

Changes to legislation: The Financial Regulators' Powers (Technical Standards etc.) (Amendment etc.) (EU Exit) Regulations 2018, Capital Requirements Regulation is up to date with all changes known to be in force on or before 23 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

SCHEDULE

Modifications etc. (not altering text)

- C1** Sch. modified (31.12.2020) by [The Gibraltar \(Miscellaneous Amendments\) \(EU Exit\) Regulations 2019 \(S.I. 2019/680\)](#), regs. 1(2), **11(2)(4)** (with reg. 11(8)-(10)) (as amended by S.I. 2020/1301, regs. 1, 3, **Sch. para. 40(f)**); 2020 c. 1, **Sch. 5 para. 1(1)**

PART 4

EU Regulations for which both the PRA and FCA are appropriate regulators

Capital Requirements Regulation

141. Commission Implementing Regulation (EU) 2013/1423 of 20 December 2013 laying down implementing technical standards with regard to disclosure of own funds requirements for institutions according to Regulation (EU) No 575/2013 of the European Parliament and of the Council ^{M1}.

Marginal Citations

- M1** OJ L355, 31.12.2013, p.60.

142. Commission Delegated Regulation (EU) 2014/183 of 20 December 2013 supplementing Regulation (EU) No 575/2013 of the European Parliament and of the Council on prudential requirements for credit institutions and investment firms, with regard to regulatory technical standards for specifying the calculation of specific and general credit risk adjustments ^{M2}.

Marginal Citations

- M2** OJ L57, 27.2.2014, p.3.

143. Commission Delegated Regulation (EU) 2014/241 of 7 January 2014 supplementing Regulation (EU) No 575/2013 of the European Parliament and of the Council with regard to regulatory technical standards for own funds requirements for institutions ^{M3}.

Marginal Citations

- M3** OJ L74, 14.3.2014, p.8.

144. Commission Delegated Regulation (EU) 2014/523 of 12 March 2014 supplementing Regulation (EU) No 575/2013 of the European Parliament and of the Council with regard to regulatory technical standards for determining what constitutes the close correspondence between the value of an institution's covered bonds and the value of the institution's assets ^{M4}.

Marginal Citations

- M4** OJ L148, 20.5.2014, p.4.

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145. Commission Delegated Regulation (EU) 2014/525 of 12 March 2014 supplementing Regulation (EU) No 575/2013 of the European Parliament and of the Council with regard to regulatory technical standards for the definition of market ^{M5}.

Marginal Citations

M5 OJ L148, 20.5.2014, p.15.

146. Commission Delegated Regulation (EU) 2014/526 of 12 March 2014 supplementing Regulation (EU) No 575/2013 of the European Parliament and of the Council with regard to regulatory technical standards for determining proxy spread and limited smaller portfolios for credit valuation adjustment risk ^{M6}.

Marginal Citations

M6 OJ L148, 20.5.2014, p.17.

147. Commission Delegated Regulation (EU) 2014/528 of 12 March 2014 supplementing Regulation (EU) No 575/2013 of the European Parliament and of the Council with regard to regulatory technical standards for non-delta risk of options in the standardised market risk approach ^{M7}.

Marginal Citations

M7 OJ L148, 20.5.2014, p.29.

148. Commission Delegated Regulation (EU) 2014/529 of 12 March 2014 supplementing Regulation (EU) No 575/2013 of the European Parliament and of the Council with regard to regulatory technical standards for assessing the materiality of extensions and changes of the Internal Ratings Based Approach and the Advanced Measurement Approach ^{M8}.

Marginal Citations

M8 OJ L148, 20.5.2014, p.36.

149. Commission Implementing Regulation (EU) 2014/602 of 4 June 2014 laying down implementing technical standards for facilitating the convergence of supervisory practices with regard to the implementation of additional risk weights according to Regulation (EU) No 575/2013 of the European Parliament and of the Council ^{M9}.

Marginal Citations

M9 OJ L166, 5.6.2014, p.22.

150. Commission Delegated Regulation (EU) 2014/625 of 13 March 2014 supplementing Regulation (EU) No 575/2013 of the European Parliament and of the Council by way of regulatory technical standards specifying the requirements for investor, sponsor, original lenders and originator institutions relating to exposures to transferred credit risk ^{M10}.

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Marginal Citations

M10 OJ L174, 13.6.2014, p.16.

151. Commission Implementing Regulation (EU) 2014/680 of 16 April 2014 laying down implementing technical standards with regard to supervisory reporting of institutions according to Regulation (EU) No 575/2013 of the European Parliament and of the Council ^{M10}.

Marginal Citations

M11 OJ L191, 28.6.2014, p.1.

152. Commission Implementing Regulation (EU) 2014/945 of 4 September 2014 laying down implementing technical standards with regard to relevant appropriately diversified indices according to Regulation (EU) No 575/2013 of the European Parliament and of the Council ^{M11}.

Marginal Citations

M12 OJ L265, 5.9.2014, p.3.

153. Commission Implementing Regulation (EU) 1030/2014 of 29 September 2014 laying down implementing technical standards with regard to the uniform formats and date for the disclosure of the values used to identify global systemically important institutions according to Regulation (EU) No 575/2013 of the European Parliament and of the Council ^{M12}.

Marginal Citations

M13 OJ L284, 30.9.2014, p.14.

154. Commission Delegated Regulation (EU) 2014/1187 of 2 October 2014 supplementing Regulation (EU) No 575/2013 of the European Parliament and of the Council as regards regulatory technical standards for determining the overall exposure to a client or a group of connected clients in respect of transactions with underlying assets ^{M13}.

Marginal Citations

M14 OJ L324, 7.11.2014, p.1.

155. Commission Delegated Regulation (EU) 2015/585 of 18 December 2014 supplementing Regulation (EU) No 575/2013 of the European Parliament and of the Council with regard to regulatory technical standards for the specification of margin periods of risk ^{M14}.

Marginal Citations

M15 OJ L98, 15.4.2015, p.1.

156. Commission Delegated Regulation (EU) 2015/1555 of 28 May 2015 supplementing Regulation (EU) No 575/2013 of the European Parliament and of the Council with regard to regulatory technical standards for the disclosure of information in relation to the compliance of

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institutions with the requirement for a countercyclical capital buffer in accordance with Article 440
M16

Marginal Citations

M16 OJ L244, 19.9.2015, p.1.

157. Commission Delegated Regulation (EU) 2015/1556 of 11 June 2015 supplementing Regulation (EU) No 575/2013 of the European Parliament and of the Council with regard to regulatory technical standards for the transitional treatment of equity exposures under the IRB approach^{M17}.

Marginal Citations

M17 OJ L244, 19.9.2015, p.9.

158. Commission Implementing Regulation (EU) 2015/2197 of 27 November 2015 laying down implementing technical standards with regard to closely correlated currencies in accordance with Regulation (EU) No 575/2013 of the European Parliament and of the Council^{M18}.

Marginal Citations

M18 OJ L313, 28.11.2015, p.30.

159. Commission Implementing Regulation (EU) 2016/100 of 16 October 2015 laying down implementing technical standards specifying the joint decision process with regard to the application for certain prudential permissions pursuant to Regulation (EU) No 575/2013 of the European Parliament and of the Council^{M19}.

Marginal Citations

M19 OJ L21, 28.1.2016, p.45.

160. Commission Delegated Regulation (EU) 2016/101 of 26 October 2015 supplementing Regulation (EU) No 575/2013 of the European Parliament and of the Council with regard to regulatory technical standards for prudent valuation under Article 105(14)^{M20}.

Marginal Citations

M20 OJ L21, 28.1.2016, p.54.

161. Commission Implementing Regulation (EU) 2016/200 of 15 February 2016 laying down implementing technical standards with regard to disclosure of the leverage ratio for institutions, according to Regulation (EU) No 575/2013 of the European Parliament and of the Council^{M21}.

Marginal Citations

M21 OJ L39, 16.2.2016, p.5.

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162. Commission Implementing Regulation (EU) 2016/1646 of 13 September 2016 laying down implementing technical standards with regard to main indices and recognised exchanges in accordance with Regulation (EU) No 575/2013 of the European Parliament and of the Council on prudential requirements for credit institutions and investment firms ^{M22}.

Marginal Citations

M22 OJ L245, 14.9.2016, p.5.

163. Commission Implementing Regulation (EU) 2016/1799 of 7 October 2016 laying down implementing technical standards with regard to the mapping of credit assessments of external credit assessment institutions for credit risk in accordance with Articles 136(1) and 136(3) of Regulation (EU) No 575/2013 of the European Parliament and of the Council ^{M23}.

Marginal Citations

M23 OJ L275, 12.10.2016, p.3.

164. Commission Implementing Regulation (EU) 2016/1801 of 11 October 2016 on laying down implementing technical standards with regard to the mapping of credit assessments of external credit assessment institutions for securitisation in accordance with Regulation (EU) No 575/2013 of the European Parliament and of the Council ^{M24}.

Marginal Citations

M24 OJ L275, 12.10.2016, p.27.

165. Commission Delegated Regulation (EU) 2017/72 of 23 September 2016 supplementing Regulation (EU) No 575/2013 of the European Parliament and of the Council with regard to regulatory technical standards specifying conditions for data waiver permissions ^{M25}.

Marginal Citations

M25 OJ L10, 14.1.2017, p.1.

166. Commission Delegated Regulation (EU) 2017/2295 of 4 September 2017 supplementing Regulation (EU) No 575/2013 of the European Parliament and of the Council with regard to regulatory technical standards for disclosure of encumbered and unencumbered assets ^{M26}.

Marginal Citations

M26 OJ L329, 13.12.2017, p.6.

167. Commission Delegated Regulation (EU) 2018/171 of 19 October 2017 on supplementing Regulation (EU) No 575/2013 of the European Parliament and of the Council with regard to regulatory technical standards for the materiality threshold for credit obligations past due. ^{M27}

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M27 OJ L32, 6.2.2018, p.1.

168. Commission Delegated Regulation (EU) 2018/728 of 24 January 2018 supplementing Regulation (EU) No 575/2013 of the European Parliament and of the Council with regard to regulatory technical standards for procedures for excluding transactions with non-financial counterparties established in a third country from the own funds requirement for credit valuation adjustment risk ^{M28}.

Marginal Citations

M28 OJ L123, 18.5.2018, p.1.

[^{F1}**168A.** [Commission Delegated Regulation \(EU\) 2018/959](#) of 14 March 2018 supplementing [Regulation \(EU\) No 575/2013](#) of the European Parliament and of the Council with regard to regulatory technical standards of the specification of the assessment methodology under which competent authorities permit institutions to use Advanced Measurement Approaches for operational risk.]

Textual Amendments

F1 Sch. para. 168A inserted (15.3.2019) by [The Financial Regulators Powers \(Technical Standards etc.\) and Markets in Financial Instruments \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/576\)](#), regs. 1(2), 2(7)

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Changes and effects yet to be applied to :

- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)