
STATUTORY INSTRUMENTS

2005 No. 381

FINANCIAL SERVICES AND MARKETS

The Financial Services and Markets Act
2000 (Market Abuse) Regulations 2005

Made - - - - 23rd February 2005

Laid before Parliament 24th February 2005

Coming into force in accordance with regulation 1

THE FINANCIAL SERVICES AND MARKETS ACT
2000 (MARKET ABUSE) REGULATIONS 2005

1. Citation and commencement
 2. Interpretation
 3. Amendment of Schedule 1 to the Criminal Justice Act 1993
 4. Amendment of Part 6 of the 2000 Act
 5. Amendment of Part 8 of the 2000 Act
 6. Amendment of section 150 of the 2000 Act
 7. Amendment of section 395 of the 2000 Act
 8. Amendment of section 397 of the 2000 Act
 9. Revocation of the Traded Securities (Disclosure) Regulations 1994
 10. Amendment of the 2001 Order
 11. Amendment of the Financial Services and Markets Act 2000 (Recognition Requirements for Investment Exchanges and Clearing Houses) Regulations 2001
- Signature

SCHEDULE 1 — AMENDMENTS TO PART 6 OF THE 2000 ACT

1. (1) Subsection (1) of section 73 (general duty of the...
2. After section 73 insert— Part 6 Rules (1) The competent authority may make rules (“Part 6 rules”)...
3. In section 74 (the official list), subsection (4) is repealed....
4. For subsections (1) and (2) of section 91 (penalties for...
5. In subsection (9) of section 95 (competition scrutiny), in paragraph...
6. After section 96 (obligations of issuers of listed securities), insert—...
7. In subsection (1) of section 97 (appointment by competent authority...

Status: This is the original version (as it was originally made).

8. After subsection (1) of section 99 (fees), insert—
9. At the end of subsection (2) of section 100 (penalties),...
10. In section 101 (listing rules: general provisions)—
11. In subsection (1) of section 103 (interpretation of Part 6)—...
12. In paragraph 2(a) of Schedule 7 (the Authority as competent...

SCHEDULE 2 — AMENDMENTS TO PART 8 OF THE 2000 ACT

1. For section 118 (market abuse), substitute— Market abuse (1) For the purposes of this Act, market abuse is...
2. (1) Section 119 (the code) is amended as follows.
3. After section 130 (guidance), insert— Interpretation and supplementary provision (1) The Treasury may by order specify (whether by name...
4. After section 131 insert— Protected Disclosures (1) A disclosure which satisfies the following three conditions is...

Explanatory Note