



# Financial Services and Markets Act 2000

## 2000 CHAPTER 8

### [<sup>F1</sup>PART 4A

#### PERMISSION TO CARRY ON REGULATED ACTIVITIES

#### *[<sup>F1</sup>Connected persons*

##### Textual Amendments

- F1** Pt. 4A substituted for ss. 40-55 (24.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by [Financial Services Act 2012 \(c. 21\)](#), **ss. 11(2), 122(3)** (with [Sch. 20](#)); [S.I. 2013/113](#), [art. 2\(1\)\(b\)\(c\)](#), [Sch. Pts. 2, 3](#); [S.I. 2013/423](#), [art. 3](#), [Sch.](#)

#### **55R** Persons connected with an applicant

(1) In considering—

- (a) an application for a Part 4A permission,
- (b) whether to vary or cancel a Part 4A permission,
- <sup>F2</sup>(ba) [ an application for permission under section 55NA,
- (bb) whether to vary or cancel permission under section 55NA,]
- (c) whether to impose or vary a requirement under this Part, or
- (d) whether to give any consent required by any provision of this Part,

the regulator concerned may have regard to any person appearing to it to be, or likely to be, in a relationship with the applicant or a person given permission which is relevant.

<sup>F3</sup>(2) .....

<sup>F3</sup>(3) .....

<sup>F3</sup>(3A) .....

<sup>F3</sup>(3B) .....

*Status: Point in time view as at 06/09/2023.*

*Changes to legislation: Financial Services and Markets Act 2000, Cross Heading: Connected persons is up to date with all changes known to be in force on or before 22 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

- F<sup>4</sup>(4) .....
- F<sup>4</sup>(5) .....
- F<sup>4</sup>(6) .....
- F<sup>4</sup>(7) .....
- F<sup>4</sup>(8) .....
- F<sup>4</sup>(9) .....]

#### **Textual Amendments**

- F2** S. 55R(1)(ba)(bb) inserted (6.9.2023 for specified purposes) by [Financial Services and Markets Act 2023 \(c. 29\)](#), s. 86(3), **Sch. 5 para. 6** (with s. 20(5)); S.I. 2023/936, reg. 2(1)(a)(2)(b)(ii)
- F3** S. 55R(2)-(3B) omitted (31.12.2020) by virtue of [The EEA Passport Rights \(Amendment, etc., and Transitional Provisions\) \(EU Exit\) Regulations 2018 \(S.I. 2018/1149\)](#), reg. 1(3), **Sch. para. 7** (with reg. 4); 2020 c. 1, Sch. 5 para. 1(1)
- F4** S. 55R(4)-(9) omitted (31.12.2020) by virtue of [The Financial Services and Markets Act 2000 \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/632\)](#), regs. 1(3), **21**; 2020 c. 1, Sch. 5 para. 1(1)

#### **Modifications etc. (not altering text)**

- C1** S. 55R applied (with modifications) (8.6.2023 for specified purposes) by [The Financial Services and Markets Act 2000 \(Financial Promotion\) \(Amendment\) Order 2023 \(S.I. 2023/612\)](#), art. 1(2), **Sch. para. 5** (with art. 11)

**Status:**

Point in time view as at 06/09/2023.

**Changes to legislation:**

Financial Services and Markets Act 2000, Cross Heading: Connected persons is up to date with all changes known to be in force on or before 22 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.