#### DRAFT STATUTORY INSTRUMENTS

# 2020 No.

## FINANCIAL SERVICES AND MARKETS

The Financial Services and Markets Act 2000 (Regulated Activities) (Amendment) Order 2020

*Made - - - - \*\*\** 

Coming into force in accordance with article 1

# THE FINANCIAL SERVICES AND MARKETS ACT 2000 (REGULATED ACTIVITIES) (AMENDMENT) ORDER 2020

### PART 1

#### Introductory provisions

1. Citation, commencement and interpretation

#### PART 2

Amendments to secondary legislation made under the Act

- The Financial Services and Markets Act 2000 (Regulated Activities) Order 2001
- 3. The Financial Services and Markets Act 2000 (Collective Investment Schemes) Order 2001
- 4. The Financial Services and Markets Act 2000 (Appointed Representatives) Regulations 2001
- 5. The Financial Services and Markets Act 2000 (Financial Promotion) Order 2005

#### PART 3

Transitional provisions: complaints relating to funeral plans

- 6. Interpretation
- 7. Complaints made on or after the relevant date about acts or omissions before that date
- 8. Procedure for dealing with relevant complaints
- 9. Determination of relevant complaints

- 10. Funding and charges
- 11. Exemption from liability in damages
- 12. Transfers of liability
- 13. Privilege
- 14. Record-keeping and reporting requirements relating to relevant complaints
- 15. Information

#### PART 4

## Rules and guidance

- 16. Funeral plans related rules and guidance made by the FCA
- 17. Rules made by the scheme operator relating to funeral plans

PART 5

Review

18. Review Signature Explanatory Note