

*Status: Point in time view as at 01/04/2013.*

*Changes to legislation: Financial Services Act 2012, Paragraph 9 is up to date with all changes known to be in force on or before 15 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

## SCHEDULES

### SCHEDULE 4

#### EEA PASSPORT RIGHTS AND TREATY RIGHTS

##### PART 1

#### AMENDMENTS OF SCHEDULE 3 TO FSMA 2000: EEA PASSPORT RIGHTS

##### *Exercise of passport rights by UK firms*

- 9 In Part 3 (exercise of passport rights by UK firms), before the italic heading before paragraph 19 insert—

##### *“Meaning of “the appropriate UK regulator”*

- 18A In this Part of this Schedule “the appropriate UK regulator” means—
- (a) where the UK firm is a PRA-authorized person, the PRA;
  - (b) in any other case, the FCA.”

#### **Commencement Information**

- 11** Sch. 4 para. 9 in force at 27.2.2013 for specified purposes by S.I. 2013/423, art. 2
- 12** Sch. 4 para. 9 in force at 1.4.2013 in so far as not already in force by S.I. 2013/423, art. 3, Sch.

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