
STATUTORY INSTRUMENTS

2001 No. 2636

The Financial Services and Markets Act 2000 (Transitional Provisions) (Authorised Persons etc.) Order 2001

PART I
GENERAL

Citation and commencement

1.—(1) This Order may be cited as the Financial Services and Markets Act 2000 (Transitional Provisions) (Authorised Persons etc.) Order 2001.

(2) This Order comes into force—

- (a) for the purposes of Parts I and IV, on the twenty-first day after it is laid before Parliament;
- (b) for all other purposes, on commencement.

Commencement Information

II [Art. 1](#) in force at 10.8.2001, see [art. 1\(2\)\(a\)](#)

Definitions

2.—(1) In this Order—

“the Act” means the Financial Services and Markets Act 2000;

“building society” has the same meaning as in the Building Societies Act;

“commencement” means the beginning of the day on which section 19 comes into force;

“exempted person” means a person exempted under Chapter IV of Part I of the Financial Services Act;

“recognised professional body” has the same meaning as in the Financial Services Act;

“recognised self-regulating organisation” has the same meaning as in the Financial Services Act, except that it includes a recognised self-regulating organisation for friendly societies within the meaning of that Act;

“the Regulated Activities Order” means the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001(1).

(2) In this Order—

“the Banking Act” means the Banking Act 1987(2);

(1) S.I.2001/544.

(2) 1987 c. 22.

- “the Building Societies Act” means the Building Societies Act 1986⁽³⁾;
- “the Financial Services Act” means the Financial Services Act 1986⁽⁴⁾;
- “the Friendly Societies Act” means the Friendly Societies Act 1992⁽⁵⁾;
- “the Insurance Companies Act” means the Insurance Companies Act 1982⁽⁶⁾;
- “the 2BCD Regulations” means the Banking Coordination (Second Council Directive) Regulations 1992⁽⁷⁾;
- “the ISD Regulations” means the Investment Services Regulations 1995⁽⁸⁾;
- “the 3ID Regulations” means the Insurance Companies (Third Insurance Directives) Regulations 1994⁽⁹⁾.

(3) Any reference in this Order to a section or Schedule is, unless the context otherwise requires, a reference to that section of or Schedule to the Act.

Commencement Information

I2 Art. 2 in force at 10.8.2001, see [art. 1\(2\)\(a\)](#)

(3) 1986 c. 53.
(4) 1986 c. 60.
(5) 1992 c. 40.
(6) 1982 c. 50.
(7) S.I. 1992/3218 amended by S.I. 1993/3225, S.I. 1995/1217, S.I. 1995/1442, S.I. 1996/1669, S.I. 1999/2094 and S.I. 2000/2952.
(8) S.I. 1995/3275 amended by the Bank of England Act 1998 (c. 11) and by S.I. 1996/1669 and S.I. 2000/2952.
(9) S.I. 1994/1696.

Changes to legislation:

There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Transitional Provisions) (Authorised Persons etc.) Order 2001, PART I.