

SCHEDULE 1

Regulations 4 and 8

BASIC INFORMATION ABOUT THE SCHEME

1. The categories of persons who are eligible to be members of the scheme.

[^{F1}2. How persons who are eligible to be members of the scheme are admitted to it.]

Textual Amendments

F1 Sch. 1 para. 2 substituted (1.10.2012) by [The Occupational Pension Schemes \(Disclosure of Information\) \(Amendment\) Regulations 2012 \(S.I. 2012/1811\)](#), regs. 1(2), **2(4)**

3. The conditions of eligibility for membership.

4. The period of notice (if any) which a member of the scheme must give to terminate his pensionable service.

5. Whether, and if so upon what conditions (if any), a member of the scheme, whose pensionable service has terminated before normal pension age, may re-enter pensionable service.

6. How employers' contributions are determined.

Modifications etc. (not altering text)

C1 Sch. 1 para. 67 applied (with modifications) (6.4.2011) by [The Application of Pension Legislation to the National Employment Savings Trust Corporation Regulations 2011 \(S.I. 2011/673\)](#), regs. 1(1), **2(b)**

7. How members' normal contributions, if any, are calculated.

Modifications etc. (not altering text)

C1 Sch. 1 para. 67 applied (with modifications) (6.4.2011) by [The Application of Pension Legislation to the National Employment Savings Trust Corporation Regulations 2011 \(S.I. 2011/673\)](#), regs. 1(1), **2(b)**

8. What arrangements are made for the payment by members of additional voluntary contributions.

9. Whether the scheme is a tax-approved scheme, and if not whether an application for the scheme to become a tax-approved scheme is under consideration by the Commissioners of Inland Revenue.

10. Which of the relevant employments are, and which are not, contracted-out employments within the meaning of section 8 of the 1993 Act^{F2}...

Textual Amendments

F2 Words in Sch. 1 para. 10 omitted (6.4.2012) by virtue of [The Pensions Act 2007 \(Abolition of Contracting-out for Defined Contribution Pension Schemes\) \(Consequential Amendments\) Regulations 2011 \(S.I. 2011/1245\)](#), regs. 1(2), **8(3)**

11. Except in the case of a simplified defined contribution scheme, normal pension age under the scheme.

12. What benefits are payable under the scheme and how they are calculated (including how pensionable earnings are defined under the scheme and the rate at which rights to benefits accrue).

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^{F3}**12A.**

Textual Amendments
F3 Sch. 1 para. 12A omitted (6.4.2012) by virtue of [The Pensions Act 2008 \(Abolition of Protected Rights\) \(Consequential Amendments\) Order 2011 \(S.I. 2011/1246\)](#), arts. 1(2)(a), **11(4)**

13. Whether there is a power under the scheme rules to increase pensions after they have become payable, otherwise than in accordance with statutory requirements, and if so what it is, who may exercise it, and whether and to what extent it is discretionary.

^{F4}**14.**

Textual Amendments
F4 Sch. 1 para. 14 omitted (6.4.2012) by virtue of [The Pensions Act 2008 \(Abolition of Protected Rights\) \(Consequential Amendments\) Order 2011 \(S.I. 2011/1246\)](#), arts. 1(2)(a), **11(4)**

15. Whether, and if so when and upon what conditions, survivors’ benefits are payable under the scheme.

16. The conditions on which benefits, other than survivors’ benefits, are payable under the scheme.

17. Which benefits, if any, are payable only at some person’s discretion.

18. The short title of the enactment [^{F5}(or any Act of the Scottish Parliament containing provision made by virtue of section 81(3) of the Scotland Act 1998)] (if any) which provides for both—

- (a) the setting up of the scheme, and
- (b) the determination of the rate or amount of the benefits under the scheme.

Textual Amendments
F5 Words in Sch. 1 para. 18 inserted (1.9.2009) by [Occupational Pension Schemes \(Scottish Parliamentary Pensions Act 2009\) Regulations 2009 \(S.I. 2009/1906\)](#), reg. 1, **Sch. para. 1**

19. What arrangements are made, and in what circumstances, for—

- (a) estimates of entitlement to a cash equivalent,
- (b) statements of entitlement to a guaranteed cash equivalent,
- (c) refunds of contributions, and
- (d) preservation or transfer of accrued rights

in relation to a member whose relevant employment or pensionable service in relevant employment terminates before he reaches normal pension age.

20. Whether, and the circumstances in which, the trustees will accept cash equivalents and provide transfer credits within the meaning of Chapter IV of Part IV of the 1993 Act (transfer values) and whether such acceptance is subject to the discretion of the trustees.

21. If the trustees have directed that any cash equivalent shall not take into account any such additional benefits as might accrue to the member in question resulting from the exercise of any discretion vested in the trustees or the employer, a statement to this effect.

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22. A statement summarising the way in which transfer values are calculated.

23. Except in the case of a public service pension scheme such as is referred to in regulation 6(2), a statement that a scheme annual report is available on request.

^{F6}24.

Textual Amendments

F6 Sch. 1 para. 24 repealed (6.4.2005) by [Personal and Occupational Pension Schemes \(Indexation and Disclosure of Information\) \(Miscellaneous Amendments\) Regulations 2005 \(S.I. 2005/704\)](#), regs. 1(2), **20(3)**

25. What procedures the scheme has for the internal resolution of disputes (unless it is an exempt scheme by virtue of regulations made under [^{F7}section 50(8)] of the 1995 Act), and the address and job title of the person to be contacted in order to have recourse to these.

Textual Amendments

F7 Words in Sch. 1 para. 25 substituted (6.4.2008) by [Occupational Pension Schemes \(Internal Dispute Resolution Procedures Consequential and Miscellaneous Amendments\) Regulations 2008 \(S.I. 2008/649\)](#), regs. 1(1), **6(1)(a)**

26. A statement that [^{F8}TPAS] (The Pensions Advisory Service) ^{M1} is available [^{F9}at any time] to assist members and beneficiaries of the scheme [^{F10}in connection with any—

- (a) pensions query they may have; or
- (b) difficulty which they have failed to resolve with the trustees or administrators of the scheme,

and the address at which [^{F8}TPAS] may be contacted.]

Textual Amendments

F8 Word in Sch. 1 para. 26 substituted (6.4.2008) by [Occupational Pension Schemes \(Internal Dispute Resolution Procedures Consequential and Miscellaneous Amendments\) Regulations 2008 \(S.I. 2008/649\)](#), regs. 1(1), **6(1)(b)**

F9 Words in Sch. 1 para. 26 inserted (30.12.1999) by [The Personal and Occupational Pension Schemes \(Miscellaneous Amendments\) Regulations 1999 \(S.I. 1999/3198\)](#), regs. 1(1), **5(4)(a)** (with reg. 15)

F10 Words in Sch. 1 para. 26 substituted (30.12.1999) by [The Personal and Occupational Pension Schemes \(Miscellaneous Amendments\) Regulations 1999 \(S.I. 1999/3198\)](#), regs. 1(1), **5(4)(b)** (with reg. 15)

Marginal Citations

M1 [1986 c.50](#). Section 7 was repealed by Schedule 5 to the Pension Schemes Act 1993, subject to the saving in paragraph 22 of Schedule 6 to that Act.

27. A statement that the Pensions Ombudsman appointed under section 145(2) of the 1993 Act may investigate and determine any complaint or dispute of fact or law in relation to an occupational pension scheme made or referred in accordance with that Act and the address at which he may be contacted.

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28. A statement that the Regulatory Authority is able to intervene in the running of schemes where trustees, employers or professional advisers have failed in their duties, giving the address at which it may be contacted.

29. The address to which enquiries about the scheme generally or about an individual's entitlement to benefit should be sent.

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Changes and effects yet to be applied to :

- Sch.1 para.26 amended by [S.I. 1999/3198 reg.5\(4\)15](#)
- Sch. 1 para. 12A inserted by [S.I. 1997/786 Sch. 1 para. 10\(7\)](#)
- Instrument amended by [S.I. 1997/3038 reg.6\(3\)](#)
- Regulations revoked by [S.I. 2013/2734 reg. 1\(3\)\(b\)](#)

Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

- Act by [S.I. 2000/1403 reg.32Sch.2](#)
- Act by [S.I. 2000/1403 reg.32Sch.2](#)
- Act by [S.I. 2000/1403 reg.32. Sch.2](#)
- Act by [S.I. 2000/1403 reg.32Sch.2](#)

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

- Sch 2. para.4 amended by [S.I. 2000/2691 reg.5\(4\)\(a\)](#)
- Sch.2 paras.1314 amended by [S.I. 2000/2691 reg 5\(4\)\(f\)](#)