

Directive 2014/33/EU of the European Parliament and of the Council of 26 February 2014 on the harmonisation of the laws of the Member States relating to lifts and safety components for lifts (recast) (Text with EEA relevance)

CHAPTER I

GENERAL PROVISIONS

- Article 1 Scope
- Article 2 Definitions
- Article 3 Free movement
- Article 4 Placing on the market, making available on the market and putting into service
- Article 5 Essential health and safety requirements
- Article 6 Buildings or constructions in which lifts are installed

CHAPTER II

OBLIGATIONS OF ECONOMIC OPERATORS

- Article 7 Obligations of installers
- Article 8 Obligations of manufacturers
- Article 9 Authorised representatives
- Article 10 Obligations of importers
- Article 11 Obligations of distributors
- Article 12 Cases in which the obligations of manufacturers apply to importers or distributors
- Article 13 Identification of economic operators

CHAPTER III

CONFORMITY OF LIFTS AND SAFETY COMPONENTS FOR LIFTS

- Article 14 Presumption of conformity of lifts and safety components for lifts
- Article 15 Conformity assessment procedures for safety components for lifts
- Article 16 Conformity assessment procedures for lifts
- Article 17 EU declaration of conformity
- Article 18 General principles of the CE marking
- Article 19 Rules and conditions for affixing the CE marking and other markings

CHAPTER IV

NOTIFICATION OF CONFORMITY ASSESSMENT BODIES

- Article 20 Notification
- Article 21 Notifying authorities
- Article 22 Requirements relating to notifying authorities
- Article 23 Information obligation on notifying authorities

Article 24	Requirements relating to notified bodies
Article 25	Presumption of conformity of notified bodies
Article 26	Subsidiaries of and subcontracting by notified bodies
Article 27	Application for notification
Article 28	Notification procedure
Article 29	Identification numbers and lists of notified bodies
Article 30	Changes to notifications
Article 31	Challenge to the competence of notified bodies
Article 32	Operational obligations of notified bodies
Article 33	Appeal against decisions of notified bodies
Article 34	Information obligation on notified bodies
Article 35	Exchange of experience
Article 36	Coordination of notified bodies

CHAPTER V

UNION MARKET SURVEILLANCE CONTROL OF LIFTS OR SAFETY COMPONENTS FOR LIFTS ENTERING THE UNION MARKET AND UNION SAFEGUARD PROCEDURE

Article 37	Union market surveillance and control of lifts or safety components for lifts entering the Union market
Article 38	Procedure for dealing with lifts or safety components for lifts presenting a risk at national level
Article 39	Union safeguard procedure
Article 40	Compliant lifts or safety components for lifts which present a risk
Article 41	Formal non-compliance

CHAPTER VI

COMMITTEE PROCEDURE, TRANSITIONAL AND FINAL PROVISIONS

Article 42	Committee procedure
Article 43	Penalties
Article 44	Transitional provisions
Article 45	Transposition
Article 46	Review
Article 47	Repeal
Article 48	Entry into force and application
Article 49	Addressees

ANNEX I

ESSENTIAL HEALTH AND SAFETY REQUIREMENTS

PRELIMINARY REMARKS

1. Obligations under essential health and safety requirements apply only where...
2. The essential health and safety requirements contained in the Directive...
3. The manufacturer and the installer are under an obligation to...
1. General

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- 1.1. Application of Directive 2006/42/EC
- 1.2. Carrier
- 1.3. Means of suspension and means of support
- 1.4. Control of loading (including overspeed)
 - 1.4.1. Lifts must be so designed, constructed and installed as to...
 - 1.4.2. Lifts must be equipped with an overspeed governor.
 - 1.4.3. Fast lifts must be equipped with a speed-monitoring and speed-limiting...
 - 1.4.4. Lifts driven by friction pulleys must be designed so as...
- 1.5. Machinery
 - 1.5.1. All passenger lifts must have their own individual lift machinery....
 - 1.5.2. The installer must ensure that the lift machinery and the...
- 1.6. Controls
 - 1.6.1. The controls of lifts intended for use by unaccompanied disabled...
 - 1.6.2. The function of the controls must be clearly indicated.
 - 1.6.3. The call circuits of a group of lifts may be...
 - 1.6.4. Electrical equipment must be so installed and connected that:
2. Risks for persons outside the car
 - 2.1. The lift must be designed and constructed to ensure that...
 - 2.2. The lift must be designed and constructed to prevent the...
 - 2.3. The landings at the entrance and exit of the car...
3. Risks for persons in the car
 - 3.1. Lift cars must be completely enclosed by full-length walls, fitted...
 - 3.2. In the event of a power cut or failure of...
 - 3.3. Buffers must be installed between the bottom of the shaft...
 - 3.4. Lifts must be so designed and constructed as to make...
4. Other risks
 - 4.1. The landing doors and car doors or the two doors...
 - 4.2. Landing doors, where they have to contribute to the protection...
 - 4.3. Counterweights must be so installed as to avoid any risk...
 - 4.4. Lifts must be equipped with means enabling people trapped in...
 - 4.5. Cars must be fitted with two-way means of communication allowing...
 - 4.6. Lifts must be so designed and constructed that, in the...
 - 4.7. Cars must be designed and constructed to ensure sufficient ventilation...
 - 4.8. The car should be adequately lit whenever in use or...
 - 4.9. The means of communication referred to in point 4.5 and...
 - 4.10. The control circuits of lifts which may be used in...
5. Marking
 - 5.1. In addition to the minimum particulars required for any machine...
 - 5.2. If the lift is designed to allow people trapped in...
6. Instructions
 - 6.1. The safety components for lifts referred to in Annex III...
 - 6.2. Each lift must be accompanied by instructions. The instructions shall...

ANNEX II

A. CONTENT OF THE EU DECLARATION OF CONFORMITY FOR SAFETY COMPONENTS...

B. CONTENT OF THE EU DECLARATION OF CONFORMITY FOR LIFTS

ANNEX III

LIST OF SAFETY COMPONENTS FOR LIFTS

1. Devices for locking landing doors.
2. Devices to prevent falls referred to in point 3.2 of...
3. Overspeed limitation devices.
4. Energy-accumulating buffers: non-linear, or with damping of the return movement....
5. Safety devices fitted to jacks of hydraulic power circuits where...
6. Electric safety devices in the form of safety circuits containing...

ANNEX IV

EU-TYPE EXAMINATION FOR LIFTS AND SAFETY COMPONENTS FOR LIFTS

- A. EU-type examination of safety components for lifts
 1. EU-type examination is the part of a conformity assessment procedure...
 2. The application for EU-type examination shall be lodged by the...
 3. The technical documentation shall make it possible to assess whether...
 4. The notified body shall:
 5. Where the type of the safety component for lifts meets...
 6. The notified body shall keep itself apprised of any changes...
 7. The manufacturer shall inform the notified body that holds the...
 8. Each notified body shall inform its notifying authority concerning the...
 9. The Commission, the Member States and the other notified bodies...
 10. The manufacturer shall keep with the technical documentation a copy...
 11. Authorised representative
- B. EU-type examination of lifts
 1. EU-type examination of lifts is the part of a conformity...
 2. The application for EU-type examination shall be lodged by the...
 3. The technical documentation shall make it possible to assess the...
 4. The notified body shall:
 5. The notified body shall draw up an evaluation report that...
 6. Where the type meets the essential health and safety requirements...
 7. The notified body shall keep itself apprised of any changes...
 8. The installer shall inform the notified body of any modifications...
 9. Each notified body shall inform its notifying authority concerning the...
 10. The Commission, the Member States and the other notified bodies...
 11. The installer shall keep with the technical documentation a copy...
 12. Authorised representative

ANNEX V

FINAL INSPECTION FOR LIFTS

1. Final inspection is the part of a conformity assessment procedure...
2. Obligations of the installer
3. Final inspection
 - 3.1. The installer shall lodge an application for final inspection with...
 - 3.2. The examinations shall include at least one of the following:...
 - 3.3. The tests of the lift shall include at least the...
4. If the lift satisfies the essential health and safety requirements...
5. CE marking and EU declaration of conformity
 - 5.1. The installer shall affix the CE marking in the car...
 - 5.2. The installer shall draw up a written EU declaration of...
6. The Commission and the Member States may obtain a copy...
7. Authorised representative

ANNEX VI

CONFORMITY TO TYPE BASED ON PRODUCT QUALITY ASSURANCE FOR SAFETY COMPONENTS FOR LIFTS

1. Conformity to type based on product quality assurance for safety...
2. Obligations of the manufacturer
3. Quality system
 - 3.1. The manufacturer shall lodge an application for assessment of his...
 - 3.2. Under the quality system, each safety component for lifts shall...
 - 3.3. The notified body shall assess the quality system to determine...
 - 3.4. The manufacturer shall undertake to fulfil the obligations arising from...
 - 3.5. The manufacturer or his authorised representative shall keep the notified...
4. Surveillance under the responsibility of the notified body
 - 4.1. The purpose of surveillance is to make sure that the...
 - 4.2. The manufacturer shall for assessment purposes allow the notified body...
 - 4.3. The notified body shall periodically carry out audits to ensure...
 - 4.4. Additionally, the notified body may pay unexpected visits to the...
5. CE marking and EU declaration of conformity
 - 5.1. The manufacturer shall affix the CE marking, and, under the...
 - 5.2. The manufacturer shall draw up a written EU declaration of...
6. The manufacturer shall for a period ending 10 years after...
7. Each notified body shall inform its notifying authority of quality...
8. Authorised representative

ANNEX VII

CONFORMITY BASED ON FULL QUALITY ASSURANCE FOR SAFETY COMPONENTS FOR LIFTS

1. Conformity based on full quality assurance for safety components for...
2. Obligations of the manufacturer
3. Quality system
 - 3.1. The manufacturer shall lodge an application for assessment of his...
 - 3.2. The quality system shall ensure compliance of the safety components...
 - 3.3. The notified body shall assess the quality system to determine...
 - 3.4. The manufacturer shall undertake to fulfil the obligations arising from...
 - 3.5. The manufacturer shall keep the notified body which has approved...
4. Surveillance under the responsibility of the notified body
 - 4.1. The purpose of surveillance is to make sure that the...
 - 4.2. The manufacturer shall for assessment purposes allow the notified body...
 - 4.3. The notified body shall carry out periodic audits to make...
 - 4.4. Additionally, the notified body may pay unexpected visits to the...
5. CE marking and EU declaration of conformity
 - 5.1. The manufacturer shall affix the CE marking, and, under the...
 - 5.2. The manufacturer shall draw up a written EU declaration of...
6. The manufacturer shall, for a period ending 10 years after...
7. Each notified body shall inform its notifying authority of quality...
8. Authorised representative

ANNEX VIII

CONFORMITY BASED ON UNIT VERIFICATION FOR LIFTS

1. Conformity based on unit verification is the conformity assessment procedure...
2. Obligations of the installer
 - 2.1. The installer shall take all measures necessary so that the...
 - 2.2. The installer shall apply to a single notified body of...
3. The technical documentation shall allow an assessment of the conformity...
4. Verification
5. CE marking and EU declaration of conformity
 - 5.1. The installer shall affix the CE marking in the car...
 - 5.2. The installer shall draw up a written EU declaration of...
6. The installer shall keep with the technical documentation a copy...
7. Authorised representative

ANNEX IX

CONFORMITY TO TYPE WITH RANDOM CHECKING FOR SAFETY COMPONENTS FOR LIFTS

1. Conformity to type with random checking is the part of...
2. Manufacturing
3. The manufacturer shall lodge an application for random checking with...
4. The notified body shall carry out or have carried out...

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5. CE marking and EU declaration of conformity
 - 5.1. The manufacturer shall affix the CE marking, and, under the...
 - 5.2. The manufacturer shall draw up a written EU declaration of...
6. Authorised representative

ANNEX X

CONFORMITY TO TYPE BASED ON PRODUCT QUALITY ASSURANCE FOR LIFTS

1. Conformity to type based on product quality assurance is the...
2. Obligations of the installer
3. Quality system
 - 3.1. The installer shall lodge an application for assessment of his...
 - 3.2. Under the quality system, each lift shall be examined and...
 - 3.3. The notified body shall assess the quality system to determine...
 - 3.4. The installer shall undertake to fulfil the obligations arising from...
 - 3.4.1. The installer shall keep the notified body which has approved...
 - 3.4.2. The notified body shall assess the modifications proposed and decide...
4. Surveillance under the responsibility of the notified body
 - 4.1. The purpose of surveillance is to make sure that the...
 - 4.2. The installer shall, for assessment purposes, allow the notified body...
 - 4.3. The notified body shall periodically carry out audits to ensure...
 - 4.4. Additionally, the notified body may pay unexpected visits to the...
5. The installer shall, for 10 years after the last lift...
6. Each notified body shall inform its notifying authority of quality...
7. CE marking and EU declaration of conformity
 - 7.1. The installer shall affix the CE marking in the car...
 - 7.2. The installer shall draw up a written EU declaration of...
8. Authorised representative

ANNEX XI

CONFORMITY BASED ON FULL QUALITY ASSURANCE PLUS DESIGN EXAMINATION FOR LIFTS

1. Conformity based on full quality assurance plus design examination for...
2. Obligations of the installer
3. Quality system
 - 3.1. The installer shall lodge an application for assessment of his...
 - 3.2. The quality system shall ensure compliance of the lifts with...
 - 3.3. Design examination
 - 3.3.1. When the design is not entirely in accordance with harmonised...
 - 3.3.2. Where the design does not satisfy the applicable essential health...
 - 3.3.3. The installer shall keep the notified body that has issued...
 - 3.3.4. Each notified body shall inform its notifying authority of the...
 - 3.3.5. The installer shall keep a copy of the EU design...
 - 3.4. Assessment of the quality system
 - 3.5. The installer shall undertake to fulfil the obligations arising from...
4. Surveillance under the responsibility of the notified body
 - 4.1. The purpose of surveillance is to make sure that the...

- 4.2. The installer shall, for assessment purposes, allow the notified body...
- 4.3. The notified body shall carry out periodic audits to make...
- 4.4. Additionally, the notified body may pay unexpected visits to the...
5. The installer shall, keep at the disposal of the national...
6. Each notified body shall inform its notifying authority of full...
7. CE marking and EU declaration of conformity
 - 7.1. The installer shall affix the CE marking in the car...
 - 7.2. The installer shall draw up a written EU declaration of...
8. Authorised representative

ANNEX XII

CONFORMITY TO TYPE BASED ON PRODUCTION QUALITY ASSURANCE FOR
LIFTS

1. Conformity to type based on production quality assurance for lifts...
2. Obligations of the installer
3. Quality system
 - 3.1. The installer shall lodge an application for assessment of his...
 - 3.2. The quality system shall ensure compliance of the lifts with...
 - 3.3. The notified body shall assess the quality system to determine...
 - 3.4. The installer shall undertake to fulfil the obligations arising from...
 - 3.4.1. The installer shall keep the notified body that has approved...
 - 3.4.2. The notified body shall assess the modifications proposed and decide...
4. Surveillance under the responsibility of the notified body
 - 4.1. The purpose of surveillance is to make sure that the...
 - 4.2. The installer shall, for assessment purposes, allow the notified body...
 - 4.3. The notified body shall carry out periodic audits to make...
 - 4.4. Additionally, the notified body may pay unexpected visits to the...
5. The installer shall, keep at the disposal of the national...
6. Each notified body shall inform its notifying authority of quality...
7. CE marking and EU declaration of conformity
 - 7.1. The installer shall affix the CE marking in the car...
 - 7.2. The installer shall draw up a written EU declaration of...
8. Authorised representative

ANNEX XIII

PART A

PART B

ANNEX XIV

Signature

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- (1) [OJ C 181, 21.6.2012, p. 105.](#)
- (2) Position of the European Parliament of 5 February 2014 (not yet published in the Official Journal) and decision of the Council of 20 February 2014.
- (3) [OJ L 213, 7.9.1995, p. 1.](#)
- (4) See Annex XIII, Part A.
- (5) [OJ L 218, 13.8.2008, p. 30.](#)
- (6) [OJ L 218, 13.8.2008, p. 82.](#)
- (7) [OJ L 134, 20.6.1995, p. 37.](#)
- (8) [OJ L 316, 14.11.2012, p. 12.](#)
- (9) Approved by Council Decision 2010/48/EC of 26 November 2009 concerning the conclusion, by the European Community, of the United Nations Convention on the Rights of Persons with Disabilities ([OJ L 23, 27.1.2010, p. 35.](#))
- (10) [OJ L 55, 28.2.2011, p. 13.](#)