

Changes to legislation: The Financial Regulators' Powers (Technical Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018, Benchmarks Regulation is up to date with all changes known to be in force on or before 23 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

SCHEDULE

Modifications etc. (not altering text)

- C1** Sch. modified (31.12.2020) by [The Gibraltar \(Miscellaneous Amendments\) \(EU Exit\) Regulations 2019](#) (S.I. 2019/680), regs. 1(2), **11(2)(4)** (with reg. 11(8)-(10)) (as amended by S.I. 2020/1301, regs. 1, 3, **Sch. para. 40(f)**); 2020 c. 1, **Sch. 5 para. 1(1)**

PART 1

EU Regulations for which the FCA is the appropriate regulator

[^{F1}Benchmarks Regulation

Textual Amendments

- F1** Sch. paras. 1A-1L and cross-heading inserted (15.3.2019) by [The Financial Regulators Powers \(Technical Standards etc.\) and Markets in Financial Instruments \(Amendment\) \(EU Exit\) Regulations 2019](#) (S.I. 2019/576), regs. 1(2), **2(2)**

1A. [Commission Implementing Regulation \(EU\) 2018/1105](#) of 8 August 2018 laying down implementing technical standards with regard to procedures and forms for the provision of information by competent authorities to ESMA under [Regulation \(EU\) 2016/1011](#) of the European Parliament and of the Council.

1B. [Commission Implementing Regulation \(EU\) 2018/1106](#) of 8 August 2018 laying down implementing technical standards with regard to templates for the compliance statement to be published and maintained by administrators of significant and non-significant benchmarks pursuant to [Regulation \(EU\) 2016/1011](#) of the European Parliament and of the Council.

1C. [Commission Delegated Regulation \(EU\) 2018/1637](#) of 13 July 2018 supplementing [Regulation \(EU\) 2016/1011](#) of the European Parliament and of the Council with regard to regulatory technical standards for the procedures and characteristics of the oversight function.

1D. [Commission Delegated Regulation \(EU\) 2018/1638](#) of 13 July 2018 supplementing [Regulation \(EU\) 2016/1011](#) of the European Parliament and of the Council with regard to regulatory technical standards specifying further how to ensure that input data is appropriate and verifiable, and the internal oversight and verification procedures of a contributor that the administrator of a critical or significant benchmark has to ensure are in place where the input data is contributed from a front office function.

1E. [Commission Delegated Regulation \(EU\) 2018/1639](#) of 13 July 2018 supplementing [Regulation \(EU\) 2016/1011](#) of the European Parliament and of the Council with regard to regulatory technical standards specifying further the elements of the code of conduct to be developed by administrators of benchmarks that are based on input data from contributors.

1F. [Commission Delegated Regulation \(EU\) 2018/1640](#) of 13 July 2018 supplementing [Regulation \(EU\) 2016/1011](#) of the European Parliament and of the Council with regard to regulatory technical standards specifying further the governance and control requirements for supervised contributors.

1G. [Commission Delegated Regulation \(EU\) 2018/1641](#) of 13 July 2018 supplementing [Regulation \(EU\) 2016/1011](#) of the European Parliament and of the Council with regard to regulatory

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technical standards specifying further the information to be provided by administrators of critical or significant benchmarks on the methodology used to determine the benchmark, the internal review and approval of the methodology and on the procedures for making material changes in the methodology.

1H. Commission Delegated Regulation (EU) 2018/1642 of 13 July 2018 supplementing Regulation (EU) 2016/1011 of the European Parliament and of the Council with regard to regulatory technical standards specifying further the criteria to be taken into account by competent authorities when assessing whether administrators of significant benchmarks should apply certain requirements.

1I. Commission Delegated Regulation (EU) 2018/1643 of 13 July 2018 supplementing Regulation (EU) 2016/1011 of the European Parliament and of the Council with regard to regulatory technical standards specifying further the contents of, and cases where updates are required to, the benchmark statement to be published by the administrator of a benchmark.

1J. Commission Delegated Regulation (EU) 2018/1644 of 13 July 2018 supplementing Regulation (EU) 2016/1011 of the European Parliament and of the Council with regard to regulatory technical standards determining the minimum content of cooperation arrangements with competent authorities of third countries whose legal framework and supervisory practices have been recognised as equivalent.

1K. Commission Delegated Regulation (EU) 2018/1645 of 13 July 2018 supplementing Regulation (EU) 2016/1011 of the European Parliament and of the Council with regard to regulatory technical standards for the form and content of the application for recognition with the competent authority of the Member State of reference and of the presentation of information in the notification to European Securities and Markets Authority (ESMA).

1L. Commission Delegated Regulation (EU) 2018/1646 of 13 July 2018 supplementing Regulation (EU) 2016/1011 of the European Parliament and of the Council with regard to regulatory technical standards for the information to be provided in an application for authorisation and in an application for registration.]

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Changes and effects yet to be applied to :

- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)