STATUTORY INSTRUMENTS

2016 No. 790

ENTERPRISE, ENGLAND AND WALES

The Pubs Code etc. Regulations 2016

Made - - - 20th July 2016 Coming into force in accordance with regulation 1(b)

THE PUBS CODE ETC. REGULATIONS 2016

PART 1

- 1. Citation, commencement and extent
- 2. General interpretation
- 3. Significant increase in price: beer
- 4. Significant increase in price: alcoholic drink other than beer
- 5. Significant increase in price: products other than alcoholic drink
- 6. Significant increase in price: services
- 7. Trigger events
- 8. Periods of time

PART 2

- 9. Pubs entry training
- 10. A sustainable business plan
- 11. The required information
- 12. Duty of pub-owning business where tenant intends to assign the tenancy
- 13. Premises
- 14. Short agreements

PART 3

- 15. Duty to provide a rent proposal
- 16. Contents of the rent proposal
- 17. When the rent proposal must be provided
- 18. Further information and advice in relation to the rent proposal

Changes to legislation: There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Pubs Code etc. Regulations 2016. Any changes that have already been made by the team appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes

PART 4

- 19. Duty to conduct a rent assessment or an assessment of money payable in lieu of rent
- 20. The rent assessment proposal
- 21. Conduct of the rent assessment or the assessment of money payable in lieu of rent
- 22. Effect of the rent assessment or the assessment of money payable in lieu of rent

PART 5

- 23. The MRO notice
- 24. A significant increase in the price of a product or service
- 25. A trigger event
- 26. The renewal of a pub arrangement
- 27. A rent assessment or an assessment of money payable in lieu of rent

PART 6

- 28. Arrangements during the MRO procedure: rent etc
- 29. Effect of tenant's notice
- 30. Terms and conditions required in proposed MRO tenancy
- 31. Terms and conditions regarded as unreasonable in relation to proposed MRO tenancy etc
- 32. Failure to acknowledge the tenant's notice, provide a full response etc.
- 33. MRO procedure where a matter is referred to the Adjudicator in connection with the full response
- 34. The negotiation period
- 35. Failure to agree: right to refer to the Adjudicator or independent assessor

PART 7

- 36. Appointment of the independent assessor
- 37. Independent assessor: procedure
- 38. MRO procedure where a referral is made to the Adjudicator in connection with the independent assessor

PART 8

- 39. End of the MRO procedure
- 40. Disputes about rent etc payable during MRO procedure

PART 9

- 41. Business development managers
- 42. Duty to appoint a compliance officer
- 43. Annual compliance report
- 44. Provisions of these Regulations which are not arbitrable

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PART 10

- 45. Pub-owning business to notify Adjudicator and tied pub tenants of status under these Regulations
- 46. Insurance
- 47. Gaming machines
- 48. Blank template for profit and loss account
- 49. Sale of freehold or long leasehold
- 50. Tied pub tenant not to suffer detriment
- 51. Flow monitoring devices

PART 11

- 52. Extended protection to last until the end of a tenancy
- 53. Extended protection to last until a rent assessment is concluded

PART 12

- 54. Short agreements
- 55. Pub franchise agreements
- 56. The investment exception

PART 13

57. Void or unenforceable terms of a tenancy or licence

PART 14

- 58. Referrals to the Adjudicator in connection with the MRO procedure
- 59. Referrals to the Adjudicator in connection with the independent assessor
- 60. Arbitration commenced by pub-owning business
- 61. Information required by the Adjudicator

PART 15

- 62. Adjudicator's determination during referral of a dispute
- 63. Investigations by the Adjudicator
- 64. Adjudicator's determination in relation to UK businesses only

PART 16

- 65. Rent proposals
- 66. Rent assessments
- 67. Market rent only option: the MRO notice

PART 17

68. Review of Parts 11 to 16 Signature

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SCHEDULE 1 — Information specified for the purposes of a new agreement etc.

- 1. Regulations and code of practice
- 2. Where the pub-owning business has a code of practice which...
- 3. The type of tenancy or licence
- 4. Whether the tenancy offered by the pub-owning business is a...
- 5. Whether there is a superior landlord and, if so, the...
- 6. The length of the tenancy, licence or relevant tie agreement...
- 7. Whether the tied pub tenant or the pub-owning business may...
- 8. Details of the pub-owning business's procedures for responding to any...
- 9. In paragraphs 6 to 8 a "relevant tie agreement" is...
- 10. The premises
- 11. Whether the fixtures and fittings in the tied pub are...
- 12. Maintenance and repair
- 13. The pub-owning business's procedures for discharging its obligations to repair...
- 14. Where the tenancy or licence requires the tied pub tenant...
- 15. The procedures to be followed in connection with the respective...
- 16. Where, before entering into a new agreement, a short agreement,...
- 17. Any requirements under the tenancy or licence in relation to...
- 18. (1) The pub-owning business's policy for investing in the premises...
- 19. The respective obligations of the pub-owning business and the tied...
- 20. Obligations in relation to the purchase of tied products and services
- 21. Assignment
- 22. Advice and support
- 23. The pub-owning business's policy (if any) for dealing with requests...
- 24. The circumstances (if any) in which the pub-owning business may...
- 25. The events, the occurrence of which, entitle the tied pub...
- 26. Insurance
- 27. Where the tied pub tenant is responsible for insuring the...
- 28. Payment of rent and deposits
- 29. Where the tenancy or licence provides that the rent or...
- 30. In cases where damage to the premises to which the...
- 31. Information in respect of other support available in cases where...
- 32. Details of any other fees, charges, including service charges, or...
- 33. Additional information where new agreement is a pub franchise agreement
- 34. Other obligations
- 35. Breaches
- 36. Where a tied pub tenant is in breach of the...
- 37. Information about the procedures which may be followed by the...

SCHEDULE 2 — Information specified for the purposes of a rent proposal or a rent assessment proposal

- 1. A summary of the methods which must be used under...
- 2. An outline of the procedure to be followed during negotiations...
- 3. A list of the matters which will be considered to...
- 4. Information in respect of the cost of service charges relating...
- 5. A forecast profit and loss statement for the tied pub...
- 6. The figures which are provided under paragraph 5 must be...
- 7. The profit and loss statement provided under paragraph 5 must...
- 8. The statement, figures and other information which the pub-owning business...

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- 9. Any information which the pub-owing business provides under paragraph 5....
- 10. In paragraph 5(c) "duty" means any duty of excise charged...
- 11. Any information in Schedule 1 which—(a) the tied pub...
- 12. A timetable specifying the dates on which any other information...

SCHEDULE 3 — Documents to which the independent assessor must have regard

- 1. Documents held by the tied pub tenant or the pub-owning...
- 2. Documents held by the tied pub tenant or the pub-owning...
- 3. For the purposes of paragraph 2, a "reasonable forecast" is...
- 4. Documents held by the tied pub tenant or the pub-owning...

Explanatory Note

Changes to legislation:

There are outstanding changes not yet made by the legislation.gov.uk editorial team to The Pubs Code etc. Regulations 2016. Any changes that have already been made by the team appear in the content and are referenced with annotations.

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Changes and effects yet to be applied to:
      reg. 2(1) words inserted by S.I. 2022/341 Sch. 2 para. 2(2)
      reg. 2(1) words inserted by S.I. 2022/341 Sch. 2 para. 2(3)
      reg. 2(1) words inserted by S.I. 2022/341 Sch. 2 para. 2(4)
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      reg. 2(1) words omitted by S.I. 2022/341 Sch. 2 para. 2(6)
      reg. 2(1) words substituted by S.I. 2022/341 Sch. 2 para. 2(5)
      reg. 3(4)(d) word substituted by S.I. 2022/341 Sch. 2 para. 3
      reg. 4(4)(d) word substituted by S.I. 2022/341 Sch. 2 para. 3
      reg. 5(4)(d) word substituted by S.I. 2022/341 Sch. 2 para. 3
      reg. 6(4)(d) word substituted by S.I. 2022/341 Sch. 2 para. 3
      reg. 23(2)(b) words inserted by S.I. 2022/341 Sch. 2 para. 4
      reg. 29(3)(b) words omitted by S.I. 2022/341 Sch. 2 para. 5(2)
      reg. 29(3)(c) words omitted by S.I. 2022/341 Sch. 2 para. 5(2)
      reg. 29(7) words inserted by S.I. 2022/341 Sch. 2 para. 5(5)
      reg. 29(8) words inserted by S.I. 2022/341 Sch. 2 para. 5(5)
      reg. 29(9)(b) words substituted by S.I. 2022/341 Sch. 2 para. 5(6)
      reg. 30(1)(c) words substituted by S.I. 2022/341 Sch. 2 para. 6(2)
      reg. 31(1)(c) words substituted by S.I. 2022/341 Sch. 2 para. 7
      reg. 31(5)(c) words substituted by S.I. 2022/341 Sch. 2 para. 7
      reg. 32 substituted by S.I. 2022/341 Sch. 2 para. 8
      reg. 34 omitted by S.I. 2022/341 Sch. 2 para. 11
      reg. 35 substituted by S.I. 2022/341 Sch. 2 para. 12
      reg. 36 words substituted by S.I. 2022/341 Sch. 2 para. 13
      reg. 39(4)(c) substituted by S.I. 2022/341 Sch. 2 para. 14(2)
      reg. 39(4)(e) words substituted by S.I. 2022/341 Sch. 2 para. 14(3)
      reg. 53 words inserted by S.I. 2022/341 Sch. 2 para. 17(a)
      reg. 53 words substituted by S.I. 2022/341 Sch. 2 para. 17(b)
      reg. 56(13) words substituted by S.I. 2022/341 Sch. 2 para. 18
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Changes and effects yet to be applied to the whole Instrument associated Parts and Chapters:

Whole provisions yet to be inserted into this Instrument (including any effects on those provisions):

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reg. 29(3)(d) inserted by S.I. 2022/341 Sch. 2 para. 5(3)
reg. 29(5A) inserted by S.I. 2022/341 Sch. 2 para. 5(4)
reg. 30(3) inserted by S.I. 2022/341 Sch. 2 para. 6(3)
reg. 32A-32C inserted by S.I. 2022/341 Sch. 2 para. 9
reg. 3333A substituted for reg. 33 by S.I. 2022/341 Sch. 2 para. 10
reg. 39(4)(h) inserted by S.I. 2022/341 Sch. 2 para. 14(4)
reg. 44(d) inserted by S.I. 2022/341 Sch. 2 para. 15
reg. 45A inserted by S.I. 2022/341 Sch. 2 para. 16
reg. 58(1)(b)(ba) substituted for reg. 58(1)(b) by S.I. 2022/341 Sch. 2 para. 19
reg. 60(1)(c)(ii)(iia) substituted for reg. 60(1)(c)(ii) by S.I. 2022/341 Sch. 2 para. 20
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