim, by [insert the name and address of your Representative Party, or the person who has sought, or is to seek, such authority of the court] may only be done with my express consent.

(Signed)

Pursuer

[or Solicitor [or Agent] for [Pursuer]]

# FORM 26A.15

Rule 26A.15(1)

## Group proceedings under section 20(7)(a) of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018 – Opt-in proceedings – the Group Register

Received the ...... day of ...... 20 ......

(Date of receipt of this register)

..... (signed)

Depute Clerk of Session

[in the cause (Cause Reference No. )]

IN THE COURT OF SESSION

## GROUP PROCEEDINGS [TO BE BROUGHT] [or BROUGHT]

under Part 4 of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018

by

[A.B.], [Representative Party] (full name, designation and address)

[Representative Party for Pursuers]

[or Applicant seeking authorisation of the court under section 20(3)(b) of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018 for Pursuers]

against

[C.D.] (full name(s), designation(s) and address(es))

Defender[s]

I, [A.B.] (insert full name, designation and address of the Representative Party or as the case may be, the person who has applied for authorisation by the court under section 20(3)(b) of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018 to be a Representative Party), [Representative Party], [is to bring] [has brought] group proceedings on behalf of (insert details, in brief, of the group of two or more persons), each of whom has a separate claim which may be the subject of civil proceedings.

I, hereby, provide the court with a list of all those persons who, as of [*insert the date of lodging this group register with the court and service upon the defender*], expressly consent to be members of the group on whose behalf group proceedings [*are to be*] [*have been*] brought.

I certify that there are no other civil proceedings ongoing as of [*insert the date of lodging this group register with the court and service upon the defender*], and that no claims have been settled judicially or non-judicially, in respect of the issues raised in the claims (whether of fact or law) of the persons listed below in this Form.

I certify that the group proceedings are brought within the statutory limitation period in respect of the claims of the persons listed below in this Form.

I certify that the Court of Session is the appropriate forum for the group proceedings to be brought in respect of the claims of the persons listed in this Form.

	Name:	Address:	Date birth	01	Additional in e.g. referenc serial no.	e or
1.						
2.						
3.						
4.						
5.						
6.						
7.						
8.						
9.						
10.						
etc.						
			(Signed)			
			Solicitor [ <i>or</i> Age Party] [ <i>or</i> Applic the court under s Litigation (Exper Proceedings) (Sc	cant seek ection 20 nses and	ting authorisat 0(3)(b) of the Group	ion of
			(Date)			

# FORM 26A.16

Rule 26A.16(2)

# Group proceedings under section 20(7)(a) of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018 – Opt-in proceedings – Late application

UNTO THE RIGHT HONOURABLE THE LORDS OF COUNCIL AND SESSION

in the cause (Cause Reference No. )

The application of [A.B.] (*full name, designation and address*), Representative Party, on behalf of [E.F.] (*full name, designation and address*) for their claim to be brought in the group proceedings, although late

## IN THE COURT OF SESSION

## GROUP PROCEEDINGS BROUGHT

under Part 4 of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018

by

[A.B.] (*full name, designation and address*), Representative Party, on behalf of [E.F.] (*full name, designation and address*)

Representative Party for Pursuers

against

[C.D.] (full name[s], designation[s] and address[es])

Defender[s]

#### HUMBLY SHEWETH:-

- 1. I, [A.B.] (*insert full name, designation and address*), Representative Party, have brought group proceedings on behalf of (*insert details, in brief, of the group of two or more persons*), each of whom has a separate claim which may be the subject of civil proceedings.
- 2. A proof was allowed in the group proceedings on (*insert date on which proof was allowed*).

- 3. I, [A.B.] (insert full name), Representative Party, received notice in Form 26A.14-A from [E.F.] (insert full name, designation and address) on (insert date). [A.B.], (insert full name), Representative Party, applies to the court on behalf of [E.F.] (insert full name) seeking authorisation for [E.F.]'s (insert full name) claim to be brought in the group proceedings, although late.
- 4. The reason[s] why [E.F.] (*insert full name*) did not opt into the group proceedings before the allowance of proof in the proceedings is [are] as follows:—

(insert reason[s], in full as to why no application to opt-in to the group proceedings was made by E.F. before now and provide arguments, in full, as to why it is reasonable for E.F. to be authorised by the court to be a group member in the proceedings despite the late stage in the proceedings of this application.).

- 5. I, [A.B.] (*insert full name*), Representative Party, certify that no claims have been settled judicially or non-judicially, in respect of [E.F.]'s (*insert full name*) claim (whether of fact or law).
- 6. I, [A.B.] (*insert full name*), certify that the group proceedings are brought within the statutory limitation period in respect of [E.F.]'s (*insert full name*) claim.
- 7. I, [A.B.] (*insert full name*), certify that the Court of Session is the appropriate forum for [E.F.]'s (*insert full name*) claim to be brought in the group proceedings.

MAY IT THEREFORE please your Lordships to make an order authorising [E.F.] to be a group member in the group proceedings

According to Justice, etc.

(Signed)

Solicitor [or Agent] for Representative Party

# FORM 26A.17

Rule 26A.17(2)

## Group proceedings under section 20(7)(a) of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018 – Opt-in proceedings – Withdrawal of consent for a claim to be brought in group proceedings

## IN THE COURT OF SESSION

## GROUP PROCEEDINGS BROUGHT

in the cause (Cause Reference No. )

under Part 4 of the Civil Litigation (Expenses and Group Proceedings) (Scotland) Act 2018

by

[A.B.] (full name, designation and address)

Representative Party for Pursuers

against

[C.D.] (full name[s], designation[s] and address[es])

Defender[s]

- 1. I, [A.B.] (*insert full name, designation and address*), Representative Party, have brought group proceedings on behalf of (*insert details, in brief, of the group of two or more persons*), each of whom has a separate claim which may be the subject of civil proceedings.
- 2. I, [A.B.], (*insert full name, designation and address*), Representative Party, received notice in Form 26A.14-B from [E.F.] (*insert full name[s], designation[s] and address[es]*) withdrawing their consent for their claim[s] to be brought in the group proceedings on (*insert date*).
- 3. [The proof in the group proceedings commenced on (*insert date*). This application is made following the commencement of a proof in the proceedings.]

[*and/or* In the event that the court were to grant this application there would be less than two persons having a claim in the proceedings.]

4. The reason[s] why [E.F.] (*insert full name*) seeks to withdraw from the group proceedings [following the commencement of proof in the proceedings] [*and/or* which, in the event that the court were to grant this application would result in there being less than two persons having a claim in the proceedings] is [*are*] as follows:—

(insert reason[s] in full as to either (or both):-

why no application to withdraw from the group proceedings was made by E.F. before now and provide arguments, in full, as to why it is reasonable for E.F. to withdraw from the proceedings despite the late stage in the proceedings of this application;

why it is reasonable for E.F. to withdraw from the group proceedings despite the fact that should the application be approved by the court it would result in there being less than two persons having claims in the proceedings.).

6 The court is invited to consider this application, after representations being given by [C.D.] (*insert full name[s], designation[s] and address[es]*), the defender[s]).

(Signed)

Solicitor [or Agent] for Representative Party