



Financial Services and Markets Act 2000

2000 CHAPTER 8

PART XVIII

RECOGNISED INVESTMENT EXCHANGES AND CLEARING HOUSES

[^{F1}CHAPTER 3B

DISCIPLINARY MEASURES

[^{F1}312G Proposal to take disciplinary measures

- (1) If the appropriate regulator proposes—
 - (a) to publish a statement in respect of a recognised body under section 312E, or
 - (b) to impose a penalty on a recognised body under section 312F,it must give the body a warning notice.
- (2) A warning notice about a proposal to publish a statement must set out the terms of the statement.
- (3) A warning notice about a proposal to impose a penalty must state the amount of the penalty.]

Textual Amendments

- F1** Pt. 18 Ch. 3B inserted (24.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by [Financial Services Act 2012 \(c. 21\), ss. 33, 122\(3\)](#) (with [Sch. 20](#)); [S.I. 2013/113, art. 2\(1\)\(b\)\(c\)](#), [Sch. Pts. 2, 3](#); [S.I. 2013/423, art. 3, Sch.](#)

Status:

Point in time view as at 24/01/2013. This version of this provision has been superseded.

Changes to legislation:

Financial Services and Markets Act 2000, Section 312G is up to date with all changes known to be in force on or before 24 September 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.