



# Local Government Act 2000

## 2000 CHAPTER 22

### PART III

#### CONDUCT OF LOCAL GOVERNMENT MEMBERS AND EMPLOYEES

##### **Modifications etc. (not altering text)**

- C1** Pt. III applied (22.7.2004) by [The Chilterns Area of Outstanding Natural Beauty \(Establishment of Conservation Board\) Order 2004 \(S.I. 2004/1778\)](#), arts. 1, **14(1)**
- C2** Pt. III applied (22.7.2004) by [The Cotswolds Area of Outstanding Natural Beauty \(Establishment of Conservation Board\) Order 2004 \(S.I. 2004/1777\)](#), arts. 1, **14(1)**
- C3** Pt. III applied (24.3.2005) by [New Forest National Park Authority \(Establishment\) Order 2005 \(S.I. 2005/421\)](#), art. 1, **Sch. 4 para. 9(3)**
- C4** Pt. III savings for effects of 2005 c. 10, s. 38 (1.4.2006) by [The Public Services Ombudsman \(Wales\) Act 2005 \(Transitional Provisions and Consequential Amendments\) Order 2006 \(S.I. 2006/362\)](#), arts. 1(1), **4**
- C5** Pt. III applied (with modifications) (5.3.2008) by [The Cheshire \(Structural Changes\) Order 2008 \(S.I. 2008/634\)](#), arts. 1, **7(5)**
- C6** Pt. III applied (with modifications) (28.3.2008) by [The Bedfordshire \(Structural Changes\) Order 2008 \(S.I. 2008/907\)](#), arts. 1, **16(8)**
- C7** Pt. III functions made exercisable (E.) by joint standards committees (15.6.2009) by [The Standards Committee \(Further Provisions\) \(England\) Regulations 2009 \(S.I. 2009/1255\)](#), regs. 1(1), **14**
- C8** Pt. III applied (24.3.2010) by [The South Downs National Park Authority \(Establishment\) Order 2010 \(S.I. 2010/497\)](#), art. 1, **Sch. 4 para. 9(3)**
- C9** Pt. III continued (with modifications) (14.12.2011) by [The Police Reform and Social Responsibility Act 2011 \(Commencement No. 3 and Transitional Provisions\) Order 2011 \(S.I. 2011/3019\)](#), **art. 5**
- C10** Pt. III applied (with modifications) (22.11.2012) by [The Police and Crime Panels \(Application of Local Authority Enactments\) Regulations 2012 \(S.I. 2012/2734\)](#), regs. 1(1), 3-6, **Sch. Pt. 3**

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**CHAPTER I**

**CONDUCT OF MEMBERS**

**Modifications etc. (not altering text)**

**C11** Pt. III Ch. I applied (12.11.2009 for specified purposes, 1.10.2010 in so far as not already in force) by [Marine and Coastal Access Act 2009 \(c. 23\)](#), [ss. 151\(7\)\(c\), 324\(1\)\(c\)\(d\)](#) (with [ss. 172\(3\), 185](#)); [S.I. 2010/2195](#), [art. 3\(2\)\(b\)](#)

*Standards of conduct*

**49 Principles governing conduct of members of relevant authorities.**

<sup>F1</sup>(1) .....

(2) The National Assembly for Wales may by order specify the principles which are to govern the conduct of members and co-opted members of relevant authorities <sup>F2</sup>....

[<sup>F3</sup>(2A) An order under subsection (1) must provide as respects each specified principle—  
(a) that it applies to a person only when acting in an official capacity; or  
(b) that it applies to a person only when not acting in an official capacity;  
but the order may provide as mentioned in paragraph (b) only as respects a principle within subsection (2B).

(2B) A principle is within this subsection if it prohibits particular conduct (or conduct of a particular description) where that conduct would constitute a criminal offence.

<sup>F4</sup>(2C) .....

(2D) An order under subsection (2)—  
(a) may specify principles which are to apply to a person at all times;  
(b) may specify principles which are to apply to a person otherwise than at all times.]

<sup>F5</sup>(3) .....

<sup>F5</sup>(4) .....

(5) Before making an order under this section, the National Assembly for Wales must consult—  
(a) such representatives of relevant authorities <sup>F6</sup>... as it considers appropriate,  
(b) the [<sup>F7</sup>Auditor General for Wales],  
(c) [<sup>F8</sup>the Public Services Ombudsman for Wales], and  
(d) such other persons (if any) as it considers appropriate.

(6) In this Part “relevant authority” means—  
(a) a county council [<sup>F9</sup>in Wales],  
(b) a county borough council,  
<sup>F10</sup>(c) .....  
<sup>F10</sup>(d) .....  
<sup>F10</sup>(e) .....

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- (f) a community council,
- <sup>F11</sup>(g) .....
- <sup>F11</sup>(h) .....
- <sup>F11</sup>(i) .....
- <sup>F11</sup>(j) .....
- <sup>F11</sup>(k) .....
- <sup>F12</sup>(l) a fire and rescue authority [<sup>F13</sup>in Wales] constituted by a scheme under section 2 of the Fire and Rescue Services Act 2004 or a scheme to which section 4 of that Act applies,]
- <sup>F14</sup>(m) .....
- <sup>F14</sup>(n) .....
- <sup>F14</sup>(na) .....
- <sup>F14</sup>(nb) .....
- <sup>F14</sup>(o) .....
- (p) a National Park authority [<sup>F15</sup>in Wales] established under section 63 of the <sup>M1</sup>Environment Act 1995.

- (7) In this Part “co-opted member”, in relation to a relevant authority, means a person who is not a member of the authority but who—
- (a) is a member of any committee or sub-committee of the authority, or
  - (b) is a member of, and represents the authority on, any joint committee or joint sub-committee of the authority,
- and who is entitled to vote on any question which falls to be decided at any meeting of that committee or sub-committee.

#### Textual Amendments

- F1** S. 49(1) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 8(2), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F2** Words in s. 49(2) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 8(3), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F3** S. 49(2A)-(2D) inserted (31.1.2008 for specified purposes) by [Local Government and Public Involvement in Health Act 2007 \(c. 28\)](#), **ss. 183(1), 245(5)** (with s. 183(5)-(11), 201); S.I. 2008/172, art. 5(1)(a)
- F4** S. 49(2C) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 8(4), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F5** S. 49(3)(4) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 8(4), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F6** Words in s. 49(5)(a) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 8(5), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)

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- F7** Words in s. 49(5)(b) substituted (1.4.2005) by [Public Audit \(Wales\) Act 2004 \(c. 23\)](#), s. 73, Sch. 2 para. 53(1)(3); S.I. 2005/558, art. 2, Sch. 1
- F8** Words in s. 49(5)(c) substituted (12.10.2005 for specified purposes, 1.4.2006 in so far as not already in force) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\)](#), s. 40, **Sch. 4 para. 2(b)**; S.I. 2005/2800, arts. 4(1)(a)(2), 5(1)(3) (with art. 4(3))
- F9** Words in s. 49(6)(a) inserted (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), **Sch. 4 para. 8(6)(a)**; S.I. 2012/1463, art. 5(a) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)
- F10** S. 49(6)(c)-(e) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 8(6)(b), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F11** S. 49(6)(g)-(k) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 8(6)(c), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F12** S. 49(6)(l) substituted (1.10.2004 except in relation to W., 10.11.2004 for W.) by [Fire and Rescue Services Act 2004 \(c. 21\)](#), s. 61, **Sch. 1 para. 94**; S.I. 2004/2304, art. 2; S.I. 2004/2917, art. 2
- F13** Words in s. 49(6)(l) inserted (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), **Sch. 4 para. 8(6)(d)**; S.I. 2012/1463, art. 5(a) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)
- F14** S. 49(6)(m)-(o) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 8(6)(e), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F15** Words in s. 49(6)(p) inserted (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), **Sch. 4 para. 8(6)(f)**; S.I. 2012/1463, art. 5(a) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)

#### Modifications etc. (not altering text)

- C12** S. 49 applied (1.4.2009) by [The Charter Trustees Regulations 2009 \(S.I. 2009/467\)](#), regs. 1(1), **14(3)** (with reg. 1(2))

#### Commencement Information

- I1** S. 49 wholly in force at 28.7.2001; s. 49 not in force at Royal Assent see s. 108; s. 49(2)(5)(6)(a)(b)(f)(l)(m)(p)(7) in force at 1.11.2000 in relation to Wales by S.I. 2000/2948, **art. 2**; s. 49 in force at 19.12.2000 in relation to England and to police authorities in Wales by S.I. 2000/3335, **art. 2**; s. 49 in force at 28.7.2001 in so far as not already in force see s. 108(4)-(6)

#### Marginal Citations

- M1** 1995 c. 25.

## 50 Model code of conduct.

<sup>F16</sup>(1) . . . . .

- (2) The National Assembly for Wales may by order issue a model code as regards the conduct which is expected of members and co-opted members of relevant authorities <sup>F17</sup>... (also referred to in this Part as a model code of conduct).

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- (3) The power under subsection <sup>F18</sup>... (2) to issue a model code of conduct includes power to revise any such model code which has been issued.
- (4) A model code of conduct—
- (a) must be consistent with the principles for the time being specified in an order under section <sup>F19</sup>... 49(2) <sup>F20</sup>...
  - (b) may include provisions which are mandatory, and
  - (c) may include provisions which are optional.
- [<sup>F21</sup>(4A) A model code of conduct issued under subsection (1) must provide, as respects each provision of the code which relates to the conduct expected of the persons mentioned in that subsection—
- (a) that the provision applies to a person only when acting in an official capacity; or
  - (b) that it applies to a person only when not acting in an official capacity;
- but the code may provide as mentioned in paragraph (b) only as respects a provision within subsection (4B).
- (4B) A provision is within this subsection if it prohibits particular conduct (or conduct of a particular description) where that conduct would constitute a criminal offence.
- <sup>F22</sup>(4C) .....
- <sup>F22</sup>(4D) .....
- (4E) A model code of conduct issued under subsection (2) may include—
- (a) provisions which are to apply to a person at all times;
  - (b) provisions which are to apply to a person otherwise than at all times.]
- (5) Before making an order under this section, <sup>F23</sup>... the National Assembly for Wales must carry out such consultation as is required, by virtue of section 49, before an order is made under that section.
- <sup>F24</sup>(6) .....
- <sup>F24</sup>(7) .....

### Textual Amendments

- F16** S. 50(1) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 9(2), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F17** Words in s. 50(2) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 9(3), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F18** Words in s. 50(3) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 9(4), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F19** Words in s. 50(4)(a) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 9(5)(a), **Sch. 25 Pt. 5**; S.I. 2012/1463, art.

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- 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F20** Words in s. 50(4)(a) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 9(5)(b), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F21** S. 50(4A)-(4E) inserted (31.1.2008 for specified purposes) by Local Government and Public Involvement in Health Act 2007 (c. 28), **ss. 183(2), 245(5)** (with s. 183(5)-(11), 201); S.I. 2008/172, art. 5(1)(b)
- F22** S. 50(4C)(4D) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 9(6), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F23** Words in s. 50(5) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 9(7), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F24** S. 50(6)(7) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 9(8), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)

#### Commencement Information

- I2** S. 50 wholly in force at 28.7.2001; s. 50 not in force at Royal Assent see s. 108; s. 50(2)-(7) in force at 1.11.2000 in relation to Wales by S.I. 2000/2948, **art. 2**; s. 50 in force at 19.12.2000 in relation to England and to police authorities in Wales by S.I. 2000/3335, **art. 2**; s. 50 in force at 28.7.2001 in so far as not already in force see s. 108(4)-(6)

## 51 Duty of relevant authorities to adopt codes of conduct.

- (1) It is the duty of a relevant authority, before the end of the period of six months beginning with the day on which the first order under section 50 which applies to them is made, to pass a resolution adopting a code as regards the conduct which is expected of members and co-opted members of the authority (referred to in this Part as a code of conduct).
- (2) It is the duty of a relevant authority, before the end of the period of six months beginning with the day on which any subsequent order under section 50 which applies to them is made, to pass a resolution—
  - (a) adopting a code of conduct in place of their existing code of conduct under this section, or
  - (b) revising their existing code of conduct under this section.
- (3) A relevant authority may by resolution—
  - (a) adopt a code of conduct in place of their existing code of conduct under this section, or
  - (b) revise their existing code of conduct under this section.
- (4) A code of conduct or revised code of conduct—
  - (a) must incorporate any mandatory provisions of the model code of conduct which for the time being applies to that authority,
  - (b) may incorporate any optional provisions of that model code, and

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- (c) may include other provisions which are consistent with that model code.
- [<sup>F25</sup>(4A) Where under subsection (4)(c) a provision relating to the conduct expected of persons is included in the code of a relevant authority in England <sup>F26</sup>..., the code must provide—
- (a) that the provision applies to a person only when acting in an official capacity (within the meaning given by the code); or
  - (b) that it applies to a person only when not acting in an official capacity (within that meaning);
- but the code may provide as mentioned in paragraph (b) only as respects a provision within subsection (4B).
- (4B) A provision of a code is within this subsection if it prohibits particular conduct (or conduct of a particular description) where that conduct would constitute a criminal offence within the meaning of the code.
- (4C) The provisions which may be included under subsection (4)(c) <sup>F27</sup>... include—
- (a) provisions which are to apply to a person at all times;
  - (b) provisions which are to apply to a person otherwise than at all times.]
- (5) Where a relevant authority fail to comply with the duty under subsection (1) or (2) before the end of the period mentioned in that subsection—
- (a) they must comply with that duty as soon as reasonably practicable after the end of that period, and
  - (b) any mandatory provisions of the model code of conduct which for the time being applies to the authority are to apply in relation to the members and co-opted members of the authority for so long as the authority fail to comply with that duty.
- (6) As soon as reasonably practicable after adopting or revising a code of conduct under this section, a relevant authority must—
- (a) ensure that copies of the code or revised code are available at an office of the authority for inspection by members of the public at all reasonable hours,
  - (b) publish in one or more newspapers circulating in their area a notice which—
    - (i) states that they have adopted or revised a code of conduct,
    - (ii) states that copies of the code or revised code are available at an office of the authority for inspection by members of the public at such times as may be specified in the notice, and
    - (iii) specifies the address of that office, and
  - (c) send a copy of the code or revised code—
    - <sup>F28</sup>(i) .....
    - (ii) <sup>F29</sup>... to the [<sup>F30</sup>Public Services Ombudsman for Wales ].
- (7) Where a relevant authority themselves publish a newspaper, the duty to publish a notice under subsection (6)(b) is to be construed as a duty to publish that notice in their newspaper and at least one other newspaper circulating in their area.
- (8) A relevant authority may publicise their adoption or revision of a code of conduct under this section in any other manner that they consider appropriate.
- (9) A relevant authority's function with respect to the passing of a resolution under this section may be discharged only by the authority (and accordingly, in the case of a relevant authority to which section 101 of the <sup>M2</sup>Local Government Act 1972 applies, is not to be a function to which that section applies).



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### Textual Amendments

- F25** S. 51(4A)-(4C) inserted (31.1.2008 for specified purposes) by [Local Government and Public Involvement in Health Act 2007 \(c. 28\)](#), **ss. 183(3)**, 245(5) (with s. 183(5)-(11), 201); S.I. 2008/172, art. 5(1)(c)
- F26** Words in s. 51(4A) omitted (22.11.2012) by virtue of [Police Reform and Social Responsibility Act 2011 \(c. 13\)](#), s. 157(1), **Sch. 16 para. 259(2)**; S.I. 2012/2892, art. 2(i)
- F27** Words in s. 51(4C) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 10(2), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F28** S. 51(6)(c)(i) repealed (31.1.2012) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 10(3)(a), **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(b) (with arts. 6, 8)
- F29** Words in s. 51(6)(c)(ii) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 10(3)(b), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F30** Words in s. 51(6)(c)(ii) substituted (1.4.2006) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\)](#), s. 40, **Sch. 4 para. 3**; S.I. 2005/2800, art. 5(1)(3) (with transitional provisions in S.I. 2006/362, art. 4)

### Modifications etc. (not altering text)

- C13** S. 51 modified (24.3.2005) by [New Forest National Park Authority \(Establishment\) Order 2005 \(S.I. 2005/421\)](#), art. 1, **Sch. 4 para. 10**
- C14** S. 51 applied (with modifications) (24.3.2010) by [The South Downs National Park Authority \(Establishment\) Order 2010 \(S.I. 2010/497\)](#), art. 1, **Sch. 4 para. 10**

### Commencement Information

- I3** S. 51 wholly in force at 28.7.2001; s. 51 not in force at Royal Assent see s. 108; s. 51 in force at 19.12.2000 in relation to England and to police authorities in Wales by [S.I. 2000/3335](#), **art. 2**; s. 51 in force at 28.7.2001 in so far as not already in force see s. 108(4)-(6)

### Marginal Citations

- M2** [1972 c. 70](#).

## 52 Duty to comply with code of conduct.

- (1) A person who is a member or co-opted member of a relevant authority at a time when the authority adopt a code of conduct under section 51 for the first time—
- (a) must, before the end of the period of two months beginning with the date on which the code of conduct is adopted, give to the authority a written undertaking that [<sup>F31</sup>in performing his functions] he will observe the authority's code of conduct for the time being under section 51, and
  - (b) if he fails to do so, is to cease to be a member or co-opted member at the end of that period.
- (2) The form of declaration of acceptance of office which may be prescribed by an order under section 83 of the <sup>M3</sup>Local Government Act 1972 [<sup>F32</sup>in relation to a relevant authority] may include an undertaking by the declarant that [<sup>F31</sup>in performing his functions] he will observe the authority's code of conduct for the time being under section 51.



*Status: Point in time view as at 12/12/2017.*

*Changes to legislation: Local Government Act 2000, Part III is up to date with all changes known to be in force on or before 26 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- (3) A person who becomes a member of a relevant authority to which section 83 of that Act does not apply at any time after the authority have adopted a code of conduct under section 51 for the first time may not act in that office unless he has given the authority a written undertaking that [<sup>F31</sup>in performing his functions] he will observe the authority's code of conduct for the time being under section 51.
- (4) A person who becomes a co-opted member of a relevant authority at any time after the authority have adopted a code of conduct under section 51 for the first time may not act as such unless he has given the authority a written undertaking that [<sup>F31</sup>in performing his functions] he will observe the authority's code of conduct for the time being under section 51.
- [<sup>F33</sup>(5) In relation to a relevant authority whose members and co-opted members are subject to mandatory provisions by virtue of section 51(5)(b)—
- (a) the references in subsections (2) to (4) to the authority's code of conduct for the time being under section 51 include the mandatory provisions which for the time being apply to the members and co-opted members of the authority, and
  - (b) the references in subsections (3) and (4) to any time after the authority have adopted a code of conduct under section 51 for the first time are to be read as references to any time after the coming into force of section 184 of the Local Government and Public Involvement in Health Act 2007.]

#### Textual Amendments

- F31** Words in s. 52(1)-(4) repealed (31.1.2008 for W.) by [Local Government and Public Involvement in Health Act 2007 \(c. 28\)](#), ss. 183(4), 245(5), **Sch. 18 Pt. 15** (with s. 183(5)-(11), 201); S.I. 2008/172, arts. 2(1)(u)(iii), 5(2)
- F32** Words in s. 52(2) inserted (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), **Sch. 4 para. 11**; S.I. 2012/1463, art. 5(a) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)
- F33** S. 52(5) inserted (31.1.2008) by [Local Government and Public Involvement in Health Act 2007 \(c. 28\)](#), ss. 184(2), 245(5) (with s. 201); S.I. 2008/172, art. 2(1)(i)

#### Commencement Information

- I4** S. 52 wholly in force at 28.7.2001; s. 52 not in force at Royal Assent see s. 108; s. 52 in force at 19.12.2000 in relation to England and to police authorities in Wales by [S.I. 2000/3335](#), **art. 2**; s. 52 in force at 28.7.2001 in so far as not already in force see s. 108(4)-(6)

#### Marginal Citations

- M3** 1972 c. 70.

### *Standards committees*

#### **53 Standards committees.**

- (1) Subject to subsection (2), every relevant authority must establish a committee [<sup>F34</sup>or, with one or more other relevant authorities, a joint committee] which is to have the functions conferred on it by or under this Part.
- [<sup>F35</sup>(1A) In this Part, a reference to a “standards committee” is a reference to a committee or a joint committee established under subsection (1).]

*Status: Point in time view as at 12/12/2017.*

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- (2) Subsection (1) does not apply to a <sup>F36</sup>... community council.
- <sup>F37</sup>(3) .....
- <sup>F37</sup>(4) .....
- <sup>F37</sup>(5) .....
- <sup>F37</sup>(6) .....
- <sup>F37</sup>(7) .....
- <sup>F37</sup>(8) .....
- <sup>F37</sup>(9) .....
- <sup>F37</sup>(10) .....
- (11) The [<sup>F38</sup>Welsh Ministers] may by regulations make provision—
- (a) as to the size and composition of standards committees of relevant authorities <sup>F39</sup>... (including provision with respect to the appointment to any such committee of persons who are not members of the relevant authority [<sup>F40</sup>or authorities] concerned),
  - (b) as to the term of office of members of any such committees,
  - (c) as to the persons who may, may not or must chair any such committees,
  - (d) as to the entitlement to vote of members of any such committee who are not members of the relevant authority concerned,
  - [<sup>F41</sup>(da) about establishing a standards committee which is a joint committee (including, in particular, provision about any restrictions on the number or types of relevant authority that may establish a joint committee),]
  - (e) for or in connection with treating any [<sup>F42</sup>standards] committees as bodies to which section 15 of the <sup>M4</sup>Local Government and Housing Act 1989 does not apply,
  - (f) with respect to the access of the public to meetings of such committees,
  - (g) with respect to the publicity to be given to meetings of such committees,
  - (h) with respect to the production of agendas for, or records of, meetings of such committees,
  - (i) with respect to the availability to the public or members of relevant authorities of agendas for, records of or information connected with meetings of any such committees,
  - (j) as to the proceedings and validity of proceedings of any such committees,
  - (k) for or in connection with requiring relevant authorities <sup>F43</sup>... to send to [<sup>F44</sup>the Public Services Ombudsman for Wales] statements which set out the terms of reference of their standards committees.
- (12) The provision which may be made by virtue of subsection <sup>F45</sup>... (11)(f) to (i) includes provision which applies or reproduces (with or without modifications) any provisions of Part VA of the Local Government Act 1972.
- [<sup>F46</sup>(13) A relevant authority which is considering establishing a joint committee must have regard to any guidance issued by the Welsh Ministers about establishing joint committees and the circumstances in which it is appropriate to do so.]

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### Textual Amendments

- F34** Words in s. 53(1) substituted (1.5.2015) by Local Government (Democracy) (Wales) Act 2013 (anaw 4), **ss. 68(2)(a)**, 75(3); S.I. 2015/1182, art. 2(e)
- F35** S. 53(1A) inserted (1.5.2015) by Local Government (Democracy) (Wales) Act 2013 (anaw 4), **ss. 68(2)(b)**, 75(3); S.I. 2015/1182, art. 2(e)
- F36** Words in s. 53(2) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 12(2), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F37** S. 53(3)-(10) repealed (31.1.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 12(3), **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(c) (with arts. 6, 8); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)
- F38** Words in s. 53(11) substituted (1.5.2015) by Local Government (Democracy) (Wales) Act 2013 (anaw 4), **ss. 68(2)(c)(i)**, 75(3); S.I. 2015/1182, art. 2(e)
- F39** Words in s. 53(11)(a) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 12(4)(a), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F40** Words in s. 53(11)(a) inserted (1.5.2015) by Local Government (Democracy) (Wales) Act 2013 (anaw 4), **ss. 68(2)(c)(ii)**, 75(3); S.I. 2015/1182, art. 2(e)
- F41** S. 53(11)(da) inserted (1.5.2015) by Local Government (Democracy) (Wales) Act 2013 (anaw 4), **ss. 68(2)(c)(iii)**, 75(3); S.I. 2015/1182, art. 2(e)
- F42** Word in s. 53(11)(e) substituted (1.5.2015) by Local Government (Democracy) (Wales) Act 2013 (anaw 4), **ss. 68(2)(c)(iv)**, 75(3); S.I. 2015/1182, art. 2(e)
- F43** Words in s. 53(11)(k) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 12(4)(b), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F44** Words in s. 53(11)(k) substituted (12.10.2005 for specified purposes, 1.4.2006 in so far as not already in force) by Public Services Ombudsman (Wales) Act 2005 (c. 10), s. 40, **Sch. 4 para. 4**; S.I. 2005/2800, arts. 4(1)(a)(2), 5(1) (with art. 4(3))
- F45** Words in s. 53(12) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 12(5), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F46** S. 53(13) inserted (1.5.2015) by Local Government (Democracy) (Wales) Act 2013 (anaw 4), **ss. 68(2)(d)**, 75(3); S.I. 2015/1182, art. 2(e)

### Commencement Information

- I5** S. 53 wholly in force at 28.7.2001; s. 53 not in force at Royal Assent see s. 108; s. 53(11)(12) in force at 1.11.2000 in relation to Wales by S.I. 2000/2948, **art. 2**; s. 53 in force at 19.12.2000 in relation to England and to police authorities in Wales by S.I. 2000/3335, **art. 2**; s. 53 in force at 28.7.2001 in so far as not already in force see s. 108(4)-(6)

### Marginal Citations

- M4** 1989 c. 42.

## 54 Functions of standards committees.

- (1) The general functions of a standards committee of a relevant authority are—

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- (a) promoting and maintaining high standards of conduct by the members and co-opted members of the authority, and
  - (b) assisting members and co-opted members of the authority to observe the authority’s code of conduct.
- (2) Without prejudice to its general functions, a standards committee of a relevant authority has the following specific functions—
- (a) advising the authority on the adoption or revision of a code of conduct,
  - (b) monitoring the operation of the authority’s code of conduct, and
  - (c) advising, training or arranging to train members and co-opted members of the authority on matters relating to the authority’s code of conduct.
- (3) A relevant authority may arrange for their standards committee to exercise such other functions as the authority consider appropriate.
- [<sup>F47</sup>(3A) In relation to a relevant authority whose members and co-opted members are subject to mandatory provisions by virtue of section 51(5)(b), references in subsection (1)(b) and (2)(b) and (c) to the authority’s code of conduct are to those mandatory provisions.]
- <sup>F48</sup>(4) . . . . .
- (5) The [<sup>F49</sup>Welsh Ministers] may by regulations make provision with respect to the exercise of functions by standards committees of relevant authorities <sup>F50</sup>....
- [<sup>F51</sup>(5A) Regulations made under subsection (5) may modify any provision of this Part, or any other enactment relating to a standards committee or to any functions of a standards committee, in relation to cases where a function of a standards committee is exercisable by a joint committee.
- (5B) In subsection (5A) “enactment” includes an enactment comprised in subordinate legislation (within the meaning of the Interpretation Act 1978 (c. 30)), whenever passed or made.]
- <sup>F52</sup>(6) . . . . .
- [<sup>F53</sup>(7) A standards committee must, in exercising any of its functions, have regard to any relevant guidance issued by the Welsh Ministers.]

#### Textual Amendments

- F47** S. 54(3A) inserted (31.1.2008) by [Local Government and Public Involvement in Health Act 2007](#) (c. 28), **ss. 184(3), 245(5)** (with s. 201); S.I. 2008/172, art. 2(1)(i)
- F48** S. 54(4) repealed (7.6.2012 for specified purposes, 1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011](#) (c. 20), s. 240(2), Sch. 4 para. 13(2), **Sch. 25 Pt. 5**; S.I. 2012/1463, arts. 2(h), 5(a)(d) (with arts. 6, 7); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F49** Words in s. 54(5) substituted (1.5.2015) by [Local Government \(Democracy\) \(Wales\) Act 2013](#) (anaw 4), **ss. 68(3)(a), 75(3)**; S.I. 2015/1182, art. 2(e)
- F50** Words in s. 54(5) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011](#) (c. 20), s. 240(2), Sch. 4 para. 13(3), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F51** S. 54(5A)(5B) inserted (1.5.2015) by [Local Government \(Democracy\) \(Wales\) Act 2013](#) (anaw 4), **ss. 68(3)(b), 75(3)**; S.I. 2015/1182, art. 2(e)
- F52** S. 54(6) repealed (31.1.2012) by [Localism Act 2011](#) (c. 20), s. 240(2), Sch. 4 para. 13(4), **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(d) (with arts. 6, 8)

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**F53** S. 54(7) substituted (1.5.2015) by [Local Government \(Democracy\) \(Wales\) Act 2013 \(anaw 4\)](#), **ss. 68(3)(c), 75(3)**; S.I. 2015/1182, art. 2(e)

#### Commencement Information

**I6** S. 54 wholly in force at 28.7.2001; s. 54 not in force at Royal Assent see s. 108; s. 54(5)(7) in force at 1.11.2000 in relation to Wales by [S.I. 2000/2948](#), **art. 2**; s. 54 in force at 19.12.2000 in relation to England and to police authorities in Wales by [S.I. 2000/3335](#), **art. 2**; s. 54 in force at 28.7.2001 in so far as not already in force see s. 108(4)-(6)

### [<sup>F54</sup>54A Sub-committees of standards committees

- (1) A standards committee of a relevant authority may appoint one or more sub-committees for the purpose of discharging any of the committee's functions, whether or not to the exclusion of the committee.
- (2) Subsection (1) does not apply to functions under section <sup>F55</sup>...56.
- (3) A sub-committee under subsection (1) shall be appointed from among the members of the standards committee by which it is appointed <sup>F56</sup>....
- <sup>F57</sup>(4) .....
- (5) As regards sub-committees appointed under subsection (1) by a standards committee of a relevant authority <sup>F58</sup>...—
  - (a) regulations under section 53(11) may make provision in relation to such sub-committees, and
  - (b) section 54(5) and (7) apply in relation to such sub-committees as they apply in relation to standards committees.
- (6) Subject to <sup>F59</sup>... any provision made by regulations under section [<sup>F60</sup>53(11)(a)] (as applied by this section)—
  - (a) the number of members of a sub-committee under subsection (1), and
  - (b) the term of office of those members,are to be fixed by the standards committee by which the sub-committee is appointed.]

#### Textual Amendments

- F54** S. 54A inserted (18.11.2003) by [Local Government Act 2003 \(c. 26\)](#), **ss. 113(1), 128(2)(d)**
- F55** Words in s. 54A(2) repealed (31.1.2008) by [Local Government and Public Involvement in Health Act 2007 \(c. 28\)](#), **ss. 188(1)(a), 245(5), Sch. 18 Pt. 15** (with s. 201); S.I. 2008/172, art. 2(1)(j)(u)(ii) (with art. 2(2))
- F56** Words in s. 54A(3) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 14(2), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F57** S. 54A(4) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 14(3), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F58** Words in s. 54A(5) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 14(4), **Sch. 25 Pt. 5**; S.I. 2012/1463, art.

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5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)

**F59** Words in s. 54A(6) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by *Localism Act 2011 (c. 20)*, s. 240(2), Sch. 4 para. 14(5)(a), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)

**F60** Words in s. 54A(6) substituted (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by *Localism Act 2011 (c. 20)*, s. 240(2), **Sch. 4 para. 14(5)(b)**; S.I. 2012/1463, art. 5(a) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)

**F62** **55 Standards committees** <sup>F61</sup> ... for parish councils.

.....

**Textual Amendments**

**F61** Words in s. 55 repealed (31.1.2008) by *Local Government and Public Involvement in Health Act 2007 (c. 28)*, ss. 188(2)(a), 245(5), **Sch. 18 Pt. 15** (with s. 201); S.I. 2008/172, art. 2(1)(j)(u)(ii) (with art. 2(2))

**F62** S. 55 repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by *Localism Act 2011 (c. 20)*, s. 240(2), Sch. 4 para. 15, **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)

**56 Standards committees or sub-committees for community councils.**

- (1) A standards committee of a county council in Wales is to have the same functions in relation to—
  - (a) the community councils which are situated in the area of the county council, and
  - (b) the members of those community councils,
 as the standards committee has under section 54(1) and (2) in relation to the county council and the members of the county council.
- (2) A standards committee of a county borough council is to have the same functions in relation to—
  - (a) the community councils which are situated in the area of the county borough council, and
  - (b) the members of those community councils,
 as the standards committee has under section 54(1) and (2) in relation to the county borough council and the members of the county borough council.
- (3) A standards committee of a county council or county borough council may appoint a sub-committee for the purpose of discharging all of the functions conferred on the standards committee by this section.
- (4) In deciding whether it will be their standards committee, or a sub-committee of their standards committee, which is to discharge the functions conferred by this section, a county council or county borough council must consult the community councils which are situated in their area.

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- (5) Regulations under section 53(11) may make provision in relation to sub-committees appointed under this section.
- (6) Subsections (5) and (7) of section 54 apply in relation to sub-committees of standards committees appointed under this section as they apply in relation to standards committees.
- (7) Any function which by virtue of the following provisions of this Part is exercisable by or in relation to the standards committee of a relevant authority which is a community council is to be exercisable by or in relation to—
- (a) the standards committee of the county council or county borough council in whose area the community council is situated, or
  - (b) where that standards committee has appointed a sub-committee under this section, that sub-committee;
- and any reference in the following provision of this Part to the standards committee of a relevant authority which is a community council is to be construed accordingly.

<sup>F63</sup> **56A Joint committees of relevant authorities in England**

.....

**Textual Amendments**

- F63** S. 56A repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 16, [Sch. 25 Pt. 5](#); S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)

**CHAPTER II**

INVESTIGATIONS ETC: ENGLAND

*Standards Board for England*

<sup>F64</sup> **57 Standards Board for England.**

.....

**Textual Amendments**

- F64** S. 57 repealed (31.1.2012 for specified purposes, 1.4.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 17, [Sch. 25 Pt. 5](#); S.I. 2012/57, art. 5(1)(a)(c)(2)(e) (with arts. 6, 8); S.I. 2012/628, art. 6(h) (with arts. 9, 11, 14, 15, 17)



*Status: Point in time view as at 12/12/2017.*

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*[<sup>F65</sup>Written allegations]*

**Textual Amendments**

**F65** Ss. 57A-57D and 58 substituted for s. 58 (31.1.2008 for specified purposes, 8.5.2008 in so far as not already in force) by [Local Government and Public Involvement in Health Act 2007 \(c. 28\)](#), **ss. 185, 245(5)** (with s. 201); S.I. 2008/172, art. 6; S.I. 2008/1265, art. 2(a) (with art. 3)

**<sup>F66</sup>57A Written allegations: right to make, and initial assessment**

.....

**Textual Amendments**

**F66** S. 57A repealed (31.1.2012 for specified purposes, 1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 18, **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(f) (with arts. 6, 8); S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)

**<sup>F67</sup>57B Right to request review of decision not to act**

.....

**Textual Amendments**

**F67** S. 57B repealed (31.1.2012 for specified purposes, 1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 19, **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(g) (with arts. 6, 8); S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)

**<sup>F68</sup>7C Information to be given to subject of allegation**

.....

**Textual Amendments**

**F68** S. 57C repealed (31.1.2012 for specified purposes, 1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 20, **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(h) (with arts. 6, 8); S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)

**<sup>F69</sup>57D Power to suspend standards committee's functions**

.....

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**Textual Amendments**

**F69** S. 57D repealed (31.1.2012) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 21, **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(i) (with arts. 6, 8)

**<sup>F70</sup>58 Allegations referred to Standards Board**

.....

**Textual Amendments**

**F70** S. 58 repealed (31.1.2012) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 22, **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(i) (with arts. 6, 8)

*Functions of ethical standards officers*

**<sup>F71</sup>59 Functions of ethical standards officers.**

.....

**Textual Amendments**

**F71** S. 59 repealed (31.1.2012) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 23, **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(i) (with arts. 6, 8)

*Investigations [<sup>F72</sup>by ethical standards officers]*

**Textual Amendments**

**F72** Words in s. 60 cross-heading inserted (1.4.2008 for specified purposes) by [Local Government and Public Involvement in Health Act 2007 \(c. 28\)](#), ss. 191(2), 245(5) (with s. 201); S.I. 2008/172, art. 7(2)

**<sup>F73</sup>60 Conduct of investigations.**

.....

**Textual Amendments**

**F73** S. 60 repealed (31.1.2012) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 24, **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(i) (with arts. 6, 8)

**<sup>F74</sup>61 Procedure in respect of investigations.**

.....

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**Textual Amendments**

**F74** S. 61 repealed (31.1.2012) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 25, **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(i) (with arts. 6, 8)

**<sup>F75</sup>62 Investigations: further provisions.**

.....

**Textual Amendments**

**F75** S. 62 repealed (31.1.2012) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 26, **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(i) (with arts. 6, 8)

**<sup>F76</sup>63 Restrictions on disclosure of information.**

.....

**Textual Amendments**

**F76** S. 63 repealed (31.1.2012) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 27, **Sch. 25 Pt. 5** (with Sch. 4 para. 60); S.I. 2012/57, art. 5(1)(a)(c)(2)(i) (with arts. 6, 8)

*Reports etc. [<sup>F77</sup>by ethical standards officers ]*

**Textual Amendments**

**F77** Words in s. 64 cross-heading inserted (31.1.2008) by [Local Government and Public Involvement in Health Act 2007 \(c. 28\)](#), **ss. 192(1)**, 245(5) (with s. 201); S.I. 2008/172, art. 2(1)(m)

**<sup>F78</sup>64 Reports etc.**

.....

**Textual Amendments**

**F78** S. 64 repealed (31.1.2012) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 28, **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(i) (with arts. 6, 8)

**<sup>F79</sup>65 Interim reports.**

.....

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**Textual Amendments**

**F79** S. 65 repealed (31.1.2012) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 29, **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(i) (with arts. 6, 8)

**<sup>F80</sup>65A Disclosure by monitoring officers of ethical standards officers' reports**

.....

**Textual Amendments**

**F80** S. 65A repealed (31.1.2012) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 30, **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(i) (with arts. 6, 8)

*References to monitoring officers*

**<sup>F81</sup>66 Matters referred to monitoring officers.**

.....

**Textual Amendments**

**F81** S. 66 repealed (7.6.2012 for specified purposes, 1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 31, **Sch. 25 Pt. 5**; S.I. 2012/1463, arts. 2(h), 5(a)(d) (with arts. 6, 7); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)

**<sup>F83</sup>66A References to [<sup>F82</sup>First-tier Tribunal] for action in respect of misconduct**

.....

**Textual Amendments**

**F82** Words in s. 66A heading substituted (18.1.2010) by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), art. 1(1), **Sch. 2 para. 55(a)** (with Sch. 5)

**F83** S. 66A repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 32, **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)

*[<sup>F84</sup>Information to be provided to Standards Board by relevant authority]*

**Textual Amendments**

**F84** Ss. 66B, 66C and cross-heading inserted (8.5.2008) by [Local Government and Public Involvement in Health Act 2007 \(c. 28\)](#), **ss. 186, 245(5)** (with s. 201); S.I. 2008/1265, art. 2(b) (with art. 3)

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**F85 66B Periodic returns**

.....

**Textual Amendments**

**F85** S. 66B repealed (31.1.2012) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 33, **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(j) (with arts. 6, 8)

**F86 66C Information requests**

.....

**Textual Amendments**

**F86** S. 66C repealed (31.1.2012) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 34, **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(j) (with arts. 6, 8)

*[<sup>F87</sup>Consultation with ombudsmen]*

**Textual Amendments**

**F87** S. 67 cross-heading inserted (1.4.2008) by [Local Government and Public Involvement in Health Act 2007 \(c. 28\)](#), **ss. 196(1), 245(5)** (with s. 201); S.I. 2008/172, art. 4(j)

**F89 67 Consultation with [<sup>F88</sup>ombudsmen ].**

.....

**Textual Amendments**

**F88** Word in s. 67 heading substituted (1.4.2006) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\)](#), s. 40, **Sch. 4 para. 7**; S.I. 2005/2800, art. 5(1)(3) (with transitional provisions in S.I. 2006/362, art. 4)

**F89** S. 67 repealed (31.1.2012 for specified purposes, 1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 35, **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(k) (with arts. 6, 8); S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)

**Modifications etc. (not altering text)**

**C15** S. 67 modified (temp.) (1.11.2014) by [Social Services and Well-being \(Wales\) Act 2014 \(anaw 4\)](#), s. 199(2), **Sch. 3 para. 11**; S.I. 2014/2718, art. 2(b)

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## CHAPTER III

### INVESTIGATIONS ETC: WALES

#### *[<sup>F90</sup>Public Services Ombudsman for Wales]*

#### Textual Amendments

**F90** Pt. III Ch. III cross-heading preceding s. 68 substituted (1.4.2006) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\)](#), s. 40, [Sch. 4 para. 9](#); S.I. 2005/2800, art. 5(1) (with transitional provisions in S.I. 2006/362, art. 4)

#### 68 [<sup>F91</sup>Public Services Ombudsman for Wales.]

- (1) [<sup>F92</sup>The Public Services Ombudsman for Wales] is to have the functions conferred on him by this Part and such other functions as may be conferred on him by order made by the National Assembly for Wales under this subsection.
- (2) [<sup>F93</sup>The Public Services Ombudsman for Wales]—
- (a) may issue guidance to relevant authorities <sup>F94</sup>... on matters relating to the conduct of members and co-opted members of [<sup>F95</sup>those] authorities,
  - (b) may issue guidance to relevant authorities <sup>F96</sup>... in relation to the qualifications or experience which monitoring officers should possess, and
  - (c) may arrange for any such guidance to be made public.
- [<sup>F97</sup>(3) The National Assembly for Wales may by regulations make provision which, for the purpose of any provisions of the Public Services Ombudsman (Wales) Act 2005 specified in the regulations, treats—
- (a) functions of the Public Services Ombudsman for Wales under that Act as including his functions under this Part, or
  - (b) expenses of the Public Services Ombudsman for Wales under that Act as including his expenses under this Part.]
- (4) The provision which may be made by virtue of subsection (3) includes provision which modifies, or applies or reproduces (with or without modifications), any provisions of <sup>F98</sup>...that Act.
- <sup>F99</sup>(5) .....

#### Textual Amendments

- F91** S. 68 heading: word substituted (1.4.2006) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\)](#), s. 40, [Sch. 4 para. 10](#); S.I. 2005/2800, art. 5(1)(3) (with transitional provisions in S.I. 2006/362, art. 4)
- F92** Words in s. 68(1) substituted (12.10.2005 for specified purposes) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\)](#), s. 40, [Sch. 4 para. 11\(a\)](#); S.I. 2005/2800, art. 4(1)(b)(2) (with art. 4(3))
- F93** Words in s. 68(2) substituted (1.4.2006) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\)](#), s. 40, [Sch. 4 para. 11\(b\)](#); S.I. 2005/2800, art. 5(1)(3) (with transitional provisions in S.I. 2006/362, art. 4)
- F94** Words in s. 68(2)(a) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), [Sch. 4 para. 36\(a\)\(i\)](#), [Sch. 25 Pt. 5](#); S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)

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- F95** Word in s. 68(2)(a) substituted (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), [Sch. 4 para. 36\(a\)\(ii\)](#); [S.I. 2012/1463](#), art. 5(a) (with arts. 6, 7) (as amended (3.7.2012) by [S.I. 2012/1714](#), art. 2); [S.I. 2012/2913](#), arts. 1(2), 2(b) (with arts. 3-6)
- F96** Words in s. 68(2)(b) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), [Sch. 4 para. 36\(b\)](#), [Sch. 25 Pt. 5](#); [S.I. 2012/1463](#), art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by [S.I. 2012/1714](#), art. 2); [S.I. 2012/2913](#), arts. 1(2), 2(b)(c) (with arts. 3-6)
- F97** S. 68(3) substituted (12.10.2005 for specified purposes) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\)](#), s. 40, [Sch. 4 para. 11\(c\)](#); [S.I. 2005/2800](#), art. 4(1)(b)(2) (with art. 4(3))
- F98** Words in s. 68(4) repealed (12.10.2005 for specified purposes) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\)](#), s. 40, [Sch. 4 para. 11\(d\)](#), [Sch. 7](#); [S.I. 2005/2800](#), art. 4(1)(b)(2) (with art. 4(3))
- F99** S. 68(5) repealed (12.10.2005 for specified purposes) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\)](#), s. 40, [Sch. 4 para. 11\(e\)](#), [Sch. 7](#); [S.I. 2005/2800](#), art. 4(1)(b)(2) (with art. 4(3))

#### **Commencement Information**

- I7** S. 68 wholly in force at 28.7.2001; s. 68 not in force at Royal Assent see s. 108; s. 68(3)-(5) in force at 1.11.2000 in relation to Wales by [S.I. 2000/2948](#), [art. 2](#); s. 68 in force at 28.7.2001 in so far as not already in force see s. 108(4)-(6)

### *Investigations*

#### **69 [F100] Investigations by the Public Services Ombudsman for Wales.]**

- (1) [F101]The Public Services Ombudsman for Wales] may investigate—
- (a) cases in which a written allegation is made to him by any person that a member or co-opted member (or former member or co-opted member) of a relevant authority <sup>F102</sup>... has failed, or may have failed, to comply with the authority's code of conduct, and
  - (b) other cases in which he considers that a member or co-opted member (or former member or co-opted member) of a relevant authority <sup>F102</sup>... has failed, or may have failed, to comply with the authority's code of conduct and which have come to his attention as a result of an investigation under paragraph (a).
- (2) If [F103]the Public Services Ombudsman for Wales] considers that a written allegation under subsection (1)(a) should not be investigated, he must take reasonable steps to give written notification to the person who made the allegation of the decision and the reasons for the decision.
- (3) The purpose of an investigation under this section is to determine which of the findings mentioned in subsection (4) is appropriate.
- (4) Those findings are—
- (a) that there is no evidence of any failure to comply with the code of conduct of the relevant authority concerned,
  - (b) that no action needs to be taken in respect of the matters which are the subject of the investigation,
  - (c) that the matters which are the subject of the investigation should be referred to the monitoring officer of the relevant authority concerned, or



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- (d) that the matters which are the subject of the investigation should be referred to the president of the Adjudication Panel for Wales for adjudication by a tribunal falling within section 76(1).
- (5) Where a person is no longer a member or co-opted member of the relevant authority concerned but is a member or co-opted member of another relevant authority<sup>F104</sup> ..., the reference in subsection (4)(c) to the monitoring officer of the relevant authority concerned is to be treated as a reference either to the monitoring officer of the relevant authority concerned or to the monitoring officer of that other relevant authority (and accordingly [<sup>F105</sup>if the Public Services Ombudsman for Wales reaches a finding under subsection (4)(c) he] must decide to which of those monitoring officers to refer the matters concerned).

#### Textual Amendments

- F100** Pt. III Ch. III(crossheading)(investigations) substituted (1.4.2006) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\), s. 40, Sch. 4 para. 12](#); S.I. 2005/2800, art. 5(1)(3) (with transitional provisions in S.I. 2006/362, art. 4)
- F101** Words in s. 69(1) substituted (1.4.2006) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\), s. 40, Sch. 4 para. 13\(a\)](#); S.I. 2005/2800, art. 5(1)(3) (with transitional provisions in S.I. 2006/362, art. 4)
- F102** Words in s. 69(1) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\), s. 240\(2\), Sch. 4 para. 37\(2\), Sch. 25 Pt. 5](#); S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F103** Words in s. 69(2) substituted (1.4.2006) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\), s. 40, Sch. 4 para. 13\(b\)](#); S.I. 2005/2800, art. 5(1)(3) (with transitional provisions in S.I. 2006/362, art. 4)
- F104** Words in s. 69(5) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\), s. 240\(2\), Sch. 4 para. 37\(3\), Sch. 25 Pt. 5](#); S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F105** Words in s. 69(5) substituted (1.4.2006) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\), s. 40, Sch. 4 para. 13\(c\)](#); S.I. 2005/2800, art. 5(1)(3) (with transitional provisions in S.I. 2006/362, art. 4)

## 70 Investigations: further provisions.

- (1) The National Assembly for Wales may by order make provision with respect to investigations under section 69 (including provision with respect to the obtaining or disclosure of documents or information).
- (2) The provision which may be made by virtue of subsection (1) includes provision which applies or reproduces (with or without modifications)—
- (a) any provisions of sections 60 to 63 [<sup>F106</sup>as those sections had effect immediately before their repeal by the Localism Act 2011], or
- [<sup>F107</sup>(b) any provisions of sections 13 to 15 [<sup>F108</sup>and Part 2B] of the Public Services Ombudsman (Wales) Act 2005.]
- (3) [<sup>F109</sup>The Public Services Ombudsman for Wales] may cease an investigation under section 69 at any stage before its completion.

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- (4) Where [F110 the Public Services Ombudsman for Wales ] ceases an investigation under section 69 before its completion, he may refer the matters which are the subject of the investigation to the monitoring officer of the relevant authority concerned.
- (5) Where a person is no longer a member or co-opted member of the relevant authority concerned but is a member or co-opted member of another relevant authority F111 ..., [F110 the Public Services Ombudsman for Wales ] may, if he thinks it more appropriate than making such a reference as is mentioned in subsection (4), refer the matters which are the subject of the investigation to the monitoring officer of that other relevant authority.

#### Textual Amendments

- F106** Words in s. 70(2)(a) inserted (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by *Localism Act 2011 (c. 20)*, s. 240(2), **Sch. 4 para. 38(2)**; S.I. 2012/1463, art. 5(a) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)
- F107** S. 70(2)(b) substituted (12.10.2005 for specified purposes, 1.4.2006 in so far as not already in force) by *Public Services Ombudsman (Wales) Act 2005 (c. 10)*, s. 40, **Sch. 4 para. 14(a)**; S.I. 2005/2800, arts. 4(1)(c)(2), 5(1) (with art. 4(3))
- F108** Words in s. 70(2)(b) substituted (1.11.2014) by *Social Services and Well-being (Wales) Act 2014 (anaw 4)*, s. 199(2), **Sch. 3 para. 12**; S.I. 2014/2718, art. 2(b)
- F109** Words in s. 70(3) substituted (1.4.2006) by *Public Services Ombudsman (Wales) Act 2005 (c. 10)*, s. 40, **Sch. 4 para. 14(b)**; S.I. 2005/2800, art. 5(1) (with transitional provisions in S.I. 2006/362, art. 4)
- F110** Words in s. 70(4)(5) substituted (1.4.2006) by *Public Services Ombudsman (Wales) Act 2005 (c. 10)*, s. 40, **Sch. 4 para. 14(c)**; S.I. 2005/2800, art. 5(1) (with transitional provisions in S.I. 2006/362, art. 4); S.I. 2005/2800, art. 5(1)(3) (with transitional provisions in S.I. 2006/362, art. 4)
- F111** Words in s. 70(5) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by *Localism Act 2011 (c. 20)*, s. 240(2), **Sch. 4 para. 38(3)**, **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)

#### Modifications etc. (not altering text)

- C16** S. 70(2) modified (1.4.2008) by *Local Government and Public Involvement in Health Act 2007 (c. 28)*, **ss. 201(3), 245(5)** (with s. 201); S.I. 2008/172, art. 4(1)

#### Commencement Information

- I8** S. 70 wholly in force at 28.7.2001; s. 70 not in force at Royal Assent see s. 108; s. 70(1)(2) in force at 1.11.2000 in relation to Wales by S.I. 2000/2948, **art. 2**; s. 70 in force at 28.7.2001 in so far as not already in force see s. 108(4)-(6)

#### *Reports etc.*

### 71 Reports etc.

- (1) Where [F112 the Public Services Ombudsman for Wales] determines in relation to any case that a finding under section 69(4)(a) or (b) is appropriate—
- (a) he may produce a report on the outcome of his investigation,
  - (b) he may provide a summary of any such report to any newspapers circulating in the area of the relevant authority concerned,

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- (c) he must send to the monitoring officer of the relevant authority concerned a copy of any such report, and
  - (d) where he does not produce any such report, he must inform the monitoring officer of the relevant authority concerned of the outcome of the investigation.
- (2) Where [<sup>F112</sup> the Public Services Ombudsman for Wales] determines in relation to any case that a finding under section 69(4)(c) is appropriate he must—
- (a) produce a report on the outcome of his investigation,
  - (b) subject to subsection (4)(b), refer the matters which are the subject of the investigation to the monitoring officer of the relevant authority concerned, and
  - (c) send a copy of the report to the monitoring officer, and the standards committee, of the relevant authority concerned.
- (3) Where [<sup>F112</sup> the Public Services Ombudsman for Wales] determines in relation to any case that a finding under section 69(4)(d) is appropriate he must—
- (a) produce a report on the outcome of his investigation,
  - (b) refer the matters which are the subject of the investigation to the president of the Adjudication Panel for Wales for adjudication by a tribunal falling within section 76(1), and
  - (c) send a copy of the report to the monitoring officer of the relevant authority concerned and to the president of the Adjudication Panel for Wales.
- (4) Where a person is no longer a member or co-opted member of the relevant authority concerned but is a member or co-opted member of another relevant authority <sup>F113</sup>...—
- (a) the references in subsections (1)(b), (c) and (d), (2)(c) and (3)(c) to the relevant authority concerned are to be treated as including references to that other relevant authority, and
  - (b) [<sup>F114</sup>if the Public Services Ombudsman for Wales reaches a finding under section 69(4)(c) he] must refer the matters concerned either to the monitoring officer of the relevant authority concerned or to the monitoring officer of that other relevant authority.
- (5) A report under this section may cover more than one investigation under section 69 in relation to any members or co-opted members (or former members or co-opted members) of the same relevant authority.
- (6) [<sup>F115</sup>The Public Services Ombudsman for Wales] must—
- (a) inform any person who is the subject of an investigation under section 69, and
  - (b) take reasonable steps to inform any person who made any allegation which gave rise to the investigation,
- of the outcome of the investigation.

#### Textual Amendments

**F112** Words in s. 71(1)-(3) substituted (1.4.2006) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\), s. 40, Sch. 4 para. 15\(a\)](#); S.I. 2005/2800, art. 5(1)(3) (with transitional provisions in S.I. 2006/362, art. 4)

**F113** Words in s. 71(4) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\), s. 240\(2\), Sch. 4 para. 39, Sch. 25 Pt. 5](#); S.I. 2012/1463, art. 5(a) (d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (c) (with arts. 3-6)

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- F114** Words in s. 71(4)(b) substituted (1.4.2006) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\), s. 40, Sch. 4 para. 15\(b\)](#); S.I. 2005/2800, art. 5(1)(3) (with transitional provisions in S.I. 2006/362, art. 4)
- F115** Words in s. 71(6) substituted (1.4.2006) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\), s. 40, Sch. 4 para. 15\(c\)](#); S.I. 2005/2800, art. 5(1)(3) (with transitional provisions in S.I. 2006/362, art. 4)

## 72 Interim reports.

- (1) Where he considers it necessary in the public interest, [<sup>F116</sup>the Public Services Ombudsman for Wales] may, before the completion of an investigation under section 69, produce an interim report on that investigation.
- (2) An interim report under this section may cover more than one investigation under section 69 in relation to any members or co-opted members (or former members or co-opted members) of the same relevant authority.
- (3) Where the prima facie evidence is such that it appears to [<sup>F116</sup>the Public Services Ombudsman for Wales]—
  - (a) that the person who is the subject of the interim report has failed to comply with the code of conduct of the relevant authority concerned,
  - (b) that the nature of that failure is such as to be likely to lead to disqualification under section 79(4)(b), and
  - (c) that it is in the public interest to suspend or partially suspend that person immediately,
 the interim report may include a recommendation that that person should be suspended or partially suspended from being a member or co-opted member of the relevant authority concerned for a period which does not exceed six months or (if shorter) the remainder of the person's term of office.
- (4) Where [<sup>F116</sup>the Public Services Ombudsman for Wales] produces an interim report under this section which contains such a recommendation as is mentioned in subsection (3), he must refer the matters which are the subject of the report to the president of the Adjudication Panel for Wales for adjudication by a tribunal falling within section 76(2).
- (5) A copy of any report under this section must be given—
  - (a) to any person who is the subject of the report,
  - (b) to the monitoring officer of the relevant authority concerned, and
  - (c) to the president of the Adjudication Panel for Wales.
- (6) Where a person is no longer a member or co-opted member of the relevant authority concerned but is a member or co-opted member of another relevant authority <sup>F117</sup>...—
  - (a) the second reference in subsection (3) to the relevant authority concerned is to be treated as a reference to that other relevant authority, and
  - (b) the reference in subsection (5)(b) to the relevant authority concerned is to be treated as including a reference to that other relevant authority.

### Textual Amendments

- F116** Words in s. 72(1)(3)(4) substituted (1.4.2006) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\), s. 40, Sch. 4 para. 16](#); S.I. 2005/2800, art. 5(1)(3) (with transitional provisions in S.I.

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2006/362, art. 4); S.I. 2005/2800, art. 5(1)(3) (with transitional provisions in S.I. 2006/362, art. 4); S.I. 2005/2800, art. 5(1)(3) (with transitional provisions in S.I. 2006/362, art. 4)

**F117** Words in s. 72(6) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 40, **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a) (d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (c) (with arts. 3-6)

### *References to monitoring officers*

## **73 Matters referred to monitoring officers.**

- (1) The National Assembly for Wales may by regulations make provision in relation to the way in which any matters referred to the monitoring officer of a relevant authority under section [F118 70(4) or (5) or 71(2) or (4)] are to be dealt with.
- (2) The provision which may be made by regulations under subsection (1) includes provision for or in connection with—
  - (a) enabling a monitoring officer of a relevant authority to conduct an investigation in respect of any matters referred to him,
  - (b) enabling a monitoring officer of a relevant authority to make a report, or recommendations, to the standards committee of the authority[F119], or to the standards committee of another relevant authority,] in respect of any matters referred to him,
  - [F120](ba) enabling a standards committee of a relevant authority to refer a report or recommendations made by its monitoring officer to the standards committee of another relevant authority,]
  - [F121](c) enabling a standards committee of a relevant authority to consider any report or recommendations made or, as the case may be, referred to it by—
    - (i) a monitoring officer of a relevant authority, or
    - (ii) the standards committee of another relevant authority.
  - (ca) the procedure to be followed by a standards committee as respects a report or recommendation made or referred to it,]
  - (d) enabling a standards committee of a relevant authority, following its consideration of any such report or recommendations, to take any action prescribed by the regulations (including action against any member or co-opted member (or former member or co-opted member) of [F122 a relevant authority] who is the subject of any such report or recommendation),
  - (e) the publicity to be given to any such reports, recommendations or action.
- (3) The provision which may be made by virtue of subsection (2)(a) includes provision for or in connection with—
  - (a) conferring powers on a monitoring officer of a relevant authority to enable him to conduct an investigation in respect of any matters referred to him,
  - (b) conferring rights (including the right to make representations) on any member or co-opted member (or former member or co-opted member) of a relevant authority who is the subject of any such investigation.
- (4) The provision which may be made by virtue of subsection (2)(d) includes provision for or in connection with—
  - (a) enabling a standards committee of a relevant authority to censure a member or co-opted member (or former member or co-opted member) [F123] ...,

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- (b) enabling a standards committee of a relevant authority to suspend or partially suspend a person from being a member or co-opted member of the authority [<sup>F124</sup>of which they are a member] for a limited period,
  - (c) conferring a right of appeal on a member or co-opted member (or former member or co-opted member) of a relevant authority in respect of any action taken against him.
- (5) Nothing in subsection (2), (3) or (4) affects the generality of the power under subsection (1).

<sup>F125</sup>(6) .....

- (7) Where [<sup>F126</sup>the Public Services Ombudsman for Wales] refers any matters to the monitoring officer of a relevant authority under section [<sup>F127</sup> 70(4) or (5) or 71(2) or (4) ] he may give directions to the monitoring officer as to the way in which those matters are to be dealt with.

#### Textual Amendments

- F118** Words in s. 73(1) substituted (31.1.2008) by [Local Government and Public Involvement in Health Act 2007 \(c. 28\)](#), **ss. 194(8)**, 245(5) (with s. 201); S.I. 2008/172, art. 2(1)(o)
- F119** Words in s. 73(2)(b) inserted (1.5.2015) by [Local Government \(Democracy\) \(Wales\) Act 2013 \(anaw 4\)](#), **ss. 69(2)(a)(i)**, 75(3); S.I. 2015/1182, art. 2(f)
- F120** S. 73(2)(ba) inserted (1.5.2015) by [Local Government \(Democracy\) \(Wales\) Act 2013 \(anaw 4\)](#), **ss. 69(2)(a)(ii)**, 75(3); S.I. 2015/1182, art. 2(f)
- F121** S. 73(2)(c)(ca) substituted for s. 73(2)(c) (1.5.2015) by [Local Government \(Democracy\) \(Wales\) Act 2013 \(anaw 4\)](#), **ss. 69(2)(b)**, 75(3); S.I. 2015/1182, art. 2(f)
- F122** Words in s. 73(2)(d) substituted (1.5.2015) by [Local Government \(Democracy\) \(Wales\) Act 2013 \(anaw 4\)](#), **ss. 69(2)(c)**, 75(3); S.I. 2015/1182, art. 2(f)
- F123** Words in s. 73(4)(a) omitted (1.5.2015) by virtue of [Local Government \(Democracy\) \(Wales\) Act 2013 \(anaw 4\)](#), **ss. 69(2)(d)(i)**, 75(3); S.I. 2015/1182, art. 2(f)
- F124** Words in s. 73(4)(b) inserted (1.5.2015) by [Local Government \(Democracy\) \(Wales\) Act 2013 \(anaw 4\)](#), **ss. 69(2)(d)(ii)**, 75(3); S.I. 2015/1182, art. 2(f)
- F125** S. 73(6) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 41, **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F126** Words in s. 73(7) substituted (1.4.2006) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\)](#), s. 40, **Sch. 4 para. 17**; S.I. 2005/2800, art. 5(1)(3) (with transitional provisions in S.I. 2006/362, art. 4)
- F127** Words in s. 73(7) substituted (31.1.2008) by [Local Government and Public Involvement in Health Act 2007 \(c. 28\)](#), **ss. 194(8)**, 245(5) (with s. 201); S.I. 2008/172, art. 2(1)(o)

#### Commencement Information

- I9** S. 73 wholly in force at 28.7.2001; s. 73 not in force at Royal Assent see s. 108; s. 73 in force at 1.11.2000 in relation to Wales by [S.I. 2000/2948](#), **art. 2**; s. 73 in force at 28.7.2001 in so far as not already in force see s. 108(4)-(6)

## 74 Law of defamation.

For the purposes of the law of defamation, any statement (whether written or oral) made by [<sup>F128</sup>the Public Services Ombudsman for Wales] in connection with the exercise of his functions under this Part shall be absolutely privileged.



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**Textual Amendments**

**F128** Words in s. 74 substituted (1.4.2006) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\), s. 40, Sch. 4 para. 18; S.I. 2005/2800, art. 5\(1\)\(3\)](#) (with transitional provisions in [S.I. 2006/362, art. 4](#))

**CHAPTER IV**

**ADJUDICATIONS**

*[<sup>F129</sup>The Adjudication Panel for Wales]*

**Textual Amendments**

**F129** S. 75 cross-heading substituted (18.1.2010) by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\), art. 1\(1\), Sch. 2 para. 56](#) (with [Sch. 5](#))

**75** [<sup>F130</sup>**Adjudication Panel for Wales**].

<sup>F131</sup>(1) .....

(2) There is to be a panel of persons, known as the Adjudication Panel for Wales or Panel Dyfarnu Cymru, eligible for membership of tribunals drawn from the Panel.

<sup>F132</sup>(3) .....

<sup>F132</sup>(4) .....

(5) The members of the Adjudication Panel for Wales are to be appointed by the National Assembly for Wales on such terms and conditions as it may determine.

(6) The National Assembly for Wales—

- (a) must appoint one of the members of the Adjudication Panel for Wales as president of the Panel, and
- (b) may appoint one of those members as deputy president of the Panel.

<sup>F133</sup>(7) .....

(8) Such members of the Adjudication Panel for Wales as the National Assembly for Wales thinks fit must possess such qualifications as may be determined by the National Assembly for Wales.

<sup>F134</sup>(9) .....

(10) The president and deputy president (if any) of the Adjudication Panel for Wales are to be responsible—

- (a) for training the members of the Panel,
- (b) for issuing guidance on how tribunals drawn from the Panel are to reach decisions.

<sup>F135</sup>(11) .....



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[<sup>F136</sup>(12) A member of a tribunal listed in section 59 of the Wales Act 2017 (the Welsh tribunals) who is not a member of the Adjudication Panel for Wales may, at the request of the president or the deputy president (if any) and with the approval of the President of Welsh Tribunals, act as a member of a tribunal drawn from the Panel.]

#### Textual Amendments

- F130** S. 75 heading substituted (18.1.2010) by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), [art. 1\(1\)](#), [Sch. 2 para. 57\(a\)](#) (with Sch. 5)
- F131** S. 75(1) omitted (18.1.2010) by virtue of [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), [art. 1\(1\)](#), [Sch. 2 para. 57\(b\)](#) (with Sch. 5)
- F132** S. 75(3)(4) omitted (18.1.2010) by virtue of [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), [art. 1\(1\)](#), [Sch. 2 para. 57\(b\)](#) (with Sch. 5)
- F133** S. 75(7) omitted (18.1.2010) by virtue of [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), [art. 1\(1\)](#), [Sch. 2 para. 57\(b\)](#) (with Sch. 5)
- F134** S. 75(9) omitted (18.1.2010) by virtue of [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), [art. 1\(1\)](#), [Sch. 2 para. 57\(b\)](#) (with Sch. 5)
- F135** S. 75(11) omitted (18.1.2010) by virtue of [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), [art. 1\(1\)](#), [Sch. 2 para. 57\(b\)](#) (with Sch. 5)
- F136** S. 75(12) inserted (12.12.2017 being the day on which S.I. 2017/1282 comes into force) by [Wales Act 2017 \(c. 4\)](#), [ss. 62\(5\)](#), [71\(4\)](#) (with Sch. 7 paras. 1, 6); S.I. 2017/351, [reg. 2\(2\)](#)

#### Commencement Information

- I10** S. 75 wholly in force at 28.7.2001; s. 75 not in force at Royal Assent see s. 108; s. 75(2)(5)(6)(8) in force at 1.11.2000 in relation to Wales by [S.I. 2000/2948](#), [art. 2](#); s. 75 in force at 19.12.2000 in relation to England and to police authorities in Wales by [S.I. 2000/3335](#), [art. 2](#); s. 75 in force at 28.7.2001 in so far as not already in force see s. 108(4)-(6)

### *Case tribunals and interim case tribunals*

#### 76 Case tribunals and interim case tribunals.

- (1) Adjudications in respect of matters referred to the president of the [<sup>F137</sup>Adjudication Panel for Wales] under section <sup>F138</sup>... 71(3) are to be conducted by tribunals (referred to in this Part as case tribunals) consisting of not less than three members of the Panel.
- (2) Adjudications in respect of matters referred to the president of the [<sup>F139</sup>Adjudication Panel for Wales] under section <sup>F140</sup>... 72(4) are to be conducted by tribunals (referred to in this Part as interim case tribunals) consisting of not less than three members of the Panel.
- (3) The president of the [<sup>F141</sup>Adjudication Panel for Wales] (or in his absence the deputy president) is to appoint the members of any case tribunal or interim case tribunal.
- (4) A case tribunal drawn from the [<sup>F142</sup>Adjudication Panel for Wales] may conduct a single adjudication in relation to two or more matters which are referred to the president of the Panel under section <sup>F143</sup>... 71(3).
- (5) An interim case tribunal drawn from the [<sup>F144</sup>Adjudication Panel for Wales] may conduct a single adjudication in relation to two or more matters which are referred to the president of the Panel under section <sup>F145</sup>...72(4).

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- (6) The president or the deputy president of the [<sup>F146</sup>Adjudication Panel for Wales] may be a member of a case tribunal or interim case tribunal drawn from the Panel.
- (7) A member of the [<sup>F147</sup>Adjudication Panel for Wales] may not at any time be a member of a case tribunal or interim case tribunal drawn from the Panel which is to adjudicate on a matter relating to a member or co-opted member (or former member or co-opted member) of a relevant authority if, within the period of five years ending with that time, the member of the Panel has been a member or an officer of the authority or a member of any committee, sub-committee, joint committee or joint sub-committee of the authority.
- (8) A member of the [<sup>F148</sup>Adjudication Panel for Wales] who is directly or indirectly interested in any matter which is, or is likely to be, the subject of an adjudication conducted by a case tribunal or interim case tribunal—
  - (a) must disclose the nature of his interest to the president or deputy president of that Panel, and
  - (b) may not be a member of a case tribunal or interim case tribunal which conducts an adjudication in relation to that matter.
- (9) Where there is no deputy president of the [<sup>F149</sup>Adjudication Panel for Wales], the reference in subsections (3) and (8) to the deputy president is to be treated as a reference to such member of the Panel as <sup>F150</sup>...the National Assembly for Wales may specify.
- <sup>F151</sup>(9A) .....
- (10) A person who is a member of an interim case tribunal which, as a result of an investigation under section <sup>F152</sup>... 69, conducts an adjudication in relation to any person may not be a member of a case tribunal which, on the conclusion of that investigation, subsequently conducts an adjudication in relation to that person.
- <sup>F153</sup>(11) .....
- <sup>F154</sup>(12) .....
- (13) The National Assembly for Wales may issue guidance with respect to the composition of case tribunals or interim case tribunals drawn from the Adjudication Panel for Wales.
- (14) The National Assembly for Wales may incur expenditure for the purpose of providing administrative support to the Adjudication Panel for Wales.
- <sup>F155</sup>(15) .....

**Textual Amendments**

- F137** Words in s. 76(1) substituted (18.1.2010) by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), [art. 1\(1\)](#), [Sch. 2 para. 58\(a\)\(i\)](#) (with Sch. 5)
- F138** Words in s. 76(1) omitted (18.1.2010) by virtue of [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), [art. 1\(1\)](#), [Sch. 2 para. 58\(a\)\(ii\)](#) (with Sch. 5)
- F139** Words in s. 76(2) substituted (18.1.2010) by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), [art. 1\(1\)](#), [Sch. 2 para. 58\(b\)\(i\)](#) (with Sch. 5)
- F140** Words in s. 76(2) omitted (18.1.2010) by virtue of [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), [art. 1\(1\)](#), [Sch. 2 para. 58\(b\)\(ii\)](#) (with Sch. 5)

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- F141** Words in s. 76(3) substituted (18.1.2010) by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), art. 1(1), **Sch. 2 para. 58(c)** (with Sch. 5)
- F142** Words in s. 76(4) substituted (18.1.2010) by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), art. 1(1), **Sch. 2 para. 58(d)(i)** (with Sch. 5)
- F143** Words in s. 76(4) omitted (18.1.2010) by virtue of [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), art. 1(1), **Sch. 2 para. 58(d)(ii)** (with Sch. 5)
- F144** Words in s. 76(5) substituted (18.1.2010) by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), art. 1(1), **Sch. 2 para. 58(e)(i)** (with Sch. 5)
- F145** Words in s. 76(5) omitted (18.1.2010) by virtue of [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), art. 1(1), **Sch. 2 para. 58(e)(ii)** (with Sch. 5)
- F146** Words in s. 76(6) substituted (18.1.2010) by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), art. 1(1), **Sch. 2 para. 58(f)** (with Sch. 5)
- F147** Words in s. 76(7) substituted (18.1.2010) by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), art. 1(1), **Sch. 2 para. 58(f)** (with Sch. 5)
- F148** Words in s. 76(8) substituted (18.1.2010) by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), art. 1(1), **Sch. 2 para. 58(f)** (with Sch. 5)
- F149** Words in s. 76(9) substituted (18.1.2010) by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), art. 1(1), **Sch. 2 para. 58(g)(i)** (with Sch. 5)
- F150** Words in s. 76(9) omitted (18.1.2010) by virtue of [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), art. 1(1), **Sch. 2 para. 58(g)(ii)** (with Sch. 5)
- F151** S. 76(9A) omitted (18.1.2010) by virtue of [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), art. 1(1), **Sch. 2 para. 58(h)** (with Sch. 5)
- F152** Words in s. 76(10) omitted (18.1.2010) by virtue of [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), art. 1(1), **Sch. 2 para. 58(i)** (with Sch. 5)
- F153** S. 76(11) omitted (18.1.2010) by virtue of [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), art. 1(1), **Sch. 2 para. 58(j)** (with Sch. 5)
- F154** S. 76(12) omitted (18.1.2010) by virtue of [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), art. 1(1), **Sch. 2 para. 58(j)** (with Sch. 5)
- F155** S. 76(15) omitted (18.1.2010) by virtue of [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), art. 1(1), **Sch. 2 para. 58(j)** (with Sch. 5)

**Commencement Information**

- I11** S. 76 wholly in force at 28.7.2001; s. 76 not in force at Royal Assent see s. 108; s. 76(13) in force at 1.11.2000 in relation to Wales by [S.I. 2000/2948](#), **art. 2**; s. 76 in force at 19.12.2000 in relation to England and to police authorities in Wales by [S.I. 2000/3335](#), **art. 2**; s. 76 in force at 28.7.2001 in so far as not already in force see s. 108(4)-(6)

*Adjudications*

**77 Adjudications.**

- (1) A person who is the subject of an adjudication conducted by a case tribunal or interim case tribunal may appear before the tribunal in person or be represented by—
  - (a) counsel or a solicitor, or
  - (b) any other person whom he desires to represent him.

<sup>F156</sup>(2) .....

<sup>F156</sup>(3) .....

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*Changes to legislation: Local Government Act 2000, Part III is up to date with all changes known to be in force on or before 26 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

(4) The National Assembly for Wales may by regulations make such provision as appears to it to be necessary or expedient with respect to adjudications by case tribunals or interim case tribunals drawn from the Adjudication Panel for Wales.

<sup>F157</sup>(5) .....

(6) Regulations under this section may, in particular, include provision—

- (a) for requiring persons to attend adjudications to give evidence and produce documents and for authorising the administration of oaths to witnesses,
- (b) for requiring persons to furnish further particulars,
- (c) for prescribing the procedure to be followed in adjudications, including provision as to the persons entitled to appear and to be heard on behalf of persons giving evidence,
- (d) for the award of costs or expenses (including provision with respect to interest and provision with respect to the enforcement of any such award),
- (e) for taxing or otherwise settling any such costs or expenses (and for enabling such costs to be taxed in [<sup>F158</sup>the county court]),
- (f) for the registration and proof of decisions and awards of tribunals.

(7) A person who without reasonable excuse fails to comply with any requirement imposed by virtue of subsection (6)(a) or (b) <sup>F159</sup>... is guilty of an offence and liable on summary conviction to a fine not exceeding level 3 on the standard scale.

(8) In this section any reference to documents includes a reference to information held by means of a computer or in any other electronic form.

#### Textual Amendments

- F156** S. 77(2)(3) omitted (18.1.2010) by virtue of [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), [art. 1\(1\)](#), [Sch. 2 para. 59\(a\)](#) (with [Sch. 5](#))
- F157** S. 77(5) omitted (12.12.2017 being the day on which S.I. 2017/1282 comes into force) by virtue of [Wales Act 2017 \(c. 4\)](#), [s. 71\(4\)](#), [Sch. 6 para. 58](#) (with [Sch. 7 paras. 1, 6](#)); [S.I. 2017/351](#), [reg. 2\(2\)](#)
- F158** Words in [s. 77\(6\)\(e\)](#) substituted (22.4.2014) by [Crime and Courts Act 2013 \(c. 22\)](#), [s. 61\(3\)](#), [Sch. 9 para. 52](#); [S.I. 2014/954](#), [art. 2\(c\)](#) (with [art. 3](#)) (with transitional provisions and savings in [S.I. 2014/956](#), [arts. 3-11](#))
- F159** Words in [s. 77\(7\)](#) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), [s. 240\(2\)](#), [Sch. 4 para. 42](#), [Sch. 25 Pt. 5](#); [S.I. 2012/1463](#), [art. 5\(a\)](#) (d) (with [arts. 6, 7](#)) (as amended (3.7.2012) by [S.I. 2012/1714](#), [art. 2](#)); [S.I. 2012/2913](#), [arts. 1\(2\), 2\(b\)](#) (c) (with [arts. 3-6](#))

#### Commencement Information

- I12** S. 77 wholly in force at 28.7.2001; [s. 77](#) not in force at Royal Assent see [s. 108](#); [s. 77\(4\)\(6\)](#) in force at 1.11.2000 in relation to Wales by [S.I. 2000/2948](#), [art. 2](#); [s. 77](#) in force at 19.12.2000 in relation to England and to police authorities in Wales by [S.I. 2000/3335](#), [art. 2](#); [s. 77](#) in force at 28.7.2001 in so far as not already in force see [s. 108\(4\)-\(6\)](#)

## 78 Decisions of [<sup>F160</sup>[<sup>F161</sup>the First-tier Tribunal or]] interim case tribunals.

(1) [<sup>F162</sup>In adjudicating on any of the matters which are the subject of an interim report, [<sup>F163</sup>the First-tier Tribunal or] an interim case tribunal] must reach one of the following decisions—

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- (a) that the person to whom the recommendation mentioned in section [F164]65(3) or] 72(3) relates should not be suspended or partially suspended from being a member or co-opted member of the relevant authority concerned,
  - (b) that that person should be suspended or partially suspended from being a member or co-opted member of the [F165]relevant authority concerned ] for a period which does not exceed six months or (if shorter) the remainder of the person’s term of office.
- [F166](2) If the decision of [F167][F168]the tribunal concerned][F168] the interim case tribunal ]] is as mentioned in subsection (1)(a), the tribunal must give notice of its decision to the standards committee of the relevant authority concerned.
- (3) If the decision of [F169][F170]the tribunal concerned][F170] the interim case tribunal ]] is as mentioned in subsection (1)(b), the tribunal must give notice to the standards committee of the relevant authority concerned stating that the person concerned is suspended or partially suspended for the period, and in the way, that the tribunal has decided.
- (3A) The effect of a notice given under subsection (3) is to suspend or partially suspend the person concerned as mentioned in subsection (3).]
- [F171](4) .....
- (5) A decision of an interim case tribunal under this section shall not prevent [F172] the Public Services Ombudsman for Wales] from continuing with the investigation under section 69 which gave rise to the interim report concerned and producing a report under section 71, or a further interim report under section 72, in respect of any matters which are the subject of the investigation.
- (6) The suspension or partial suspension of any person under this section shall not extend beyond the day on which a notice [F173]is given by virtue of section [F174]78A or] 79] to the standards committee of the relevant authority concerned with respect to that person.
- (7) A copy of any notice under this section must be given—
- (a) to any person who is the subject of the notice, and
  - (b) to the monitoring officer of the relevant authority concerned.
- [F175](8) Where the person concerned is no longer a member or co-opted member of the relevant authority concerned, but is a member or co-opted member of another relevant authority—
- (a) the references in subsection (1) to the relevant authority concerned are to be treated as references to that other authority,
  - (b) the references in subsections (2) and (7)(b) to the relevant authority concerned are to be treated as including a reference to that other relevant authority,
  - (c) the duty under subsection (3) to give notice to the standards committee of the relevant authority concerned is to be treated as a duty—
    - (i) to give that notice to the standards committee of that other relevant authority, and
    - (ii) to give a copy of that notice to the standards committee of the relevant authority concerned.
- (8A) Subsection (8) does not apply unless—

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- (a) [<sup>F176</sup>where the relevant authority concerned is in England, the other relevant authority is also in England,]
  - (b) [<sup>F177</sup>where the relevant authority concerned is in Wales,] the other relevant authority is also in Wales.]
- (9) [<sup>F178</sup><sup>F179</sup>The First-tier Tribunal or (as the case may be)] an interim case tribunal must take reasonable steps to inform any person who made any allegation which gave rise to the investigation under section [<sup>F180</sup>59 or] 69 of its decision under this section.
- [<sup>F181</sup>(9A) [<sup>F182</sup>Where a person is suspended or partially suspended under this section by a decision of the First-tier Tribunal, the person may appeal to the Upper Tribunal—
- (a) against the suspension or partial suspension; or
  - (b) against the length of the suspension or partial suspension,
- (unless the decision is set aside under section 9 of the Tribunals, Courts and Enforcement Act 2007).]
- (9B) [<sup>F182</sup>An appeal may not be brought under subsection (9A) on a point of law (as to which see instead section 11 of the Tribunals, Courts and Enforcement Act 2007).]
- (9C) [<sup>F182</sup>An appeal may be brought under subsection (9A) only if, on an application made by the person concerned, the First-tier Tribunal or Upper Tribunal has given its permission for the appeal to be brought.]
- (9D) [<sup>F182</sup>In any case where the Upper Tribunal is determining an appeal under subsection (9A), section 12(2) to (4) of the Tribunals, Courts and Enforcement Act 2007 (proceedings on appeal to the Upper Tribunal) apply.]]
- (10) [<sup>F183</sup>Where a person is suspended or partially suspended under this section by a decision of an interim case tribunal, the person] may appeal to the High Court—
- (a) against the suspension or partial suspension, or
  - (b) against the length of the suspension or partial suspension.
- [<sup>F184</sup>(11) An appeal may not be brought under subsection (10) except with the leave of the High Court.]

#### Textual Amendments

- F160** Words in s. 78 heading inserted (18.1.2010) by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), art. 1(1), **Sch. 2 para. 60(a)** (with Sch. 5)
- F161** Words in s. 78 heading repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 43(2), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)
- F162** Words in s. 78(1) substituted (18.1.2010) by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), art. 1(1), **Sch. 2 para. 60(b)** (with Sch. 5)
- F163** Words in s. 78(1) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 43(3)(a), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)
- F164** Words in s. 78(1)(a) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 43(3)(b), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)



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- F165** Words in s. 78(1)(b) substituted (12.12.2008) by Local Government and Public Involvement in Health Act 2007 (c. 28), **ss. 197(2)**, 245(5) (with s. 201); S.I. 2008/3110, art. 2(d)
- F166** S. 78(2)-(3A) substituted for s. 78(2)(3) (12.12.2008) by Local Government and Public Involvement in Health Act 2007 (c. 28), **ss. 197(3)**, 245(5) (with s. 201); S.I. 2008/3110, art. 2(d)
- F167** Words in s. 78(2) inserted (18.1.2010) by The Transfer of Tribunal Functions Order 2010 (S.I. 2010/22), art. 1(1), **Sch. 2 para. 60(c)** (with Sch. 5)
- F168** Words in s. 78(2) substituted (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), **Sch. 4 para. 43(4)**; S.I. 2012/1463, art. 5(a) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)
- F169** Words in s. 78(3) inserted (18.1.2010) by The Transfer of Tribunal Functions Order 2010 (S.I. 2010/22), art. 1(1), **Sch. 2 para. 60(c)** (with Sch. 5)
- F170** Words in s. 78(3) substituted (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), **Sch. 4 para. 43(5)**; S.I. 2012/1463, art. 5(a) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)
- F171** S. 78(4) repealed (31.1.2012) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 43(6), **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(l) (with arts. 6, 8)
- F172** Words in s. 78(5) substituted (1.4.2006) by Public Services Ombudsman (Wales) Act 2005 (c. 10), s. 40, **Sch. 4 para. 19**; S.I. 2005/2800, art. 5(1)(3) (with transitional provisions in S.I. 2006/362, art. 4)
- F173** Words in s. 78(6) substituted (12.12.2008) by Local Government and Public Involvement in Health Act 2007 (c. 28), **ss. 197(4)**, 245(5) (with s. 201); S.I. 2008/3110, art. 2(d)
- F174** Words in s. 78(6) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 43(7), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)
- F175** S. 78(8)(8A) substituted for s. 78(8) (12.12.2008) by Local Government and Public Involvement in Health Act 2007 (c. 28), **ss. 197(5)**, 245(5) (with s. 201); S.I. 2008/3110, art. 2(d)
- F176** S. 78(8A)(a) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 43(8)(a), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)
- F177** Words in s. 78(8A)(b) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 43(8)(b), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)
- F178** Words in s. 78(9) inserted (18.1.2010) by The Transfer of Tribunal Functions Order 2010 (S.I. 2010/22), art. 1(1), **Sch. 2 para. 60(e)** (with Sch. 5)
- F179** Words in s. 78(9) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 43(9)(a), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)
- F180** Words in s. 78(9) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 43(9)(b), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)
- F181** S. 78(9A)-(9D) inserted (18.1.2010) by The Transfer of Tribunal Functions Order 2010 (S.I. 2010/22), art. 1(1), **Sch. 2 para. 60(f)** (with Sch. 5)
- F182** S. 78(9A)-(9D) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 43(10), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)
- F183** Words in s. 78(10) substituted (18.1.2010) by The Transfer of Tribunal Functions Order 2010 (S.I. 2010/22), art. 1(1), **Sch. 2 para. 60(g)** (with Sch. 5)
- F184** S. 78(11) inserted (12.12.2008) by Local Government and Public Involvement in Health Act 2007 (c. 28), **ss. 197(6)**, 245(5) (with s. 201); S.I. 2008/3110, art. 2(d)



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**Modifications etc. (not altering text)**

**C17** S. 78(9A)-(9D) modified (18.1.2010) by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), [art. 1\(1\)](#), [Sch. 5 para. 5\(c\)](#) (with [Sch. 5](#))

**Commencement Information**

**I13** S. 78 wholly in force at 28.7.2001; s. 78 not in force at Royal Assent see s. 108; s. 78 in force at 19.12.2000 in relation to England and to police authorities in Wales by [S.I. 2000/3335](#), [art. 2](#); s. 78 in force at 28.7.2001 in so far as not already in force see s. 108(4)-(6)

**<sup>F186</sup>78A Decisions of [<sup>F185</sup>First-tier Tribunal]**

.....

**Textual Amendments**

**F185** Words in s. 78A heading substituted (18.1.2010) by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), [art. 1\(1\)](#), [Sch. 2 para. 61\(a\)](#) (with [Sch. 5](#))

**F186** S. 78A repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), [Sch. 4 para. 44](#), [Sch. 25 Pt. 5](#); [S.I. 2012/1463](#), [art. 5\(a\)\(d\)](#) (with [arts. 6, 7](#)) (as amended (3.7.2012) by [S.I. 2012/1714](#), [art. 2](#)); [S.I. 2012/2913](#), [arts. 1\(2\)](#), [2\(b\)\(c\)](#) (with [arts. 3-6](#))

**<sup>F187</sup>78B Section 78A: supplementary**

.....

**Textual Amendments**

**F187** S. 78B repealed (31.1.2012 for specified purposes, 1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), [Sch. 4 para. 45](#), [Sch. 25 Pt. 5](#); [S.I. 2012/57](#), [art. 5\(1\)\(a\)\(c\)\(2\)\(m\)](#) (with [arts. 6, 8](#)); [S.I. 2012/1463](#), [art. 5\(a\)\(d\)](#) (with [arts. 6, 7](#)) (as amended (3.7.2012) by [S.I. 2012/1714](#), [art. 2](#)); [S.I. 2012/2913](#), [arts. 1\(2\)](#), [2\(b\)\(c\)](#) (with [arts. 3-6](#))

**79 [<sup>F188</sup>Decisions of case tribunals: Wales.]**

**<sup>F189</sup>(A1)** In this section “Welsh case tribunal” means a case tribunal drawn from the Adjudication Panel for Wales.]

- (1) A [<sup>F190</sup>Welsh case tribunal] which adjudicates on any matter must decide whether or not any person to which that matter relates has failed to comply with the code of conduct of the relevant authority concerned.
- (2) Where a [<sup>F190</sup>Welsh case tribunal] decides that a person has not failed to comply with the code of conduct of the relevant authority concerned, it must give notice to that effect to the standards committee of the relevant authority concerned.
- (3) Where a [<sup>F190</sup>Welsh case tribunal] decides that a person has failed to comply with the code of conduct of the relevant authority concerned, it must decide whether the nature of the failure is such that the person should be suspended or disqualified in accordance with subsection (4).

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- (4) A person may be—
- (a) suspended or partially suspended from being a member or co-opted member of the relevant authority concerned, or
  - (b) disqualified for being, or becoming (whether by election or otherwise), a member of that or any other relevant authority.
- (5) Where a [<sup>F190</sup>Welsh case tribunal] makes such a decision as is mentioned in subsection (4)(a), it must decide the period for which the person should be suspended or partially suspended (which must not exceed one year or, if shorter, the remainder of the person's term of office).
- (6) Where a [<sup>F190</sup>Welsh case tribunal] makes such a decision as is mentioned in subsection (4)(b), it must decide the period for which the person should be disqualified (which must not exceed five years).
- (7) Where a [<sup>F190</sup>Welsh case tribunal] decides that a person has failed to comply with the code of conduct of the relevant authority concerned but should not be suspended or disqualified as mentioned in subsection (4), it must give notice to the standards committee of the relevant authority concerned—
- (a) stating that the person has failed to comply with that code of conduct, and
  - (b) specifying the details of that failure.
- (8) Where a [<sup>F190</sup>Welsh case tribunal] decides that a person has failed to comply with the code of conduct of the relevant authority concerned and should be suspended or partially suspended as mentioned in subsection (4)(a), it must give notice to the standards committee of the relevant authority concerned—
- (a) stating that the person has failed to comply with that code of conduct,
  - (b) specifying the details of that failure, and
  - (c) stating that the person [<sup>F191</sup>is suspended or partially suspended] for the period, and in the way, which the tribunal has decided.
- [<sup>F192</sup>(9) The effect of a notice given to the standards committee of a relevant authority under subsection (8) is to suspend or partially suspend the person concerned as mentioned in subsection (8)(c).]
- (10) Where a [<sup>F190</sup>Welsh case tribunal] decides that a person has failed to comply with the code of conduct of the relevant authority concerned and should be disqualified as mentioned in subsection (4)(b), it must give notice to the standards committee of the relevant authority concerned—
- (a) stating that the person has failed to comply with that code of conduct,
  - (b) specifying the details of that failure, and
  - (c) stating that the person is disqualified for being, or becoming (whether by election or otherwise), a member of that or any other relevant authority for the period which the tribunal has decided.
- (11) The effect of a notice given to the standards committee of a relevant authority under subsection (10) is to disqualify the person concerned as mentioned in subsection (10)(c).
- (12) A copy of any notice under this section—
- [<sup>F193</sup>(a) must be given to the Public Services Ombudsman for Wales,]
  - (b) must be given to any person who is the subject of the decision to which the notice relates, and

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- (c) must be published in one or more newspapers circulating in the area of the relevant authority concerned.
- (13) Where the person concerned is no longer a member or co-opted member of the relevant authority concerned but is a member or co-opted member of another relevant authority <sup>F194</sup> ...
- (a) a copy of any notice under subsection (2), (7) or (10) must also be given to the standards committee of that other relevant authority,
- <sup>F195</sup>(b) the reference in subsection (4)(a) to the relevant authority concerned is to be treated as a reference to that other relevant authority,]
- (c) the duty to give notice to the standards committee of the relevant authority concerned under subsection (8) is to be treated as a duty—
- (i) to give that notice to the standards committee of that other relevant authority, and
- (ii) to give a copy of that notice to the standards committee of the relevant authority concerned,
- (d) the reference in subsection (12)(c) to the relevant authority concerned is to be treated as including a reference to that other relevant authority.
- (14) A <sup>F190</sup>Welsh case tribunal] must take reasonable steps to inform any person who made any allegation which gave rise to the adjudication of the decision of the <sup>F190</sup>Welsh case tribunal] under this section.
- (15) Where a <sup>F190</sup>Welsh case tribunal] decides under this section that a person has failed to comply with the code of conduct of the relevant authority concerned, that person may appeal to the High Court against that decision, or any other decision under this section which relates to him.
- <sup>F196</sup>(16) An appeal may not be brought under subsection (15) except with the leave of the High Court.]

#### Textual Amendments

- F188** S. 79 sidenote substituted (12.12.2008) by Local Government and Public Involvement in Health Act 2007 (c. 28), **ss. 199(2)**, 245(5) (with s. 201); S.I. 2008/3110, art. 2(f)
- F189** S. 79(A1) inserted (12.12.2008) by Local Government and Public Involvement in Health Act 2007 (c. 28), **ss. 199(3)**, 245(5) (with s. 201); S.I. 2008/3110, art. 2(f)
- F190** Words in s. 79(1)–(15) substituted (12.12.2008) by Local Government and Public Involvement in Health Act 2007 (c. 28), **ss. 199(4)**, 245(5) (with s. 201); S.I. 2008/3110, art. 2(f)
- F191** Words in s. 79(8)(c) substituted (12.12.2008) by Local Government and Public Involvement in Health Act 2007 (c. 28), **ss. 199(5)**, 245(5) (with s. 201); S.I. 2008/3110, art. 2(f)
- F192** S. 79(9) substituted (12.12.2008) by Local Government and Public Involvement in Health Act 2007 (c. 28), **ss. 199(6)**, 245(5) (with s. 201); S.I. 2008/3110, art. 2(f)
- F193** S. 79(12)(a) substituted (12.12.2008) by Local Government and Public Involvement in Health Act 2007 (c. 28), **ss. 199(7)**, 245(5) (with s. 201); S.I. 2008/3110, art. 2(f)
- F194** Words in s. 79(13) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 46, **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a) (d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (c) (with arts. 3–6)
- F195** S. 79(13)(b) substituted (12.12.2008) by Local Government and Public Involvement in Health Act 2007 (c. 28), **ss. 199(8)(b)**, 245(5) (with s. 201); S.I. 2008/3110, **art. 2(f)**
- F196** S. 79(16) inserted (12.12.2008) by Local Government and Public Involvement in Health Act 2007 (c. 28), **ss. 199(9)**, 245(5) (with s. 201); S.I. 2008/3110, **art. 2(f)**

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### Commencement Information

- I14** S. 79 wholly in force at 28.7.2001; s. 79 not in force at Royal Assent see s. 108; s. 79 in force at 19.12.2000 in relation to England and to police authorities in Wales by [S.I. 2000/3335](#), **art. 2**; s. 79 in force at 28.7.2001 in so far as not already in force see s. 108(4)-(6)

## 80 Recommendations by <sup>F197</sup>... case tribunals.

- (1) [<sup>F198</sup>Where <sup>F199</sup>... a case tribunal has adjudicated on any matter under this Act, it] may make recommendations to a relevant authority about any matters relating to—
- (a) the exercise of the authority’s functions,
  - (b) the authority’s code of conduct, or
  - (c) the authority’s standards committee.
- (2) [<sup>F200</sup>A case tribunal] must send a copy of any recommendations it makes under subsection (1) to the relevant person.
- (3) A relevant authority to whom recommendations are made under subsection (1) must consider the recommendations and, within a period of three months beginning with the day on which the recommendations are received, prepare a report for the [<sup>F201</sup>Public Services Ombudsman for Wales] giving details of what action the authority have taken or are proposing to take as a result of the recommendations.
- (4) A relevant authority’s function of considering a report under subsection (3) may be discharged only by the authority or by the standards committee of that authority (and accordingly, in the case of a relevant authority to which section 101 of the <sup>M5</sup>Local Government Act 1972 applies, is not to be a function to which that section applies).
- (5) If the [<sup>F202</sup>Public Services Ombudsman for Wales] is not satisfied with the action the relevant authority have taken or propose to take in relation to the recommendations, the [<sup>F202</sup>Public Services Ombudsman for Wales] may require the authority to publish a statement giving details of the recommendations made by the tribunal and of the authority’s reasons for not fully implementing the recommendations.

<sup>F203</sup>(6) .....

### Textual Amendments

- F197** Words in s. 80 heading repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), [Sch. 4 para. 47\(2\)](#), **Sch. 25 Pt. 5**; [S.I. 2012/1463](#), **art. 5(a)(d)** (with [arts. 6, 7](#)) (as amended (3.7.2012) by [S.I. 2012/1714](#), **art. 2**); [S.I. 2012/2913](#), **arts. 1(2), 2(b)(c)** (with [arts. 3-6](#))
- F198** Words in s. 80(1) substituted (18.1.2010) by [The Transfer of Tribunal Functions Order 2010 \(S.I. 2010/22\)](#), **art. 1(1)**, **Sch. 2 para. 63(b)** (with [Sch. 5](#))
- F199** Words in s. 80(1) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), [Sch. 4 para. 47\(3\)](#), **Sch. 25 Pt. 5**; [S.I. 2012/1463](#), **art. 5(a)(d)** (with [arts. 6, 7](#)) (as amended (3.7.2012) by [S.I. 2012/1714](#), **art. 2**); [S.I. 2012/2913](#), **arts. 1(2), 2(b)(c)** (with [arts. 3-6](#))
- F200** Words in s. 80(2) substituted (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), **Sch. 4 para. 47(4)**; [S.I. 2012/1463](#), **art. 5(a)** (with [arts. 6, 7](#)) (as amended (3.7.2012) by [S.I. 2012/1714](#), **art. 2**); [S.I. 2012/2913](#), **arts. 1(2), 2(b)** (with [arts. 3-6](#))
- F201** Words in s. 80(3) substituted (31.1.2012 for specified purposes, 1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), **Sch. 4 para.**

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**47(5)**; S.I. 2012/57, art. 5(1)(a)(c)(2)(n) (with arts. 6, 8); S.I. 2012/1463, art. 5(a) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)

**F202** Words in s. 80(5) substituted (31.1.2012 for specified purposes, 1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by **Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 47(6)**; S.I. 2012/57, art. 5(1)(a)(c)(2)(n) (with arts. 6, 8); S.I. 2012/1463, art. 5(a) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)

**F203** S. 80(6) repealed (31.1.2012 for specified purposes, 1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by **Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 47(7), Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(n) (with arts. 6, 8); S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)

#### Commencement Information

**I15** S. 80 wholly in force at 28.7.2001; s. 80 not in force at Royal Assent see s. 108; s. 80 in force at 19.12.2000 in relation to England and to police authorities in Wales by **S.I. 2000/3335, art. 2**; s. 80 in force at 28.7.2001 in so far as not already in force see s. 108(4)-(6)

#### Marginal Citations

**M5** 1972 c. 70.

## CHAPTER V

### SUPPLEMENTARY

#### *Disclosure and registration of members' interests etc.*

#### **81 Disclosure and registration of members' interests etc.**

- (1) The monitoring officer of each relevant authority must establish and maintain a register of interests of the members and co-opted members of the authority.
- (2) The mandatory provisions of the model code applicable to each relevant authority (“the mandatory provisions”) must require the members and co-opted members of each authority to register in that authority’s register maintained under subsection (1) such financial and other interests as are specified in the mandatory provisions.
- (3) The mandatory provisions must also—
  - (a) require any member or co-opted member of a relevant authority who has an interest specified in the mandatory provisions under subsection (2) to disclose that interest before taking part in any business of the authority relating to that interest,
  - (b) make provision for preventing or restricting the participation of a member or co-opted member of a relevant authority in any business of the authority to which an interest disclosed under paragraph (a) relates.
- (4) Any participation by a member or co-opted member of a relevant authority in any business which is prohibited by the mandatory provisions is not a failure to comply with the authority’s code of conduct if the member or co-opted member has acted in accordance with a dispensation from the prohibition granted by the authority’s standards committee<sup>F204</sup>, or by the standards committee of another relevant authority,] in accordance with regulations made under subsection (5).

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- (5) The <sup>F205</sup>Welsh Ministers] may prescribe in regulations the
- <sup>F206</sup>(a) circumstances in which standards committees may grant dispensations under subsection (4),
  - <sup>F207</sup>(b) procedure to be followed for the granting of dispensations.]
- (6) A relevant authority must ensure that
- <sup>F208</sup>(a) copies of the register for the time being maintained by their monitoring officer under this section are available at an office of the authority for inspection by members of the public at all reasonable hours,
  - <sup>F209</sup>(b) the register mentioned in paragraph (a) is published electronically.]
- (7) As soon as practicable after the establishment by their monitoring officer of a register under this section, a relevant authority must—
- (a) publish in one or more newspapers circulating in their area a notice which—
    - (i) states that copies of the register are available at an office of the authority for inspection by members of the public at all reasonable hours, and
    - (ii) specifies the address of that office, and
    - <sup>F210</sup>(iii) states that the register is available to be viewed electronically, and
    - (iv) specifies how to access the electronic version,]
  - <sup>F211</sup>(b) .....
  - <sup>F212</sup>(c) <sup>F213</sup>... inform the Public Services Ombudsman for Wales that copies of the register are so available.]
- <sup>F214</sup>(7A) For the purposes of this section—
- (a) section 83(13) does not apply, and
  - (b) in relation to a relevant authority which is a community council, the references in this section to a monitoring officer are to be read as references to the proper officer of that council (within the meaning of section 270(3) of the Local Government Act 1972).]
- <sup>F215</sup>(8) .....

#### Textual Amendments

- F204** Words in s. 81(4) inserted (1.5.2015) by Local Government (Democracy) (Wales) Act 2013 (anaw 4), **ss. 69(3)(a)**, 75(3); S.I. 2015/1182, art. 2(f)
- F205** Words in s. 81(5) substituted (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), **Sch. 4 para. 48(2)**; S.I. 2012/1463, art. 5(a) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)
- F206** Words in s. 81(5) renumbered as s. 81(5)(a) (1.5.2015) by Local Government (Democracy) (Wales) Act 2013 (anaw 4), **ss. 69(3)(b)(i)**, 75(3); S.I. 2015/1182, art. 2(f)
- F207** S. 81(5)(b) inserted (1.5.2015) by Local Government (Democracy) (Wales) Act 2013 (anaw 4), **ss. 69(3)(b)(ii)**, 75(3); S.I. 2015/1182, art. 2(f)
- F208** Words in s. 81(6) renumbered as s. 81(6)(a) (1.5.2015) by Local Government (Democracy) (Wales) Act 2013 (anaw 4), **ss. 58(2)(a)**, 75(3); S.I. 2015/1182, art. 2(d)
- F209** S. 81(6)(b) inserted (1.5.2015) by Local Government (Democracy) (Wales) Act 2013 (anaw 4), **ss. 58(2)(b)**, 75(3); S.I. 2015/1182, art. 2(d)
- F210** S. 81(7)(a)(iii)(iv) inserted (1.5.2015) by Local Government (Democracy) (Wales) Act 2013 (anaw 4), **ss. 58(3)**, 75(3); S.I. 2015/1182, art. 2(d)



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- F211** S. 81(7)(b) repealed (31.1.2012) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 48(3)(a), **Sch. 25 Pt. 5**; S.I. 2012/57, art. 5(1)(a)(c)(2)(o) (with arts. 6, 8)
- F212** S. 81(7)(c) inserted (1.4.2006) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\)](#), s. 40, **Sch. 4 para. 22(b)**; S.I. 2005/2800, art. 5(1) (with transitional provisions in S.I. 2006/362, art. 4)
- F213** Words in s. 81(7)(c) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 48(3)(b), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F214** S. 81(7A) inserted (1.5.2015) by [Local Government \(Democracy\) \(Wales\) Act 2013 \(anaw 4\)](#), **ss. 58(4), 75(3)**; S.I. 2015/1182, art. 2(d)
- F215** S. 81(8) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 48(4), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)

#### Commencement Information

- I16** S. 81 wholly in force at 28.7.2001; s. 81 not in force at Royal Assent see s. 108; s. 81(5)(8) in force at 1.11.2000 in relation to Wales by [S.I. 2000/2948](#), **art. 2**; s. 81 in force at 19.12.2000 in relation to England and to police authorities in Wales by [S.I. 2000/3335](#), **art. 2**; s. 81 in force at 28.7.2001 in so far as not already in force see s. 108(4)-(6)

### *Code of conduct for local government employees*

## **82 Code of conduct for local government employees.**

- F216**(1) .....
- (2) The National Assembly for Wales may by order issue a code as regards the conduct which is expected of qualifying employees of relevant authorities **F217** .....
- (3) The power under subsection **F218** ... (2) to issue a code includes power—
- (a) to issue a separate code for council managers (within the meaning of Part II of this Act), and
  - (b) to revise any code which has been issued.
- F219**(4) .....
- F219**(5) .....
- (6) Before making an order under this section, the National Assembly for Wales must consult—
- (a) such representatives of relevant authorities **F220**... and of employees of [**F218**those] authorities, as it considers appropriate,
  - (b) the [**F221**Auditor General for Wales], and
  - (c) [**F222**the Public Services Ombudsman for Wales ].
- (7) The terms of appointment or conditions of employment of every qualifying employee of a relevant authority (whether appointed or employed before or after the commencement of this section) are to be deemed to incorporate any code for the time being under this section which is applicable.



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- (8) In this section “qualifying employee”, in relation to a relevant authority, means an employee of the authority other than an employee falling within any description of employee specified in regulations under this subsection.
- (9) The power to make regulations under subsection (8) is to be exercised—
- <sup>F223</sup>(a) .....
- (b) <sup>F224</sup>... by the National Assembly for Wales.

### Textual Amendments

- F216** S. 82(1) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 49(2), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F217** Words in s. 82(2) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 49(3), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F218** Word in s. 82(6)(a) substituted (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), **Sch. 4 para. 49(6)(b)**; S.I. 2012/1463, art. 5(a) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b) (with arts. 3-6)
- F219** S. 82(4)(5) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 49(5), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F220** Words in s. 82(6)(a) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 49(6)(a), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F221** Words in s. 82(6)(b) substituted (1.4.2005) by [Public Audit \(Wales\) Act 2004 \(c. 23\)](#), s. 73, Sch. 2 para. 55(1)(3); S.I. 2005/558, art. 2, Sch. 1
- F222** Words in s. 82(6)(c) substituted (12.10.2005 for specified purposes, 1.4.2006 in so far as not already in force) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\)](#), s. 40, **Sch. 4 para. 23**; S.I. 2005/2800, arts. 4(1)(d)(2), 5(1) (with art. 4(3))
- F223** S. 82(9)(a) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 49(7)(a), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F224** Words in s. 82(9)(b) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), Sch. 4 para. 49(7)(b), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)

### Commencement Information

- I17** S. 82 wholly in force at 28.7.2001; s. 82 not in force at Royal Assent see s. 108; s. 82(2)(3)(6)(8)(9) in force at 1.11.2000 in relation to Wales by S.I. 2000/2948, **art. 2**; s. 82 in force at 19.12.2000 in relation to England and to police authorities in Wales by S.I. 2000/3335, **art. 2**; s. 82 in force at 28.7.2001 in so far as not already in force see s. 108(4)-(6)

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## *F225* Delegation by monitoring officers

### Textual Amendments

**F225** S. 82A and cross-heading inserted (18.11.2003) by [Local Government Act 2003 \(c. 26\), ss. 113\(2\), 128\(2\)\(d\)](#)

### **82A Monitoring officers: delegation of functions under Part 3**

- (1) This section applies to functions of a monitoring officer of a relevant authority in relation to matters referred to him under section <sup>F226</sup>[<sup>F227</sup> ... 70(4) or (5) or 71(2) or (4) ] .
- (2) Where the monitoring officer considers that in a particular case he himself ought not to perform particular functions to which this section applies, those particular functions shall in that case be performed personally by a person nominated for the purpose by the monitoring officer.
- (3) Where a deputy nominated by the monitoring officer under section 5(7) of the Local Government and Housing Act 1989 (nomination of member of monitoring officer’s staff to act as deputy when monitoring officer absent or ill) considers that in a particular case he himself ought not to perform particular functions—
  - (a) to which this section applies, and
  - (b) which, by reason of the absence or illness of the monitoring officer, would but for this subsection fall to be performed by the deputy,those particular functions shall, while the monitoring officer continues to be unable to act by reason of absence or illness, be performed in that case personally by a person nominated for the purpose by the deputy.
- (4) Where functions to which this section applies are to be performed by a person nominated under subsection (2) or (3) who is an officer of the relevant authority, the authority shall provide the officer with such staff, accommodation and other resources as are, in the officer’s opinion, sufficient to allow those functions to be performed.
- (5) Where functions to which this section applies are to be performed by a person nominated under subsection (2) or (3) who is not an officer of the relevant authority, the authority shall—
  - (a) pay the person a reasonable fee for performing the functions,
  - (b) reimburse expenses properly incurred by the person in performing the functions, but only to the extent that the amount of the expenses is reasonable, and
  - (c) provide the person with such staff, accommodation and other resources as are reasonably necessary for the person’s performance of the functions.]

### Textual Amendments

**F226** Words in s. 82A repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\), s. 240\(2\), Sch. 4 para. 50, Sch. 25 Pt. 5; S.I. 2012/1463, art. 5\(a\)\(d\)](#) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)

**F227** Words in s. 82A(1) substituted (31.1.2008) by [Local Government and Public Involvement in Health Act 2007 \(c. 28\), ss. 194\(9\), 245\(5\)](#) (with s. 201); S.I. 2008/172, art. 2(1)(o)

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### *Interpretation*

## 83 Interpretation of Part III.

- (1) In this Part—
- F228 ...  
“case tribunal” has the meaning given by section 76(1),  
“code of conduct” means a code of conduct under section 51,  
“co-opted member” has the meaning given by section 49(7),  
“elected mayor” and “elected executive member” have the meaning given by section 39(1) and (4),
- F229 ...  
“executive” is to be construed in accordance with section 11,  
“executive arrangements” has the meaning given by section 10,  
“executive leader” has the meaning given by [F230 section 11(2A)(a) or (3) (a)],  
“interim case tribunal” has the meaning given by section 76(2),
- F231 ...  
“model code of conduct” is to be construed in accordance with section 50
- F232 ... (2),
- F233 ...  
F234 ...  
“relevant authority” has the meaning given by section 49(6).
- (2) Any reference in this Part to a committee of a relevant authority, in the case of a relevant authority to which Part II of this Act applies, includes a reference to a committee of an executive of the authority.
- (3) Any reference in this Part to a member of a relevant authority, in the case of a relevant authority to which Part II of this Act applies, includes a reference to an elected mayor or elected executive member of the authority.
- F235 (4) .....
- (5) Any reference in this Part to a joint committee or joint sub-committee of a relevant authority is a reference to a joint committee on which the authority is represented or a sub-committee of such a committee.
- (6) Any reference in this Part to a failure to comply with a relevant authority’s code of conduct includes a reference to a failure to comply with the mandatory provisions which apply to the members or co-opted members of the authority by virtue of section 51(5)(b).
- (7) Any reference in this Part to a person being partially suspended from being a member or co-opted member of a relevant authority includes a reference to a person being prevented from exercising particular functions or having particular responsibilities as such a member or co-opted member.
- (8) The reference in subsection (7) to particular functions or particular responsibilities as a member of a relevant authority, in the case of a relevant authority to which Part II of this Act applies, includes a reference to particular functions or particular responsibilities as a member of an executive of the authority.

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- (9) A person who is suspended under this Part from being a member of a relevant authority shall also be suspended from being a member of any committee, sub-committee, joint committee or joint sub-committee of the authority, but this subsection does not apply to a person who is partially suspended under this Part.
- [<sup>F236</sup>(9A) A person who is suspended under this Part from being a member of a relevant authority shall also be suspended from being a member of any strategic planning panel in relation to which that authority is a constituent local planning authority, but this subsection does not apply to a person who is partially suspended under this Part.]
- (10) A person who is suspended under this Part from being a member of a relevant authority to which Part II of this Act applies shall also be suspended, if he is a member of an executive of the authority, from being such a member; but this subsection does not apply to a person who is partially suspended under this Part.
- (11) A person who is disqualified under this Part for being or becoming a member of a relevant authority shall also be disqualified—
- (a) for being or becoming a member of any committee, sub-committee, joint committee or joint sub-committee of the authority, and
  - (b) if the authority is one to which Part II of this Act applies, for being or becoming a member of an executive of the authority.
- <sup>F237</sup>(12) . . . . .
- (13) Any function which by virtue of this Part is exercisable by or in relation to the monitoring officer of a relevant authority which is a community council is to be exercisable by or in relation to the monitoring officer of the county council or county borough council in whose area the community council is situated; and any reference in this Part to the monitoring officer of a relevant authority which is a community council is to be construed accordingly.
- (14) Any functions which are conferred by virtue of this Part on a relevant authority to which Part II of this Act applies are not to be the responsibility of an executive of the authority under executive arrangements.
- <sup>F238</sup>(15) . . . . .
- <sup>F238</sup>(16) . . . . .

### Textual Amendments

- F228** Words in s. 83(1) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), [Sch. 4 para. 51\(2\)\(a\)\(i\)](#), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F229** Words in s. 83(1) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by [Localism Act 2011 \(c. 20\)](#), s. 240(2), [Sch. 4 para. 51\(2\)\(a\)\(ii\)](#), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F230** Words in s. 83 substituted (30.12.2007) by [Local Government and Public Involvement in Health Act 2007 \(c. 28\)](#), s. 245(2), **Sch. 3 para. 26**
- F231** Words in s. 83(1) repealed (1.4.2006) by [Public Services Ombudsman \(Wales\) Act 2005 \(c. 10\)](#), s. 40, [Sch. 4 para. 24\(a\), 7](#); S.I. 2005/2800, art. 5(1) (with transitional provisions in S.I. 2006/362, art. 4)

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- F232** Words in s. 83(1) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 51(2)(b), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F233** Words in s. 83(1) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 51(2)(a)(iii), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F234** Words in s. 83 omitted (18.1.2010) by virtue of The Transfer of Tribunal Functions Order 2010 (S.I. 2010/22), art. 1(1), **Sch. 2 para. 64** (with Sch. 5)
- F235** S. 83(4) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 51(3), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F236** S. 83(9A) inserted (6.9.2015 for specified purposes) by Planning (Wales) Act 2015 (anaw 4), s. 58(2)(b)(4)(b), **Sch. 1 para. 4**
- F237** S. 83(12) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 51(3), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)
- F238** S. 83(15)(16) repealed (1.7.2012 for specified purposes, 22.11.2012 in so far as not already in force) by Localism Act 2011 (c. 20), s. 240(2), Sch. 4 para. 51(3), **Sch. 25 Pt. 5**; S.I. 2012/1463, art. 5(a)(d) (with arts. 6, 7) (as amended (3.7.2012) by S.I. 2012/1714, art. 2); S.I. 2012/2913, arts. 1(2), 2(b)(c) (with arts. 3-6)

### Commencement Information

- I18** S. 83 wholly in force at 28.7.2001; s. 83 not in force at Royal Assent see s. 108; s. 83(1)-(3)(5)-(14) in force at 1.11.2000 in relation to Wales by S.I. 2000/2948, **art. 2**; s. 83 in force at 19.12.2000 in relation to England and to police authorities in Wales by S.I. 2000/3335, **art. 2**; s. 83 in force at 28.7.2001 in so far as not already in force see s. 108(4)-(6)

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