

STATUTORY INSTRUMENTS

2019 No. 1043

The Financial Services and Markets Act 2000 (Prospectus) Regulations 2019

PART 2

Amendments of the Financial Services and Markets Act 2000

Appointment by FCA of persons to carry out investigations

27.—(1) Section 97 (appointment by FCA of persons to carry out investigations) is amended as follows.

(2) In subsection (1)(a) ^{M1}—

- (a) at the end of sub-paragraph (i) omit “or”,
- (b) in sub-paragraph (ii) omit “prospectus directive or the”, and for “directive;” substitute “directive, or ”,
- (c) after sub-paragraph (ii) insert—
“(iii) any provision of, or made in accordance with, the prospectus regulation;”.

(3) In subsection (1)(b)—

- (a) at the end of sub-paragraph (i) omit “or”,
- (b) in sub-paragraph (ii) omit “prospectus directive or the”, and for “directive;” substitute “directive, or ”,
- (c) after sub-paragraph (ii) insert—
“(iii) any provision of, or made in accordance with, the prospectus regulation;”.

(4) Omit subsection (1)(d).

(5) In subsection (3)(c), for “those mentioned in subsection (1)(d)” substitute “the offences under section 85 ”.

Commencement Information

II Reg. 27 in force at 21.7.2019, see [reg. 1\(1\)](#)

Marginal Citations

M1 Paragraphs (a) and (b) were substituted by paragraph 6 of Schedule 15 to the Companies Act 2006 Schedule 15, paragraph 6, and subsequently amended by [S.I. 2015/1755](#).

Changes to legislation:

There are currently no known outstanding effects for the The Financial Services and Markets Act 2000 (Prospectus) Regulations 2019, Section 27.