

*Status: Point in time view as at 27/02/2020.*

*Changes to legislation: Financial Services and Markets Act 2000, Cross Heading: Unincorporated associations is up to date with all changes known to be in force on or before 03 August 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

## SCHEDULES

### SCHEDULE 15

#### INFORMATION AND INVESTIGATIONS: CONNECTED PERSONS

##### PART I

##### RULES FOR SPECIFIC BODIES

###### Modifications etc. (not altering text)

- C1** Sch. 15 Pt. I (paras. 1-7) modified (1.12.2001) by [S.I. 2001/2657](#), [arts. 1\(1\)](#), [15\(2\)](#) (which was revoked (8.10.2001) by [S.I. 2001/3083](#), [arts. 1\(2\)](#), [23](#)); [S.I. 2001/3538](#), [art. 2\(1\)](#)  
Sch. 15 Pt. I (paras. 1-7) modified (1.12.2001) by [S.I. 2001/3083](#), [arts. 1\(2\)](#), [15\(2\)](#); [S.I. 2001/3538](#), [art. 2\(1\)](#)
- Sch. 15 Pt. I (paras. 1-7) amended (*temp.* from 11.8.2001 to 1.12.2001) by [S.I. 2001/2659](#), [arts. 1\(2\)](#), [2\(2\)](#); [S.I. 2001/3538](#), [art. 2\(1\)](#)
- C1** Sch. 15 Pt. I modified (8.4.2002) by [The Financial Services and Markets Act 2000 \(Permission and Applications\) \(Credit Unions etc.\) Order 2002](#) ([S.I. 2002/704](#)), [art. 8\(2\)](#)
- C1** Sch. 15 Pt. 1 modified (31.12.2011) by [The Financial Services and Markets Act 2000 \(Permissions, Transitional Provisions and Consequential Amendments\) \(Northern Ireland Credit Unions\) Order 2011](#) ([S.I. 2011/2832](#)), [art. 9\(2\)](#)
- C1** Sch. 15 Pt. I modified (31.12.2013) by [The Financial Services and Markets Act 2000 \(Consumer Credit\) \(Transitional Provisions\) Order 2013](#) ([S.I. 2013/3128](#)), [arts. 1\(1\)](#), [4\(4\)](#)

###### *Unincorporated associations*

- 3 If the authorised person (“UA”) is an unincorporated association of persons which is neither a partnership nor an unincorporated friendly society, a person who is or has been an officer, manager, employee or agent of UA.

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