
STATUTORY INSTRUMENTS

2001 No. 3969

**SOCIAL CARE, ENGLAND
CHILDREN AND YOUNG PERSONS, ENGLAND
PUBLIC HEALTH, ENGLAND**

The National Care Standards Commission
(Registration) Regulations 2001

Made - - - - 11th December 2001

Laid before Parliament 12th December 2001

Coming into force 1st January 2002

THE NATIONAL CARE STANDARDS COMMISSION
(REGISTRATION) REGULATIONS 2001

PART I

GENERAL

1. Citation, commencement and extent
2. Interpretation

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6. Notice of changes
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PART III

CERTIFICATES OF REGISTRATION

8. Registers
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10. Return of certificate

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11. Offence

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Signature

SCHEDULE 1 — INFORMATION TO BE SUPPLIED ON AN APPLICATION FOR REGISTRATION AS A PERSON WHO CARRIES ON AN ESTABLISHMENT OR AGENCY

PART I

1. **Information about the applicant**
2. Where the applicant is a partnership— (a) the name and...
3. Where the applicant is an organisation— (a) the name of...
4. In every case— (a) a reference from a bank expressing...

PART II

5. **Information about the establishment or agency**
6. The description of establishment or agency specified in section 4(8)(a)...
7. The statement of purpose of the establishment or agency.
8. A statement as to the accommodation, facilities and services which...
9. The date on which the establishment or agency was established...
10. Details of the scale of charges payable by the service...
11. In respect of the premises to be used by an...
12. In respect of the premises to be used by an...
13. A statement as to the security arrangements, including arrangements for...
14. The name and address of any other establishment or agency...
15. Whether any other business is or will be carried on...

16. **Information about staff**

PART III

17. **Further information about staff**

SCHEDULE 2 — DOCUMENTS TO BE SUPPLIED ON AN APPLICATION FOR REGISTRATION AS A PERSON WHO CARRIES ON AN ESTABLISHMENT OR AGENCY

1. **Documents concerning applicant**
2. Certificates or other suitable evidence relating to the responsible person's...
3. (1) Subject to sub-paragraph (2), a report by a general...
4. The following documents in relation to the responsible person—
5. Where the applicant is a corporate body, a copy of...
6. Where the organisation is a subsidiary of a holding company,...
7. The last annual accounts (if any) of the establishment or...
8. Except where the applicant is a local authority or NHS...
9. A certificate of insurance for the applicant in respect of...

10. ***Criminal record certificates in respect of staff***

SCHEDULE 3 — INFORMATION AND DOCUMENTS TO BE SUPPLIED ON AN APPLICATION FOR REGISTRATION AS THE MANAGER OF AN ESTABLISHMENT OR AGENCY

PART I

1. ***Information***
2. Details of the applicant's professional or technical qualifications, and experience...
3. Details of the applicant's professional training relevant to carrying on...
4. Details of the applicant's employment history, including the name and...
5. Details of any business the applicant carries on or manages...
6. The name and addresses of two referees—
7. The name, address, telephone number, facsimile number, and electronic mail...
8. Where any certificate or information on any matters referred to...

PART II

9. ***Documents***
10. Certificates or other suitable evidence relating to the applicant's professional...
11. A report by a general medical practitioner as to whether...
 3. (1) Subject to sub-paragraph (2), a report by a general...
12. A criminal record certificate— (a) which has been issued to...
13. An enhanced criminal record certificate— (a) which has been issued...

SCHEDULE 4 — INFORMATION TO BE SUPPLIED ON AN APPLICATION FOR REGISTRATION IN RESPECT OF A CARE HOME

1. In this Schedule "service user" means any person in the...
2. Details of the accommodation available for— (a) service users; and...
3. Whether it is proposed to provide nursing at the care...
4. Whether it is proposed to provide at the care home...
5. The maximum number of service users for whom the care...

SCHEDULE 5 — INFORMATION TO BE SUPPLIED ON AN APPLICATION FOR REGISTRATION IN RESPECT OF A CHILDREN'S HOME

1. The following details about the children who are intended to...
2. The organisational structure of the children's home.
3. The facilities and services to be provided within the children's...
4. The arrangements for protecting and promoting the health of any...
5. The fire precautions and emergency procedures.
6. The arrangements to allow children to follow religious observance.
7. The arrangements for contact between a child and his parents,...
8. Details as to the use of restraint and discipline, the...
9. The procedure for dealing with any unauthorised absence of a...
10. The arrangements for allowing children in the children's home to...
11. The arrangements for the education of any child accommodated.
12. The arrangements for dealing with reviews of the placement plans...

SCHEDULE 6 — INFORMATION TO BE SUPPLIED ON AN APPLICATION FOR REGISTRATION IN RESPECT OF AN INDEPENDENT HOSPITAL, INDEPENDENT CLINIC, OR INDEPENDENT MEDICAL AGENCY

1. The nature of the services to be provided including, in...

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2. The equipment and facilities to be provided.
3. The number of patient beds to be provided in an...
4. The anticipated number of patients to be treated annually.
5. The arrangements made for the supply of blood and blood...
6. The arrangements made for the provision of pathology and radiology...
7. The number of registered medical practitioners who are to be...
8. Details of any services which are to be provided to...

SCHEDULE 7 — PARTICULARS TO BE RECORDED IN THE REGISTERS KEPT BY THE COMMISSION

PART I

1. The full name, address and date of birth of each...
2. Where the registered person is an organisation—
3. The full name, address and telephone number of the establishment...
4. The date of registration and of the issue of the...
5. The details of any conditions imposed on registration, any additional...

PART II

6. *Care homes register*
7. *Children's homes register*
8. *Registers relating to independent hospitals, independent hospitals in which treatment or nursing (or both) are provided for persons liable to be detained under the Mental Health Act 1983, independent clinics and independent medical agencies*
9. *Registers relating to residential family centres, domiciliary care agencies, nurses agencies and fostering agencies*

Explanatory Note