
Status: Point in time view as at 25/02/2001. This version of this provision has been superseded.

Changes to legislation: Financial Services and Markets Act 2000, Paragraph 5 is up to date with all changes known to be in force on or before 22 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

SCHEDULES

SCHEDULE 9

NON-LISTING PROSPECTUSES

Exemption from liability for compensation

- 5 (1) In paragraphs 1(3) and 2(3) of Schedule 10, for paragraph (d) substitute—
- “(d) the securities were acquired after such a lapse of time that he ought in the circumstances to be reasonably excused and, if the securities are dealt in on an approved exchange, he continued in that belief until after the commencement of dealings in the securities on that exchange.”
- (2) After paragraph 8 of that Schedule, insert—

Meaning of “approved exchange”

- “9 “Approved exchange” has such meaning as may be prescribed.”

Status:

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