

SCHEDULE 3

Regulation 28

PART 1

Records to be kept by service providers

1. In respect of each individual—
 - (a) full name;
 - (b) date of birth;
 - (c) whether the person is—
 - (i) a child who may be adopted, their parent and guardian;
 - (ii) a person wishing to adopt a child;
 - (iii) an adopted person, their parent, birth parent, former guardian or related person;
 - (d) description of support requested;
 - (e) description of need for support along with any assessment of that need;
 - (f) description of support provided;
 - (g) whether the support is provided on behalf of a local authority under regulations made under section 3(4)(b) of the Act;
 - (h) plans including—
 - (i) adoption support plans;
 - (ii) care and support plans;
 - (iii) placement plans;
 - (i) reviews of plans referred to in sub-paragraph (h).
2. A record of any charges by the service provider to individuals for the provision of support and any additional services.
3. A record of all complaints made by individuals or their representatives or by persons working at the service about the operation of the service, and the action taken by the service provider in respect of any such complaint.
4. A record of all persons working at the service, which must include the following matters—
 - (a) full name and home address;
 - (b) date of birth;
 - (c) qualifications relevant to, and experience of, working with individuals;
 - (d) the dates on which the person commences and ceases to be so employed;
 - (e) whether the person is employed by the service provider under a contract of service, a contract for services, or otherwise than under contract, or is employed by someone other than the service provider;
 - (f) the position the person holds at the service, the work the person performs and the number of hours for which the person is employed each week;
 - (g) a copy of the person's birth certificate and passport (if any);
 - (h) a copy of each reference obtained in respect of the person;
 - (i) training undertaken by the person, supervision and appraisal;
 - (j) records of disciplinary action and any other records in relation to the person's employment;

Status: This is the original version (as it was originally made). This item of legislation is currently only available in its original format.

- (k) a record of the date of the person’s latest DBS certificate and whether there was any action taken as a result of the content of the certificate.

PART 2

Interpretation of Part 1

- 5. For the purposes of paragraph 1 of Part 1 of this Schedule—
 - (a) “related person” has the meaning given in regulation 2(1) of the Adoption Support Services (Local Authorities) (Wales) Regulations 2005;
 - (b) an “adoption support plan” is the plan which sets out the adoption support services the local authority has decided to provide for the child and the adoptive family, how these will be provided and by whom (if applicable);
 - (c) “care and support plan” means a plan for the child made under section 54 or section 83 of the 2014 Act;
 - (d) “placement plan” has the meaning given in regulation 36(2) of the Adoption Agencies (Wales) Regulations 2005.