
Changes to legislation: Financial Services Act 2012, Paragraph 29 is up to date with all changes known to be in force on or before 22 May 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

SCHEDULES

SCHEDULE 9

DISCIPLINE AND ENFORCEMENT

PART 6

NOTICE PROCEDURES

- 29 (1) Section 390 (final notices) is amended as follows.
- (2) In subsection (1)—
- (a) for “the Authority”, in the first place, substitute “ a regulator ”, and
 - (b) for “the Authority”, in the second place, substitute “ the regulator ”.
- (3) In subsection (2)—
- (a) for “the Authority”, in the first place, substitute “ a regulator ”,
 - (b) for “the Authority”, in the second place, substitute “ the regulator ”, and
 - (c) for “a final notice” substitute “ the notice required by subsection (2A) ”.
- (4) After that subsection insert—
- “(2A) The notice required by this subsection is—
- (a) in a case where the regulator is acting in accordance with a direction given by the Tribunal under section 133(6)(b), or by the court on an appeal from a decision by the Tribunal under section 133(6), a further decision notice, and
 - (b) in any other case, a final notice.”.
- (5) In subsections (9) and (10), for “the Authority” substitute “ the regulator giving the notice ”.

Commencement Information

II Sch. 9 para. 29 in force at 1.4.2013 by S.I. 2013/423, art. 3, Sch.

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Changes and effects yet to be applied to the whole Act associated Parts and Chapters:

- Act power to apply conferred (temp.) by [2014 c. 21 s. 79\(4\)](#)
- Act power to apply conferred (temp.) by [2014 c. 21 s. 81\(10\)](#)