

## STATUTORY INSTRUMENTS

# 2019 No. 632

## The Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019

### PART 2

#### Amendments to the Act

#### CHAPTER 12

#### Part 22 of the Act: auditors and actuaries

#### Introduction

66. Part 22 of the Act (auditors and actuaries) is amended as follows.

#### Commencement Information

**I1** Reg. 66 in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1, Sch. 5 para. 1\(1\)](#)), see [reg. 1\(3\)](#)

#### Section 342 (information given by auditor or actuary to a regulator)

67. In section 342 (information given by auditor or actuary to a regulator), in subsection (8) <sup>M1</sup>, for “ “credit institution” and “investment firm” have” substitute “ “investment firm” has”.

#### Commencement Information

**I2** Reg. 67 in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1, Sch. 5 para. 1\(1\)](#)), see [reg. 1\(3\)](#)

#### Marginal Citations

**M1** Subsection (8) was inserted by [S.I. 2013/3115](#).

#### Section 343 (information given by auditor or actuary to a regulator: persons with close links)

68. In section 343 <sup>M2</sup> (information given by auditor or actuary to a regulator: persons with close links)—

- (a) omit subsection (9).
- (b) in subsection (10) <sup>M3</sup>, for “ “credit institution” and “investment firm” have” substitute “ “investment firm” has”.

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**Changes to legislation:** The Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019, CHAPTER 12 is up to date with all changes known to be in force on or before 08 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations. (See end of Document for details) [View outstanding changes](#)

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**Commencement Information**

- I3** Reg. 68 in force at 31.12.2020 on IP completion day (in accordance with [2020 c. 1, Sch. 5 para. 1\(1\)](#)), see [reg. 1\(3\)](#)

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**Marginal Citations**

- M2** Section 343 was amended by section 42 of, and paragraphs 1, 5(1), (2)(a), (2)(b), (3)(a), (3)(b), (3)(c), (4), (5), (6) and (7) of Part 1 of Schedule 13 to, the Financial Services Act 2012 and [S.I. 2013/3115](#).
- M3** Subsection (10) was inserted by [S.I. 2013/3115](#).

**Changes to legislation:**

The Financial Services and Markets Act 2000 (Amendment) (EU Exit) Regulations 2019, CHAPTER 12 is up to date with all changes known to be in force on or before 08 June 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

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**Changes and effects yet to be applied to :**

- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)