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ANNEX III

PART A

**Health status of aquaculture zones or compartments
to be considered for the application of Article 12**

Aquaculture animals for farming and restocking

Category	Health status	May introduce animals from	Health certification		May dispatch animals to
			Introduction	Dispatching	
I	Disease-free (Articles 49 or 50)	Only category I	YES	NO when dispatched to category III or V YES when dispatched to categories I, II or IV	All categories
II	Surveillance Programme (Article 44(1))	Only category I	YES	NO	Categories III and V
III	Undetermined (not known to be infected but not subject to a programme for achieving disease-free status)	Categories I, II, or III	NO	NO	Categories III and V
IV	Eradication Programme (Article 44(2))	Only category I	YES	YES	Only category V
V	Infected (Article 39)	All categories	NO	YES	Only category V

PART B

Recommended surveillance and inspections on farms and mollusc-farming areas

Species present	Health status	Risk level	Surveillance	Recommended inspection	Recommended inspection	Specific requirements	Comments
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	as referred to in Part A			frequency by the competent authority (Article 7)	frequency by qualified aquatic animal health services (Article 10)	for inspections, sampling and surveillance necessary to maintain the health status	
No species susceptible to the diseases listed in Annex IV	Category I Declared disease-free in accordance with Article 49(1)(a) or (b) or Article 50(1)(a) or (b).	Low	Passive	1 every 4 years	1 every 4 years	Specific requirements for the maintenance of the disease-free status in accordance with Article 52.	The recommended frequencies shall apply without prejudice to the specific requirements mentioned for each health status.
Species susceptible to one or more of the diseases listed in Annex IV	Category I Declared disease-free in accordance with of Article 49(1)(c) or of Article 50(1)(c).	High	Active, targeted or passive	1 every year	1 every year	Specific requirements in accordance with Article 44(1).	However, where possible, such inspections and sampling should be combined with the inspections required pursuant to Articles 7 and 10. The aim of inspections by the competent authority is to check compliance with this Directive
		Medium		1 every 2 years	1 every 2 years		
		Low		1 every 4 years	1 every 2 years		
	Category II Not declared disease-free but subject to a surveillance programme approved in accordance with	High	Targeted	1 every year	1 every year		
		Medium		1 every 2 years	1 every 2 years		
		Low		1 every 4 years	1 every 2 years		

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Article 44(1).						in accordance with Article 7. The aim of inspections by qualified aquatic animal health services is to check the health status of the animals, to advise the aquaculture production business operator on aquatic animal health issues, and where necessary, undertake the necessary veterinary measures.
Category III Not known to be infected but not subject to surveillance programme for achieving disease-free status.	High	Active	1 every year	3 every year		
	Medium		1 every year	2 every year		
	Low		1 every 2 years	1 every year		
Category IV Known to be infected but subject to an eradication programme approved in accordance with Article 44(2).	High	Targeted	1 every year	1 every year	Specific requirements in accordance with Article 44(2).	
	Medium		1 every 2 years	1 every 2 years		
	Low		1 every 4 years	1 every 2 years		
Category V Known to be infected. Subject to minimum control measures as provided for in Chapter V.	High	Passive	1 every 4 years	1 every year	Specific requirements in accordance with Chapter V.	
	Medium		1 every 4 years	1 every 2 years		
	Low		1 every 4 years	1 every 4 years		

Risk levels

A high-risk farm or mollusc farming area is a farm or mollusc farming area which:

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- (a) has a high risk of spreading diseases to or contracting diseases from other farms or wild stocks;
- (b) operates under farming conditions which could increase the risk of disease outbreaks (high biomass, low water quality), taking into account the species present;
- (c) sells live aquatic animals for further farming or restocking.

A medium-risk farm or mollusc farming area is a farm or mollusc farming area which:

- (a) has medium risk of spreading diseases to or contracting diseases from other farms or wild stocks;
- (b) operates under farming conditions which would not necessarily increase the risk of disease outbreaks (medium biomass and water quality), taking into account the species present;
- (c) sells live aquatic animals mainly for human consumption.

A low-risk farm of mollusc farming area is a farm or mollusc farming area which:

- (a) has a low risk of spreading diseases to or contracting diseases from other farms or wild stocks;
- (b) operates under farming conditions which would not increase the risk of disease outbreaks (low biomass, good water quality), taking into account the species present;
- (c) sells live aquatic animals for human consumption only.

Types of health surveillance

Passive surveillance shall include mandatory immediate notification of the occurrence or suspicion of specified diseases or of any increased mortalities. In such cases investigation in accordance with Section 2 of Chapter V shall be required.

Active surveillance shall include:

- (a) routine inspection by the competent authority or by other qualified health services on behalf of the competent authorities;
- (b) examination of the aquaculture animal population on the farm or in the mollusc farming area for clinical disease;
- (c) diagnostic samples to be collected on suspicion of a listed disease or observed increased mortality during inspection;
- (d) mandatory immediate notification of occurrence or suspicion of specified diseases or of any increased mortalities.

Targeted surveillance shall include:

- (a) routine inspection by the competent authority or by other qualified health services on behalf of the competent authorities;
- (b) prescribed samples of aquaculture animals to be taken and tested for specific pathogen(s) by specified methods;
- (c) mandatory immediate notification of occurrence or suspicion of specified diseases or of any increased mortalities.