



Financial Services and Markets Act 2000

2000 CHAPTER 8

PART VI **U.K.**

OFFICIAL LISTING

[^{F1}Transparency obligations

[^{F1}89C Provision of information by issuers of transferable securities **U.K.**

- (1) Transparency rules may make provision requiring the issuer of transferable securities, in circumstances specified in the rules—
 - (a) to make public information to which this section applies, or
 - (b) to notify to the [^{F2}FCA] information to which this section applies, or to do both.
- (2) In the case of every issuer, this section applies to—
 - (a) information required by Article 4 of the transparency obligations directive;
[information required by Article 6 of that directive;]
 - ^{F3}(aa)
 - (b) information relating to the rights attached to the transferable securities, including information about the terms and conditions of those securities which could indirectly affect those rights; and
 - (c) information about new loan issues and about any guarantee or security in connection with any such issue.
- (3) In the case of an issuer of debt securities, this section also applies to information required by Article 5 of the transparency obligations directive.
- (4) In the case of an issuer of shares, this section also applies to—
 - (a) information required by Article 5 of the transparency obligations directive;
 - ^{F4}(b)
 - (c) voteholder information—
 - (i) notified to the issuer, or

Status: Point in time view as at 31/03/2015. This version of this provision has been superseded.

Changes to legislation: Financial Services and Markets Act 2000, Section 89C is up to date with all changes known to be in force on or before 04 August 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)

- (ii) relating to the proportion of voting rights held by the issuer in respect of shares in the issuer;
- (d) information relating to the issuer's capital; and
- (e) information relating to the total number of voting rights in respect of shares or shares of a particular class.]

Textual Amendments

- F1** Ss. 89A-89G and cross-heading inserted (8.11.2006) by [Companies Act 2006 \(c. 46\)](#), **ss. 1266(1)**, [1300\(1\)\(a\)](#) (with [s. 1266\(2\)](#))
- F2** Words in ss. 89A-97 substituted (24.1.2013 for specified purposes, 1.4.2013 in so far as not already in force) by [Financial Services Act 2012 \(c. 21\)](#), **ss.16(2)(3)(g)**, [122\(3\)](#) (with [Sch. 20](#)); [S.I. 2013/113](#), [art. 2\(1\)\(c\)](#), [Sch. Pt. 3](#); [S.I. 2013/423](#), [art. 3](#), [Sch.](#)
- F3** [S. 89C\(2\)\(aa\)](#) inserted (17.12.2014) by [The Payments to Governments and Miscellaneous Provisions Regulations 2014 \(S.I. 2014/3293\)](#), [regs. 1\(2\)](#), **4(a)**
- F4** [S. 89C\(4\)\(b\)](#) omitted (17.12.2014) by virtue of [The Payments to Governments and Miscellaneous Provisions Regulations 2014 \(S.I. 2014/3293\)](#), [regs. 1\(2\)](#), **4(b)**

Status:

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