STATUTORY INSTRUMENTS

2001 No. 1002

The Housing Benefit and Council Tax Benefit (Decisions and Appeals) Regulations 2001

PART II

REVISIONS AND SUPERSESSIONS

Revision of decisions

- **4.**—(1) Subject to the provisions in this regulation, a relevant decision ("the original decision") may be revised or further revised by the relevant authority which made the decision where—
 - (a) [F1 subject to regulation 10A(3),] the person affected makes an application for a revision within—
 - (i) one month of the date of notification of the original decision; or
 - (ii) such extended time as the relevant authority may allow under regulation 5;
 - (b) within one month of the date of notification of the original decision that authority has information which is sufficient to show that the original decision was made in ignorance of, or was based upon a mistake as to, some material fact; or
 - (c) an appeal is made under paragraph 6 of Schedule 7 to the Act against the original decision within the time prescribed [F2by Tribunal Procedure Rules], but the appeal has not been determined
- (2) An original decision may be revised or further revised by the relevant authority which made the decision, at any time by that authority, where that decision—
 - (a) arose from an official error; or
 - (b) was made in ignorance of, or was based upon a mistake as to, some material fact and as a result of that ignorance of or mistake as to that fact, the decision was more advantageous to the person affected than it would otherwise have been but for that ignorance or mistake.
- (3) Notwithstanding the provisions in paragraph (1), a relevant decision which adopts a rent officer's determination [F3, board and attendance determination, broad rental market area determination or local housing allowance determination] may be revised or further revised by the relevant authority which made the decision at any time in consequence of a rent officer's redetermination, substitute determination [F4substitute redetermination, board and attendance redetermination, substitute board and attendance determination, substitute board and attendance redetermination, amended broad rental market area determination or amended local housing allowance determination] made under the Rent Officers (Housing Benefit Functions) Order 1997 or the Rent Officers (Housing Benefit Functions) (Scotland) Order 1997 which resulted in an increase in the amount which represents the rent for the purposes of calculating entitlement to benefit.
- (4) For the purposes of calculating the period in paragraph (1)(a)(i), where a written statement is requested under regulation 10, no account shall be taken of any period beginning with the day on

which the relevant authority received the request for a statement and ending with the day on which that statement was provided to that person.

- (5) Where the relevant authority requires further evidence or information in order to consider all the issues raised by an application under paragraph (1)(a) ("the original application"), that authority shall notify the applicant that further evidence or information is required and, if it does so, the decision may be revised—
 - (a) where the evidence or information so requested is provided within one month of the date of the notification or such longer period as the relevant authority may allow; or
 - (b) where such evidence or information is not provided within the period referred to in sub-paragraph (a), on the basis of the original application.
- (6) A relevant decision that is prescribed under paragraph 6(2)(e) or (4)(a) of Schedule 7 to the Act may be revised at any time.
 - (7) A relevant decision made in respect of a claim or an award may be revised where—
 - (a) a decision in respect of that claim or that award is given by [F5the First-tier Tribunal, Upper Tribunal] or court on appeal against a decision ("decision A");
 - (b) the relevant decision was made after decision A; and
 - (c) the relevant decision would have been made differently had the relevant authority been aware of that appeal decision at the time it made the relevant decision.

$I^{F6}(7A)$ Where—

- (a) a restriction is imposed on a person under section 6B, 7, 8 or 9 of the Social Security Fraud Act 2001 (loss of benefit provisions) as a result of the person—
 - (i) being convicted of an offence by a court; or
 - (ii) agreeing to pay a penalty as an alternative to prosecution under section 115A of the Administration Act or section 109A of the Social Security Administration (Northern Ireland) Act 1992, and
- (b) that conviction is quashed or set aside by that or any other court, or the person withdraws his agreement to pay a penalty,

a decision of the relevant authority made in accordance with regulation 7(2)(g) or (h) may be revised at any time.]

[F7(7B) Where—

- (a) the relevant authority makes an original decision awarding housing benefit or council tax benefit to a claimant; and
- (b) entitlement to a relevant benefit within the meaning of section 8(3) of the 1998 Act or to an increase in the rate of that relevant benefit is awarded to the claimant or a member of his family for a period which includes the date on which the original decision took effect,

the relevant authority may revise or further revise that original decision at any time.

(7C) Where entitlement to housing benefit or council tax benefit has ceased ("decision A") because entitlement to a relevant benefit within the meaning of section 8(3) of the 1998 Act has ceased ("decision B"), decision A may be revised at any time if the entitlement to the relevant benefit to which decision B applies has been reinstated in consequence of a decision made under section 9 or 10 of the 1998 Act or on an appeal under section 12 of that Act]

$[^{F8}(7D)]$ Where—

(a) a person elects for an increase of—

- (i) a Category A or Category B retirement pension in accordance with paragraph A1 or 3C of Schedule 5 to the Contributions and Benefits Act (pension increase or lump sum where entitlement to retirement pension is deferred);
- (ii) a shared additional pension in accordance with paragraph 1 of Schedule 5A to that Act (pension increase or lump sum where entitlement to shared additional pension is deferred); or, as the case may be,
- (iii) graduated retirement benefit in accordance with paragraph 12 or 17 of Schedule 1 to the Social Security (Graduated Retirement Benefit) Regulations 2005 (further provisions replacing section 36(4) of the National Insurance Act 1965: increases of graduated retirement benefit and lump sums);
- (b) the relevant authority decides that the person or his partner is entitled to housing benefit or council tax benefit and takes into account the increase of pension or benefit in making or superseding that decision; and
- (c) the person's election for an increase is changed so that he is entitled to a lump sum, the relevant authority may revise the housing benefit or council tax benefit decision.]
- [F9(7E)] Where a court makes an order under section 71 of the Antisocial Behaviour etc. (Scotland) Act 2004 and that order is set aside by the sheriff principal following an appeal under section 72(1) of that Act, a decision made in accordance with regulation 7(2)(a) may be revised at any time.
- (7F) Where a local authority has served a notice in accordance with section 94 of the Antisocial Behaviour etc. (Scotland) Act 2004 and that notice is set aside by a court following an appeal under section 97(1) of that Act, a decision made in accordance with regulation 7(2)(a) may be revised at any time.]
- [F10(7G)] Where the court makes a relevant order for possession, as defined in section 130C of the Contributions and Benefits Act (relevant orders for possession) and the order is set aside, a decision in accordance with regulation 7(2)(k) may be revised at any time.]
- (8) An application for a revision shall be made in writing and delivered, by whatever means, to the relevant authority ^{F11}....
- (9) The relevant authority may treat an application for a supersession as an application for a revision.
- (10) Paragraph (1) shall not apply in respect of a change of circumstances which occurred since the decision [F12] had effect] or where the relevant authority has evidence or information which indicates that a relevant change of circumstances will occur.

Textual Amendments

- F1 Words in reg. 4(1)(a) inserted (20.5.2002) by Social Security and Child Support (Decisions and Appeals) (Miscellaneous Amendments) Regulations 2002 (S.I. 2002/1379), regs. 1(1), 24
- **F2** Words in reg. 4(1)(c) substituted (3.11.2008) by Tribunals, Courts and Enforcement Act 2007 (Transitional and Consequential Provisions) Order 2008 (S.I. 2008/2683), art. 1, **Sch. 1 para. 151(a)**
- Words in reg. 4(3) inserted (17.11.2003 reg. 1(1), Sch. 1) by Housing Benefit (General) (Local Housing Allowance) Amendment Regulations 2003 (S.I. 2003/2399), reg. 16(2)
- **F4** Words in reg. 4(3) substituted (17.11.2003 reg. 1(1), Sch. 1) by Housing Benefit (General) (Local Housing Allowance) Amendment Regulations 2003 (S.I. 2003/2399), reg. 16(3)
- F5 Words in reg. 4(7)(a) substituted (3.11.2008) by Tribunals, Courts and Enforcement Act 2007 (Transitional and Consequential Provisions) Order 2008 (S.I. 2008/2683), art. 1, Sch. 1 para. 151(b)
- F6 Reg. 4(7A) substituted (1.4.2010) by The Social Security (Loss of Benefit) Amendment Regulations 2010 (S.I. 2010/1160), regs. 1, 9(2)

- F7 Reg. 4(7B)-(7C) inserted (6.10.2003) by The Housing Benefit and Council Tax Benefit (State Pension Credit and Miscellaneous Amendments) Regulations 2003 (S.I. 2003/2275), regs. 1(1)(b), **5(3)**
- **F8** Reg. 4(7D) inserted (6.4.2006) by Social Security (Deferral of Retirement Pensions, Shared Additional Pension and Graduated Retirement Benefit) (Miscellaneous Provisions) Regulations 2005 (S.I. 2005/2677), regs. 1(1), **10(2)**
- F9 Reg. 4(7E)(7F) inserted (3.4.2006) by The Housing Benefit (Amendment) Regulations 2006 (S.I. 2006/644), regs. 1, 4
- F10 Reg. 4(7G) inserted (1.11.2007 for specified purposes) by Housing Benefit (Loss of Benefit) (Pilot Scheme) (Supplementary) Regulations 2007 (S.I. 2007/2474), regs. 1, 3, 8(b)
- F11 Words in reg. 4(8) omitted (30.9.2002) by virtue of Social Security (Jobcentre Plus Interviews) Regulations 2002 (S.I. 2002/1703), reg. 1, Sch. 2 para. 8(b)
- F12 Words in reg. 4(10) substituted (18.3.2005) by The Social Security, Child Support and Tax Credits (Miscellaneous Amendments) Regulations 2005 (S.I. 2005/337), regs. 1, 3(2)

Modifications etc. (not altering text)

C1 Reg. 4(1)(a) excluded (2.7.2001) by The Housing Benefit and Council Tax Benefit (Decisions and Appeals) (Transitional and Savings) Regulations 2001 (S.I. 2001/1264), regs. 1(1), 2(1)

Marginal Citations

- M1 S.I. 1997/1984, amended by S.I. 2000/1.
- M2 S.I. 1997/1995, amended by S.I. 2000/3.

Late application for a revision

- 5.—(1) The time limit for making an application for a revision specified in regulation 4 may be extended where the conditions specified in the following paragraphs of this regulation are satisfied.
- (2) An application for an extension of time ("the application") shall be made in writing by the person affected by a relevant decision.
 - (3) The application shall—
 - (a) contain particulars of the grounds on which the extension of time is sought and shall contain sufficient details of the decision which it is sought to have revised to enable that decision to be identified;
 - (b) [F13 subject to regulation 4(4),] be made within 13 months of the date of notification of the decision which it is sought to have revised; and
 - (c) be delivered, by whatever means, to the relevant authority^{F14}....
- (4) The application shall not be granted unless the person affected satisfies the relevant authority that—
 - (a) it is reasonable to grant the application;
 - (b) the application for revision has merit; and
 - (c) special circumstances are relevant to the application and as a result of those special circumstances it was not practicable for the application to be made within the time limit specified in regulation 4.
- (5) In determining whether it is reasonable to grant the application for an extension of time, no account shall be taken of the following—
 - (a) that the person affected was unaware of or misunderstood the law applicable to his case (including ignorance or misunderstanding of the time limits imposed by these Regulations); or

Changes to legislation: There are currently no known outstanding effects for the The Housing Benefit and Council Tax Benefit (Decisions and Appeals) Regulations 2001, PART II. (See end of Document for details)

- (b) that [F15the Upper Tribunal] or a court has taken a different view of the law from that previously understood and applied.
- (6) In determining whether it is reasonable to grant an application, the relevant authority shall have regard to the principle that the greater the amount of time that has elapsed between the expiration of the time specified in regulation 4 for applying for a revision and the making of the application for an extension of time, the more compelling should be the special circumstances on which the application is based.
- (7) An application under this regulation for an extension of time which has been refused may not be renewed.

Textual Amendments

- F13 Words in reg. 5(3)(b) inserted (18.3.2005) by The Social Security, Child Support and Tax Credits (Miscellaneous Amendments) Regulations 2005 (S.I. 2005/337), regs. 1, 3(3)
- F14 Words in reg. 5(3)(c) omitted (30.9.2002) by virtue of Social Security (Jobcentre Plus Interviews) Regulations 2002 (S.I. 2002/1703), reg. 1, Sch. 2 para. 8(c)
- F15 Words in reg. 5(5)(b) substituted (3.11.2008) by Tribunals, Courts and Enforcement Act 2007 (Transitional and Consequential Provisions) Order 2008 (S.I. 2008/2683), art. 1, Sch. 1 para. 152

Date from which a revision takes effect

6. Where, on a revision under paragraph 3 of Schedule 7 to the Act, the relevant authority decides that the date from which a relevant decision ("the original decision") took effect was erroneous, the decision under that paragraph shall take effect on the date the original decision would have taken effect had the error not been made.

Decisions superseding earlier decisions

- 7.—(1) Subject to the provisions in this regulation, the prescribed cases and circumstances in which a decision may be made under paragraph 4 of Schedule 7 to the Act (decisions superseding earlier decisions) are as set out in paragraph (2).
- (2) The appropriate relevant authority may make a decision under paragraph 4 of Schedule 7 to the Act upon its own initiative or on an application made for the purpose on the basis that the decision to be superseded is a decision—
 - (a) in respect of which-
 - (i) there has been a change of circumstances [F16 since the decision had effect]; or
 - (ii) it is anticipated that a change of circumstances will occur;
 - (b) which is erroneous in point of law or made in ignorance of, or was based upon a mistake as to, some material fact provided that the decision—
 - (i) cannot be revised on the basis of that error, ignorance or mistake; and
 - (ii) is not a decision prescribed in regulations under paragraph 6(2)(e) or (4)(a) of Schedule 7 to the Act;
 - (c) which adopts a rent officer's determination[F17, board and attendance determination, broad rental market area determination or local housing allowance determination] and in consequence of a rent officer's redetermination, substitute determination [F18] substitute redetermination, board and attendance redetermination, substitute board and attendance determination, substitute board and attendance redetermination, amended broad rental market area determination or amended local housing allowance determination] made

under the Rent Officers (Housing Benefit Functions) Order 1997 or the Rent Officers (Housing Benefit Functions) (Scotland) Order 1997 the amount which represents the rent for the purposes of calculating entitlement to benefit is reduced;

- (d) | F19 of | F20 the First-tier Tribunal or of the Upper Tribunal |
 - (i) that was made in ignorance of, or was based upon a mistake as to, some material fact; or
 - (ii) that was made in accordance with paragraph 17(4)(b) of Schedule 7 to the Act, in a case where paragraph 17(5) of that Schedule to the Act applies;
- (e) which is prescribed in regulations made under paragraph 6(2)(e) or (4)(a) of Schedule 7 to the Act;
- ^{F21}(f)
- [F22(g)] which is affected by a decision of the Secretary of State that a sanctionable benefit payable to a claimant ceases to be payable or falls to be reduced under section [F236B,] 7 or 9 of the Social Security Fraud Act 2001 and for this purpose "sanctionable benefit" has the [F24meaning given in section 6A] of that Act; or
 - (h) which is affected by a decision of the Secretary of State that a joint-claim jobseeker's allowance ceases to be payable or falls to be reduced under section 8 of the Social Security Fraud Act 2001.]

[F25(i) where—

- (i) [F264except where sub-paragraph (o)[F27, (p) or (q)] applies,] the claimant has been awarded entitlement to housing benefit or council tax benefit; and
- (ii) subsequent to the first day of the period to which that entitlement relates, the claimant or a member of his family becomes entitled to an award of a relevant benefit within the meaning of section 8(3) of the 1998 Act or an increase in the rate of that relevant benefit.]

[F28(i) where—

- (i) the claimant or his partner makes, or is treated as having made, an election for a lump sum in accordance with—
 - (aa) paragraph A1 or 3C of Schedule 5 to the Contributions and Benefits Act;
 - (bb) paragraph 1 of Schedule 5A to that Act; or, as the case may be,
 - (cc) paragraph 12 or 17 of Schedule 1 to the Social Security (Graduated Retirement Benefit) Regulations 2005;

or

- (ii) such a lump sum is repaid in consequence of an application to change an election for a lump sum in accordance with regulation 5 of the Social Security (Deferral of Retirement Pensions, Shared Additional Pension and Graduated Retirement Benefit) (Miscellaneous Provisions) Regulations 2005 or, as the case may be, paragraph 20D of Schedule 1 to the Social Security (Graduated Retirement Benefit) Regulations 2005.]
- [F29(k) that housing benefit is payable to a claimant where that benefit is reduced under regulation 4 of the Pilot Scheme Regulations (reduction of benefit);
 - (l) made under sub-paragraph (k) and the claimant is a person in hardship under regulation 5 of the Pilot Scheme Regulations (meaning of "person in hardship");
 - (m) made under sub-paragraph (k) or (l) where section 130B(6) of the Contributions and Benefits Act applies so that the restriction period under that section stops running;

(n) made under sub-paragraph (m) where section 130B(6) of that Act applies so that the restriction period starts running again.]

[F30(o) where-

- (i) the claimant has been awarded entitlement to housing benefit or council tax benefit;
- (ii) the claimant or the claimant's partner has made a claim for employment and support allowance;
- (iii) subsequent to the first day of the period to which entitlement to housing benefit or council tax benefit relates, the Secretary of State has decided that the claimant or the claimant's partner has, or is to be treated as having, limited capability for work within the meaning of section 1(4) of the Welfare Reform Act or limited capability for work-related activity within the meaning of section 2(5) of that Act; and
- (iv) either—
 - (aa) the assessment phase as defined in section 24(2) of the Welfare Reform Act has ended; or
 - (bb) regulation 7 of the Employment and Support Allowance Regulations 2008 (circumstances where the condition that the assessment phase has ended before entitlement to the support component or the work related activity component arises does not apply) applies.]

[F31(p) where—

- (i) the claimant has been awarded entitlement to housing benefit or council tax benefit;
- (ii) the claimant or the claimant's partner has had an award of benefit converted to an employment and support allowance in accordance with regulation 5(2)(a) of the Employment and Support Allowance (Transitional Provisions, Housing Benefit and Council Tax Benefit) (Existing Awards) (No. 2) Regulations 2010; and
- (iii) subsequent to the first day of the period to which that entitlement to housing benefit or council tax benefit relates, the Secretary of State makes a decision to supersede the award of employment and support allowance to award a different component;]
- [F31(q)] where the claimant has been awarded entitlement to housing benefit or council tax benefit and subsequent to the first day of the period to which that entitlement relates—
 - (i) a conversion decision of the kind set out in regulation 5(2)(a) of the Employment and Support Allowance (Transitional Provisions, Housing Benefit and Council Tax Benefit) (Existing Awards) (No. 2) Regulations 2010 takes effect in respect of the claimant or the claimant's partner; or
 - (ii) [F32the claimant or the claimant's partner is appealing a conversion decision] as described in regulation 5(2)(b) of the Employment and Support Allowance (Transitional Provisions, Housing Benefit and Council Tax Benefit) (Existing Awards) (No. 2) Regulations 2010 and is treated as having limited capability for work by virtue of regulation 30 of the Employment and Support Allowance Regulations 2008 as modified by the Employment and Support Allowance (Transitional Provisions, Housing Benefit and Council Tax Benefit) (Existing Awards) (No. 2) Regulations 2010.]

F33(2ZA)	 	 											
F34(2A)														
F35(2B)														
F35(2C)														

- (3) The reference to a change of circumstances in paragraph (2)(a) shall include changes of circumstances specified in ^{F36}[F37..., regulation 74(3) of the Council Tax Benefit Regulations or regulation 59(3) of the Council Tax Benefit (State Pension Credit) Regulations (changes of circumstances which do not need to be notified).]
- (4) A decision which may be revised under regulation 4 may not be superseded under this regulation except where—
 - (a) circumstances arise in which the appropriate relevant authority may revise that decision under regulation 4; and
 - (b) further circumstances arise in relation to that decision which are not specified in regulation 4 but are specified in paragraph (2) or (5).
- (5) Where the appropriate relevant authority requires further evidence or information from the applicant in order to consider all the issues raised by an application under paragraph (2) ("the original application"), the authority shall notify the applicant that further evidence or information is required and, if it does so, the decision may be superseded—
 - (a) where the applicant provides further relevant evidence or information within one month of the date of notification or such longer period of time as the appropriate relevant authority may allow; or
 - (b) where the applicant does not provide such evidence or information within the time allowed under sub-paragraph (a), on the basis of the original application.
- (6) The appropriate relevant authority may treat an application for a revision or a notification of a change of circumstances as an application for a supersession.
- (7) An application under this regulation shall be made in writing and delivered, by whatever means, to the relevant authority^{F38}....

Textual Amendments

- F16 Words in reg. 7(2)(a)(i) inserted (5.5.2003) by The Social Security and Child Support (Miscellaneous Amendments) Regulations 2003 (S.I. 2003/1050), regs. 1(1)(a), 4(1)(a) (with reg. 6)
- F17 Words in reg. 7(2)(c) inserted (17.11.2003 reg. 1(1), Sch. 1) by Housing Benefit (General) (Local Housing Allowance) Amendment Regulations 2003 (S.I. 2003/2399), reg. 16(2)
- F18 Words in reg. 7(2)(c) substituted (17.11.2003 reg. 1(1), Sch. 1) by Housing Benefit (General) (Local Housing Allowance) Amendment Regulations 2003 (S.I. 2003/2399), reg. 16(3)
- F19 Reg. 7(2)(d) substituted (5.5.2003) by The Social Security and Child Support (Miscellaneous Amendments) Regulations 2003 (S.I. 2003/1050), regs. 1(1)(a), 4(1)(b) (with reg. 6)
- **F20** Words in reg. 7(2)(d) substituted (3.11.2008) by Tribunals, Courts and Enforcement Act 2007 (Transitional and Consequential Provisions) Order 2008 (S.I. 2008/2683), art. 1, **Sch. 1 para. 153**
- F21 Reg. 7(2)(f) omitted (30.9.2002) by virtue of Social Security (Jobcentre Plus Interviews) Regulations 2002 (S.I. 2002/1703), reg. 1, Sch. 2 para. 8(d)(i)
- F22 Reg. 7(2)(g)(h) added (1.4.2002) by Social Security (Loss of Benefit) (Consequential Amendments) Regulations 2002 (S.I. 2002/490), regs. 1(1), 9(b)
- F23 Word in reg. 7(2)(g) inserted (1.4.2010) by The Social Security (Loss of Benefit) Amendment Regulations 2010 (S.I. 2010/1160), regs. 1, 9(3)(a)
- **F24** Words in reg. 7(2)(g) substituted (1.4.2010) by The Social Security (Loss of Benefit) Amendment Regulations 2010 (S.I. 2010/1160), regs. 1, 9(3)(b)
- F25 Reg. 7(2)(i) added (6.10.2003) by The Housing Benefit and Council Tax Benefit (State Pension Credit and Miscellaneous Amendments) Regulations 2003 (S.I. 2003/2275), regs. 1(1)(b), 5(4)
- F26 Words in reg. 7(2)(i) inserted by SI 2008/1082 reg. 3(3)(a) (as substituted) (27.10.2008) by The Employment and Support Allowance (Miscellaneous Amendments) Regulations 2008 (S.I. 2008/2428), regs. 1(2), 26

Changes to legislation: There are currently no known outstanding effects for the The Housing Benefit and Council Tax Benefit (Decisions and Appeals) Regulations 2001, PART II. (See end of Document for details)

- F27 Words in reg. 7(2)(i) inserted (1.10.2010) by The Employment and Support Allowance (Transitional Provisions, Housing Benefit and Council Tax Benefit) (Existing Awards) (No. 2) Regulations 2010 (S.I. 2010/1907), reg. 1(2), Sch. 5 para. 71(2)(a) (with reg. 3)
- F28 Reg. 7(2)(j) inserted (6.4.2006) by Social Security (Deferral of Retirement Pensions, Shared Additional Pension and Graduated Retirement Benefit) (Miscellaneous Provisions) Regulations 2005 (S.I. 2005/2677), regs. 1(1), 10(3)
- F29 Reg. 7(2)(k)-(n) added (1.11.2007 for specified purposes) by Housing Benefit (Loss of Benefit) (Pilot Scheme)(Supplementary) Regulations 2007 (S.I. 2007/2474), regs. 1, 3, 8(c)
- F30 Reg. 7(2)(o) added by SI 2008/1082 reg. 3(3)(b) (as substituted) (27.10.2008) by The Employment and Support Allowance (Miscellaneous Amendments) Regulations 2008 (S.I. 2008/2428), regs. 1(2), 26
- **F31** Words in reg. 7(2)(p)(q) added (1.10.2010) by The Employment and Support Allowance (Transitional Provisions, Housing Benefit and Council Tax Benefit) (Existing Awards) (No. 2) Regulations 2010 (S.I. 2010/1907), reg. 1(2), **Sch. 5 para. 71(2)(b)** (with reg. 3)
- **F32** Words in reg. 7(2)(q)(ii) substituted (1.11.2010) by The Employment and Support Allowance (Transitional Provisions, Housing Benefit and Council Tax Benefit) (Existing Awards) (No. 2) (Amendment) Regulations 2010 (S.I. 2010/2430), regs. 1(2), **20**
- F33 Reg. 7(2ZA) omitted (with application in accordance with reg. 1(3)-(5) of the amending S.I., 6.4.2009 in so far as not already in force) by virtue of The Housing Benefit (Local Housing Allowance, Miscellaneous and Consequential) Amendment Regulations 2007 (S.I. 2007/2870), regs. 1(2), 4(2)(a)
- F34 Reg. 7(2A) revoked (24.9.2007) by Social Security (Miscellaneous Amendments) (No.4) Regulations 2007 (S.I. 2007/2470), regs. 1, 8
- F35 Reg. 7(2B)-(2C) omitted (with application in accordance with reg. 1(3)-(5) of the amending S.I., 6.4.2009 in so far as not already in force) by virtue of The Housing Benefit (Local Housing Allowance, Miscellaneous and Consequential) Amendment Regulations 2007 (S.I. 2007/2870), regs. 1(2), 4(2)(a)
- F36 Words in reg. 7(3) omitted (with application in accordance with reg. 1(3)-(5) of the amending S.I., 6.4.2009 in so far as not already in force) by virtue of The Housing Benefit (Local Housing Allowance, Miscellaneous and Consequential) Amendment Regulations 2007 (S.I. 2007/2870), regs. 1(2), 4(2)(b)
- F37 Words in reg. 7(3) substituted (6.3.2006) by The Housing Benefit and Council Tax Benefit (Consequential Provisions) Regulations 2006 (S.I. 2006/217), reg. 1(1), Sch. 2 para. 17(3)(b)(iii) (with regs. 2, 3, Sch. 3, Sch. 4)
- **F38** Words in reg. 7(7) omitted (30.9.2002) by virtue of Social Security (Jobcentre Plus Interviews) Regulations 2002 (S.I. 2002/1703), reg. 1, Sch. 2 para. 8(d)(ii)

[F39] Decisions superseding earlier decisions in accordance with paragraph 4(4A) of Schedule 7 to the Act

- **7A.**—(1) The prescribed cases and circumstances in which a decision must be made under paragraph 4 of Schedule 7 to the Act (decisions superseding earlier decisions) are set out in paragraphs (2) to (4).
- (2) The appropriate relevant authority must make a decision superseding an earlier decision where it is required to determine a maximum rent (LHA) in accordance with [F40 regulation 13C(3)] of the Housing Benefit Regulations and [F40 regulation 13C(3)] of the Housing Benefit (State Pension Credit) Regulations (when a maximum rent (LHA) is to be determined).
- (3) The appropriate relevant authority must make a decision superseding an earlier decision in any case to which regulation 14(1)(f) or (g) or the Housing Benefit Regulations or regulation 14(1) (f) or (g) of the Housing Benefit (State Pension Credit) Regulations (requirement to refer to rent officers) applies.
- (4) The appropriate relevant authority must make a decision superseding an earlier decision where a change of circumstances specified in regulation 88(3) of the Housing Benefit Regulations

or regulation 69(3) of the Housing Benefit (State Pension Credit) Regulations (changes of circumstances which do not need to be notified) occurs.]

Textual Amendments

- **F39** Reg. 7A inserted (with application in accordance with reg. 1(3)-(5) of the amending S.I., 6.4.2009 in so far as not already in force) by The Housing Benefit (Local Housing Allowance, Miscellaneous and Consequential) Amendment Regulations 2007 (S.I. 2007/2870), regs. 1(2), 4(3)
- **F40** Words in reg. 7A(2) substituted by SI 2007/2870 reg. 4(3) (as amended) (7.4.2008) by Housing Benefit (Local Housing Allowance, Information Sharing and Miscellaneous) Amendment Regulations 2008 (S.I. 2008/586), regs. 1, 6(2)(a)

Date from which a decision superseding an earlier decision takes effect

- **8.**—(1) A decision made by virtue of paragraph 4 of Schedule 7 to the Act ("the superseding decision") shall take effect on a date other than the date on which it is made or the date on which the application was made in the cases or circumstances prescribed in paragraphs (2) to (7).
- (2) Subject to paragraphs (3) and (6), where the superseding decision is made on the ground that there has been, or it is anticipated that there will be, a change of circumstances, the superseding decision shall take effect on the date on which the change of circumstances is to take effect [F41 in accordance with—
 - (a) regulation 79 of the Housing Benefit Regulations;
 - (b) regulation 59 or 60 of the Housing Benefit (State Pension Credit) Regulations;
 - (c) regulation 67 of the Council Tax Benefit Regulations; or
- (d) regulation 50 or 51 of the Council Tax Benefit (State Pension Credit) Regulations as the case may be.]
- (3) For the purposes of determining the date on which a superseding decision is to take effect in accordance with paragraph (2), in a case where—
 - (a) the change of circumstances is a change of circumstances that is required by regulations to be notified, other than any change of circumstances to which regulation 68A [F42 or 68B] of the Housing Benefit Regulations or regulation 59A [F42 or 59B] of the Council Tax Benefit Regulations applies; and
 - (b) that change of circumstances is notified more than one month after it occurs, or such longer period as may be allowed under regulation 9; and
 - (c) the superseding decision is advantageous to the claimant,

the date of notification of the change of circumstances shall be treated as the date on which the change of circumstances occurred.

- (4) Where the superseding decision is advantageous to the claimant and is made on the ground that the superseded decision was made in ignorance of, or was based upon a mistake as to, some material fact, the superseding decision shall take effect from the first day of the benefit week in which—
 - (a) except where sub-paragraph (b) applies, the appropriate relevant authority first has information which is sufficient to show that the superseded decision was made in ignorance of, or was based upon a mistake as to, some material fact;
 - (b) where the superseding decision was made pursuant to an application, that application was received by the appropriate relevant authority.
- (5) For the purpose of paragraphs (3)(c) and (4), the reference to the decision which is advantageous to the claimant includes a decision specified in regulation 17(2).

- (6) A superseding decision made in consequence of a rent officer's redetermination, substitute determination [F43] substitute redetermination, board and attendance redetermination, substitute board and attendance determination, substitute board and attendance redetermination, amended broad rental market area determination or amended local housing allowance determination] under the Rent Officers (Housing Benefit Functions) Order 1997 or the Rent Officers (Housing Benefit Functions) (Scotland) Order 1997 shall take effect on the date on which a change of circumstances is to take effect in accordance with [F44] regulation 79 of the Housing Benefit Regulations or, as the case may be, regulation 59 or 60 of the Housing Benefit (State Pension Credit) Regulations] as if that determination or redetermination were the relevant change of circumstances.
- [F45(6A) Except in a case where entitlement to housing benefit ceases, where a rent officer has made a determination in exercise of the Housing Act functions pursuant to an application by a relevant authority under [F46ccregulation 14(1)(f) or (g) of the Housing Benefit Regulations or, as the case may be, regulation 14(1)(f) or (g) of the Housing Benefit (State Pension Credit) Regulations"], any decision to which [F47 regulation 7A(3)] applies which adopts that determination shall take effect from—
 - (a) in a case where the amount of the rent officer's determination has increased or remains unchanged, and—
 - (i) rent is payable weekly or in multiples of weeks, the first day of the benefit week in which the day following the last day of the period mentioned in [F46" regulation 14(1) (f) or (g) of the Housing Benefit Regulations or, as the case may be, regulation 14(1) (f) or (g) of the Housing Benefit (State Pension Credit) Regulations"] occurs;
 - (ii) rent is payable other than in accordance with head (i), the first day following the last day of the period mentioned in [F46ccregulation 14(1)(f) or (g) of the Housing Benefit Regulations or, as the case may be, regulation 14(1)(f) or (g) of the Housing Benefit (State Pension Credit) Regulations''];
 - (b) in a case where the amount of the rent officer's determination has decreased, the first day of the benefit week following the date on which that determination was received by a relevant authority;
- (6B) For the purposes of paragraph (6A) "Housing Act functions" has the same meaning as in regulation 2(1) of the Housing Benefit Regulations [F48] or, as the case may be, regulation 2(1) of the Housing Benefit (State Pension Credit) Regulations."]]
- (7) Where a decision is made superseding a decision of [F49the First-tier Tribunal or of the Upper Tribunal] ("the appeal decision") which—
 - (a) was made in ignorance of, or was based upon a mistake as to, some material fact; and
 - (b) was more advantageous to the claimant than it would otherwise have been but for that ignorance or mistake,

that superseding decision shall take effect on the date on which the appeal decision took or was to take effect.

- (8) A superseding decision made as a consequence of a determination which is a relevant determination for the purposes of paragraph 18 of Schedule 7 to the Act (restrictions on entitlement to benefit in certain cases of error) shall take effect from the date of the relevant determination.
- [F50(9)] A decision to which regulation 7(2)(g) or (h) applies shall take effect from the first day of the disqualification period prescribed for the purposes of section [F516B or] 7 of the Social Security Fraud Act 2001.]
- [F52(10)] Where the decision is superseded in accordance with regulation 7(2)(a)(i) and the relevant circumstances are that there has been a change in the legislation in relation to housing benefit or

council tax benefit, the superseding decision shall take effect from the date on which that change in the legislation had effect.

(11) Where a superseding decision is made in a case to which regulation 7(2)(d)(ii) applies the superseding decision shall take effect from the date on which the [F53First-tier Tribunal or the Upper Tribunal's] decision would have taken effect had it been decided in accordance with the determination of the [F54Upper Tribunal] or the court in the appeal referred to in paragraph 17(1) (b) of Schedule 7 to the Act.]

F55(12)																
F56(13)																

- [F57(14)] Where the decision is superseded in accordance with regulation 7(2)(i) the superseding decision shall take effect from the date on which entitlement arises to the relevant benefit referred to in regulation 7(2)(i)(ii) or to an increase in the rate of that relevant benefit.]
- [F58(14A) Where a decision is superseded in accordance with regulation 7(2)(j), the superseding decision shall take effect from the day on which a lump sum, or a payment on account of a lump sum, is paid or repaid if that day is the first day of the benefit week but, if it is not, from the next following such day.]
- [F59(14B) A decision to which regulation 7(2)(k) applies shall take effect in accordance with regulation 4 of the Pilot Scheme Regulations;
- (14C) A decision to which regulation 7(2)(l) applies shall take effect on the day the claimant first represented himself to be a person in hardship in accordance with regulation 5 of the Pilot Scheme Regulations.]
- [^{F60}(14D) Where the decision is superseded in accordance with regulation 7(2)(o), [^{F61}or (p)] the decision shall take effect from—
 - (a) the first day of entitlement to an amount in consequence of the decision of the Secretary of State referred to in regulation 7(2)(0)(iii) [F62 or (p)(iii)]; or
 - (b) the first day that there would have been such entitlement had the claimant or the claimant's partner been entitled to an employment and support allowance by virtue of section 1 of the Welfare Reform Act,

if that day is the first day of the benefit week but, if it is not, from the next following such day.]

- $[^{F63}(14E)]$ Where a decision is superseded in accordance with regulation 7(2)(q) the decision shall take effect—
 - (a) where the decision made in accordance with the Employment and Support Allowance (Transitional Provisions, Housing Benefit and Council Tax Benefit) (Existing Awards) (No. 2) Regulations 2010 takes effect on or after 1st April in any year but before 16th April of that year—
 - (i) from 1st April for a council tax benefit award;
 - (ii) from 1st April for a housing benefit award in which the claimant's weekly amount of eligible rent falls to be calculated in accordance with regulation 80(2)(b) or (c) of the Housing Benefit Regulations or, as the case may be, regulation 61(2)(b) or (c) of the Housing Benefit (State Pension Credit) Regulations;
 - (iii) from the first Monday in April for a housing benefit award to which subparagraph (a)(ii) does not apply;
 - (b) in any other case, from the day the decision made in accordance with the Employment and Support Allowance (Transitional Provisions, Housing Benefit and Council Tax Benefit) (Existing Awards) (No. 2) Regulations 2010 takes effect.]

Changes to legislation: There are currently no known outstanding effects for the The Housing Benefit and Council Tax Benefit (Decisions and Appeals) Regulations 2001, PART II. (See end of Document for details)

^{F64}[F65(15)] A decision to which regulation 7A(2) applies shall take effect—

- (a) on the day of decision, where the determination in accordance with regulation 13C(3) of the Housing Benefit Regulations or regulation 13C(3) of the Housing Benefit (State Pension Credit) Regulations (when maximum rent (LHA) is to be determined) was made on the first day of the benefit week; and
- (b) in any other case, on the first day of the benefit week following the week in which the determination in accordance with regulation 13C(3) of the Housing Benefit Regulations or regulation 13C(3) of the Housing Benefit (State Pension Credit) Regulations (when maximum rent (LHA) is to be determined) was made.]

Textual Amendments

- F41 Words in reg. 8(2) substituted (6.3.2006) by The Housing Benefit and Council Tax Benefit (Consequential Provisions) Regulations 2006 (S.I. 2006/217), reg. 1(1), Sch. 2 para. 17(3)(c)(i) (with regs. 2, 3, Sch. 3, Sch. 4)
- **F42** Words in reg. 8(3) inserted (6.10.2003) by The Housing Benefit and Council Tax Benefit (State Pension Credit) Regulations 2003 (S.I. 2003/325), regs. 1(1)(a), **28(b)** (with reg. 29)
- **F43** Words in reg. 8(6) substituted (17.11.2003 reg. 1(1), Sch. 1) by Housing Benefit (General) (Local Housing Allowance) Amendment Regulations 2003 (S.I. 2003/2399), reg. 16(3)
- **F44** Words in reg. 8(6) substituted (1.11.2010) by The Housing Benefit and Council Tax Benefit (Miscellaneous Amendments) Regulations 2010 (S.I. 2010/2449), regs. 1(2), **6(2)**
- F45 Reg. 8(6A)(6B) inserted (5.4.2004) by Housing Benefit and Council Tax Benefit (Abolition of Benefit Periods) Amendment Regulations 2004 (S.I. 2004/14), regs. 1(1), 34(2) (with reg. 27)
- Words in reg. 8(6A) substituted (6.3.2006) by The Housing Benefit and Council Tax Benefit (Consequential Provisions) Regulations 2006 (S.I. 2006/217), reg. 1(1), Sch. 2 para. 17(3)(c)(ii) (with regs. 2, 3, Sch. 3, Sch. 4)
- F47 Words in reg. 8(6A) substituted (with application in accordance with reg. 1(3)-(5) of the amending S.I., 6.4.2009 in so far as not already in force) by The Housing Benefit (Local Housing Allowance, Miscellaneous and Consequential) Amendment Regulations 2007 (S.I. 2007/2870), regs. 1(2), 4(4)(a)
- F48 Words in reg. 8(6B) added (6.3.2006) by The Housing Benefit and Council Tax Benefit (Consequential Provisions) Regulations 2006 (S.I. 2006/217), reg. 1(1), Sch. 2 para. 17(3)(c)(iii) (with regs. 2, 3, Sch. 3, Sch. 4)
- **F49** Words in reg. 8(7) substituted (3.11.2008) by Tribunals, Courts and Enforcement Act 2007 (Transitional and Consequential Provisions) Order 2008 (S.I. 2008/2683), art. 1, **Sch. 1 para. 154(a)**
- **F50** Reg. 8(9) added (1.4.2002) by Social Security (Loss of Benefit) (Consequential Amendments) Regulations 2002 (S.I. 2002/490), regs. 1(1), 9(c)
- F51 Words in reg. 8(9) inserted (1.4.2010) by The Social Security (Loss of Benefit) Amendment Regulations 2010 (S.I. 2010/1160), regs. 1, 9(4)
- F52 Reg. 8(10)(11) added (5.5.2003) by The Social Security and Child Support (Miscellaneous Amendments) Regulations 2003 (S.I. 2003/1050), regs. 1(1)(a), 4(2) (with reg. 6)
- F53 Words in reg. 8(11) substituted (3.11.2008) by Tribunals, Courts and Enforcement Act 2007 (Transitional and Consequential Provisions) Order 2008 (S.I. 2008/2683), art. 1, Sch. 1 para. 154(b)(i)
- Words in reg. 8(11) substituted (3.11.2008) by Tribunals, Courts and Enforcement Act 2007 (Transitional and Consequential Provisions) Order 2008 (S.I. 2008/2683), art. 1, Sch. 1 para. 154(b) (ii)
- F55 Reg. 8(12) revoked (24.9.2007) by Social Security (Miscellaneous Amendments) (No.4) Regulations 2007 (S.I. 2007/2470), regs. 1, 8
- F56 Reg. 8(13) revoked (24.9.2007) by Social Security (Miscellaneous Amendments) (No.4) Regulations 2007 (S.I. 2007/2470), regs. 1, 8
- F57 Reg. 8(14) added (6.10.2003) by The Housing Benefit and Council Tax Benefit (State Pension Credit and Miscellaneous Amendments) Regulations 2003 (S.I. 2003/2275), regs. 1(1)(b), 5(5)

- **F58** Reg. 8(14A) inserted (6.4.2006) by Social Security (Deferral of Retirement Pensions, Shared Additional Pension and Graduated Retirement Benefit) (Miscellaneous Provisions) Regulations 2005 (S.I. 2005/2677), regs. 1(1), **10(4)**
- F59 Reg. 8(14B)(14C) inserted (1.11.2007 for specified purposes) by Housing Benefit (Loss of Benefit) (Pilot Scheme)(Supplementary) Regulations 2007 (S.I. 2007/2474), regs. 1, 3, 8(d)
- F60 Reg. 8(14D) inserted by SI 2008/1082 reg. 3(4) (as substituted) (27.10.2008) by The Employment and Support Allowance (Miscellaneous Amendments) Regulations 2008 (S.I. 2008/2428), regs. 1(2), 26
- **F61** Words in reg. 8(14D) inserted (1.10.2010) by The Employment and Support Allowance (Transitional Provisions, Housing Benefit and Council Tax Benefit) (Existing Awards) (No. 2) Regulations 2010 (S.I. 2010/1907), reg. 1(2), **Sch. 5 para. 71(3)(a)(i)** (with reg. 3)
- **F62** Words in reg. 8(14D) inserted (1.10.2010) by The Employment and Support Allowance (Transitional Provisions, Housing Benefit and Council Tax Benefit) (Existing Awards) (No. 2) Regulations 2010 (S.I. 2010/1907), reg. 1(2), **Sch. 5 para. 71(3)(a)(ii)** (with reg. 3)
- **F63** Reg. 8(14E) inserted (1.10.2010) by The Employment and Support Allowance (Transitional Provisions, Housing Benefit and Council Tax Benefit) (Existing Awards) (No. 2) Regulations 2010 (S.I. 2010/1907), reg. 1(2), **Sch. 5 para. 71(3)(b)** (with reg. 3)
- F64 Reg. 8(15) substituted (with application in accordance with reg. 1(3)-(5) of the amending S.I., 6.4.2009 in so far as not already in force) by The Housing Benefit (Local Housing Allowance, Miscellaneous and Consequential) Amendment Regulations 2007 (S.I. 2007/2870), regs. 1(2), 4(4)(b)
- **F65** Reg. 8(15) substituted (7.4.2008) by Housing Benefit (Local Housing Allowance, Information Sharing and Miscellaneous) Amendment Regulations 2008 (S.I. 2008/586), regs. 1, **6(2)(b)**

Effective date for late notification of change of circumstances

- **9.**—(1) For the purposes of making a decision under paragraph 4 of Schedule 7 to the Act a longer period of time may be allowed for the notification of a change of circumstances in so far as it affects the effective date of the change where the conditions specified in the following provisions of this regulation are satisfied.
 - (2) An application for the purposes of paragraph (1) shall—
 - (a) include particulars of the change of circumstances and the reasons for the failure to notify the change of circumstances on an earlier date; and
 - (b) be made within 13 months of the date on which the change occurred.
- (3) An application for the purposes of paragraph (1) shall not be granted unless the appropriate relevant authority is satisfied that—
 - (a) it is reasonable to grant the application;
 - (b) the change of circumstances notified by the applicant is relevant to the decision which is to be superseded; and
 - (c) special circumstances are relevant and as a result of those special circumstances it was not practicable for the applicant to notify the change of circumstances within one month of the change occurring.
- (4) In determining whether it is reasonable to grant the application, the appropriate relevant authority shall have regard to the principle that the greater the amount of time that has elapsed between the date one month after the change of circumstances occurred and the date the application for a superseding decision is made, the more compelling should be the special circumstances on which the application is based.
- (5) In determining whether it is reasonable to grant an application, no account shall be taken of the following—

Changes to legislation: There are currently no known outstanding effects for the The Housing Benefit and Council Tax Benefit (Decisions and Appeals) Regulations 2001, PART II. (See end of Document for details)

- (a) that the applicant was unaware of, or misunderstood, the law applicable to his case (including ignorance or misunderstanding of the time limits imposed by these Regulations); or
- (b) that [F66the Upper Tribunal] or a court has taken a different view of the law from that previously understood and applied.
- (6) An application under this regulation which has been refused may not be renewed.

Textual Amendments

F66 Words in reg. 9(5)(b) substituted (3.11.2008) by Tribunals, Courts and Enforcement Act 2007 (Transitional and Consequential Provisions) Order 2008 (S.I. 2008/2683), art. 1, **Sch. 1 para. 155**

Notice of a decision against which an appeal lies

- **10.**—(1) A person affected who has a right of appeal against a relevant decision shall be given written notice—
 - (a) of the decision against which the appeal lies;
 - (b) in a case where that notice does not include a statement of reasons for the decision, that he may [F67, within one month of the date of notification of that decision (or, if the decision was notified before 1st November 2010, before 1st December 2010),] request the relevant authority to provide him with a written statement of the reasons for that decision; and
 - (c) of his right of appeal against that decision.
- (2) Where a written statement of the reasons for the decision is not included in the written notice of the decision and is requested under paragraph (1)(b), the relevant authority shall, so far as practicable, provide that statement within 14 days.

Textual Amendments

F67 Words in reg. 10(1)(b) inserted (1.11.2010) by The Housing Benefit and Council Tax Benefit (Miscellaneous Amendments) Regulations 2010 (S.I. 2010/2449), regs. 1(2), **6(3)**

[F68Correction of accidental errors

- **10A.**—(1) Accidental errors in a relevant decision, or a revised decision, or the record of such a decision, may be corrected by the relevant authority at any time.
- (2) A correction made to a relevant decision, or a revised decision, or the record of such a decision, shall be deemed to be part of the decision, or of that record, and the relevant authority shall give a written notice of the correction as soon as practicable to the claimant.
- (3) In calculating the time within which an application can be made under regulation 4(1)(a) for a relevant decision to be revised^{F69}... there shall be disregarded any day falling before the day on which notice was given of a correction of the decision or to the revision or record thereof under paragraph (2).]

Textual Amendments

F68 Reg. 10A inserted (20.5.2002) by Social Security and Child Support (Decisions and Appeals) (Miscellaneous Amendments) Regulations 2002 (S.I. 2002/1379), regs. 1(1), 25

Changes to legislation: There are currently no known outstanding effects for the The Housing Benefit and Council Tax Benefit (Decisions and Appeals) Regulations 2001, PART II. (See end of Document for details)

F69 Words in reg. 10A(3) omitted (3.11.2008) by virtue of Tribunals, Courts and Enforcement Act 2007 (Transitional and Consequential Provisions) Order 2008 (S.I. 2008/2683), art. 1, **Sch. 1 para. 156**

Status:

Point in time view as at 01/11/2010.

Changes to legislation:

There are currently no known outstanding effects for the The Housing Benefit and Council Tax Benefit (Decisions and Appeals) Regulations 2001, PART II.