
STATUTORY INSTRUMENTS

2006 No. 1969

FINANCIAL SERVICES AND MARKETS

**The Financial Services and Markets Act 2000
(Regulated Activities) (Amendment) Order 2006**

Approved by both Houses of Parliament

Made - - - - 28th June 2006

Laid before Parliament 29th June 2006

*Coming into force in accordance with article 1(2)
and (3)*

**THE FINANCIAL SERVICES AND MARKETS ACT 2000
(REGULATED ACTIVITIES) (AMENDMENT) ORDER 2006**

1. Citation, commencement and interpretation
 2. Amendment of the Principal Order
 3. Transitional provisions
 4. Interim permission
 5. Interim approval
 6. Application of the Authority's rules etc. to persons with interim permission or interim approval
 7. Application of the Act etc.
 8. Amendments to the Financial Services and Markets Act 2000 (Collective Investment Schemes) Order 2001
 9. Amendments to the Financial Services and Markets Act 2000 (Carrying on Regulated Activities by Way of Business) Order 2001
 10. Amendment to the Financial Services and Markets Act 2000 (Exemption) Order 2001
 11. Amendment to the Financial Services and Markets Act 2000 (Professions) (Non-Exempt Activities) Order 2001
 12. Amendments to the Financial Services and Markets Act 2000 (Financial Promotion) Order 2005
- Signature

SCHEDULE — APPLICATION OF THE ACT AND THE PRINCIPAL ORDER TO PERSONS WITH AN INTRIM PERMISSION OR AN INTERIM APPROVAL

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

1. Paragraphs 2 and 3 apply to every person with interim...
2. For the purposes of section 20 (authorised persons acting without...
3. A person's interim permission is to be disregarded for the...
4. Paragraphs 5(1), 6 to 9, 11, 12 and 13 apply...
5. (1) A person with interim permission is to be treated...
6. For the purposes of subsection (1) of section 21 (restrictions...
7. A person with an interim permission may still be an...
8. Subsection (3)(a) of section 213 (the compensation scheme) does not...
9. Subsection (1)(a) of section 347 (the record of authorised persons...
10. Section 347(1)(h) is disapplied in relation to persons with interim...
11. In article 22 of the Principal Order (deals with or...
12. In article 29 of the Principal Order (arranging deals with...
13. For the purposes of paragraphs (1)(a), (2)(a), (3) and (4)(a)...

Explanatory Note