



# Investigatory Powers Act 2016

## 2016 CHAPTER 25

### PART 2 U.K.

#### LAWFUL INTERCEPTION OF COMMUNICATIONS

### CHAPTER 1 U.K.

#### INTERCEPTION AND EXAMINATION WITH A WARRANT

##### *Warrants under this Chapter*

### 15 Warrants that may be issued under this Chapter U.K.

- (1) There are three kinds of warrant that may be issued under this Chapter—
  - (a) targeted interception warrants (see subsection (2)),
  - (b) targeted examination warrants (see subsection (3)), and
  - (c) mutual assistance warrants (see subsection (4)).
- (2) A targeted interception warrant is a warrant which authorises or requires the person to whom it is addressed to secure, by any conduct described in the warrant, any one or more of the following—
  - (a) the interception, in the course of their transmission by means of a postal service or telecommunication system, of communications described in the warrant;
  - (b) the obtaining of secondary data from communications transmitted by means of a postal service or telecommunication system and described in the warrant (see section 16);
  - (c) the disclosure, in any manner described in the warrant, of anything obtained under the warrant to the person to whom the warrant is addressed or to any person acting on that person's behalf.

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- (3) A targeted examination warrant is a warrant which authorises the person to whom it is addressed to carry out the selection of relevant content for examination, in breach of the prohibition in section 152(4) (prohibition on seeking to identify communications of individuals in the British Islands).

In this Part “relevant content”, in relation to a targeted examination warrant, means any content of communications intercepted by an interception authorised or required by a bulk interception warrant under Chapter 1 of Part 6.

- (4) A mutual assistance warrant is a warrant which authorises or requires the person to whom it is addressed to secure, by any conduct described in the warrant, any one or more of the following—
- (a) the making of a request, in accordance with an EU mutual assistance instrument or an international mutual assistance agreement, for the provision of any assistance of a kind described in the warrant in connection with, or in the form of, an interception of communications;
  - (b) the provision to the competent authorities of a country or territory outside the United Kingdom, in accordance with such an instrument or agreement, of any assistance of a kind described in the warrant in connection with, or in the form of, an interception of communications;
  - (c) the disclosure, in any manner described in the warrant, of anything obtained under the warrant to the person to whom the warrant is addressed or to any person acting on that person's behalf.
- (5) A targeted interception warrant or mutual assistance warrant also authorises the following conduct (in addition to the conduct described in the warrant)—
- (a) any conduct which it is necessary to undertake in order to do what is expressly authorised or required by the warrant, including—
    - (i) the interception of communications not described in the warrant, and
    - (ii) conduct for obtaining secondary data from such communications;
  - (b) any conduct by any person which is conduct in pursuance of a requirement imposed by or on behalf of the person to whom the warrant is addressed to be provided with assistance in giving effect to the warrant;
  - (c) any conduct for obtaining related systems data from any postal operator or telecommunications operator.
- (6) For the purposes of subsection (5)(c)—
- “related systems data”, in relation to a warrant, means systems data relating to a relevant communication or to the sender or recipient, or intended recipient, of a relevant communication (whether or not a person), and
- “relevant communication”, in relation to a warrant, means—
- (a) any communication intercepted in accordance with the warrant in the course of its transmission by means of a postal service or telecommunication system, or
  - (b) any communication from which secondary data is obtained under the warrant.
- (7) For provision enabling the combination of targeted interception warrants with certain other warrants or authorisations (including targeted examination warrants), see Schedule 8.

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#### Commencement Information

- I1** S. 15(1)-(5)(7) in force at 31.5.2018 by S.I. 2018/652, reg. 3(a) (with reg. 16(2))
- I2** S. 15(6) in force at 1.9.2017 for specified purposes by S.I. 2017/859, reg. 2(a)
- I3** S. 15(6) in force at 31.5.2018 in so far as not already in force by S.I. 2018/652, reg. 3(a)

## 16 Obtaining secondary data **U.K.**

- (1) This section has effect for the purposes of this Part.
- (2) In relation to a communication transmitted by means of a postal service, references to obtaining secondary data from the communication are references to obtaining such data in the course of the transmission of the communication (as to which, see section 4(7)).
- (3) In relation to a communication transmitted by means of a telecommunication system, references to obtaining secondary data from the communication are references to obtaining such data—
  - (a) while the communication is being transmitted, or
  - (b) at any time when the communication is stored in or by the system (whether before or after its transmission).
- (4) “Secondary data”—
  - (a) in relation to a communication transmitted by means of a postal service, means any data falling within subsection (5);
  - (b) in relation to a communication transmitted by means of a telecommunication system, means any data falling within subsection (5) or (6).
- (5) The data falling within this subsection is systems data which is comprised in, included as part of, attached to or logically associated with the communication (whether by the sender or otherwise).
- (6) The data falling within this subsection is identifying data which—
  - (a) is comprised in, included as part of, attached to or logically associated with the communication (whether by the sender or otherwise),
  - (b) is capable of being logically separated from the remainder of the communication, and
  - (c) if it were so separated, would not reveal anything of what might reasonably be considered to be the meaning (if any) of the communication, disregarding any meaning arising from the fact of the communication or from any data relating to the transmission of the communication.
- (7) For the meaning of “systems data” and “identifying data”, see section 263.

#### Commencement Information

- I4** S. 16(1)-(3)(7) in force at 31.5.2018 by S.I. 2018/652, reg. 3(b)
- I5** S. 16(4)-(6) in force at 1.9.2017 for specified purposes by S.I. 2017/859, reg. 2(b)
- I6** S. 16(4)-(6) in force at 31.5.2018 in so far as not already in force by S.I. 2018/652, reg. 3(b)

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## 17 Subject-matter of warrants **U.K.**

- (1) A warrant under this Chapter may relate to—
  - (a) a particular person or organisation, or
  - (b) a single set of premises.
- (2) In addition, a targeted interception warrant or targeted examination warrant may relate to—
  - (a) a group of persons who share a common purpose or who carry on, or may carry on, a particular activity;
  - (b) more than one person or organisation, or more than one set of premises, where the conduct authorised or required by the warrant is for the purposes of a single investigation or operation;
  - (c) testing or training activities.
- (3) In subsection (2)(c) “testing or training activities” means—
  - (a) in relation to a targeted interception warrant—
    - (i) the testing, maintenance or development of apparatus, systems or other capabilities relating to the interception of communications in the course of their transmission by means of a telecommunication system or to the obtaining of secondary data from communications transmitted by means of such a system, or
    - (ii) the training of persons who carry out, or are likely to carry out, such interception or the obtaining of such data;
  - (b) in relation to a targeted examination warrant—
    - (i) the testing, maintenance or development of apparatus, systems or other capabilities relating to the selection of relevant content for examination, or
    - (ii) the training of persons who carry out, or are likely to carry out, the selection of relevant content for examination.

### Commencement Information

**I7** S. 17 in force at 31.5.2018 by [S.I. 2018/652](#), [reg. 3\(c\)](#)

### *Power to issue warrants*

## 18 Persons who may apply for issue of a warrant **U.K.**

- (1) Each of the following is an “intercepting authority” for the purposes of this Part—
  - (a) a person who is the head of an intelligence service;
  - (b) the Director General of the National Crime Agency;
  - (c) the Commissioner of Police of the Metropolis;
  - (d) the Chief Constable of the Police Service of Northern Ireland;
  - (e) the chief constable of the Police Service of Scotland;
  - (f) the Commissioners for Her Majesty's Revenue and Customs;
  - (g) the Chief of Defence Intelligence;

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- (h) a person who is the competent authority of a country or territory outside the United Kingdom for the purposes of an EU mutual assistance instrument or an international mutual assistance agreement.
- (2) For the meaning of “head of an intelligence service”, see section 263.
- (3) An application for the issue of a warrant under this Chapter may only be made on behalf of an intercepting authority by a person holding office under the Crown.

#### Commencement Information

**18** S. 18(1)(a)(g)(2)(3) in force at 31.5.2018 by [S.I. 2018/652](#), [reg. 3\(d\)](#)

## 19 Power of Secretary of State to issue warrants **U.K.**

- (1) The Secretary of State may, on an application made by or on behalf of an intercepting authority mentioned in section 18(1)(a) to (g), issue a targeted interception warrant if—
- (a) the Secretary of State considers that the warrant is necessary on grounds falling within section 20,
  - (b) the Secretary of State considers that the conduct authorised by the warrant is proportionate to what is sought to be achieved by that conduct,
  - (c) the Secretary of State considers that satisfactory arrangements made for the purposes of sections 53 and 54 (safeguards relating to disclosure etc.) are in force in relation to the warrant, and
  - (d) except where the Secretary of State considers that there is an urgent need to issue the warrant, the decision to issue the warrant has been approved by a Judicial Commissioner.

This is subject to subsection (4).

- (2) The Secretary of State may, on an application made by or on behalf of the head of an intelligence service, issue a targeted examination warrant if—
- (a) the Secretary of State considers that the warrant is necessary on grounds falling within section 20,
  - (b) the Secretary of State considers that the conduct authorised by the warrant is proportionate to what is sought to be achieved by that conduct,
  - (c) the Secretary of State considers that the warrant is or may be necessary to authorise the selection of relevant content for examination in breach of the prohibition in section 152(4) (prohibition on seeking to identify communications of individuals in the British Islands), and
  - (d) except where the Secretary of State considers that there is an urgent need to issue the warrant, the decision to issue the warrant has been approved by a Judicial Commissioner.

This is subject to subsection (4).

- (3) The Secretary of State may, on an application made by or on behalf of an intercepting authority, issue a mutual assistance warrant if—
- (a) the Secretary of State considers that the warrant is necessary on grounds falling within section 20,

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- (b) the Secretary of State considers that the conduct authorised by the warrant is proportionate to what is sought to be achieved by that conduct,
- (c) the Secretary of State considers that satisfactory arrangements made for the purposes of sections 53 and 54 (safeguards relating to disclosure etc.) are in force in relation to the warrant, and
- (d) except where the Secretary of State considers that there is an urgent need to issue the warrant, the decision to issue the warrant has been approved by a Judicial Commissioner.

This is subject to subsection (4).

- (4) The Secretary of State may not issue a warrant under this section if—
  - (a) the application is a relevant Scottish application (see section 22), and
  - (b) in the case of an application for a targeted interception warrant or a targeted examination warrant, the Secretary of State considers that the warrant is necessary only for the purpose of preventing or detecting serious crime.

For the power of the Scottish Ministers to issue warrants under this Chapter, see section 21.

- (5) But subsection (4) does not prevent the Secretary of State from doing anything under this section for the purposes specified in section 2(2) of the European Communities Act 1972.

#### Commencement Information

- I9** S. 19(1)-(3) in force at 31.5.2018 for specified purposes by [S.I. 2018/652](#), [reg. 3\(e\)\(i\)](#) (with [regs. 15\(1\)\(a\)](#), [19\(2\)](#))
- I10** S. 19(4)(5) in force at 31.5.2018 by [S.I. 2018/652](#), [reg. 3\(e\)\(ii\)](#)

## 20 Grounds on which warrants may be issued by Secretary of State U.K.

- (1) This section has effect for the purposes of this Part.
- (2) A targeted interception warrant or targeted examination warrant is necessary on grounds falling within this section if it is necessary—
  - (a) in the interests of national security,
  - (b) for the purpose of preventing or detecting serious crime, or
  - (c) in the interests of the economic well-being of the United Kingdom so far as those interests are also relevant to the interests of national security (but see subsection (4)).
- (3) A mutual assistance warrant is necessary on grounds falling within this section if—
  - (a) it is necessary for the purpose of giving effect to the provisions of an EU mutual assistance instrument or an international mutual assistance agreement, and
  - (b) the circumstances appear to the Secretary of State to be equivalent to those in which the Secretary of State would issue a warrant by virtue of subsection (2)(b).

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- (4) A warrant may be considered necessary as mentioned in subsection (2)(c) only if the information which it is considered necessary to obtain is information relating to the acts or intentions of persons outside the British Islands.
- (5) A warrant may not be considered necessary on grounds falling within this section if it is considered necessary only for the purpose of gathering evidence for use in any legal proceedings.
- (6) The fact that the information which would be obtained under a warrant relates to the activities in the British Islands of a trade union is not, of itself, sufficient to establish that the warrant is necessary on grounds falling within this section.

#### Commencement Information

III S. 20 in force at 31.5.2018 by S.I. 2018/652, reg. 3(f)

## 21 Power of Scottish Ministers to issue warrants **U.K.**

- (1) The Scottish Ministers may, on an application made by or on behalf of an intercepting authority mentioned in section 18(1)(a) to (g), issue a targeted interception warrant if—
  - (a) the application is a relevant Scottish application (see section 22),
  - (b) the Scottish Ministers consider that the warrant is necessary on grounds falling within subsection (4),
  - (c) the Scottish Ministers consider that the conduct authorised by the warrant is proportionate to what is sought to be achieved by that conduct,
  - (d) the Scottish Ministers consider that satisfactory arrangements made for the purposes of sections 53 and 54 (safeguards relating to disclosure etc.) are in force in relation to the warrant, and
  - (e) except where the Scottish Ministers consider that there is an urgent need to issue the warrant, the decision to issue the warrant has been approved by a Judicial Commissioner.
- (2) The Scottish Ministers may, on an application made by or on behalf of the head of an intelligence service, issue a targeted examination warrant if—
  - (a) the application is a relevant Scottish application,
  - (b) the Scottish Ministers consider that the warrant is necessary on grounds falling within subsection (4),
  - (c) the Scottish Ministers consider that the conduct authorised by the warrant is proportionate to what is sought to be achieved by that conduct,
  - (d) the Scottish Ministers consider that the warrant is or may be necessary to authorise the selection of relevant content for examination in breach of the prohibition in section 152(4) (prohibition on seeking to identify communications of individuals in the British Islands), and
  - (e) except where the Scottish Ministers consider that there is an urgent need to issue the warrant, the decision to issue the warrant has been approved by a Judicial Commissioner.
- (3) The Scottish Ministers may, on an application made by or on behalf of an intercepting authority, issue a mutual assistance warrant if—
  - (a) the application is a relevant Scottish application,

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- (b) the Scottish Ministers consider that the warrant is necessary on grounds falling within subsection (4),
  - (c) the Scottish Ministers consider that the conduct authorised by the warrant is proportionate to what is sought to be achieved by that conduct,
  - (d) the Scottish Ministers consider that satisfactory arrangements made for the purposes of sections 53 and 54 (safeguards relating to disclosure etc.) are in force in relation to the warrant, and
  - (e) except where the Scottish Ministers consider that there is an urgent need to issue the warrant, the decision to issue the warrant has been approved by a Judicial Commissioner.
- (4) A warrant is necessary on grounds falling within this subsection if—
- (a) in the case of a targeted interception warrant or targeted examination warrant, it is necessary for the purposes of preventing or detecting serious crime, and
  - (b) in the case of a mutual assistance warrant—
    - (i) it is necessary for the purpose of giving effect to the provisions of an EU mutual assistance instrument or an international mutual assistance agreement, and
    - (ii) the circumstances appear to the Scottish Ministers to be equivalent to those in which the Scottish Ministers would issue a warrant by virtue of paragraph (a).
- (5) A warrant may not be considered necessary on grounds falling within subsection (4) if it is considered necessary only for the purpose of gathering evidence for use in any legal proceedings.
- (6) The fact that the information which would be obtained under a warrant relates to the activities in the British Islands of a trade union is not, of itself, sufficient to establish that the warrant is necessary on grounds falling within subsection (4).

#### Commencement Information

- I12** S. 21(1)-(3) in force at 31.5.2018 for specified purposes by [S.I. 2018/652, reg. 3\(g\)\(i\)](#) (with [reg. 15\(1\)\(b\)](#))
- I13** S. 21(4)-(6) in force at 31.5.2018 by [S.I. 2018/652, reg. 3\(g\)\(ii\)](#)

## 22 “Relevant Scottish applications” **U.K.**

- (1) An application for the issue of a warrant under this Chapter is a “relevant Scottish application” for the purposes of this Chapter if any of conditions A to C is met.

In this section “the applicant” means the person by whom, or on whose behalf, the application is made.

- (2) Condition A is that—
- (a) the application is for the issue of a targeted interception warrant or a targeted examination warrant, and
  - (b) the warrant, if issued, would relate to—
    - (i) a person who is in Scotland, or is reasonably believed by the applicant to be in Scotland, at the time of the issue of the warrant, or



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- (ii) premises which are in Scotland, or are reasonably believed by the applicant to be in Scotland, at that time.
- (3) Condition B is that—
- (a) the application is for the issue of a mutual assistance warrant which, if issued, would authorise or require—
    - (i) the making of a request falling within section 15(4)(a), or
    - (ii) the making of such a request and disclosure falling within section 15(4)(c), and
  - (b) the application—
    - (i) is made by, or on behalf of, the chief constable of the Police Service of Scotland, or
    - (ii) is made by, or on behalf of, the Commissioners for Her Majesty's Revenue and Customs or the Director General of the National Crime Agency for the purpose of preventing or detecting serious crime in Scotland.
- (4) Condition C is that—
- (a) the application is for the issue of a mutual assistance warrant which, if issued, would authorise or require—
    - (i) the provision of assistance falling within section 15(4)(b), or
    - (ii) the provision of such assistance and disclosure falling within section 15(4)(c), and
  - (b) the warrant, if issued, would relate to—
    - (i) a person who is in Scotland, or is reasonably believed by the applicant to be in Scotland, at the time of the issue of the warrant, or
    - (ii) premises which are in Scotland, or are reasonably believed by the applicant to be in Scotland, at that time.

**Commencement Information**

**I14** S. 22 in force at 31.5.2018 by [S.I. 2018/652](#), [reg. 3\(h\)](#)

*Approval of warrants by Judicial Commissioners*

**23 Approval of warrants by Judicial Commissioners** **U.K.**

- (1) In deciding whether to approve a person's decision to issue a warrant under this Chapter, a Judicial Commissioner must review the person's conclusions as to the following matters—
- (a) whether the warrant is necessary on relevant grounds (see subsection (3)), and
  - (b) whether the conduct that would be authorised by the warrant is proportionate to what is sought to be achieved by that conduct.
- (2) In doing so, the Judicial Commissioner must—
- (a) apply the same principles as would be applied by a court on an application for judicial review, and

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- (b) consider the matters referred to in subsection (1) with a sufficient degree of care as to ensure that the Judicial Commissioner complies with the duties imposed by section 2 (general duties in relation to privacy).
- (3) In subsection (1)(a) “relevant grounds” means—
  - (a) in the case of a decision of the Secretary of State to issue a warrant, grounds falling within section 20;
  - (b) in the case of a decision of the Scottish Ministers to issue a warrant, grounds falling within section 21(4).
- (4) Where a Judicial Commissioner refuses to approve a person's decision to issue a warrant under this Chapter, the Judicial Commissioner must give the person written reasons for the refusal.
- (5) Where a Judicial Commissioner, other than the Investigatory Powers Commissioner, refuses to approve a person's decision to issue a warrant under this Chapter, the person may ask the Investigatory Powers Commissioner to decide whether to approve the decision to issue the warrant.

#### Commencement Information

**I15** S. 23 in force at 31.5.2018 by [S.I. 2018/652, reg. 3\(i\)](#)

VALID FROM 27/06/2018

#### 24 Approval of warrants issued in urgent cases **U.K.**

- (1) This section applies where—
  - (a) a warrant under this Chapter is issued without the approval of a Judicial Commissioner, and
  - (b) the person who decided to issue the warrant considered that there was an urgent need to issue it.
- (2) The person who decided to issue the warrant must inform a Judicial Commissioner that it has been issued.
- (3) The Judicial Commissioner must, before the end of the relevant period—
  - (a) decide whether to approve the decision to issue the warrant, and
  - (b) notify the person of the Judicial Commissioner's decision.

“The relevant period” means the period ending with the third working day after the day on which the warrant was issued.
- (4) If a Judicial Commissioner refuses to approve the decision to issue a warrant, the warrant—
  - (a) ceases to have effect (unless already cancelled), and
  - (b) may not be renewed,

and section 23(5) does not apply in relation to the refusal to approve the decision.
- (5) Section 25 contains further provision about what happens if a Judicial Commissioner refuses to approve the decision to issue a warrant.

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VALID FROM 27/06/2018

## 25 Failure to approve warrant issued in urgent case **U.K.**

- (1) This section applies where under section 24(3) a Judicial Commissioner refuses to approve the decision to issue a warrant.
- (2) The person to whom the warrant was addressed must, so far as is reasonably practicable, secure that anything in the process of being done under the warrant stops as soon as possible.
- (3) The Judicial Commissioner may—
  - (a) direct that any of the material obtained under the warrant is destroyed;
  - (b) impose conditions as to the use or retention of any of that material;
  - (c) in the case of a targeted examination warrant, impose conditions as to the use of any relevant content selected for examination under the warrant.
- (4) The Judicial Commissioner—
  - (a) may require an affected party to make representations about how the Judicial Commissioner should exercise any function under subsection (3), and
  - (b) must have regard to any such representations made by an affected party (whether or not as a result of a requirement imposed under paragraph (a)).
- (5) Each of the following is an “affected party” for the purposes of subsection (4)—
  - (a) the person who decided to issue the warrant;
  - (b) the person to whom the warrant was addressed.
- (6) The person who decided to issue the warrant may ask the Investigatory Powers Commissioner to review a decision made by any other Judicial Commissioner under subsection (3).
- (7) On a review under subsection (6), the Investigatory Powers Commissioner may—
  - (a) confirm the Judicial Commissioner's decision, or
  - (b) make a fresh determination.
- (8) Nothing in this section or section 24 affects the lawfulness of—
  - (a) anything done under the warrant before it ceases to have effect;
  - (b) if anything is in the process of being done under the warrant when it ceases to have effect—
    - (i) anything done before that thing could be stopped, or
    - (ii) anything done which it is not reasonably practicable to stop.

### *Additional safeguards*

## 26 Members of Parliament etc. **U.K.**

- (1) This section applies where—
  - (a) an application is made to the Secretary of State for the issue of a targeted interception warrant or a targeted examination warrant, and
  - (b) the purpose of the warrant is—

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- (i) in the case of a targeted interception warrant, to authorise or require the interception of communications sent by, or intended for, a person who is a member of a relevant legislature, or
  - (ii) in the case of a targeted examination warrant, to authorise the selection for examination of the content of such communications.
- (2) The Secretary of State may not issue the warrant without the approval of the Prime Minister.
- (3) In this section “member of a relevant legislature” means—
- (a) a member of either House of Parliament;
  - (b) a member of the Scottish Parliament;
  - (c) a member of the National Assembly for Wales;
  - (d) a member of the Northern Ireland Assembly;
  - (e) a member of the European Parliament elected for the United Kingdom.

**Commencement Information**

**I16** S. 26 in force at 31.5.2018 by [S.I. 2018/652](#), [reg. 3\(j\)](#)

**27 Items subject to legal privilege U.K.**

- (1) Subsections (2) to (5) apply if—
- (a) an application is made by or on behalf of an intercepting authority for a warrant under this Chapter, and
  - (b) the purpose, or one of the purposes, of the warrant is—
    - (i) in the case of a targeted interception warrant or mutual assistance warrant, to authorise or require the interception of items subject to legal privilege, or
    - (ii) in the case of a targeted examination warrant, to authorise the selection of such items for examination.
- (2) The application must contain a statement that the purpose, or one of the purposes, of the warrant is to authorise or require the interception, or (in the case of a targeted examination warrant) the selection for examination, of items subject to legal privilege.
- (3) In deciding whether to issue the warrant, the person to whom the application is made must have regard to the public interest in the confidentiality of items subject to legal privilege.
- (4) The person to whom the application is made may issue the warrant only if the person considers—
- (a) that there are exceptional and compelling circumstances that make it necessary to authorise or require the interception, or (in the case of a targeted examination warrant) the selection for examination, of items subject to legal privilege, and
  - (b) that the arrangements made for the purposes of section 53 or (as the case may be) section 150 (safeguards relating to retention and disclosure of material) include specific arrangements for the handling, retention, use and destruction of such items.

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*Status: Point in time view as at 31/05/2018. This version of this chapter contains provisions that are not valid for this point in time.*

**Changes to legislation:** *Investigatory Powers Act 2016, CHAPTER 1 is up to date with all changes known to be in force on or before 01 September 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details)*

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- (5) But the warrant may not be issued if it is considered necessary only as mentioned in section 20(2)(c).
- (6) For the purposes of subsection (4)(a), there cannot be exceptional and compelling circumstances that make it necessary to authorise or require the interception, or the selection for examination, of items subject to legal privilege unless—
- (a) the public interest in obtaining the information that would be obtained by the warrant outweighs the public interest in the confidentiality of items subject to legal privilege,
  - (b) there are no other means by which the information may reasonably be obtained, and
  - (c) in the case of a warrant considered necessary as mentioned in section 20(2)(b) or (3) or (as the case may be) 21(4), obtaining the information is necessary for the purpose of preventing death or significant injury.
- (7) Subsections (8) and (9) apply if—
- (a) an application is made by or on behalf of an intercepting authority for a warrant under this Chapter,
  - (b) the intercepting authority considers that the relevant communications are likely to include items subject to legal privilege, and
  - (c) subsections (2) to (5) do not apply.
- (8) The application must contain—
- (a) a statement that the intercepting authority considers that the relevant communications are likely to include items subject to legal privilege, and
  - (b) an assessment of how likely it is that the relevant communications will include such items.
- (9) The person to whom the application is made may issue the warrant only if the person considers that the arrangements made for the purposes of section 53 or (as the case may be) section 150 include specific arrangements for the handling, retention, use and destruction of items subject to legal privilege.
- (10) In this section “relevant communications” means—
- (a) in relation to a targeted interception warrant or mutual assistance warrant, any communications the interception of which is authorised or required by the warrant;
  - (b) in relation to a targeted examination warrant, any communications the content of which the warrant authorises to be selected for examination.
- (11) Subsections (12) and (13) apply if—
- (a) an application is made by or on behalf of an intercepting authority for a warrant under this Chapter,
  - (b) the purpose, or one of the purposes, of the warrant is—
    - (i) in the case of a targeted interception warrant or mutual assistance warrant, to authorise or require the interception of communications that, if they were not made with the intention of furthering a criminal purpose, would be items subject to legal privilege, or
    - (ii) in the case of a targeted examination warrant, to authorise the selection of such communications for examination, and

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- (c) the intercepting authority considers that the communications (“the targeted communications”) are likely to be communications made with the intention of furthering a criminal purpose.
- (12) The application must—
- (a) contain a statement that the purpose, or one of the purposes, of the warrant is to authorise or require the interception, or (in the case of a targeted examination warrant) the selection for examination, of communications that, if they were not made with the intention of furthering a criminal purpose, would be items subject to legal privilege, and
  - (b) set out the reasons for believing that the targeted communications are likely to be communications made with the intention of furthering a criminal purpose.
- (13) The person to whom the application is made may issue the warrant only if the person considers that the targeted communications are likely to be communications made with the intention of furthering a criminal purpose.

#### **Commencement Information**

**I17** S. 27 in force at 31.5.2018 by [S.I. 2018/652, reg. 3\(k\)](#)

## **28 Confidential journalistic material** U.K.

- (1) This section applies if—
- (a) an application is made by or on behalf of an intercepting authority for a warrant under this Chapter, and
  - (b) the purpose, or one of the purposes, of the warrant is—
    - (i) in the case of a targeted interception warrant or mutual assistance warrant, to authorise or require the interception of communications which the intercepting authority believes will be communications containing confidential journalistic material, or
    - (ii) in the case of a targeted examination warrant, to authorise the selection for examination of journalistic material which the intercepting authority believes is confidential journalistic material.
- (2) The application must contain a statement that the purpose, or one of the purposes, of the warrant is—
- (a) in the case of a targeted interception warrant or mutual assistance warrant, to authorise or require the interception of communications which the intercepting authority believes will be communications containing confidential journalistic material, or
  - (b) in the case of a targeted examination warrant, to authorise the selection for examination of journalistic material which the intercepting authority believes is confidential journalistic material.
- (3) The person to whom the application is made may issue the warrant only if the person considers that the arrangements made for the purposes of section 53 or (as the case may be) section 150 (safeguards relating to retention and disclosure of material) include specific arrangements for the handling, retention, use and destruction of communications containing confidential journalistic material.

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- (4) For the meaning of “journalistic material” and “confidential journalistic material”, see section 264.

**Commencement Information**

**I18** S. 28 in force at 31.5.2018 by [S.I. 2018/652, reg. 3\(1\)](#)

**29 Sources of journalistic information** **U.K.**

- (1) This section applies if—
- an application is made by or on behalf of an intercepting authority for a warrant under this Chapter, and
  - the purpose, or one of the purposes, of the warrant is to identify or confirm a source of journalistic information.

(For the meaning of “source of journalistic information”, see section 263(1).)

- (2) The application must contain a statement that the purpose, or one of the purposes, of the warrant is to identify or confirm a source of journalistic information.
- (3) The person to whom the application is made may issue the warrant only if the person considers that the arrangements made for the purposes of section 53 or (as the case may be) section 150 (safeguards relating to retention and disclosure of material) include specific arrangements for the handling, retention, use and destruction of communications that identify sources of journalistic information.

**Commencement Information**

**I19** S. 29 in force at 31.5.2018 by [S.I. 2018/652, reg. 3\(m\)](#)

*Further provision about warrants*

**30 Decisions to issue warrants to be taken personally by Ministers** **U.K.**

- (1) The decision to issue a warrant under this Chapter must be taken personally by—
- the Secretary of State, or
  - in the case of a warrant to be issued by the Scottish Ministers, a member of the Scottish Government.
- (2) Before a warrant under this Chapter is issued, it must be signed by the person who has taken the decision to issue it.
- (3) Subsections (1) and (2) are subject to—
- subsection (4), and
  - section 40 (special rules for certain mutual assistance warrants).
- (4) If it is not reasonably practicable for a warrant to be signed by the person who has taken the decision to issue it, the warrant may be signed by a senior official designated by the Secretary of State or (as the case may be) the Scottish Ministers for that purpose.
- (5) In such a case, the warrant must contain a statement that—

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- (a) it is not reasonably practicable for the warrant to be signed by the person who took the decision to issue it, and
  - (b) the Secretary of State or (as the case may be) a member of the Scottish Government has personally and expressly authorised the issue of the warrant.
- (6) In this section “senior official” means—
- (a) in the case of a warrant to be issued by the Secretary of State, a member of the Senior Civil Service or a member of the Senior Management Structure of Her Majesty's Diplomatic Service;
  - (b) in the case of a warrant to be issued by the Scottish Ministers, a member of the staff of the Scottish Administration who is a member of the Senior Civil Service.

#### Commencement Information

**I20** S. 30 in force at 31.5.2018 by [S.I. 2018/652](#), [reg. 3\(n\)](#)

### 31 Requirements that must be met by warrants **U.K.**

- (1) A warrant under this Chapter must contain a provision stating whether it is a targeted interception warrant, a targeted examination warrant or a mutual assistance warrant.
- (2) A warrant issued under this Chapter must be addressed to the person by whom, or on whose behalf, the application for the warrant was made.
- (3) A warrant that relates to a particular person or organisation, or to a single set of premises, must name or describe that person or organisation or those premises.
- (4) A warrant that relates to a group of persons who share a common purpose or who carry on (or may carry on) a particular activity must—
  - (a) describe that purpose or activity, and
  - (b) name or describe as many of those persons as it is reasonably practicable to name or describe.
- (5) A warrant that relates to more than one person or organisation, or more than one set of premises, where the conduct authorised or required by the warrant is for the purposes of a single investigation or operation, must—
  - (a) describe the investigation or operation, and
  - (b) name or describe as many of those persons or organisations, or as many of those sets of premises, as it is reasonably practicable to name or describe.
- (6) A warrant that relates to any testing or training activities must—
  - (a) describe those activities, and
  - (b) name or describe as many of the persons within subsection (7) as it is reasonably practicable to name or describe.

“Testing or training activities” has the meaning given by section 17(3).

- (7) A person is within this subsection if—
  - (a) in the case of a targeted interception warrant—



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- (i) communications from, or intended for, the person will or may be intercepted by an interception authorised or required by the warrant, or
  - (ii) secondary data will or may be obtained under the warrant from communications from, or intended for, the person;
- (b) in the case of a targeted examination warrant, the content of communications from, or intended for, the person may be selected for examination under the warrant.
- (8) Where—
- (a) a targeted interception warrant or mutual assistance warrant authorises or requires the interception of communications described in the warrant, or the obtaining of secondary data from such communications, or
  - (b) a targeted examination warrant authorises the selection of the content of communications for examination,
- the warrant must specify the addresses, numbers, apparatus, or other factors, or combination of factors, that are to be used for identifying the communications.
- (9) Any factor, or combination of factors, specified in accordance with subsection (8) must be one that identifies communications which are likely to be or to include—
- (a) communications from, or intended for, any person or organisation named or described in the warrant, or
  - (b) communications originating on, or intended for transmission to, any premises named or described in the warrant.
- (10) In this section any reference to communications from, or intended for, a person or organisation includes communications from, or intended for, anything owned, controlled or operated by that person or organisation.

#### Commencement Information

I21 S. 31 in force at 31.5.2018 by S.I. 2018/652, reg. 3(o)

VALID FROM 27/06/2018

## 32 Duration of warrants **U.K.**

- (1) A warrant under this Chapter ceases to have effect at the end of the relevant period (see subsection (2)), unless—
  - (a) it is renewed before the end of that period (see section 33), or
  - (b) it is cancelled or otherwise ceases to have effect before the end of that period (see sections 24 and 39).
- (2) In this section “the relevant period”—
  - (a) in the case of an urgent warrant which has not been renewed, means the period ending with the fifth working day after the day on which the warrant was issued;
  - (b) in any other case, means the period of 6 months beginning with—
    - (i) the day on which the warrant was issued, or

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(ii) in the case of a warrant that has been renewed, the day after the day at the end of which the warrant would have ceased to have effect if it had not been renewed.

- (3) For the purposes of subsection (2)(a) a warrant is an “urgent warrant” if—
- (a) the warrant was issued without the approval of a Judicial Commissioner, and
  - (b) the person who decided to issue the warrant considered that there was an urgent need to issue it.

VALID FROM 27/06/2018

### 33 **Renewal of warrants** **U.K.**

- (1) If the renewal conditions are met, a warrant issued under this Chapter may be renewed, at any time during the renewal period, by an instrument issued by the appropriate person (see subsection (3)).
- (2) The renewal conditions are—
  - (a) that the appropriate person considers that the warrant continues to be necessary on any relevant grounds (see subsection (4)),
  - (b) that the appropriate person considers that the conduct that would be authorised by the renewed warrant continues to be proportionate to what is sought to be achieved by that conduct,
  - (c) that, in the case of a targeted examination warrant, the appropriate person considers that the warrant continues to be necessary to authorise the selection of relevant content for examination in breach of the prohibition in section 152(4), and
  - (d) that the decision to renew the warrant has been approved by a Judicial Commissioner.
- (3) The appropriate person is—
  - (a) in the case of a warrant issued by the Secretary of State, the Secretary of State;
  - (b) in the case of a warrant issued by the Scottish Ministers, a member of the Scottish Government.
- (4) “Relevant grounds” means—
  - (a) in the case of a warrant issued by the Secretary of State, grounds falling within section 20;
  - (b) in the case of a warrant issued by the Scottish Ministers, grounds falling within section 21(4).
- (5) “The renewal period” means—
  - (a) in the case of an urgent warrant which has not been renewed, the relevant period;
  - (b) in any other case, the period of 30 days ending with the day at the end of which the warrant would otherwise cease to have effect.
- (6) The decision to renew a warrant must be taken personally by the appropriate person, and the instrument renewing the warrant must be signed by that person.

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- (7) Section 23 (approval of warrants by Judicial Commissioners) applies in relation to a decision to renew a warrant as it applies in relation to a decision to issue a warrant (and accordingly any reference in that section to the person who decided to issue the warrant is to be read as a reference to the person who decided to renew it).
- (8) Sections 26 to 29 (additional safeguards) apply in relation to a decision to renew a warrant as they apply in relation to a decision to issue a warrant.
- (9) In this section—
  - “the relevant period” has the same meaning as in section 32;
  - “urgent warrant” is to be read in accordance with subsection (3) of that section.
- (10) This section is subject to section 40 (special rules for certain mutual assistance warrants).

VALID FROM 27/06/2018

#### 34 **Modification of warrants** **U.K.**

- (1) The provisions of a warrant issued under this Chapter may be modified at any time by an instrument issued by the person making the modification.
- (2) The only modifications that may be made under this section are—
  - (a) adding, varying or removing the name or description of a person, organisation or set of premises to which the warrant relates, and
  - (b) adding, varying or removing any factor specified in the warrant in accordance with section 31(8).
- (3) But a warrant may not be modified as mentioned in subsection (2)(a) if it relates only to a particular person or organisation, or to a single set of premises, as mentioned in section 17(1).
- (4) The decision to modify the provisions of a warrant must be taken personally by the person making the modification, and the instrument making the modification must be signed by that person.

This is subject to section 36(8).
- (5) In this Chapter—
  - (a) a modification adding or varying a name or description as mentioned in paragraph (a) of subsection (2) is referred to as a “major modification”, and
  - (b) any other modification within that subsection is referred to as a “minor modification”.
- (6) Nothing in this section applies in relation to modifying the provisions of a warrant in a way which does not affect the conduct authorised or required by it.
- (7) Sections 35 to 38 contain further provision about making modifications under this section.

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VALID FROM 27/06/2018

### 35 Persons who may make modifications **U.K.**

- (1) A major modification may be made by—
  - (a) the Secretary of State, in the case of a warrant issued by the Secretary of State,
  - (b) a member of the Scottish Government, in the case of a warrant issued by the Scottish Ministers, or
  - (c) a senior official acting on behalf of the Secretary of State or (as the case may be) the Scottish Ministers.
- (2) A minor modification may be made by—
  - (a) the Secretary of State, in the case of a warrant issued by the Secretary of State,
  - (b) a member of the Scottish Government, in the case of a warrant issued by the Scottish Ministers,
  - (c) a senior official acting on behalf of the Secretary of State or (as the case may be) the Scottish Ministers,
  - (d) the person to whom the warrant is addressed, or
  - (e) a person who holds a senior position in the same public authority as the person mentioned in paragraph (d).
- (3) But if a person within subsection (2)(d) or (e) considers that there is an urgent need to make a major modification, that person (as well as a person within subsection (1)) may do so.  
 Section 38 contains provision about the approval of major modifications made in urgent cases.
- (4) Subsections (1) and (3) are subject to section 36(5) and (6) (special rules where any of sections 26 to 29 applies in relation to the making of a major modification).
- (5) Subsections (2)(d) and (e) and (3) do not apply in the case of a mutual assistance warrant addressed to a person falling within section 18(1)(h) (competent authorities of overseas countries or territories).
- (6) For the purposes of subsection (2)(e) a person holds a senior position in a public authority if—
  - (a) in the case of any of the intelligence services—
    - (i) the person is a member of the Senior Civil Service or a member of the Senior Management Structure of Her Majesty's Diplomatic Service, or
    - (ii) the person holds a position in the intelligence service of equivalent seniority to such a person;
  - (b) in the case of the National Crime Agency, the person is a National Crime Agency officer of grade 2 or above;
  - (c) in the case of the metropolitan police force, the Police Service of Northern Ireland or the Police Service of Scotland, a person is of or above the rank of superintendent;

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- (d) in the case of Her Majesty's Revenue and Customs, the person is a member of the Senior Civil Service;
  - (e) in the case of the Ministry of Defence—
    - (i) the person is a member of the Senior Civil Service, or
    - (ii) the person is of or above the rank of brigadier, commodore or air commodore.
- (7) In this section “senior official” means—
- (a) in the case of a warrant issued by the Secretary of State, a member of the Senior Civil Service or a member of the Senior Management Structure of Her Majesty's Diplomatic Service;
  - (b) in the case of a warrant issued by the Scottish Ministers, a member of the staff of the Scottish Administration who is a member of the Senior Civil Service.

#### Commencement Information

**I22** S. 35(1)-(5)(6)(a)(e)(7) in force at 27.6.2018 by [S.I. 2018/652](#), [reg. 8\(g\)](#)

VALID FROM 27/06/2018

### 36 Further provision about modifications **U.K.**

- (1) A person may make a modification within subsection (2) only if the person considers—
- (a) that the modification is necessary on any relevant grounds (see subsection (3)), and
  - (b) that the conduct authorised by the modification is proportionate to what is sought to be achieved by that conduct.
- (2) The modifications within this subsection are—
- (a) a major modification adding the name or description of a person, organisation or set of premises to which the warrant relates, and
  - (b) a minor modification adding any factor specified in the warrant in accordance with section 31(8).
- (3) In subsection (1)(a) “relevant grounds” means—
- (a) in the case of a warrant issued by the Secretary of State, grounds falling within section 20;
  - (b) in the case of a warrant issued by the Scottish Ministers, grounds falling within section 21(4);
- and for the purposes of subsection (1) any reference to the Secretary of State in section 20(3)(b) or the Scottish Ministers in section 21(4)(b) is to be read as a reference to the person making the modification.
- (4) Sections 26 to 29 (additional safeguards) apply in relation to the making of a major modification within subsection (2)(a) above as they apply in relation to the issuing of a warrant.
- (5) Where section 26 applies in relation to the making of a major modification—

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- (a) the modification must be made by the Secretary of State, and
  - (b) the modification has effect only if the decision to make the modification has been approved by a Judicial Commissioner.
- (6) Where section 27, 28 or 29 applies in relation to the making of a major modification—
- (a) the modification must be made by—
    - (i) the Secretary of State or (in the case of a warrant issued by the Scottish Ministers) a member of the Scottish Government, or
    - (ii) if a senior official acting on behalf of a person within subparagraph (i) considers that there is an urgent need to make the modification, that senior official, and
  - (b) except where the person making the modification considers that there is an urgent need to make it, the modification has effect only if the decision to make the modification has been approved by a Judicial Commissioner.
- (7) In a case where any of sections 26 to 29 applies in relation to the making of a major modification, section 23 (approval of warrants by Judicial Commissioners) applies in relation to the decision to make the modification as it applies in relation to a decision to issue a warrant, but as if—
- (a) the references in subsection (1)(a) and (b) of that section to the warrant were references to the modification,
  - (b) any reference to the person who decided to issue the warrant were a reference to the person who decided to make the modification, and
  - (c) subsection (3) of this section applied for the purposes of subsection (1) of that section as it applies for the purposes of subsection (1) of this section.
- Section 38 contains provision about the approval of major modifications made in urgent cases.
- (8) If, in a case where any of sections 26 to 29 applies in relation to the making of a major modification, it is not reasonably practicable for the instrument making the modification to be signed by the Secretary of State or (as the case may be) a member of the Scottish Government in accordance with section 34(4), the instrument may be signed by a senior official designated by the Secretary of State or (as the case may be) the Scottish Ministers for that purpose.
- (9) In such a case, the instrument making the modification must contain a statement that—
- (a) it is not reasonably practicable for the instrument to be signed by the person who took the decision to make the modification, and
  - (b) the Secretary of State or (as the case may be) a member of the Scottish Government has personally and expressly authorised the making of the modification.
- (10) If at any time a person mentioned in section 35(2) considers that any factor specified in a warrant in accordance with section 31(8) is no longer relevant for identifying communications which, in the case of that warrant, are likely to be, or to include, communications falling within section 31(9)(a) or (b), the person must modify the warrant by removing that factor.
- (11) In this section “senior official” has the same meaning as in section 35.

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VALID FROM 27/06/2018

### 37 Notification of major modifications **U.K.**

- (1) As soon as is reasonably practicable after a person makes a major modification of a warrant under this Chapter, a Judicial Commissioner must be notified of the modification and the reasons for making it.
- (2) But subsection (1) does not apply where—
  - (a) the modification is made by virtue of section 35(3), or
  - (b) any of sections 26 to 29 applies in relation to the making of the modification.
- (3) Where a major modification is made by a senior official in accordance with section 35(1) or section 36(6)(a)(ii), the Secretary of State or (in the case of a warrant issued by the Scottish Ministers) a member of the Scottish Government must be notified personally of the modification and the reasons for making it.
- (4) In this section “senior official” has the same meaning as in section 35.

VALID FROM 27/06/2018

### 38 Approval of major modifications made in urgent cases **U.K.**

- (1) This section applies where a person makes a major modification of a warrant under this Chapter by virtue of section 35(3).
- (2) This section also applies where—
  - (a) section 27, 28 or 29 applies in relation to the making of a major modification of a warrant under this Chapter,
  - (b) the person making the modification does so without the approval of a Judicial Commissioner, and
  - (c) the person considered that there was an urgent need to make the modification.
- (3) The person who made the modification must inform the appropriate person that it has been made.
- (4) In this section—

“the appropriate person” is—

  - (a) in a case falling within subsection (1), a designated senior official, and
  - (b) in a case falling within subsection (2), a Judicial Commissioner,

“designated senior official” means a senior official who has been designated by the Secretary of State or (in the case of warrants issued by the Scottish Ministers) the Scottish Ministers for the purposes of this section, and

“senior official” has the same meaning as in section 35.
- (5) The appropriate person must, before the end of the relevant period—
  - (a) decide whether to approve the decision to make the modification, and
  - (b) notify the person of the appropriate person's decision.

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“The relevant period” means the period ending with the third working day after the day on which the modification was made.

- (6) As soon as is reasonably practicable after a designated senior official makes a decision under subsection (5)—
- (a) a Judicial Commissioner must be notified of—
    - (i) the decision, and
    - (ii) if the senior official has decided to approve the decision to make the modification, the modification in question, and
  - (b) the Secretary of State or (in the case of a warrant issued by the Scottish Ministers) a member of the Scottish Government must be notified personally of the matters mentioned in paragraph (a)(i) and (ii).
- (7) If the appropriate person refuses to approve the decision to make the modification—
- (a) the warrant (unless it no longer has effect) has effect as if the modification had not been made, and
  - (b) the person to whom the warrant is addressed must, so far as is reasonably practicable, secure that anything in the process of being done under the warrant by virtue of that modification stops as soon as possible,
- and, in a case falling within subsection (2) above, section 23(5) does not apply in relation to the refusal to approve the decision.
- (8) Nothing in this section affects the lawfulness of—
- (a) anything done under the warrant by virtue of the modification before the modification ceases to have effect;
  - (b) if anything is in the process of being done under the warrant by virtue of the modification when the modification ceases to have effect—
    - (i) anything done before that thing could be stopped, or
    - (ii) anything done which it is not reasonably practicable to stop.

VALID FROM 27/06/2018

### 39 Cancellation of warrants **U.K.**

- (1) Any of the appropriate persons may cancel a warrant issued under this Chapter at any time.
- (2) If any of the appropriate persons considers that—
- (a) a warrant issued under this Chapter is no longer necessary on any relevant grounds, or
  - (b) the conduct authorised by the warrant is no longer proportionate to what is sought to be achieved by that conduct,
- the person must cancel the warrant.
- (3) In subsection (2)(a) “relevant grounds” means—
- (a) in the case of a warrant issued by the Secretary of State, grounds falling within section 20;
  - (b) in the case of a warrant issued by the Scottish Ministers, grounds falling within section 21(4).



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- (4) For the purpose of this section “the appropriate persons” are—
  - (a) in the case of a warrant issued by the Secretary of State, the Secretary of State or a senior official acting on behalf of the Secretary of State;
  - (b) in the case of a warrant issued by the Scottish Ministers, a member of the Scottish Government or a senior official acting on behalf of the Scottish Ministers.
- (5) Where a warrant is cancelled under this section, the person to whom the warrant was addressed must, so far as is reasonably practicable, secure that anything in the process of being done under the warrant stops as soon as possible.
- (6) A warrant that has been cancelled under this section may not be renewed.
- (7) In this section “senior official” means—
  - (a) in the case of a warrant issued by the Secretary of State, a member of the Senior Civil Service or a member of the Senior Management Structure of Her Majesty's Diplomatic Service;
  - (b) in the case of a warrant issued by the Scottish Ministers, a member of the staff of the Scottish Administration who is a member of the Senior Civil Service.
- (8) See also section 40 (which imposes a duty to cancel mutual assistance warrants in certain circumstances).

#### 40 Special rules for certain mutual assistance warrants **U.K.**

- (1) For the purposes of this section a warrant is a “relevant mutual assistance warrant” if—
  - (a) the warrant is for the purposes of a request for assistance made under an EU mutual assistance instrument or an international mutual assistance agreement by the competent authorities of a country or territory outside the United Kingdom, and
  - (b) either—
    - (i) it appears that the interception subject is outside the United Kingdom, or
    - (ii) the interception authorised or required by the warrant is to take place in relation only to premises outside the United Kingdom.
- (2) The decision to issue a relevant mutual assistance warrant may be taken by a senior official designated by the Secretary of State for that purpose.
- (3) In such a case, the warrant must contain—
  - (a) a statement that the warrant is issued for the purposes of a request for assistance made under an EU mutual assistance instrument or an international mutual assistance agreement (as the case may be) by the competent authorities of a country or territory outside the United Kingdom, and
  - (b) whichever of the following statements is applicable—
    - (i) a statement that the interception subject appears to be outside the United Kingdom;
    - (ii) a statement that the interception authorised or required by the warrant is to take place in relation only to premises outside the United Kingdom.

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- (4) A relevant mutual assistance warrant may be renewed by a senior official designated by the Secretary of State for that purpose; and references in section 33 to the appropriate person include, in the case of such a warrant, references to that senior official.
- (5) Where a senior official renews a relevant mutual assistance warrant in accordance with subsection (4), the instrument renewing the warrant must contain—
- (a) a statement that the renewal is for the purposes of a request for assistance made under an EU mutual assistance instrument or an international mutual assistance agreement (as the case may be) by the competent authorities of a country or territory outside the United Kingdom, and
  - (b) whichever of the following statements is applicable—
    - (i) a statement that the interception subject appears to be outside the United Kingdom;
    - (ii) a statement that the interception authorised or required by the warrant is to take place in relation only to premises outside the United Kingdom.
- (6) Subsection (7) applies in a case where—
- (a) a relevant mutual assistance warrant—
    - (i) was issued containing the statement set out in subsection (3)(b)(i), or
    - (ii) has been renewed by an instrument containing the statement set out in subsection (5)(b)(i), and
  - (b) the last renewal (if any) of the warrant was a renewal by a senior official in accordance with subsection (4).
- (7) If the Secretary of State, or a senior official acting on behalf of the Secretary of State, believes that the person, group or organisation named or described in the warrant as the interception subject is in the United Kingdom, that person must cancel the warrant under section 39.
- (8) In this section—
- “the interception subject”, in relation to a warrant, means the person, group of persons or organisation to which the warrant relates;
- “senior official” means a member of the Senior Civil Service or a member of the Senior Management Structure of Her Majesty's Diplomatic Service.

#### Commencement Information

**I23** S. 40(1)-(3)(8) in force at 31.5.2018 by [S.I. 2018/652](#), [reg. 3\(p\)](#)

VALID FROM 27/06/2018

### *Implementation of warrants*

#### **41** Implementation of warrants **U.K.**

- (1) This section applies to targeted interception warrants and mutual assistance warrants.

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- (2) In giving effect to a warrant to which this section applies, the person to whom it is addressed (“the intercepting authority”) may (in addition to acting alone) act through, or together with, such other persons as the intercepting authority may require (whether under subsection (3) or otherwise) to provide the authority with assistance in giving effect to the warrant.
- (3) For the purpose of requiring any person to provide assistance in relation to a warrant to which this section applies, the intercepting authority may—
  - (a) serve a copy of the warrant on any person who the intercepting authority considers may be able to provide such assistance, or
  - (b) make arrangements for the service of a copy of the warrant on any such person.
- (4) A copy of a warrant may be served under subsection (3) on a person outside the United Kingdom for the purpose of requiring the person to provide such assistance in the form of conduct outside the United Kingdom.
- (5) For the purposes of this Act, the provision of assistance in giving effect to a warrant to which this section applies includes any disclosure to the intercepting authority, or to persons acting on behalf of the intercepting authority, of anything obtained under the warrant.
- (6) References in this section and sections 42 and 43 to the service of a copy of a warrant include—
  - (a) the service of a copy of one or more schedules contained in the warrant with the omission of the remainder of the warrant, and
  - (b) the service of a copy of the warrant with the omission of any schedule contained in the warrant.

## 42 Service of warrants **U.K.**

- (1) This section applies to the service of warrants under section 41(3).
- (2) A copy of the warrant must be served in such a way as to bring the contents of the warrant to the attention of the person who the intercepting authority considers may be able to provide assistance in relation to it.
- (3) A copy of a warrant may be served on a person outside the United Kingdom in any of the following ways (as well as by electronic or other means of service)—
  - (a) by serving it at the person's principal office within the United Kingdom or, if the person has no such office in the United Kingdom, at any place in the United Kingdom where the person carries on business or conducts activities;
  - (b) if the person has specified an address in the United Kingdom as one at which the person, or someone on the person's behalf, will accept service of documents of the same description as a copy of a warrant, by serving it at that address;
  - (c) by making it available for inspection (whether to the person or to someone acting on the person's behalf) at a place in the United Kingdom (but this is subject to subsection (4)).
- (4) A copy of a warrant may be served on a person outside the United Kingdom in the way mentioned in subsection (3)(c) only if—

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- (a) it is not reasonably practicable for a copy to be served by any other means (whether as mentioned in subsection (3)(a) or (b) or otherwise), and
  - (b) the intercepting authority takes such steps as the authority considers appropriate for the purpose of bringing the contents of the warrant, and the availability of a copy for inspection, to the attention of the person.
- (5) The steps mentioned in subsection (4)(b) must be taken as soon as reasonably practicable after the copy of the warrant is made available for inspection.
- (6) In this section “the intercepting authority” has the same meaning as in section 41.

### 43 Duty of operators to assist with implementation U.K.

- (1) A relevant operator that has been served with a copy of a warrant to which section 41 applies by (or on behalf of) the intercepting authority must take all steps for giving effect to the warrant that are notified to the relevant operator by (or on behalf of) the intercepting authority.

This is subject to subsection (4).

- (2) In this section—
- “relevant operator” means a postal operator or a telecommunications operator;
  - “the intercepting authority” has the same meaning as in section 41.
- (3) Subsection (1) applies whether or not the relevant operator is in the United Kingdom.
- (4) The relevant operator is not required to take any steps which it is not reasonably practicable for the relevant operator to take.
- (5) In determining for the purposes of subsection (4) whether it is reasonably practicable for a relevant operator outside the United Kingdom to take any steps in a country or territory outside the United Kingdom for giving effect to a warrant, the matters to be taken into account include the following—
- (a) any requirements or restrictions under the law of that country or territory that are relevant to the taking of those steps, and
  - (b) the extent to which it is reasonably practicable to give effect to the warrant in a way that does not breach any of those requirements or restrictions.
- (6) Where obligations have been imposed on a relevant operator (“P”) under section 253 (technical capability notices), for the purposes of subsection (4) the steps which it is reasonably practicable for P to take include every step which it would have been reasonably practicable for P to take if P had complied with all of those obligations.
- (7) A person who knowingly fails to comply with subsection (1) is guilty of an offence and liable—
- (a) on summary conviction in England and Wales—
    - (i) to imprisonment for a term not exceeding 12 months (or 6 months, if the offence was committed before the commencement of section 154(1) of the Criminal Justice Act 2003), or
    - (ii) to a fine,
 or to both;
  - (b) on summary conviction in Scotland—
    - (i) to imprisonment for a term not exceeding 12 months, or

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- (ii) to a fine not exceeding the statutory maximum,  
or to both;
  - (c) on summary conviction in Northern Ireland—
    - (i) to imprisonment for a term not exceeding 6 months, or
    - (ii) to a fine not exceeding the statutory maximum,  
or to both;
  - (d) on conviction on indictment, to imprisonment for a term not exceeding 2 years or to a fine, or to both.
- (8) The duty imposed by subsection (1) is enforceable (whether or not the person is in the United Kingdom) by civil proceedings by the Secretary of State for an injunction, or for specific performance of a statutory duty under section 45 of the Court of Session Act 1988, or for any other appropriate relief.

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