

Directive 2011/89/EU of the European Parliament and of the Council  
of 16 November 2011 amending Directives 98/78/EC, 2002/87/EC,  
2006/48/EC and 2009/138/EC as regards the supplementary supervision  
of financial entities in a financial conglomerate (Text with EEA relevance)

Article 1	Amendments to Directive 98/78/EC
Article 2	Amendments to Directive 2002/87/EC
Article 3	Amendments to Directive 2006/48/EC
Article 4	Amendments to Directive 2009/138/EC
Article 5	Review
Article 6	Transposition
Article 7	Entry into force
Article 8	Addressees
	Signature

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ANNEX I

Annexes I and II to Directive 98/78/EC are amended as...  
Annex I is amended as follows: in Section 2.1: the...

ANNEX II

In Annex I to Directive 2002/87/EC, under ‘II. Technical  
Calculation...  
Method 3 “Combination method” Competent authorities may  
allow a combination...

ANNEX III

In Directive 2006/48/EC, point 30 of Section 3 of Part...  
30. When an Advanced Measurement Approach is intended to  
be...

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*Status: EU Directives are being published on this site to aid cross referencing from UK legislation. After IP completion day (31 December 2020 11pm) no further amendments will be applied to this version.*

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- (1) [OJ C 62, 26.2.2011, p. 1.](#)
- (2) Position of the European Parliament of 5 July 2011 (not yet published in the Official Journal) and decision of the Council of 8 November 2011.
- (3) [OJ L 35, 11.2.2003, p. 1.](#)
- (4) First Council Directive 73/239/EEC of 24 July 1973 on the coordination of laws, regulations and administrative provisions relating to the taking-up and pursuit of the business of direct insurance other than life assurance ([OJ L 228, 16.8.1973, p. 3.](#))
- (5) Council Directive 92/49/EEC of 18 June 1992 on the coordination of laws, regulations and administrative provisions relating to direct insurance other than life assurance (third non-life insurance Directive) ([OJ L 228, 11.8.1992, p. 1.](#))
- (6) Directive 98/78/EC of the European Parliament and of the Council of 27 October 1998 on the supplementary supervision of insurance and reinsurance undertakings in an insurance or reinsurance group ([OJ L 330, 5.12.1998, p. 1.](#))
- (7) Directive 2002/83/EC of the European Parliament and of the Council of 5 November 2002 concerning life assurance ([OJ L 345, 19.12.2002, p. 1.](#))
- (8) Directive 2004/39/EC of the European Parliament and of the Council of 21 April 2004 on markets in financial instruments ([OJ L 145, 30.4.2004, p. 1.](#))
- (9) Directive 2005/68/EC of the European Parliament and of the Council of 16 November 2005 on reinsurance ([OJ L 323, 9.12.2005, p. 1.](#))
- (10) Directive 2006/48/EC of the European Parliament and of the Council of 14 June 2006 relating to the taking up and pursuit of the business of credit institutions ([OJ L 177, 30.6.2006, p. 1.](#))
- (11) Directive 2006/49/EC of the European Parliament and of the Council of 14 June 2006 on the capital adequacy of investment firms and credit institutions ([OJ L 177, 30.6.2006, p. 201.](#))
- (12) Directive 2009/65/EC of the European Parliament and of the Council of 13 July 2009 on the coordination of laws, regulations and administrative provisions relating to undertakings for collective investment in transferable securities (UCITS) ([OJ L 302, 17.11.2009, p. 32.](#))
- (13) Directive 2009/138/EC of the European Parliament and of the Council of 25 November 2009 on the taking-up and pursuit of the business of Insurance and Reinsurance (Solvency II) ([OJ L 335, 17.12.2009, p. 1.](#))
- (14) Directive 2011/61/EU of the European Parliament and of the Council of 8 June 2011 on Alternative Investment Fund Managers ([OJ L 174, 1.7.2011, p. 1.](#))
- (15) [OJ L 331, 15.12.2010, p. 12.](#)
- (16) [OJ L 331, 15.12.2010, p. 48.](#)
- (17) [OJ L 331, 15.12.2010, p. 84.](#)