
STATUTORY INSTRUMENTS

2021 No. 1376

The Financial Services Act 2021 (Prudential Regulation of Credit Institutions and Investment Firms) (Consequential Amendments and Miscellaneous Provisions) Regulations 2021

PART 7

Exclusion of certain investment firms from Banking Act 2009 provisions

Description of institution not included within the meaning of “investment firm”

33. An institution which is an “FCA Investment Firm” within the meaning of section 143A (FCA investment firms) of the Financial Services and Markets Act 2000⁽¹⁾ is specified for the purpose of section 258A(2)(b) (“investment firm”) of the Banking Act 2009⁽²⁾.

Commencement Information

II Reg. 33 in force at 1.1.2022, see [reg. 1\(3\)](#)

⁽¹⁾ 2000 c. 8. Section 143A was inserted by Schedule 2 to the Financial Services Act 2021 (c. 22).

⁽²⁾ 2009 c. 1. Section 258A was inserted by section 21 of the Financial Services Act 2012 (c. 21). Section 258A has been amended by S.I. 2013/3115 and 2018/1394.

Changes to legislation:

The Financial Services Act 2021 (Prudential Regulation of Credit Institutions and Investment Firms) (Consequential Amendments and Miscellaneous Provisions) Regulations 2021, PART 7 is up to date with all changes known to be in force on or before 23 July 2024. There are changes that may be brought into force at a future date. Changes that have been made appear in the content and are referenced with annotations.

[View outstanding changes](#)

Changes and effects yet to be applied to :

- Regulations revoked by [2023 c. 29 Sch. 1 Pt. 2](#)