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ANNEX I

LIST OF ACTIVITIES SUBJECT TO MUTUAL RECOGNITION

1.
2.
3.
4.
5.
6.
7. Trading for own account or for account of customers in:
.....
8.
9.
10.
11.
12.
13.
14.

ANNEX II

CLASSIFICATION OF OFF-BALANCE-SHEET ITEMS

-
-
-
-
-
-
-
-
-
-

ANNEX III

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THE TREATMENT OF OFF-BALANCE-SHEET ITEMS

1. CHOICE OF THE METHOD

.....

2. METHODS

Method the 'mark to market' approach
1:

.....

Method the 'original exposure' approach
2:

.....

.....

3. CONTRACTUAL NETTING (CONTRACTS FOR NOVATION AND OTHER NETTING AGREEMENTS)

(a) Types of netting that competent authorities may recognise

.....

.....

.....

(b) Conditions for recognition

.....

.....

.....

.....

.....

.....

(c) Effects of recognition

(i) Contracts for novation

.....

.....

.....

(ii) Other netting agreements

.....

.....

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ANNEX IV

TYPES OF OFF-BALANCE-SHEET ITEMS

1. **Interest-rate contracts:**
.....
2. **Foreign-exchange contracts and contracts concerning gold:**
.....
3. **Contracts of a nature similar to those in points 1(a) to (e) and 2(a) to (d) concerning other reference items or indices concerning:**
.....

ANNEX V

PART A

REPEALED DIRECTIVES TOGETHER WITH THEIR SUCCESSIVE AMENDMENTS

(referred to in Article 67)

.....

PART B

DEADLINES FOR IMPLEMENTATION

(referred to in Article 67)

.....

ANNEX VI

CORRELATION TABLE

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