
STATUTORY INSTRUMENTS

2019 No. 679

**EXITING THE EUROPEAN UNION
FINANCIAL SERVICES**

**The Uncertificated Securities (Amendment
and EU Exit) Regulations 2019**

*Made - - - - 26th March 2019
Coming into force in accordance with
regulation 1(2) and (3)*

**THE UNCERTIFICATED SECURITIES
(AMENDMENT AND EU EXIT) REGULATIONS 2019**

PART 1

General

1. Citation and commencement

PART 2

Amendment of primary legislation

2. Consequential amendments to the Companies Act 2006
3. Consequential amendments to the Financial Services (Banking Reform) Act 2013

PART 3

Amendment of subordinate legislation

4. Amendments to the Uncertificated Securities Regulations 2001
5. Consequential amendment to the Stamp Duty Reserve Tax Regulations 1986
6. Consequential amendments to the Financial Services and Markets Act 2000 (Regulated Activities) Order 2001
7. Consequential amendment to the Financial Services and Markets Act 2000 (Exemption) Order 2001
8. Consequential amendment to the Financial Services and Markets Act 2000 (Disclosure of Confidential Information) Regulations 2001

Status: This is the original version (as it was originally made).

9. Consequential amendment to the Financial Services and Markets Act 2000 (Excluded Activities and Prohibitions) Order 2014

PART 4

Transitional provisions and review

10. Transitional provisions: interpretation
11. Transitional and saving provisions for Operators
12. Transitional and savings provisions for issuers
13. Review

PART 5

Amendments in connection with exiting the European Union

14. Amendments to the Uncertificated Securities Regulations 2001
 15. Amendment to Article 15 of Regulation EU No. 236/2012
 16. Amendment to the Central Securities Depositories Regulations 2014
- Signature
Explanatory Note