# Directive 98/79/EC of the European Parliament and of the Council of 27 October 1998 on in vitro diagnostic medical devices

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#### ANNEX I

#### ESSENTIAL REQUIREMENTS

#### A. GENERAL REQUIREMENTS

- 1. The devices must be designed and manufactured in such a...
- 2. The solutions adopted by the manufacturer for the design and...
- 3. The devices must be designed and manufactured in such a...
- 4. The characteristics and performances referred to in sections 1 and...
- 5. The devices must be designed, manufactured and packed in such...

#### B. DESIGN AND MANUFACTURING REQUIREMENTS

- 1. Chemical and physical properties
  - 1.1. The devices must be designed and manufactured in such a...
  - 1.2. The devices must be designed, manufactured and packed in such...
- 2. Infection and microbial contamination
  - 2.1. The devices and their manufacturing processes must be designed in...
  - 2.2 Where a device incorporates biological substances, the risks of infection...

- 2.3. Devices labelled either as 'STERILE' or as having a special...
- 2.4. Devices labelled either as 'STERILE' or as having a special...
- 2.5. Packaging systems for devices other than those referred to in...
- 2.6. Devices intended to be sterilised must be manufactured in appropriately...
- 2.7. Packaging systems for non-sterile devices must keep the product without...
- 3. Manufacturing and environmental properties
  - 3.1. If the device is intended for use in combination with...
  - 3.2. Devices must be designed and manufactured in such a way...
  - 3.3. Devices must be designed and manufactured in such a way...
  - 3.4. Devices must be designed and manufactured in such a way...
  - 3.5. Devices must be designed and manufactured in such a way...
  - 3.6. The measuring, monitoring or display scale (including colour change and...
- 4. Devices which are instruments or apparatus with a measuring function...
  - 4.1. Devices which are instruments or apparatus having a primary analytical...
  - 4.2. When values are expressed numerically, they must be given in...
- 5. Protection against radiation
  - 5.1. Devices shall be designed, manufactured and packaged in such a...
  - 5.2. When devices are intended to emit potentially hazardous, visible and/or...
  - 5.3. The operating instructions for devices emitting radiation must give detailed...
- 6. Requirements for medical devices connected to or equipped with an...
  - 6.1. Devices incorporating electronic programmable systems, including software, must be designed...
  - 6.2. Devices must be designed and manufactured in such a way...
  - 6.3. Devices must be designed and manufactured in such a way...
  - 6.4. Protection against mechanical and thermal risks
    - 6.4.1. Devices must be designed and manufactured in such a way...
    - 6.4.2. Devices must be designed and manufactured in such a way...
    - 6.4.3. Devices must be designed and manufactured in such a way...
    - 6.4.4. Terminals and connectors to electricity, gas or hydraulic and pneumatic...
    - 6.4.5. Accessible parts of the devices (excluding the parts of areas...
- 7. Requirements for devices for self-testing
  - 7.1. Devices for self-testing must be designed and manufactured in such...
  - 7.2. Devices for self-testing must, where reasonably possible, include user control....
- 8. Information supplied by the manufacturer
  - 8.1. Each device must be accompanied by the information needed to...
  - 8.2. Where appropriate, the information to be supplied should take the...
  - 8.3. In the case of devices containing or a preparation which...
  - 8.4. The label must bear the following particulars which may take...
  - 8.5. If the intended purpose of the device is not obvious...
  - 8.6. Wherever reasonable and practicable, the devices and separate components must...
  - 8.7. Where appropriate, the instructions for use must contain the following...

#### ANNEX II

#### LIST OF DEVICES REFERRED TO IN ARTICLE 9(2) AND (3)

List A

Reagents and reagent products, including related calibrators and control materials,...

List B

Reagents and reagent products, including related calibrators and control materials,...

#### ANNEX III

#### EC DECLARATION OF CONFORMITY

- 1. The EC declaration of conformity is the procedure whereby the...
- 2. The manufacturer must prepare the technical documentation described in section...
- 3. The technical documentation must allow assessment of the conformity of...
- 4. The manufacturer shall take necessary measures to ensure that the...
- 5. The manufacturer shall institute and keep up to date a...
- 6. For devices for self-testing the manufacturer shall lodge an application...
  - 6.1. The application shall enable the design of the device to...
  - 6.2. The notified body shall examine the application and, if the...
  - 6.3. The applicant shall inform the notified body which issued the...

#### ANNEX IV

#### EC DECLARATION OF CONFORMITY

- 1. The manufacturer must ensure application of the quality system approved...
- 2. The declaration of conformity is the procedure whereby the manufacturer...
- 3. Quality system
  - 3.1. The manufacturer must lodge an application for assessment of his...
  - 3.2. Application of the quality system must ensure that the devices...
  - 3.3. The notified body must audit the quality system to determine...
  - 3.4. The manufacturer must inform the notified body which approved the...
- 4. Examination of the design of the product
  - 4.1. For devices covered by Annex II, List A, in addition...
  - 4.2. The application must describe the design, manufacture and performances of...
  - 4.3. The notified body must examine the application and, if the...
  - 4.4. Changes to the approved design must receive further approval from...
  - 4.5. The manufacturer shall inform the notified body without delay if...
- 5. Surveillance

- 5.1. The aim of surveillance is to ensure that the manufacturer...
- 5.2. The manufacturer must authorise the notified body to carry out...
- 5.3. The notified body must periodically carry out appropriate inspections and...
- 5.4. In addition, the notified body may pay unannounced visits to...
- 6. Verification of manufactured products covered by Annex II, List A...
  - 6.1. In the case of devices covered by Annex II, List...
  - 6.2. The manufacturer may place the devices on the market, unless...

#### ANNEX V

#### **EC TYPE-EXAMINATION**

- 1. EC type-examination is the part of the procedure whereby a...
- 2. The application for EC type-examination shall be lodged by the...
- 3. The documentation must allow an understanding of the design, the...
- 4. The notified body shall:
  - 4.1. examine and assess the documentation and verify that the type...
  - 4.2. perform or have performed appropriate examinations and the tests necessary...
  - 4.3. carry out or ask for the appropriate examinations and the...
  - 4.4. agree with the applicant on the place where the necessary...
- 5. If the type conforms to the provisions of this Directive,...
- 6. The manufacturer shall inform the notified body without delay if...
  - 6.1. Changes to the approved device must receive further approval from...
- 7. Administrative provisions

#### ANNEX VI

#### **EC VERIFICATION**

- 1. EC verification is the procedure whereby the manufacturer or his...
- 2.1. The manufacturer must take all the measures necessary to ensure...
- 2.2. To the extent that for certain aspects the final testing...
- 3. The manufacturer must undertake to institute and keep up to...
- 4. The notified body must carry out the appropriate examinations and...
- 5. Verification by examination and testing of every product
  - 5.1. Every product is examined individually and the appropriate tests defined...
  - 5.2. The notified body must affix, or have affixed, its identification...
- 6. Statistical verification
  - 6.1. The manufacturer must present the manufactured products in the form...

- 6.2. One or more random samples, as necessary, are taken from...
- 6.3. Statistical control of products will be based on attributes and/or...
- 6.4. If the batch is accepted, the notified body affixes, or...

#### ANNEX VII

#### EC DECLARATION OF CONFORMITY

- 1. The manufacturer must ensure application of the quality system approved...
- 2. The declaration of conformity is the part of the procedure...
- 3. Quality system
  - 3.1. The manufacturer must lodge an application for assessment of his...
  - 3.2. Application of the quality system must ensure that the devices...
  - 3.3. The notified body must audit the quality system to determine...
  - 3.4. The manufacturer shall inform the notified body which approved the...
- 4. Surveillance
- 5. Verification of manufactured products covered by Annex II, List A...
  - 5.1. In the case of devices covered by Annex II, List...
  - 5.2. The manufacturer may place the devices on the market, unless...

#### ANNEX VIII

## STATEMENT AND PROCEDURES CONCERNING DEVICES FOR PERFORMANCE EVALUATION

- 1. For devices for performance evaluation the manufacturer or his authorised...
- 2. The statement shall contain the following information:
- 3. The manufacturer shall also undertake to keep available for the...
- 4. The provisions of Article 10(1), (3) and (5) shall apply...

#### ANNEX IX

#### CRITERIA FOR THE DESIGNATION OF NOTIFIED BODIES

- 1. The notified body, its director and the assessment and verification...
- 2. The notified body and its staff must carry out the...
- 3. The notified body must be able to carry out all...
- 4. The inspection staff must have:
- 5. The impartiality of the inspection staff must be guaranteed. Their...

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- 6. The body must take out civil liability insurance, unless liability...
- 7. The staff of the inspection body are bound to observe...

### ANNEX X CE MARKING OF CONFORMITY

If the marking is reduced or enlarged the proportions given...

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- (1) OJ C 172, 7.7.1995, p. 21 and OJ C 87, 18.3.1997, p. 9.
- (2) OJ C 18, 22.1.1996, p. 12.
- (3) Opinion of the European Parliament of 12 March 1996 (OJ C 96, 1.4.1996, p. 31), Council common position of 23 March 1998 (OJ C 178, 10.6.1998, p. 7) and Decision of the European Parliament of 18 June 1998 (OJ C 210, 6.7.1998). Council Decision of 5 October 1998.
- (4) OJ C 136, 4.6.1985, p. 1.
- (5) OJ L 189, 20.7.1990, p. 17. Directive as last amended by Directive 93/68/EEC (OJ L 220, 30.8.1993, p. 1).
- **(6)** OJ L 169, 12.7.1993, p. 1.
- (7) OJ L 207, 23.7.1998, p. 1.
- (8) OJ L 159, 29.6.1996, p. 1.
- (9) OJ L 139, 23.5.1989, p. 19. Directive as last amended by Directive 93/68/EEC (OJ L 220, 30.8.1993, p. 1).
- (10) OJ L 204, 21.7.1998, p. 37. Directive as last amended by Directive 98/48/EC (OJ L 217, 5.8.1998, p. 18).
- (11) OJ L 220, 30.8.1993, p. 23.
- (12) OJ L 197, 18.7.1987, p. 33.
- (13) OJ C 102, 4.4.1996, p. 1.