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**COUNCIL REGULATION (EC) No 338/97**

**of 9 December 1996**

**on the protection of species of wild fauna and flora by regulating trade therein**

(OJ L 61, 3.3.1997, p. 1)

Amended by:

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		No	page	date
►M1	Commission Regulation (EC) No 938/97 of 26 May 1997	L 140	1	30.5.1997
►M2	Commission Regulation (EC) No 2307/97 of 18 November 1997	L 325	1	27.11.1997
►M3	Commission Regulation (EC) No 2214/98 of 15 October 1998	L 279	3	16.10.1998
►M4	Commission Regulation (EC) No 1476/1999 of 6 July 1999	L 171	5	7.7.1999
►M5	Commission Regulation (EC) No 2724/2000 of 30 November 2000	L 320	1	18.12.2000
►M6	Commission Regulation (EC) No 1579/2001 of 1 August 2001	L 209	14	2.8.2001
►M7	Commission Regulation (EC) No 2476/2001 of 17 December 2001	L 334	3	18.12.2001
►M8	Commission Regulation (EC) No 1497/2003 of 18 August 2003	L 215	3	27.8.2003
►M9	Regulation (EC) No 1882/2003 of the European Parliament and of the Council of 29 September 2003	L 284	1	31.10.2003
►M10	Commission Regulation (EC) No 834/2004 of 28 April 2004	L 127	40	29.4.2004
►M11	Commission Regulation (EC) No 1332/2005 of 9 August 2005	L 215	1	19.8.2005
►M12	Commission Regulation (EC) No 318/2008 of 31 March 2008	L 95	3	8.4.2008

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►C1 Corrigendum, OJ L 298, 1.11.1997, p. 70 (338/97)

**▼B****COUNCIL REGULATION (EC) No 338/97****of 9 December 1996****on the protection of species of wild fauna and flora by regulating  
trade therein**

THE COUNCIL OF THE EUROPEAN UNION,

Having regard to the Treaty establishing the European Community, and in particular Article 130s (1) thereof,

Having regard to the proposal from the Commission (<sup>(1)</sup>),Having regard to the opinion of the Economic and Social Committee (<sup>(2)</sup>),Acting in accordance with the procedure laid down in Article 189c of the Treaty (<sup>(3)</sup>),

- (1) Whereas Regulation (EEC) No 3626/82 (<sup>(4)</sup>) applies the Convention on International Trade in Endangered Species of Wild Fauna and Flora in the Community with effect from 1 January 1984; whereas the purpose of the Convention is to protect endangered species of fauna and flora through controls on international trade in specimens of those species;
- (2) Whereas, in order to improve the protection of species of wild fauna and flora which are threatened by trade or likely to be so threatened, Regulation (EEC) No 3626/82 must be replaced by a Regulation taking account of the scientific knowledge acquired since its adoption and the current structure of trade; whereas, moreover, the abolition of controls at internal borders resulting from the Single Market necessitates the adoption of stricter trade control measures at the Community's external borders, with documents and goods being checked at the customs office at the border where they are introduced;
- (3) Whereas the provisions of this Regulation do not prejudice any stricter measures which may be taken or maintained by Member States, in compliance with the Treaty, in particular with regard to the holding of specimens of species covered by this Regulation;
- (4) Whereas it is necessary to lay down objective criteria for the inclusion of species of wild fauna and flora in the Annexes to this Regulation;
- (5) Whereas the implementation of this Regulation necessitates the application of common conditions for the issue, use and presentation of documents relating to authorization of the introduction into the Community and the export or re-export from the Community of specimens of the species covered by this Regulation; whereas it is necessary to lay down specific provisions relating to the transit of specimens through the Community;
- (6) Whereas it is for a management authority of the Member State of destination, assisted by the scientific authority of that Member State and, where appropriate, taking into account any opinion of the Scientific Review Group, to decide on the requests for introduction of specimens into the Community;

<sup>(1)</sup> OJ No C 26, 3. 2. 1992, p. 1, and OJ No C 131, 12. 5. 1994, p. 1.<sup>(2)</sup> OJ No C 223, 31. 8. 1992, p. 19.<sup>(3)</sup> Opinion of the European Parliament of 15 December 1995 (OJ No C 17, 22. 1. 1996, p. 430). Common position of the Council of 26 February 1996 (OJ No C 196, 6. 7. 1996, p. 58) and Decision of the European Parliament of 18 September 1996 (OJ No C 320, 28. 10. 1996).<sup>(4)</sup> OJ No L 384, 31. 12. 1982, p. 1. Regulation as last amended by Commission Regulation (EC) No 558/95 (OJ No L 57, 15. 3. 1995, p. 1).

**▼B**

- (7) Whereas it is necessary to supplement the provisions on re-export with a consultation procedure, in order to limit the risk of infringement;
- (8) Whereas, in order to guarantee effective protection of species of wild fauna and flora, additional restrictions may be imposed on the introduction of specimens into, and the export thereof from, the Community; whereas, with regard to live specimens, these restrictions may be supplemented by restrictions at Community level on the holding or movement of such specimens within the Community;
- (9) Whereas it is necessary to lay down specific provisions applicable to captive-born and bred, or artificially propagated specimens, to specimens which are personal or household effects, and to non-commercial loans, donations or exchanges between registered scientists and scientific institutions;
- (10) Whereas there is a need, in order to ensure the broadest possible protection for species covered by this Regulation, to lay down provisions for controlling trade and movement of specimens within the Community, and the conditions for housing specimens; whereas the certificates issued under this Regulation, which contribute to controlling these activities, must be governed by common rules on their issue, validity and use;
- (11) Whereas measures should be taken to minimize the adverse effects on live specimens of transport to their destination, from or within the Community;
- (12) Whereas, to ensure effective controls and to facilitate customs procedures, customs offices should be designated, with trained personnel responsible for carrying out the necessary formalities and corresponding checks where specimens are introduced into the Community, in order to assign them a customs-approved treatment or use within the meaning of Council Regulation (EEC) No 2913/92 of 12 October 1992 establishing the Community Customs Code (<sup>(1)</sup>), or where they are exported or re-exported from the Community; whereas there should also be facilities guaranteeing that live specimens are adequately housed and cared for;
- (13) Whereas the implementation of this Regulation also calls for the designation of management and scientific authorities by the Member States;
- (14) Whereas informing the public and making them aware of the provisions of this Regulation, particularly at border crossing points, is likely to encourage compliance with these provisions;
- (15) Whereas, in order to ensure effective enforcement of this Regulation, Member States should closely monitor compliance with its provisions and, to that end, cooperate closely between themselves and with the Commission; whereas this requires the communication of information relating to the implementation of this Regulation;
- (16) Whereas the monitoring of levels of trade in the species of wild fauna and flora covered by this Regulation is of crucial importance for assessing the effects of trade on the conservation status of species; whereas detailed annual reports should be drawn up in a common format;
- (17) Whereas, in order to guarantee compliance with this Regulation, it is important that Member States impose sanctions for infringements in a manner which is both sufficient and appropriate to the nature and gravity of the infringement;

<sup>(1)</sup> OJ No L 302, 19. 10. 1992, p. 1. Regulation as last amended by the 1994 Act of Accession.

**▼B**

- (18) Whereas it is essential to lay down a Community procedure enabling the implementing provisions and amendments to the Annexes of this Regulation to be adopted within a suitable period; whereas a Committee must be set up to permit close and effective cooperation between the Member States and the Commission in this field;
- (19) Whereas the multitude of biological and ecological aspects to be considered in the implementation of this Regulation requires the setting up of a Scientific Review Group, whose opinions will be forwarded by the Commission to the Committee and the management bodies of the Member States, to assist them in making their decisions,

HAS ADOPTED THIS REGULATION:

*Article 1*

**Object**

The object of this Regulation is to protect species of wild fauna and flora and to guarantee their conservation by regulating trade therein in accordance with the following Articles.

This Regulation shall apply in compliance with the objectives, principles and provisions of the Convention defined in Article 2.

*Article 2*

**Definitions**

For the purposes of this Regulation:

- (a) ‘Committee’ shall mean the Committee on Trade in Wild Fauna and Flora, established under Article 18;
- (b) ‘Convention’ shall mean the Convention on International Trade in Endangered Species of Wild Fauna and Flora (Cites);
- (c) ‘country of origin’ shall mean the country in which a specimen was taken from the wild, captive-bred or artificially propagated;
- (d) ‘import notification’ shall mean the notification given by the importer or his agent or representative, at the time of the introduction into the Community of a specimen of a species included in Annexes C or D, on a form prescribed by the Commission in accordance with the procedure laid down in Article 18;
- (e) ‘introduction from the sea’ shall mean the introduction into the Community of any specimen which was taken in, and is being introduced directly from, the marine environment not under the jurisdiction of any State, including the air-space above the sea and the sea-bed and subsoil beneath the sea;
- (f) ‘issuance’ shall mean the completion of all procedures involved in preparing and validating a permit or certificate and its delivery to the applicant;
- (g) ‘management authority’ shall mean a national administrative authority designated, in the case of a Member State, in accordance with Article 13(1)(a) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (h) ‘Member State of destination’ shall mean the Member State of destination mentioned in the document used to export or re-export a specimen; in the event of introduction from the sea, it shall mean the Member State within whose jurisdiction the place of destination of a specimen lies;

**▼B**

- (i) ‘offering for sale’ shall mean offering for sale and any action that may reasonably be construed as such, including advertising or causing to be advertised for sale and invitation to treat;
- (j) ‘personal or household effects’ shall mean dead specimens, parts and derivatives thereof, that are the belongings of a private individual and that form, or are intended to form, part of his normal goods and chattels;
- (k) ‘place of destination’ shall mean the place at which at the time of introduction into the Community, it is intended that specimens will normally be kept; in the case of live specimens, this shall be the first place where specimens are intended to be kept following any period of quarantine or other confinement for the purposes of sanitary checks and controls;
- (l) ‘population’ shall mean a biologically or geographically distinct total number of individuals;
- (m) ‘primarily commercial purposes’ shall mean all purposes the non-commercial aspects of which do not clearly predominate;
- (n) ‘re-export from the Community’ shall mean export from the Community of any specimen that has previously been introduced;
- (o) ‘reintroduction into the Community’ shall mean introduction into the Community of any specimen that has previously been exported or re-exported;
- (p) ‘sale’ shall mean any form of sale. For the purposes of this Regulation, hire, barter or exchange shall be regarded as sale; cognate expressions shall be similarly construed;
- (q) ‘scientific authority’ shall mean a scientific authority designated, in the case of a Member State, in accordance with Article 13(1)(b) or, in the case of a third country party to the Convention, in accordance with Article IX of the Convention;
- (r) ‘Scientific Review Group’ shall mean the consultative body established under Article 17;
- (s) ‘species’ shall mean a species, subspecies or population thereof;
- (t) ‘specimen’ shall mean any animal or plant, whether alive or dead, of the species listed in Annexes A to D, any part or derivative thereof, whether or not contained in other goods, as well as any other goods which appear from an accompanying document, the packaging or a mark or label, or from any other circumstances, to be or to contain parts or derivatives of animals or plants of those species, unless such parts or derivatives are specifically exempted from the provisions of this Regulation or from the provisions relating to the Annex in which the species concerned is listed by means of an indication to that effect in the Annexes concerned.

A specimen will be considered to be a specimen of a species listed in Annexes A to D if it is, or is part of or derived from, an animal or plant at least one of whose ‘parents’ is of a species so listed. In cases where the ‘parents’ of such an animal or plant are of species listed in different Annexes, or of species only one of which is listed, the provisions of the more restrictive Annex shall apply. However, in the case of specimens of hybrid plants, if one of the ‘parents’ is of a species listed in Annex A, the provisions of the more restrictive Annex shall apply only if that species is annotated to that effect in the Annex;

- (u) ‘trade’ shall mean the introduction into the Community, including introduction from the sea, and the export and re-export therefrom, as well as the use, movement and transfer of possession within the Community, including within a Member State, of specimens subject to the provisions of this Regulation;

**▼B**

- (v) ‘transit’ shall mean the transport of specimens between two points outside the Community through the territory of the Community which are shipped to a named consignee and during which any interruption in the movement arises only from the arrangements necessitated by this form of traffic;
- (w) ‘worked specimens that were acquired more than 50 years previously’ shall mean specimens that were significantly altered from their natural raw state for jewellery, adornment, art, utility, or musical instruments, more than 50 years before the entry into force of this Regulation and that have been, to the satisfaction of the management authority of the Member State concerned, acquired in such conditions. Such specimens shall be considered as worked only if they are clearly in one of the aforementioned categories and require no further carving, crafting or manufacture to effect their purpose;
- (x) ‘checks at the time of introduction, export, re-export and transit’ shall mean documentary checks on the certificates, permits and notifications provided for in this Regulation and — in cases where Community provisions so provide or in other cases by representative sampling of the consignments — examination of the specimens, where appropriate accompanied by the taking of samples with a view to analysis or more detailed checks.

*Article 3***Scope**

1. Annex A shall contain:
  - (a) the species listed in Appendix I to the Convention for which the Member States have not entered a reservation;
  - (b) any species:
    - (i) which is, or may be, in demand for utilization in the Community or for international trade and which is either threatened with extinction or so rare that any level of trade would imperil the survival of the species;  
or
    - (ii) which is in a genus of which most of the species or which is a species of which most of the subspecies are listed in Annex A in accordance with the criteria in subparagraphs (a) or (b)(i) and whose listing in the Annex is essential for the effective protection of those taxa.
2. Annex B shall contain:
  - (a) the species listed in Appendix II to the Convention, other than those listed in Annex A, for which the Member States have not entered a reservation;
  - (b) the species listed in Appendix I to the Convention for which a reservation has been entered;
  - (c) any other species not listed in Appendices I or II to the Convention:
    - (i) which is subject to levels of international trade that might not be compatible:
      - with its survival or with the survival of populations in certain countries, or
      - with the maintenance of the total population at a level consistent with the role of the species in the ecosystems in which it occurs;
    - or

**▼B**

- (ii) whose listing in the Annex for reasons of similarity in appearance to other species listed in Annex A or Annex B, is essential in order to ensure the effectiveness of controls on trade in specimens of such species;
- (d) species in relation to which it has been established that the introduction of live specimens into the natural habitat of the Community would constitute an ecological threat to wild species of fauna and flora indigenous to the Community.

3. Annex C shall contain:

- (a) the species listed in Appendix III to the Convention, other than those listed in Annexes A or B, for which the Member States have not entered a reservation;
- (b) the species listed in Appendix II to the Convention for which a reservation has been entered.

4. Annex D shall contain:

- (a) species not listed in Annexes A to C which are imported into the Community in such numbers as to warrant monitoring;
- (b) the species listed in Appendix III to the Convention for which a reservation has been entered.

5. Where the conservation status of species covered by this Regulation warrants their inclusion in one of the Appendices to the Convention, the Member States shall contribute to the necessary amendments.

#### *Article 4*

#### **Introduction into the Community**

1. The introduction into the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when the following conditions have been met:

- (a) the competent scientific authority, after considering any opinion by the Scientific Review Group, has advised that the introduction into the Community:
  - (i) would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;
  - (ii) is taking place:
    - for one of the purposes referred to in Article 8(3)(e), (f) and (g), or
    - for other purposes which are not detrimental to the survival of the species concerned;
- (b) (i) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation on the protection of the species concerned which, in the case of import from a third country of specimens of a species listed in the Appendices to the Convention, shall be an export permit or re-export certificate, or copy thereof, issued in accordance with the Convention by a competent authority of the country of export or re-export;

**▼B**

- (ii) however, the issuance of import permits for species listed in Annex A in accordance with Article 3(1)(a) shall not require such documentary evidence, but the original of any such import permit shall be withheld from the applicant pending presentation of the export permit or re-export certificate;
- (c) the competent scientific authority is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (d) the management authority is satisfied that the specimen is not to be used for primarily commercial purposes;
- (e) the management authority is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the import permit; and
- (f) in the case of introduction from the sea, the management authority is satisfied that any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment.

2. The introduction into the Community of specimens of the species listed in Annex B shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import permit issued by a management authority of the Member State of destination.

The import permit may be issued only in accordance with the restrictions established pursuant to paragraph 6 and when:

- (a) the competent scientific authority, after examining available data and considering any opinion from the Scientific Review Group, is of the opinion that the introduction into the Community would not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species, taking account of the current or anticipated level of trade. This opinion shall be valid for subsequent imports as long as the abovementioned aspects have not changed significantly;
- (b) the applicant provides documentary evidence that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (c) the conditions referred to in paragraph 1(b)(i), (e) and (f) have been met.

3. The introduction into the Community of specimens of the species listed in Annex C shall be subject to completion of the necessary checks and the prior presentation, at the border customs office at the point of introduction, of an import notification and:

- (a) in the case of export from a country mentioned in relation to the species concerned in Annex C, the applicant shall provide documentary evidence, by means of an export permit issued in accordance with the Convention by an authority of that country competent for the purpose, that the specimens have been obtained in accordance with the national legislation on the conservation of the species concerned; or
- (b) in the case of export from a country not mentioned in relation to the species concerned in Annex C or re-export from any country, the applicant shall present an export permit, a re-export certificate or a certificate of origin issued in accordance with the Convention by an authority of the exporting or re-exporting country competent for the purpose.

4. The introduction into the Community of specimens of the species listed in Annex D shall be subject to completion of the necessary checks

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and the prior presentation of an import notification at the border customs office at the point of introduction.

5. The conditions for the issuance of an import permit as referred to in paragraph 1(a) and (d) and in paragraph 2(a), (b) and (c) shall not apply to specimens for which the applicant provides documentary evidence:

- (a) that they had previously been legally introduced into or acquired in the Community and that they are, modified or not, being reintroduced into the Community; or
- (b) that they are worked specimens that were acquired more than 50 years previously.

6. In consultation with the countries of origin concerned, in accordance with the procedure laid down in Article 18 and taking account of any opinion from the Scientific Review Group, the Commission may establish general restrictions, or restrictions relating to certain countries of origin, on the introduction into the Community:

- (a) on the basis of the conditions referred to in paragraph 1(a)(i) or (e), of specimens of species listed in Annex A;
- (b) on the basis of the conditions referred to in paragraph 1(e) or paragraph 2(a), of specimens of species listed in Annex B; and
- (c) of live specimens of species listed in Annex B which have a high mortality rate during shipment or for which it has been established that they are unlikely to survive in captivity for a considerable proportion of their potential life span; or
- (d) of live specimens of species for which it has been established that their introduction into the natural environment of the Community presents an ecological threat to wild species of fauna and flora indigenous to the Community.

The Commission shall on a quarterly basis publish a list of such restrictions, if any, in the *Official Journal of the European Communities*.

7. Where special cases of transhipment, air transfer or rail transport occur following introduction into the Community, derogations from completion of the checks and presentations of import documents at the border customs office at the point of introduction which are referred to in paragraphs 1 to 4 shall be granted in accordance with the procedure laid down in Article 18, in order to permit such checks and presentations to be made at another customs office designated in accordance with Article 12 (1).

## *Article 5*

### **Export or re-export from the Community**

1. The export or re-export from the Community of specimens of the species listed in Annex A shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in which the specimens are located.

2. An export permit for specimens of the species listed in Annex A may be issued only when the following conditions have been met:

- (a) the competent scientific authority has advised in writing that the capture or collection of the specimens in the wild or their export will not have a harmful effect on the conservation status of the species or on the extent of the territory occupied by the relevant population of the species;

**▼B**

(b) the applicant provides documentary evidence that the specimens have been obtained in accordance with the legislation in force on the protection of the species in question; where the application is made to a Member State other than the Member State of origin, such documentary evidence shall be furnished by means of a certificate stating that the specimen was taken from the wild in accordance with the legislation in force on its territory;

(c) the management authority is satisfied that:

- (i) any live specimen will be so prepared and shipped as to minimize the risk of injury, damage to health or cruel treatment; and
- (ii) — the specimens of species not listed in Annex I to the Convention will not be used for primarily commercial purposes, or
  - in the case of export to a State party to the Convention of specimens of the species referred to in Article 3(1)(a) of this Regulation, an import permit has been issued;

and

(d) the management authority of the Member State is satisfied, following consultation with the competent scientific authority, that there are no other factors relating to the conservation of the species which militate against issuance of the export permit.

3. A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) and (d) have been met and when the applicant provides documentary evidence that the specimens:

- (a) were introduced into the Community in accordance with the provisions of this Regulation;
- (b) if introduced into the Community before the entry into force of this Regulation, were introduced in accordance with the provisions of Regulation (EEC) No 3626/82; or
- (c) if introduced into the Community before 1984, entered international trade in accordance with the provisions of the Convention; or
- (d) were legally introduced into the territory of a Member State before the provisions of the Regulations referred to in (a) and (b) or of the Convention became applicable to them, or became applicable in that Member State.

4. The export or re-export from the Community of specimens of the species listed in Annexes B and C shall be subject to completion of the necessary checks and the prior presentation, at the customs office at which the export formalities are completed, of an export permit or re-export certificate issued by a management authority of the Member State in whose territory the specimens are located.

An export permit may be issued only when the conditions referred to in paragraph 2 (a), (b), (c) (i) and (d) have been met.

A re-export certificate may be issued only when the conditions referred to in paragraph 2 (c) (i) and (d) and in paragraph 3 (a) to (d) have been met.

5. Where an application for a re-export certificate concerns specimens introduced into the Community under an import permit issued by another Member State, the management authority must first consult the management authority which issued the permit. The consultation procedures and the cases in which consultation is necessary shall be established in accordance with the procedure laid down in Article 18.

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6. The conditions for the issuance of an export permit or re-export certificate as referred to in paragraph 2 (a) and (c) (ii) shall not apply to:
  - (i) worked specimens that were acquired more than 50 years previously; or
  - (ii) dead specimens and parts and derivatives thereof for which the applicant provides documentary evidence that they were legally acquired before the provisions of this Regulation, or of Regulation (EEC) No 3626/82 or of the Convention became applicable to them.
7. (a) The competent scientific authority in each Member State shall monitor the issuance of export permits by that Member State for specimens of species listed in Annex B and actual exports of such specimens. Whenever such scientific authority determines that the export of specimens of any such species should be limited in order to maintain that species throughout its range at a level consistent with its role in the ecosystem in which it occurs, and well above the level at which that species might become eligible for inclusion in Annex A in accordance with Article 3 (1) (a) or (b) (i), the scientific authority shall advise the competent management authority, in writing, of suitable measures to be taken to limit the issuance of export permits for specimens of that species
  - (b) Whenever a management authority is advised of the measures referred to in (a), it shall inform and send comments to the Commission which shall, if appropriate, recommend restrictions on exports of the species concerned in accordance with the procedure laid down in Article 18.

*Article 6***Rejection of applications for permits and certificates referred to in Articles 4, 5 and 10**

1. When a Member State rejects an application for a permit or certificate in a case of significance in respect of the objectives of this Regulation, it shall immediately inform the Commission of the rejection and of the reasons for rejection.
2. The Commission shall communicate information received in accordance with paragraph 1 to the other Member States in order to ensure uniform application of this Regulation.
3. When an application is made for a permit or certificate relating to specimens for which such an application has previously been rejected, the applicant must inform the competent authority to which the application is submitted of the previous rejection.
4. (a) Member States shall recognize the rejection of applications by the competent authorities of the other Member States, where such rejection is based on the provisions of this Regulation.
  - (b) However, this need not apply where the circumstances have significantly changed or where new evidence to support an application has become available. In such cases, if a management authority issues a permit or certificate, it shall inform the Commission thereof, stating the reasons for issuance.

*Article 7***Derogations**

1. *Specimens born and bred in captivity or artificially propagated*
  - (a) Save where Article 8 applies, specimens of species listed in Annex A that have been born and bred in captivity or artificially propagated shall be treated in accordance with the provisions applicable to specimens of species listed in Annex B.

**▼B**

- (b) In the case of artificially propagated plants, the provisions of Articles 4 and 5 may be waived under special conditions laid down by the Commission, relating to:
  - (i) the use of phytosanitary certificates;
  - (ii) trade by registered commercial traders and by the scientific institutions referred to in paragraph 4 of this Article; and
  - (iii) trade in hybrids.
- (c) The criteria for determining whether a specimen has been born and bred in captivity or artificially propagated and whether for commercial purposes, as well as the special conditions referred to in (b), shall be specified by the Commission in accordance with the procedure laid down in Article 18.

2. *Transit*

- (a) By way of derogation from Article 4, where a specimen is in transit through the Community, checks and presentation at the border customs office at the point of introduction of the prescribed permits, certificates and notifications shall not be required.
- (b) In the case of species listed in the Annexes in accordance with Article 3 (1) and Article 3 (2) (a) and (b), the derogation referred to in (a) shall apply only where a valid export or re-export document provided for by the Convention, relating to the specimens that it accompanies and specifying the destination of the specimens, has been issued by the competent authorities of the exporting or re-exporting third country.
- (c) If the document referred to in (b) has not been issued before export or re-export, the specimen must be seized and may, where applicable, be confiscated unless the document is submitted retrospectively in compliance with the conditions specified by the Commission in accordance with the procedure laid down in Article 18.

3. *Personal and household effects*

By way of derogation from Articles 4 and 5, the provisions therein shall not apply to dead specimens, parts and derivatives of species listed in Annexes A to D which are personal or household effects being introduced into the Community, or exported or re-exported therefrom, in compliance with provisions that shall be specified by the Commission in accordance with the procedure laid down in Article 18.

4. *Scientific institutions*

The documents referred to in Articles 4, 5, 8 and 9 shall not be required in the case of non-commercial loans, donations and exchanges between scientists and scientific institutions, registered by the management authorities of the States in which they are located, of herbarium specimens and other preserved, dried or embedded museum specimens, and of live plant material, bearing a label, the model for which has been determined in accordance with the procedure laid down in Article 18 or a similar label issued or approved by a management authority of a third country.

*Article 8***Provisions relating to the control of commercial activities**

1. The purchase, offer to purchase, acquisition for commercial purposes, display to the public for commercial purposes, use for commercial gain and sale, keeping for sale, offering for sale or transporting for sale of specimens of the species listed in Annex A shall be prohibited.

**▼B**

2. Member States may prohibit the holding of specimens, in particular live animals of the species listed in Annex A.

3. In accordance with the requirements of other Community legislation on the conservation of wild fauna and flora, exemption from the prohibitions referred to in paragraph 1 may be granted by issuance of a certificate to that effect by a management authority of the Member State in which the specimens are located, on a case-by-case basis where the specimens:

- (a) were acquired in, or were introduced into, the Community before the provisions relating to species listed in Appendix I to the Convention or in Annex C1 to Regulation (EEC) No 3626/82 or in Annex A became applicable to the specimens; or
- (b) are worked specimens that were acquired more than 50 years previously; or
- (c) were introduced into the Community in compliance with the provisions of this Regulation and are to be used for purposes which are not detrimental to the survival of the species concerned; or
- (d) are captive-born and bred specimens of an animal species or artificially propagated specimens of a plant species or are parts or derivatives of such specimens; or
- (e) are required under exceptional circumstances for the advancement of science or for essential biomedical purposes pursuant to Council Directive 86/609/EEC of 24 November 1986 on the approximation of laws, regulations and administrative provisions of the Member States regarding the protection of animals used for experimental and other scientific purposes (<sup>(1)</sup>) where the species in question proves to be the only one suitable for those purposes and where there are no specimens of the species which have been born and bred in captivity; or
- (f) are intended for breeding or propagation purposes from which conservation benefits will accrue to the species concerned; or
- (g) are intended for research or education aimed at the preservation or conservation of the species; or
- (h) originate in a Member State and were taken from the wild in accordance with the legislation in force in that Member State.

4. General derogations from the prohibitions referred to in paragraph 1 based on the conditions referred to in paragraph 3, as well as general derogations with regard to species listed in Annex A in accordance with Article 3 (1) (b) (ii) may be defined by the Commission in accordance with the procedure laid down in Article 18. Any such derogations must be in accordance with the requirements of other Community legislation on the conservation of wild fauna and flora.

5. The prohibitions referred to in paragraph 1 shall also apply to specimens of the species listed in Annex B except where it can be proved to the satisfaction of the competent authority of the Member State concerned that such specimens were acquired and, if they originated outside the Community, were introduced into it, in accordance with the legislation in force for the conservation of wild fauna and flora.

6. The competent authorities of the Member States shall have discretion to sell any specimen of the species listed in Annexes B to D they have confiscated under this Regulation, provided that it is not thus returned directly to the person or entity from whom it was confiscated or who was party to the offence. Such specimens may then be treated for all purposes as if they had been legally acquired.

<sup>(1)</sup> OJ No L 358, 18. 12. 1986, p. 1.

**▼B***Article 9***Movement of live specimens**

1. Any movement within the Community of a live specimen of a species listed in Annex A from the location indicated in the import permit or in any certificate issued in compliance with this Regulation shall require prior authorization from a management authority of the Member State in which the specimen is located. In other cases of movement, the person responsible for moving the specimen must be able, where applicable, to provide proof of the legal origin of the specimen.

2. Such authorization shall:

- (a) be granted only when the competent scientific authority of such Member State or, where the movement is to another Member State, the competent scientific authority of the latter, is satisfied that the intended accommodation for a live specimen at the place of destination is adequately equipped to conserve and care for it properly;
- (b) be confirmed by issuance of a certificate; and
- (c) where applicable, be immediately communicated to a management authority of the Member State in which the specimen is to be located.

3. However, no such authorization shall be required if a live animal must be moved for the purpose of urgent veterinary treatment and is returned directly to its authorized location.

4. Where a live specimen of a species listed in Annex B is moved within the Community, the holder of the specimen may relinquish it only after ensuring that the intended recipient is adequately informed of the accommodation, equipment and practices required to ensure the specimen will be properly cared for.

5. When any live specimens are transported into, from or within the Community or are held during any period of transit or transhipment, they shall be prepared, moved and cared for in a manner such as to minimize the risk of injury, damage to health or cruel treatment and, in the case of animals, in conformity with Community legislation on the protection of animals during transport.

6. Under the procedure laid down in Article 18, the Commission may establish restrictions on the holding or movement of live specimens of species in relation to which restrictions on introduction into the Community have been established in accordance with Article 4 (6).

*Article 10***Certificates to be issued**

On receiving an application, together with all the requisite supporting documents, from the person concerned and provided that all the conditions governing their issuance have been fulfilled, a management authority of a Member State may issue a certificate for the purposes referred to in Article 5 (2) (b), 5 (3) and (4), Article 8 (3) and Article 9 (2) (b).

*Article 11***Validity of and special conditions for permits and certificates**

1. Without prejudice to stricter measures which the Member States may adopt or maintain, permits and certificates issued by the competent authorities of the Member States in accordance with this Regulation shall be valid throughout the Community.

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2. (a) However, any such permit or certificate, as well as any permit or certificate issued on the basis of it, shall be deemed void if a competent authority or the Commission, in consultation with the competent authority which issued the permit or certificate, establishes that it was issued on the false premise that the conditions for its issuance were met.
- (b) Specimens situated in the territory of a Member State and covered by such documents shall be seized by the competent authorities of that Member State and may be confiscated.
3. Any permit or certificate issued in accordance with this Regulation may stipulate conditions and requirements imposed by the issuing authority to ensure compliance with the provisions thereof. Where such conditions or requirements need to be incorporated in the design of permits or certificates, Member States shall inform the Commission thereof.
4. Any import permit issued on the basis of a copy of the corresponding export permit or re-export certificate shall be valid for the introduction of specimens into the Community only when accompanied by the original of the valid export permit or re-export certificate.
5. The Commission shall establish time limits for the issuance of permits and certificates in accordance with the procedure laid down in Article 18.

*Article 12***Places of introduction and export**

1. Member States shall designate customs offices for carrying out the checks and formalities for the introduction into and export from the Community, in order to assign to them a customs-approved treatment or use, within the meaning of Regulation (EEC) No 2913/92, of specimens of species covered by this Regulation and shall state which offices are specifically intended to deal with live specimens.
2. All offices designated in accordance with paragraph 1 shall be provided with sufficient and adequately trained staff. Member States shall ensure that accommodation is provided in accordance with relevant Community legislation as regards the transport and accommodation of live animals and that, where necessary, adequate steps are taken for live plants.
3. All offices designated in accordance with paragraph 1 shall be notified to the Commission which shall publish a list of them in the *Official Journal of the European Communities*.
4. In exceptional cases and in accordance with criteria defined under the procedure laid down in Article 18, a management authority may authorize the introduction into the Community or the export or re-export therefrom at a customs office other than one designated in accordance with paragraph 1.
5. Member States shall ensure that at border crossing-points the public are informed of the implementing provisions of this Regulation.

*Article 13***Management and scientific authorities and other competent authorities**

1. (a) Each Member State shall designate a management authority with primary responsibility for implementation of this Regulation and for communication with the Commission:
- (b) Each Member State may also designate additional management authorities and other competent authorities to assist in implementation, in which case the primary management authority

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shall be responsible for providing the additional authorities with all the information required for correct application of this Regulation.

2. Each Member State shall designate one or more scientific authorities with appropriate qualifications whose duties shall be separate from those of any designated management authority.
3. (a) Not later than three months before the date of application of this Regulation, Member States shall forward the names and addresses of the designated management authorities, other authorities competent to issue permits or certificates and scientific authorities to the Commission, which shall publish this information in the *Official Journal of the European Communities* within a month.
- (b) Each management authority referred to in paragraph 1 (a) shall, if so requested by the Commission, communicate to it within two months the names and specimen signatures of people authorized to sign permits or certificates, and impressions of the stamps, seals or other devices used to authenticate permits or certificates.
- (c) Member States shall communicate to the Commission any changes in the information already provided, not later than two months after the implementation of such change.

#### *Article 14*

##### **Monitoring of compliance and investigation of infringements**

1. (a) The competent authorities of the Member States shall monitor compliance with the provisions of this Regulation.
- (b) If, at any time, the competent authorities have reason to believe that these provisions are being infringed, they shall take the appropriate steps to ensure compliance or to instigate legal action.
- (c) Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of any steps taken by the competent authorities in relation to significant infringements of this Regulation, including seizures and confiscations.
2. The Commission shall draw the attention of the competent authorities of the Member States to matters whose investigation it considers necessary under this Regulation. Member States shall inform the Commission and, in the case of species listed in the Appendices to the Convention, the Convention Secretariat of the outcome of any subsequent investigation.
3. (a) An enforcement group shall be established consisting of the representatives of each Member State's authorities with responsibility for ensuring the implementation of the provisions of this Regulation. The group shall be chaired by the representative of the Commission.
- (b) The enforcement group shall examine any technical question relating to the enforcement of this Regulation raised by the chairman, either on his own initiative or at the request of the members of the group or the committee.
- (c) The Commission shall convey the opinions expressed in the enforcement group to the committee.

**▼B***Article 15***Communication of information**

1. The Member States and the Commission shall communicate to one another the information necessary for implementing this Regulation.

The Member States and the Commission shall ensure that the necessary steps are taken to make the public aware and inform it of the provisions regarding implementation of the Convention and of this Regulation and of the latter's implementing measures.

2. The Commission shall communicate with the Convention Secretariat so as to ensure that the Convention is effectively implemented throughout the territory to which this Regulation applies.

3. The Commission shall immediately communicate any advice from the Scientific Review Group to the management authorities of the Member States concerned.

4. (a) The management authorities of the Member States shall communicate to the Commission before 15 June each year all the information relating to the preceding year required for drawing up the reports referred to in Article VIII.7 (a) of the Convention and equivalent information on international trade in all specimens of species listed in Annexes A, B and C and on introduction into the Community of specimens of species listed in Annex D. The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the procedure laid down in Article 18.

(b) On the basis of the information referred to in (a), the Commission shall publish before 31 October each year a statistical report on the introduction into, and the export and re-export from, the Community of specimens of the species to which this Regulation applies and shall forward to the Convention Secretariat information on the species to which the Convention applies.

(c) Without prejudice to Article 20, the management authorities of the Member States shall, before 15 June of each second year, and for the first time in 1999, communicate to the Commission all the information relating to the preceding two years required for drawing up the reports referred to in Article VIII.7 (b) of the Convention and equivalent information on the provisions of this Regulation that fall outside the scope of the Convention. The information to be communicated and the format for its presentation shall be specified by the Commission in accordance with the procedure laid down in Article 18.

(d) On the basis of the information referred to in (c), the Commission shall, before 31 October of each second year, and for the first time in 1999, draw up a report on the implementation and enforcement of this Regulation.

5. With a view to the preparation of amendments to the Annexes, the competent authorities of the Member States shall forward all relevant information to the Commission. The Commission shall specify the information required, in accordance with the procedure laid down in Article 18.

**►C1** 6. Without prejudice to Council Directive 90/313/EEC ~~◀ of 7 June 1990~~ on the freedom of access to information on the environment <sup>(1)</sup>, the Commission shall take appropriate measures to protect the confidentiality of information obtained in implementation of this Regulation.

<sup>(1)</sup> OJ No L 158, 23. 6. 1990, p. 56.

**▼B***Article 16***Sanctions**

1. Member States shall take appropriate measures to ensure the imposition of sanctions for at least the following infringements of this Regulation:

- (a) introduction into, or export or re-export from, the Community of specimens without the appropriate permit or certificate or with a false, falsified or invalid permit or certificate or one altered without authorization by the issuing authority;
- (b) failure to comply with the stipulations specified on a permit or certificate issued in accordance with this Regulation;
- (c) making a false declaration or knowingly providing false information in order to obtain a permit or certificate;
- (d) using a false, falsified or invalid permit or certificate or one altered without authorization as a basis for obtaining a Community permit or certificate or for any other official purpose in connection with this Regulation;
- (e) making no import notification or a false import notification;
- (f) shipment of live specimens not properly prepared so as to minimize the risk of injury, damage to health or cruel treatment;
- (g) use of specimens of species listed in Annex A other than in accordance with the authorization given at the time of issuance of the import permit or subsequently;
- (h) trade in artificially propagated plants contrary to the provisions laid down in accordance with Article 7(1)(b);
- (i) shipment of specimens into or out of or in transit through the territory of the Community without the appropriate permit or certificate issued in accordance with this Regulation and, in the case of export or re-export from a third country party to the Convention, in accordance therewith, or without satisfactory proof of the existence of such permit or certificate;
- (j) purchase, offer to purchase, acquisition for commercial purposes, use for commercial gain, display to the public for commercial purposes, sale, keeping for sale, offering for sale or transporting for sale of specimens in contravention of Article 8;
- (k) use of a permit or certificate for any specimen other than one for which it was issued;
- (l) falsification or alteration of any permit or certificate issued in accordance with this Regulation;
- (m) failure to disclose rejection of an application for a Community import, export or re-export permit or certificate, in accordance with Article 6 (3).

2. The measures referred to in paragraph 1 shall be appropriate to the nature and gravity of the infringement and shall include provisions relating to the seizure and, where appropriate, confiscation of specimens.

3. Where a specimen is confiscated, it shall be entrusted to a competent authority of the Member State of confiscation which:

- (a) following consultation with a scientific authority of that Member State, shall place or otherwise dispose of the specimen under conditions which it deems to be appropriate and consistent with the purposes and provisions of the Convention and this Regulation; and

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- (b) in the case of a live specimen which has been introduced into the Community, may, after consultation with the State of export, return the specimen to that State at the expense of the convicted person.
4. Where a live specimen of a species listed in Annex B or C arrives at a point of introduction into the Community without the appropriate valid permit or certificate, the specimen must be seized and may be confiscated or, if the consignee refuses to acknowledge the specimen, the competent authorities of the Member State responsible for the point of introduction may, if appropriate, refuse to accept the shipment and require the carrier to return the specimen to its place of departure.

*Article 17***The Scientific Review Group**

1. A Scientific Review Group is hereby established, consisting of the representatives of each Member State's scientific authority or authorities and chaired by the representative of the Commission.
2. (a) The Scientific Review Group shall examine any scientific question relating to the application of this Regulation — in particular concerning Article 4 (1) (a), (2) (a) and (6) — raised by the chairman, either on his own initiative or at the request of the members of the Group or the Committee.
- (b) The Commission shall convey the opinions of the Scientific Review Group to the Committee.

**▼M9***Article 18*

1. The Commission shall be assisted by a committee.
2. Where reference is made to this Article, Articles 5 and 7 of Decision 1999/468/EC (<sup>(1)</sup>) shall apply, having regard to the provisions of Article 8 thereof.
- The period laid down in Article 5(6) of Decision 1999/468/EC shall be set at three months. As regards the Committee's tasks referred to in points 1 and 2 of Article 19, if, on the expiry of a period of three months from the date of referral to the Council, the Council has not acted, the proposed measures shall be adopted by the Commission.
3. The Committee shall adopt its rules of procedure.

**▼B***Article 19*

In accordance with the procedure laid down in Article 18, the Commission shall:

1. lay down uniform conditions and criteria for:
  - (i) the issue, validity and use of the documents referred to in Articles 4, 5, 7 (4) and 10; it shall determine the design thereof;
  - (ii) the use of phytosanitary certificates; and
  - (iii) the establishment of procedures, where necessary, for marking specimens in order to facilitate identification and ensure enforcement of the provisions;
2. adopt the measures referred to in Article 4 (6) and (7), Article 5 (5) and (7) (b), Article 7 (1) (c), (2) (c) and (3), Article 8 (4), Article 9 (6), Article 11 (5), Article 15 (4) (a), (c) and (5) and Article 21 (3);

<sup>(1)</sup> Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission (OJ L 184, 17.7.1999, p. 23).

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3. amend Annexes A to D except in the case of amendments to Annex A which do not result from decisions of the Conference of the Parties to the Convention;
4. adopt, where necessary, additional measures to implement resolutions of the Conference of the Parties to the Convention, decisions or recommendations of the Standing Committee of the Convention and recommendations of the Convention Secretariat.

*Article 20***Final provisions**

Each Member State shall notify the Commission and the Convention Secretariat of the provisions which it adopts specifically for the implementation of this Regulation and of all legal instruments used and measures taken for its implementation and enforcement.

The Commission shall communicate this information to the other Member States.

*Article 21*

1. Regulation (EEC) No 3626/82 is hereby repealed.
2. Until the measures provided for in points 1 and 2 of Article 19 have been adopted, Member States may maintain or continue to apply the measures adopted in accordance with Regulation (EEC) No 3626/82 and Commission Regulation (EEC) No 3418/83 of 28 November 1983 laying down provisions for the uniform issue and use of the documents required for the implementation in the Community of the Convention on International Trade in Endangered Species of Wild Fauna and Flora (<sup>(1)</sup>).
3. Two months before this Regulation is implemented the Commission, in accordance with the procedure laid down in Article 18 and in consultation with the Scientific Review Group:
  - (a) must check that there is no justification for restrictions on the introduction into the Community of the species listed in Annex C1 to Regulation (EEC) No 3626/82 which are not included in Annex A to this Regulation;
  - (b) shall adopt a Regulation amending Annex D into a representative list of species meeting the criteria laid down in Article 3 (4) (a).

*Article 22*

This Regulation shall enter into force on the date of its publication in the *Official Journal of the European Communities*.

It shall apply from 1 June 1997.

Articles 12, 13, 14 (3), 16, 17, 18, 19 and 21(3) shall apply from the date of entry into force of this Regulation.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

<sup>(1)</sup> OJ No L 344, 7. 12. 1983, p. 1.

**▼M12***ANNEX***Notes on interpretation of Annexes A, B, C and D**

1. Species included in these Annexes A, B, C and D are referred to:
  - (a) by the name of the species; or
  - (b) as being all of the species included in a higher taxon or designated part thereof.
2. The abbreviation ‘spp.’ is used to denote all species of a higher taxon.
3. Other references to taxa higher than species are for the purposes of information or classification only.
4. Species printed in bold in Annex A are listed there in consistency with their protection as provided for by Council Directive 79/409/EEC (Birds Directive) or Council Directive 92/43/EEC (Habitats Directive).
5. The following abbreviations are used for plant taxa below the level of species:
  - (a) ‘ssp.’ is used to denote subspecies;
  - (b) ‘var(s).’ is used to denote variety (varieties); and
  - (c) ‘fa’ is used to denote forma.
6. The symbols ‘(I)’, ‘(II)’ and ‘(III)’ placed against the name of a species or higher taxon refer to the Appendices of the Convention in which the species concerned are listed as indicated in notes 7 to 9. Where none of these annotations appears, the species concerned are not listed in the Appendices to the Convention.
7. (I) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix I to the Convention.
8. (II) against the name of a species or higher taxon indicates that the species or higher taxon concerned is included in Appendix II to the Convention.
9. (III) against the name of a species or higher taxon indicates that it is included in Appendix III to the Convention. In this case the country with respect to which the species or higher taxon is included in Appendix III is also indicated.
10. Hybrids may be specifically included in the Appendices but only if they form distinct and stable populations in the wild. Hybrid animals that have in their previous four generations of the lineage one or more specimens of species included in Annexes A or B shall be subject to the provisions of this Regulation just as if they were full species, even if the hybrid concerned is not specifically included in the Annexes.
11. When a species is included in Annexes A, B or C, all parts and derivatives of the species are also included in the same Annex unless the species is annotated to indicate that only specific parts and derivatives are included. In accordance with Article 2(t) of this Regulation, the symbol ‘#’ followed by a number placed against the name of a species or higher taxon included in Annexes B or C designates parts or derivatives which are specified in relation thereto for the purposes of the Regulation as follows:
  - #1 Designates all parts and derivatives, except:
    - (a) seeds, spores and pollen (including pollinia);
    - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
    - (c) cut flowers of artificially propagated plants; and
    - (d) fruits and parts and derivatives thereof of artificially propagated plants of the genus *Vanilla*.
  - #2 Designates all parts and derivations, except:
    - (a) seeds and pollen; and
    - (b) finished products packaged and ready for retail trade.
  - #3 Designates whole and sliced roots and parts of roots.

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- #4 Designates all parts and derivatives, except:
- (a) seeds, except those from Mexican cacti originating in Mexico, and pollen;
  - (b) seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers;
  - (c) cut flowers of artificially propagated plants;
  - (d) fruits and parts and derivatives thereof of naturalised or artificially propagated plants; and
  - (e) separate stem joints (pads) and parts and derivatives therefore of naturalised or artificially propagated plants of the genus *Opuntia* subgenus *Opuntia*.
- #5 Designates logs, sawn wood and veneer sheets.
- #6 Designates logs, sawn wood, veneer sheets and plywood.
- #7 Designates logs, wood-chips, powder and extracts.
- #8 Designates underground parts (i.e. roots, rhizomes): whole, parts and powdered.
- #9 Designates all parts and derivatives, except those bearing a label 'Produced from *Hoodia* spp. material obtained through controlled harvesting and production in collaboration with the CITES Management Authorities of Botswana/Namibia/South Africa under agreement No BW/NA/ZA xxxxxx'.
- #10 Designates logs, sawn wood, veneer sheets, including unfinished wood articles used for the fabrication of bows for stringed musical instruments.
- #11 Designates logs, sawn wood, veneer sheets, plywood, powder and extracts.
12. As none of the species or higher taxa of FLORA included in Annex A is annotated to the effect that its hybrids shall be treated in accordance with the provisions of Article 4.1 of the Regulation, this means that artificially propagated hybrids produced from one or more of these species or taxa may be traded with a certificate of artificial propagation, and that seeds and pollen (including pollinia), cut flowers, seedling or tissue cultures obtained *in vitro*, in solid or liquid media, transported in sterile containers of these hybrids are not subject to the provisions of the Regulation.
13. Urine, faeces and ambergris which are waste products and gained without the manipulation of the animal concerned are not subject to the provisions of the Regulation.
14. In respect of fauna species listed in Annex D, the provisions shall apply only to live specimens and whole, or substantially whole, dead specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
- § 1 Any whole, or substantially whole, skins, raw or tanned.
  - § 2 Any feathers or any skin or other part with feathers on it.
15. In respect of flora species listed in Annex D, the provisions shall apply only to live specimens except for taxa which are annotated as follows to show that other parts and derivatives are also covered:
- § 3 Dried and fresh plants, including, where appropriate; leaves, roots/rootstock, stems, seeds/spores, bark and fruits.
  - § 4 Logs, sawn wood and veneer sheets.

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>FAUNA</b>				
<b>MAMMALIA</b>				
<b>ARTIODACTyla</b>				
<b>Antilocapridae</b>				
<i>Antilocapra americana</i> (I) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)				
<b>Bovidae</b>				
<i>Addax nasomaculatus</i> (I)		<i>Ammotragus lervia</i> (II)		
		<i>Bison bison athabascae</i> (II)		
		<i>Annilope cervicapra</i> (III Nepal)		
		<i>Bison bison</i> (III Nepal)		
		<i>Bos gaurus</i> (I) (Excludes the domesticated form referenced as <i>Bos frontalis</i> which is not subject to the provisions of this Regulation)		
		<i>Bos mutus</i> (I) (Excludes the domesticated form referenced as <i>Bos grunniens</i> which is not subject to the provisions of this Regulation)		
		<i>Bos sauveti</i> (I)		
		<i>Bubalus arnee</i> (III Nepal) (Excludes the domesticated form referenced as <i>Bubalus bubalis</i> , which is not subject to the provisions of this Regulation)		
		<i>Bubalus depressicornis</i> (I)		
		<i>Bubalus mindorensis</i> (I)		
		<i>Bubalus quarlesi</i> (I)		
CHORDATA (CHORDATES)				
<b>Mammals</b>				
<b>Pronghorn</b>				
Mexican pronghorn				
<b>Antelopes, cattle, duikers, gazelles, goats, sheep etc.</b>				
<i>Addax</i>				
<i>Barbary sheep</i>				
<i>Blackbuck</i>				
<i>Wood bison</i>				
<i>Gaur</i>				
<i>Wild yak</i>				
<i>Kouprey</i>				
<i>Lowland anoa</i>				
<i>Tamarau</i>				
<i>Mountain anoa</i>				

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
		<i>Budorcas taxicolor</i> (II)		Takin
	<i>Capra falconeri</i> (I)			Markhor
	<i>Capricornis milneedwardsii</i> (I)			Chinese serow
	<i>Capricornis rubidus</i> (I)			Red serow
	<i>Capricornis sumatraensis</i> (I)			Sumatran serow
	<i>Capricornis thar</i> (I)			Himalayan serow
		<i>Cephalophus brookei</i> (II)		Brooke's duiker
		<i>Cephalophus dorsalis</i> (II)		Bay duinker
	<i>Cephalophus jentinki</i> (I)			Jentink's duiker
		<i>Cephalophus ogilbyi</i> (II)		Ogilby's duiker
		<i>Cephalophus silvicultor</i> (II)		Yellow-backed duiker
		<i>Cephalophus zebra</i> (II)		Zebra duiker
		<i>Damaliscus pygargus pygargus</i> (II)		Bontebok
				Cuvier's gazelle
	<i>Gazella cabevi</i> (I)			Dorcas gazelle
		<i>Gazella leptoceros</i> (I)		Slender-horned gazelle
		<i>Hippotragus niger variani</i> (I)		Giant sable antelope
		<i>Kobus leche</i> (II)		Lechwe
				Red goral
		<i>Naemorhedus baileyi</i> (I)		Long-tailed goral
		<i>Naemorhedus caudatus</i> (I)		Himalayan goral
		<i>Naemorhedus goral</i> (I)		Chinese goral
		<i>Naemorhedus griseus</i> (I)		Dama gazelle
		<i>Nanger dama</i> (I)		Scimitar-horned oryx
		<i>Oryx dammah</i> (I)		Arabian oryx
		<i>Oryx leucoryx</i> (I)		Argali
				Tibetan argali
			<i>Ovis ammon hodsonii</i> (I)	
				<i>Ovis ammon ammon</i> (II) (Except for the subspecies included in Annex A)

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
	<i>Ovis ammon nigrimontana</i> (I)	<i>Ovis canadensis</i> (II) (Only the population of Mexico; no other population is included in the Annexes to this Regulation)	<i>Ovis vignei</i> (II) (Except for the subspecies included in Annex A)	Kara Tau argali Mexican bighorn sheep
	<i>Ovis orientalis ophion</i> (I)			Cyprus mouflon Urial
	<i>Ovis vignei vignei</i> (I)			Ladakh urial Chiru
	<i>Pantholops hodgsonii</i> (I)			Blue duiker
	<i>Pseudoryx nghetinhensis</i> (I)			Siola
	<i>Rupicapra pyrenaica ornata</i> (I)			Abruzzo chamois
		<i>Philantomba monticola</i> (II)		Mongolian saiga
				Steppe saiga
				Four-horned antelope
				<b>Camels, guanaco, vicuña</b>
				<i>Tetracerus quadricornis</i> (III Nepal)
				<i>Lama glama guanicoe</i> (II)
				<i>Vicugna vicugna</i> (II) (Only the populations of <b>Argentina</b> (¹) [the population of the Provinces of Jujuy and Catamarca and the semi-captive populations of the Provinces of Jujuy, Salta, Catamarca, La Rioja and San Juan]; <b>Bolivia</b> (²) [the whole population]; <b>Chile</b> (³) [population of the Primera Región]; <b>Peru</b> (⁴) [the whole population]; all other populations are included in Annex A)
				<b>Deer, huemuls, muntjacs, pudus</b>
				<i>Axis calamianensis</i> (I)
				Calamian deer
				Bawean deer
				<b>Cervidae</b>

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<i>Axis porcinus annamiticus</i> (I)				Indochina hog deer
<i>Blastocerus dichotomus</i> (I)				Marsh deer
	<i>Cervus elaphus bactrianus</i> (II)	<i>Cervus elaphus barbarus</i> (III) Algeria/Tunisia)		Bactrian deer
	<i>Cervus elaphus hanglu</i> (I)			Barbary deer
	<i>Dama dama mesopotamica</i> (I)			Hangul
	<i>Hippocamelus</i> spp. (I)			Persian fallow deer
		<i>Mazama temama cerasina</i> (III) Guatemala)		Huemuls
		<i>Odocoileus virginianus mayensis</i> (III Guatemala)		Central American red brocket
	<i>Muntiacus crinifrons</i> (I)			Black muntjac
	<i>Muntiacus reevesi</i> (I)			Giant muntjac
	<i>Ozotoceros bezoarticus</i> (I)			Guatemalan white-tailed deer
		<i>Pudu mephistophiles</i> (II)		Pampas deer
	<i>Pudu puda</i> (I)			Northern pudu
	<i>Rucervus duvaucelii</i> (I)			Southern pudu
	<i>Rucervus eldii</i> (I)			Barasingha
				Eld's deer
			<b>Hippopotamuses</b>	
			<i>Hexaprotodon liberiensis</i> (II)	Pygmy hippopotamus
			<i>Hippopotamus amphibius</i> (II)	Common hippopotamus
			<b>Musk deer</b>	
			<i>Moschus</i> spp. (I) (Only the populations of Afghanistan, Bhutan, India, Myanmar, Nepal and Pakistan; all other populations are included in Annex B)	Musk deer
			<b>Babirusa, hogs, pigs</b>	
			<i>Babyrousa babyrussa</i> (I)	Buru babirusa

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
	<i>Babyrousa bababatuensis</i> (I) <i>Babyrousa celebensis</i> (I) <i>Babyrousa togeanensis</i> (I) <i>Sus salvianus</i> (I)			Bola Batu babirusa North Sulawesi babirusa Malenge babirusa Pygmy hog
<b>Tayassuidae</b>				<b>Peccaries</b>
				Chacoan peccary
				<i>Canis aureus</i> (III India)
				Red panda
				<b>Dogs, foxes, wolves</b>
				Golden jackal
				Grey wolf
				<i>Carnivora</i>
				<i>Ailuridae</i>
				<i>Ailurus fulgens</i> (I)
				<i>Canidae</i>
				<i>Canis lupus</i> (III) (All populations except those of Spain north of the Douro and Greece north of the 39th parallel. Populations of Bhutan, India, Nepal and Pakistan are listed in Appendix I; all other populations are listed in Appendix II.)
				<i>Canis simensis</i>
				<i>Cerdocyon thous</i> (II)
				<i>Chrysocyon brachyurus</i> (II)
				<i>Cuon alpinus</i> (II)
				<i>Lycalopex culpaeus</i> (II)
				<i>Lycalopex fulvipes</i> (II)
<b>▼M12</b>				<b>Ethiopian wolf</b> <b>Crab-eating fox</b> <b>Maned wolf</b> <b>Dhole</b> <b>Culpeo</b> <b>Darwin's fox</b>

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
		<i>Lycalopex griseus</i> (II) <i>Lycalopex gymnocercus</i> (II)	<i>Vulpes bengalensis</i> (III India)	South American grey fox Pampas fox Bush dog Bengal fox
<i>Speothos venaticus</i> (I)		<i>Vulpes cana</i> (II) <i>Vulpes zerda</i> (II)		Blanford's fox Fennec fox
		<i>Cryptoprocta ferox</i> (II) <i>Eupleres goudotii</i> (II)		Fossa Falanouc
		<i>Fossa fossana</i> (II)		Madagascar civet
			<b>Cats, cheetahs, leopards, lions, tigers etc.</b>	
			<b>Cats</b>	
				Cheetah
				Felidae spp. (II) (Except for the species included in Annex A. Specimens of the domesticated form are not subject to the provisions of this Regulation)
				<i>Acinonyx jubatus</i> (I) (Annual export quotas for live specimens and hunting trophies are granted as follows: Botswana: 5; Namibia: 150; Zimbabwe: 50. The trade in such specimens is subject to the provisions of Article 4.1 of this Regulation.)
				<i>Caracal caracal</i> (I) (Only the population of Asia; all other populations are included in Annex B)
				<i>Catopuma temminckii</i> (I)
				<i>Felis nigripes</i> (I)
				<i>Felis silvestris</i> (II)
				<i>Leopardus geoffroyi</i> (I)
				Geoffroy's cat
				Asian Caracal
				Asian golden cat
				Black-footed cat
				Wild cat
				Geoffroy's cat

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
	<i>Leopardus jacobeus</i> (I)			Andean mountain cat
	<i>Leopardus pardalis</i> (I)			Ocelot
	<i>Leopardus tigrinus</i> (I)			Oncilla
	<i>Leopardus wiedii</i> (I)			Margay
	<b><i>Lynx lynx</i> (II)</b>			Eurasian lynx
	<i>Lynx pardinus</i> (I)			Iberian lynx
	<i>Neofelis nebulosa</i> (I)			Clouded leopard
	<i>Panthera leo persica</i> (I)			Asiatic lion
	<i>Panthera onca</i> (I)			Jaguar
	<i>Panthera pardus</i> (I)			Leopard
	<i>Panthera tigris</i> (I)			Tiger
	<i>Pardofelis marmorata</i> (I)			Marbled cat
				Bengal leopard cat
				Iriomote cat
				Flat-headed cat
				Rusty-spotted cat
				Florida cougar
				Costa Rican cougar
				Eastern cougar
				Jaguarundi
				Snow leopard
<b>▼M12</b>				<i>Uncia uncia</i> (I)

*Prionailurus bengalensis bengalensis* (I) (Only the populations of Bangladesh, India and Thailand; all other populations are included in Annex B.)

*Prionailurus iriomotensis* (II)

*Prionailurus planiceps* (I)

*Prionailurus rubiginosus* (I) (Only the population of India; all other populations are included in Annex B.)

*Puma concolor coryi* (I)

*Puma concolor costaricensis* (I)

*Puma concolor couguar* (I)

*Puma yagouaroundi* (I) (Only the populations of Central and North America; all other populations are included in Annex B)

*Uncia uncia* (I)

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Herpestidae</b>				<b>Mongooses</b>
				Indian brown mongoose Indian grey mongoose Small Indian mongoose
				<i>Herpestes fuscus</i> (III India) <i>Herpestes edwardsi</i> (III India) <i>Herpestes javanicus auropunctatus</i> (III India) <i>Herpestes smithii</i> (III India) <i>Herpestes urva</i> (III India) <i>Herpestes vitticollis</i> (III India)
				Ruddy mongoose Crab-eating mongoose Stripe-necked mongoose
<b>Hyænidae</b>				<b>Aardwolf, hyenas</b>
				Aardwolf
<b>Mephitidae</b>				<b>Skunks</b>
				Humboldt's hog-nosed skunk
				<b>Badgers, martens, weasels etc.</b>
<b>Mustelidae</b>				<b>Otters</b>
				Otters
<b>Lutrinae</b>				Cameroon clawless otter
				<i>Conepatus humboldtii</i> (II)
				Lutrinae spp. (II) (Except for the species included in Annex A)
				<i>Anonyx capensis microdon</i> (I) (Only the populations of Cameroon and Nigeria; all other populations are included in Annex B)
				<i>Enhydra lutris nereis</i> (I)
				<i>Lontra felina</i> (I)
				<i>Lontra longicaudis</i> (I)
				<i>Lontra provocax</i> (I)
				<i>Lutra lutra</i> (I)
				<i>Lutra nippon</i> (I)
				<i>Pteronura brasiliensis</i> (I)
<b>Mustelinae</b>				<b>Grisons, martens, tayra, weasels</b>
				Tayra
				Greater grison
				<i>Eira barbara</i> (III Honduras) <i>Galictis vittata</i> (III Costa Rica)

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Otariidae</b>			<i>Martes flavigula</i> (III India)	Yellow-throated marten
<i>Mustela nigripes</i> (I)			<i>Martes foina intermedia</i> (III India) <i>Martes gwatkinsii</i> (III India) <i>Mellivora capensis</i> (III Botswana)	Stone marten Nilgiri marten Honey badger
<b>Phocidae</b>		<i>Odobenus rosmarus</i> (III Canada)		Black-footed ferret
<b>Procyonidae</b>		<i>Arctocephalus</i> spp. (II) (Except for the species included in Annex A)		
<i>Arctocephalus philippii</i> (II)			<i>Mirounga leonina</i> (II)	Juan Fernández fur seal
<i>Arctocephalus townsendi</i> (I)				Guadalupe fur seal
<b>Ursidae</b>		<i>Monachus</i> spp. (I)		
<i>Ailuropoda melanoleuca</i> (I)			<i>Bassaricyon gabbii</i> (III Costa Rica) <i>Bassariscus sumichrasti</i> (III Costa Rica)	Olingo Cacomistle
<i>Helarctos malayanus</i> (I)			<i>Nasua narica</i> (III Honduras) <i>Nasua nasua solitaria</i> (III Uruguay)	White-nosed coati South Brazilian coati
<i>Melursus ursinus</i> (I)			<i>Potos flavus</i> (III Honduras)	Kinkajou
<b>Bears</b>				
<i>Tremarctos ornatus</i> (I)				Bears
				Giant panda Sun bear Sloth bear Spectacled bear

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
	<i>Ursus arctos (I/II)</i> (Only the populations of Bhutan, China, Mexico and Mongolia and the subspecies <i>Ursus arctos isabellinus</i> are listed in Appendix I; all other populations and subspecies are listed in Appendix II). <i>Ursus thibetanus</i> (I)			Brown bear
Viverridae				
		<i>Binturong, civets, linsangs, otter-civet, palm civet</i>		
			<i>Arctictis binturong</i> (III India) <i>Civettictis civetta</i> (III Botswana)	<i>Binturong</i>
			<i>Cynogale bennettii</i> (I) <i>Hemigalus derbyanus</i> (II)	<i>African civet</i>
			<i>Paguma larvata</i> (III India) <i>Paradoxurus hermaphrodius</i> (III India) <i>Paradoxurus jerdoni</i> (III India)	<i>Banded palm civet</i> <i>Masked palm civet</i> <i>Asian palm civet</i>
			<i>Prionodon linsang</i> (II)	<i>Jerdon's palm civet</i> <i>Banded linsang</i> <i>Spotted linsang</i>
			<i>Prionodon pardicolor</i> (I)	<i>Malabar large-spotted civet</i> <i>Viverra zibetha</i> (III India) <i>Viverricula indica</i> (III India)
CETACEA				<i>Cetaceans (dolphins, porpoises, whales)</i>
				<i>Cetaceans</i>
				<i>Broad-nosed bats</i>
				<i>Platyrrhinus lineatus</i> (III Uruguay) White-lined bat
<b>▼M12</b>				<i>CHIROPTERA</i> <i>Phyllostomidae</i>

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Pteropodidae</b>				
<i>Acerodon jubatus</i> (I)	<i>Acerodon</i> spp. (II) (Except for the species included in Annex A)	<i>Pteropus</i> spp. (II) (Except for the species included in Annex A)		<b>Fruit bats, flying foxes</b>
	<i>Pteropus insularis</i> (I)			Ruck flying fox
	<i>Pteropus livingstonii</i> (II)			Comoro flying fox
	<i>Pteropus loochoensis</i> (I)			Japanese flying fox
	<i>Pteropus mariannus</i> (I)			Marianas flying fox
	<i>Pteropus molossinus</i> (I)			Caroline flying fox
	<i>Pteropus pelewensis</i> (I)			Pelew flying fox
	<i>Pteropus pilosus</i> (I)			Large Pelew flying fox
	<i>Pteropus rodricensis</i> (II)			Rodrigues flying fox
	<i>Pteropus samoensis</i> (I)			Samoan flying fox
	<i>Pteropus tonganus</i> (I)			Pacific flying fox
	<i>Pteropus ualanus</i> (I)			Kosrae flying fox
	<i>Pteropus volczkowi</i> (II)			Pemba flying fox
	<i>Pteropus yapsensis</i> (I)			Yap flying fox
				<b>Armadillos</b>
				<i>Cabassous centralis</i> (III Costa Rica)
				<i>Cabassous tatouay</i> (III Uruguay)
				Northern naked-tailed armadillo
				Greater naked-tailed armadillo
				Andean hairy armadillo
				Giant armadillo
<b>CINGULATA</b>				
<b>Dasyopodidae</b>				
				<i>Chaetophractus nationi</i> (I) (A zero annual export quota has been established. All specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly)
				<i>Priodontes maximus</i> (I)

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<i>DASYUROMORPHIA</i>				
<b>Dasyuridae</b>				
				<b>Dunnarts, marsupial mice, planigales</b>
				Long-tailed dunnart Sandhill dunnart
				<b>Tasmanian wolf, thylacine</b>
				Thylacine
<i>Thylacinidae</i>				
				<b>Kangaroos, wallabies</b>
				Grizzled tree-kangaroo Ursine tree-kangaroo
				Rufous hare-wallaby
				Banded hare-wallaby
				Bridled nail-tail wallaby
				Crescent nail-tail wallaby
<i>DIPROTODONTIA</i>				
<b>Macropodidae</b>				
				<i>Dendrolagus inustus</i> (I)
				<i>Dendrolagus ursinus</i> (II)
				<i>Lagorchestes hirsutus</i> (I)
				<i>Lagostrophus fasciatus</i> (I)
				<i>Onychogalea fraenata</i> (I)
				<i>Onychogalea lunata</i> (I)
<i>Phalangeridae</i>				
				<i>Phalanger intercastellanus</i> (II)
				<i>Phalanger mimicus</i> (II)
				<i>Phalanger orientalis</i> (II)
				<i>Spilocuscus kraemeri</i> (II)
				<i>Spilocuscus maculatus</i> (II)
				<i>Spilocuscus papuensis</i> (II)
<i>Potoroidae</i>				
				<i>Bettongia</i> spp. (I)
				<i>Caloprymnus campestris</i> (possibly extinct) (I)
<i>Vombatidae</i>				<i>Lastorhinus krefftii</i> (I)
				Northern hairy-nosed wombat
				<b>Wombats</b>
				Bettongs Desert rat-kangaroo

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<i>LAGOMORPHA</i>				
<b>Leporidae</b>				<b>Hares, rabbits</b>
	<i>Caprolagus hispidus</i> (I)			Hispid hare
	<i>Romerolagus diazi</i> (I)			Volcano rabbit
<i>MONOTREMATA</i>				
<b>Tachyglossidae</b>				<b>Echidnas, spiny anteaters</b>
		<i>Zaglossus</i> spp. (II)		Long-beaked echidnas
<i>PERAMELEMORPHIA</i>				
<b>Chaeropodidae</b>				<b>Bandicoots</b>
	<i>Chaeropus ecaudatus</i> (possibly extinct) (I)			Pig-footed bandicoot
<b>Peramelidae</b>				Western barred bandicoot
	<i>Perameles bougainville</i> (I)			
<b>Thylacomyidae</b>				Greater bilby
	<i>Macrotis lagotis</i> (I)			Lesser bilby
	<i>Macrotis leucura</i> (I)			
<i>PERISSODACTyla</i>				<b>Horses, wild asses, zebras</b>
<b>Equidae</b>				African ass
	<i>Equus africanus</i> (I) (Excludes the domesticated form referenced as <i>Equus asinus</i> , which is not subject to the provisions of this Regulation)			Grévy's zebra
	<i>Equus grevyi</i> (I)			Asiatic wild ass
	<i>Equus hemionus</i> (I/II) (The species is listed in Appendix II but subspecies <i>Equus hemionus hemionus</i> and <i>Equus hemionus khur</i> are listed in Appendix I)			Kiang
	<i>Equus kiang</i> (II)			Przewalski's horse
	<i>Equus przewalskii</i> (I)			Hartmann's mountain zebra
				<i>Equus zebra harmannae</i> (II)

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Rhinocerotidae</b>	<i>Equus zebra zebra</i> (I)			Cape mountain zebra
	<i>Rhinocerotidae</i> spp. (I) (Except for the subspecies included in Annex B)			Southern white rhinoceros
		<i>Ceratotherium simum simum</i> (II) (Only the populations of South Africa and Swaziland; all other populations are included in Annex A. For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable destinations and trade in hunting trophies. All other specimens shall be deemed to be specimens of species included in Annex A and trade in them shall be regulated accordingly)		
<b>Tapiridae</b>		<i>Tapiridae</i> spp. (I) (Except for the species included in Annex B)	<i>Tapirus terrestris</i> (II)	South American tapir
<b>PHOLIDOTA</b>				
<b>Maniidae</b>			<i>Manis</i> spp. (II) (A zero annual export quota has been established for <i>Manis crassicaudata</i> , <i>Manis ciliionensis</i> , <i>Manis javanica</i> and <i>Manis pentadactyla</i> for specimens removed from the wild and traded for primarily commercial purposes)	Pangolins
<b>PILOSA</b>				
<b>Bradypteridae</b>				<i>Bradypus variegatus</i> (II)
				Brown-throated sloth
				Three-toed sloths

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Megalonychidae</b>			<i>Choloepus hoffmanni</i> (III) Costa Rica)	<b>Two-toed sloth</b> Hoffmann's two-toed sloth
<b>Myrmecophagidae</b>		<i>Myrmecophaga tridactyla</i> (II)	<i>Tamandua mexicana</i> (III) Guatemala)	<b>American anteaters</b> Giant anteater Northern tamandua
		PRIMATES	Primates (apes and monkeys)	Primates
				<b>Howlers, spider monkeys</b>
				Coiba Island howler
				Mantled howler
				Guatemalan black howler
				Black-browed spider monkey
				Red spider monkey
				Southern muriqui
				Yellow-tailed woolly monkey
				<b>Marmosets, tamarins, New-world monkeys</b>
				Goeldi's marmoset
				Buffy-tufted marmoset
				Buffy-headed marmoset
				Lion tamarins
				Pied tamarin
				Geoffroy's tamarin
				White-footed tamarin
				<i>Saguinus martinsi</i> (I)
<b>Cebidae</b>				
				<i>Callimico goeldii</i> (I)
				<i>Callithrix aurita</i> (I)
				<i>Callithrix flaviceps</i> (I)
				<i>Leontopithecus</i> spp. (I)
				<i>Saguinus bicolor</i> (I)
				<i>Saguinus geoffroyi</i> (I)
				<i>Saguinus leucopus</i> (I)

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Cercopithecidae</b>				
	<i>Saguinus oedipus</i> (I)			Cotton-top tamarin
	<i>Saimiri oerstedii</i> (I)			Central American squirrel monkey
				<b>Old-world monkeys</b>
				Tana River mangabey
				Diana monkey
				Roloway monkey
				Sun-tailed monkey
				Black colobus
				Lion-tailed macaque
				Drill
				Mandrill
				Proboscis monkey
				Central African red colobus
				Uzungwa red colobus
				Zanzibar red colobus
				Pennant's red colobus
				Preuss's red colobus
				Tana River red colobus
				Ugandan red colobus
				Thollon's red colobus
				Mentawai langur
				Douc langurs
				Snub-nosed monkeys
				Kashmir grey langur
				Southern Plains grey langur
				Northern Plains grey langur
				Tarai grey langur

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Semnopithecus hypoleucus</b> (I)				Black-footed grey langur
<b>Semnopithecus priam</b> (I)				Tufted grey langur
<b>Semnopithecus schistaceus</b> (I)				Nepal grey langur
<b>Simias concolor</b> (I)				Simakobou
<b>Trachypithecus delacouri</b> (II)				Delacour's langur
<b>Trachypithecus francoisi</b> (II)				François's langur
<b>Trachypithecus geei</b> (I)				Gee's golden langur
<b>Trachypithecus hatinhensis</b> (II)				Hatinh langur
<b>Trachypithecus johnii</b> (II)				Nilgiri langur
<b>Trachypithecus laotum</b> (II)				Laotian langur
<b>Trachypithecus pileatus</b> (I)				Capped langur
<b>Trachypithecus poliocephalus</b> (II)				White-headed langur
<b>Trachypithecus shorridgei</b> (I)				Shortridge's langur
<b>Cheirogaleidae</b>				<b>Dwarf lemurs and mouse-lemurs</b>
<i>Cheirogaleidae</i> spp. (I)				Dwarf lemurs and mouse lemurs
<b>Daubentoniiidae</b>				<b>Aye-aye</b>
<b>Hominidae</b>				<b>Chimpanzees, gorillas, orang-utan</b>
<i>Daubentonia madagascariensis</i> (I)				Eastern gorilla
<b>Hylobatidae</b>				Western gorilla
<b>Indriidae</b>				Chimpanzee and bonobo
<i>Indriidae</i> spp. (I)				Sumatran orangutan
				Bornean orangutan
				<b>Gibbons</b>
				Gibbons
				<b>Indri, sifakas and woolly lemurs</b>
				Indri, sifakas and woolly lemurs

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Lemuridae</b>	<i>Lemuridae</i> spp. (I)			<b>Large lemurs</b>
		Large lemurs		Large lemurs
<b>Lepilemuridae</b>	<i>Lepilemuridae</i> spp. (I)			<b>Sportive lemurs</b>
		Sportive lemurs		Sportive lemurs
<b>Lorisidae</b>	<i>Nycticebus</i> spp. (I)			<b>Lorises</b>
		Slow lorises		Uacaris, titis, sakis
<b>Pitheciidae</b>				Uacaris
				Barbara Brown's Titi
	<i>Cacajao</i> spp. (I)			Coastal Black-handed Titi
	<i>Callicebus barbarabrownae</i> (II)			Black-fronted Titi
	<i>Callicebus melanochir</i> (II)			Atlantic titi
	<i>Callicebus nigritrons</i> (II)			White-nosed saki
	<i>Callicebus personatus</i> (II)			
	<i>Chiropotes albinasus</i> (I)			
<b>Tarsiidae</b>				<b>Tarsiers</b>
				Tarsiers
<b>PROBOSCIDEA</b>				
<b>Elephantidae</b>				<b>Elephants</b>
	<i>Elephas maximus</i> (I)			Asian elephant
	<i>Loxodonta africana</i> (I) (Except for the populations of Botswana, Namibia, South Africa and Zimbabwe ()); all other populations are included in Annex A)			African elephant
<b>RODENTIA</b>				
<b>Chinchillidae</b>				<i>Chinchilla</i> spp. (I) (Specimens of the domesticated form are not subject to the provisions of this Regulation)
				Chinchillas

▼ M12

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Cuniculidae</b>				Pacas Lowland paca
<b>Dasyproctidae</b>				Agoutis Central American agouti
<b>Erethizontidae</b>				<b>New-world porcupines</b> Mexican hairy dwarf porcupine
<b>Hystriidae</b>				Paraguayan hairy dwarf porcupine <b>Old-world porcupines</b> Crested porcupine
<b>Hystricidae</b>	<i>Hystrix cristata</i>			<b>Mice, rats</b> Greater stick-nest rat Shark Bay mouse False water rat
<b>Muridae</b>		<i>Leporillus conditor</i> (I) <i>Pseudomys fieldi praecoris</i> (I) <i>Xeromys myoides</i> (I) <i>Zyzomys pedunculatus</i> (I)		Central Australian rock rat <b>Ground squirrels, tree squirrels</b> Mexican prairie dog Long-tailed marmot Himalayan marmot Giant squirrels Deppe's squirrel
<b>Sciuridae</b>		<i>Cynomys mexicanus</i> (I)		<i>Marmota caudata</i> (III India) <i>Marmota himalayana</i> (III India) <i>Sciurus deppei</i> (III Costa Rica)
<b>Tupaiidae</b>		<i>Ratufa</i> spp. (II)		<b>Tree shrews</b> Tupaiidae spp.
<b>SIRENIA</b>				Treeshrews
<b>Dugongidae</b>				Dugong Dugong dugon (I)

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Trichechidae</b>				<b>Manatees</b> Manatees
<b>AVES</b>				<b>Birds</b>
<b>ANSERIFORMES</b>				<b>Ducks, geese, swans etc.</b>
<b>Anatidae</b>				
				<i>Anas aucklandica</i> (I)
				<i>Anas bernieri</i> (II)
				<i>Anas chlorotis</i> (I)
				<i>Anas formosa</i> (II)
				<i>Anas laysanensis</i> (I)
				<i>Anas nesiotes</i> (I)
				<i>Anas oustaleti</i> (I)
				<i>Anas querquedula</i>
				<i>Aythya affinis</i>
				<i>Aythya nyroca</i>
				<i>Branta canadensis leucopareia</i> (I)
				<i>Branta ruficollis</i> (II)
				<i>Branta sandvicensis</i> (I)
				<i>Cairina scutulata</i> (I)
				<i>Cairina moschata</i> (III Honduras)
				<i>Coscoroba coscoroba</i> (II)
				<i>Cygnus melanocoryphus</i> (II)
				<i>Dendrocygna arborea</i> (II)
				<i>Dendrocygna autumnalis</i> (III Honduras)
				<i>Dendrocygna bicolor</i> (III Honduras)
				Fulvous whistling-duck
<b>▼ M12</b>				

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<i>Mergus octosetaceus</i>				Brazilian merganser
<i>Oxyura leucocephala</i> (II) <i>Rhodoneissa caryophyllacea</i> (possibly extinct) (I)	<i>Oxyura jamaicensis</i>			Ruddy duck White-headed duck Pink-headed duck
<i>Tadorna cristata</i>				Comb duck Crested shelduck
<i>APODIFORMES</i>				
<b>Trochilidae</b>				<b>Hummingbirds</b>
				Hummingbirds
				Hook-billed hermit
<i>CHARADRIIFORMES</i>				
<b>Burhinidae</b>			<i>Burhinus bistratus</i> (III Guatemala)	<b>Thick-knees</b>
				Double-striped thick-knee
<b>Laridae</b>				<b>Gulls, terns</b>
	<i>Larus relictus</i> (I)			Relict gull
<b>Scolopacidae</b>				<b>Curlews, greenshanks</b>
				Eskimo curlew
				Slender-billed curlew
				Nordmann's greenshank
<i>CICONIIFORMES</i>				
<b>Ardeidae</b>				<b>Egrets, herons</b>
	<i>Ardea alba</i>			Great egret
	<i>Bubulcus ibis</i>			Cattle egret
	<i>Egretta garzetta</i>			Little egret
<b>Balaenicipitidae</b>				<b>Shoebill, whale-headed stork</b>
				Shoebill
				<i>Balaeniceps rex</i> (II)

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Ciconiidae</b>				
<i>Ciconia boyciana</i> (I)				
<b><i>Ciconia nigra</i> (II)</b>				
<i>Ciconia stormi</i>				
<i>Jabiru mycteria</i> (I)				
<i>Leptoptilos dubius</i>				
<i>Mycteria cinerea</i> (I)				
<b>Phoenicopteridae</b>				
<i>Phoenicopterus ruber</i> (II)				
<b>Threskiornithidae</b>				
<i>Eudocimus ruber</i> (II)				
<i>Geronticus calvus</i> (II)				
<i>Geronticus eremita</i> (I)				
<i>Nipponia nippon</i> (I)				
<b><i>Platalea leucorodia</i> (II)</b>				
<i>Pseudibis gigantean</i>				
<b>COLUMBIIFORMES</b>				
<b>Columbidae</b>				
<i>Caloenas nicobarica</i> (I)				
<i>Claravis godeffroyi</i>				
<b><i>Columba livia</i></b>				
<i>Ducula mindorensis</i> (I)				
<i>Gallicolumba luzonica</i> (II)				
<i>Goura</i> spp. (II)				
<i>Leptotila wellsi</i>				
				<i>Nesoenas mayeri</i> (III Mauritius)

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>CORACIFORMES</b> <b>Bucerotidae</b>	<b><i>Streptopelia turtur</i></b>			
				<i>European turtle-dove</i>
				<b>Hornbills</b>
				Hornbills
				Rufous-necked hornbill
				Hornbills
				Great hornbill
				Hornbills
				Helmeted hornbill
				Hornbills
				Plain-pouched hornbill
				<b>Turacos</b>
				Turacos
				Bannerman's turaco
				<b>Diurnal birds of prey (eagles, falcons, hawks, vultures)</b>
				Diurnal birds of prey
<b>CUCULIFORMES</b> <b>Musophagidae</b>				
				<i>Tauraco</i> spp. (II) (Except for the species included in Annex A)
				<i>Rhyticeros subruficollis</i> (I)
				<i>Tauraco</i> spp. (II) (Except for the species included in Annex A)
				<b>FALCONIFORMES</b>
				FALCONIFORMES spp. (II) (Except for the species included in Annex A and for one species of the family Cathartidae included in Annex C; the other species of that family are not included in the Annexes to this Regulation)

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Accipitridae</b>				<b>Hawks, eagles</b>
				Levant sparrowhawk
				Northern goshawk
				Eurasian sparrowhawk
				Cinereous vulture
				Adalbert's eagle
				Golden eagle
				Greater spotted eagle
				Imperial eagle
				Lesser spotted eagle
				Common buzzard
				Rough-legged buzzard
				Long-legged buzzard
				Cuban hook-billed kite
				Short-toed snake-eagle
				Western marsh-harrier
				Northern harrier
				Pallid harrier
				Montagu's harrier
				Black-winged kite
				Madagascar serpent-eagle
				Lammergeier
				Eurasian griffon
				Sea-eagles
				Harpy eagle
				<i>Harpia harpyja</i> (I)

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Cathartidae</b>				
<i>Hieraetus fasciatus</i> (II)				Bonelli's eagle
<i>Hieraetus pennatus</i> (II)				Booted eagle
<i>Leucopternis occidentalis</i> (I)				Grey-backed hawk
<i>Milvus migrans</i> (II)				Black kite
<i>Milvus milvus</i> (II)				Red kite
<i>Neophron percnopterus</i> (II)				Egyptian vulture
<i>Pernis apivorus</i> (II)				European honey-buzzard
<i>Pithecopaga jefferyi</i> (I)				Great Philippine eagle
<b>New world vultures</b>				
<i>Gymnogyps californianus</i> (I)				California condor
<i>Vultur gryphus</i> (I)				King vulture
<b>Falconidae</b>				Andean condor
<i>Falco araeus</i> (I)				<b>Falcons</b>
<i>Falco biarmicus</i> (II)				Seychelles kestrel
<i>Falco cherug</i> (II)				Lanner falcon
<i>Falco columbarius</i> (II)				Saker falcon
<i>Falco eleonorae</i> (II)				Merlin
<i>Falco jugger</i> (I)				Eleonora's falcon
<i>Falco naumanni</i> (II)				Laggar falcon
<i>Falco newtoni</i> (I) (Only the population of the Seychelles)				Lesser kestrel
<i>Falco pelegrinoides</i> (I)				Newton's kestrel
<i>Falco peregrinus</i> (I)				Barbary falcon
<i>Falco punctatus</i> (I)				Peregrine falcon
<i>Falco rusticulus</i> (I)				Mauritius kestrel
<i>Falco subbuteo</i> (II)				Gyrfalcon
<i>Falco tinnunculus</i> (II)				Eurasian hobby
				Common kestrel

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Pandionidae</b>	<i>Falco vespertinus</i> (II)			Red-footed falcon
<b>GALLIFORMES</b>	<i>Pandion haliaetus</i> (II)			<b>Ospreys</b>
<b>Cracidae</b>				Curassows
				<i>Crax</i> spp. (-/III) (Except for the species included in Annex A and Annex D; the following species are listed in Appendix III: <i>Crax daubentonii</i> and <i>Crax globulosa</i> for Colombia and <i>Crax rubra</i> for Colombia, Costa Rica, Guatemala and Honduras)
				<i>Crax alberti</i> (III Colombia)
				<i>Crax blumenbachii</i> (I)
				<i>Mitu mitu</i> (I)
				<i>Oreophasis derbianus</i> (I)
				<i>Ortalis vetula</i> (III Guatemala/Honduras)
				<i>Pauxi pauxi</i> (III Colombia)
				<i>Penelope albipennis</i> (I)
				<i>Penelope purpurascens</i> (III Honduras)
				<i>Penelopina nigra</i> (III Guatemala)
				<i>Pipile jacutinga</i> (I)
				<i>Pipile pipile</i> (I)
				<b>Megapodiidae</b>
				<i>Macrocephalon maleo</i> (I)
				<b>Phasianidae</b>
				Grouse, guineafowl, partridges, pheasants, tragopans

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
		<i>Arborophila campbelli</i> (III Malaysia) <i>Arborophila charltonii</i> (III Malaysia) <i>Argusianus argus</i> (II)		Grey-breasted partridge Chestnut-necklaced partridge Great argus
			<i>Caloperdix oculeus</i> (III Malaysia)	Ferruginous partridge Cheer pheasant Masked bobwhite White eared-pheasant Brown eared-pheasant Grey junglefowl Blood pheasant Himalayan monal Chinese monal Sclater's monal Edwards' pheasant Crestless fireback Vietnamese fireback Crested fireback Imperial pheasant Swinhoe's pheasant Black partridge Ocellated turkey Gorgeted wood-quail Himalayan quail Green peafowl Grey peacock-pheasant
		<i>Catreus wallichii</i> (I) <i>Colinus virginianus ridgwayi</i> (I) <i>Crossoptilon cossyptilon</i> (I) <i>Crossoptilon manchuricum</i> (I)		
		<i>Gallus sonneratii</i> (I) <i>Ithaginis cruentus</i> (II)		
		<i>Lophophorus impejanus</i> (I) <i>Lophophorus thaysii</i> (I) <i>Lophophorus sclateri</i> (I) <i>Lophura edwardsi</i> (I)		
		<i>Lophura erythrophthalma</i> (III Malaysia) <i>Lophura ignita</i> (III Malaysia)		
		<i>Lophura imperialis</i> (I) <i>Lophura swinhoii</i> (I)		
		<i>Odontophorus strophiatus</i> <i>Ophrysia superciliosa</i>		
			<i>Melanoperdix niger</i> (III Malaysia) <i>Meleagris ocellata</i> (III Guatemala)	
			<i>Pavo muticus</i> (II) <i>Polyplectron bicalcaratum</i> (II) <i>Polyplectron germanni</i> (II)	German's peacock-pheasant

Common name	Annex C	Annex A	Annex B
Mountain peacock-pheasant	<i>Polyplectron inopinatum</i> (III Malaysia)	<i>Polyplectron malacense</i> (II)	<i>Polyplectron napoleonis</i> (I)
Malayan peacock-pheasant			<i>Rheinardia ocellata</i> (I)
Palawan peacock-pheasant			<i>Polyplectron schleiermacheri</i> (II)
Bornean peacock-pheasant			
Crested argus			
Hose's partridge			
Long-billed partridge			
Rhizothera dulitensis (III Malaysia)			
<i>Rhizothera longirostris</i> (III Malaysia)			
<i>Rollulus rouloul</i> (III Malaysia)			
Crested partridge			
Elliott's pheasant			
Hume's pheasant			
Mikado pheasant			
Caspian snowcock			
Tibetan snowcock			
Byth's tragopan			
Cabot's tragopan			
Western tragopan			
Satyr tragopan			
Attwater's prairie-chicken			
Cranes			
Cranes			
Whooping crane			
Sandhill crane			
<i>GRUIFORMES</i>			
<b>Gruidae</b>			
Gruidae spp. (II) (Except for the species included in Annex A)			
<i>Grus americana</i> (I)			
<i>Grus canadensis</i> (I/II) The species is listed in Appendix II but subspecies <i>Grus canadensis nesiotis</i> and <i>Grus canadensis pulla</i> are listed in Appendix I)			

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Grus grus (II)</b>				Common crane
<i>Grus japonensis</i> (I)				Red-crowned crane
<i>Grus leucogeranus</i> (I)				Siberian crane
<i>Grus monacha</i> (I)				Hooded crane
<i>Grus nigricollis</i> (I)				Black-necked crane
<i>Grus vipio</i> (I)				White-necked crane
<b>Otididae</b>		Otididae spp. (II) (Except for the species included in Annex A)		
<i>Ardeotis nigriceps</i> (I)				Indian bustard
<i>Chlamydotis macqueenii</i> (I)				Macqueen's bustard
<i>Chlamydotis undulata</i> (I)				Houbara bustard
<i>Houbaropsis bengalensis</i> (I)				Bengal florican
<b>Otis tarda (II)</b>				Great bustard
<i>Sypheotides indicus</i> (II)				Lesser florican
<b>Tetrao tetrix (II)</b>				Little bustard
<b>Rallidae</b>				Coots, rails
<i>Gallirallus sylvestris</i> (I)				Lord Howe rail
<b>Rhynochetidae</b>				Kagu
<i>Rhynochetos jubatus</i> (I)				Kagu
<b>PASSERIFORMES</b>				Noisy scrub-bird
<b>Atrichornithidae</b>				<b>Scrub-birds</b>
<i>Atrichornis clamosus</i> (I)				
<b>Cotingidae</b>				<b>Cotingas</b>
<i>Cephalopterus ornatus</i> (III Colombia)				Amazonian umbrella bird
<i>Cephalopterus penduliger</i> (III Colombia)				Long-wattled umbrella bird
<i>Cotinga maculata</i> (I)				Banded cotinga

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Emberizidae</b>	<i>Xipholena atropurpurea</i> (I)	<i>Rupicola</i> spp. (II)		Cocks-of-the-rock
				White-winged cotinga
				<b>Cardinals, tanagers</b>
				Yellow cardinal
				Yellow-billed cardinal
				Red-crested cardinal
				Seven-coloured tanager
<b>Estrildidae</b>				<b>Mannikins, waxbills</b>
				Green avadavat
				Timor sparrow
				Java sparrow
				Southern black-throated finch
<b>Fringillidae</b>				<b>Finches</b>
				Red siskin
				Yellow-faced siskin
				<b>Martins</b>
				White-eyed river-martin
				<b>New-world blackbirds</b>
				Saffron-cowled blackbird
				<b>Honey-eaters</b>
				Helmeted honeyeater
<b>Hirundinidae</b>				<b>Old-world flycatchers</b>
				Rodrigues brush-warbler
<b>Icteridae</b>				Rueck's blue-flycatcher
<b>Meliphagidae</b>				Western rufous bristlebird
<b>Muscicapidae</b>				Western bristlebird

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
		<i>Garrulax canorus</i> (II)		Melodious laughingthrush
		<i>Leiothrix argentauris</i> (II)		Silver-eared mesia
		<i>Leiothrix lutea</i> (II)		Red-billed leiothrix
		<i>Liocichla omeiensis</i> (II)		Omei Shan liocichla
	<i>Picathartes gymnocephalus</i> (I)			White-necked rockfowl
	<i>Picathartes oreas</i> (I)			Grey-necked rockfowl
			<i>Terpsiphone bourbonnensis</i> (III) Mauritius)	Mascarene paradise-flycatcher
				<b>Birds of paradise</b>
				Birds of paradise
			<b>Pittas</b>	
			<i>Pitta guajana</i> (II)	Banded pitta
			<i>Pitta gurneyi</i> (I)	Gurney's pitta
			<i>Pitta kochii</i> (I)	Whiskered pitta
			<i>Pitta nymphaea</i> (II)	Fairy pitta
			<b>Bulbuls</b>	
			<i>Pycnonotus zeylanicus</i> (II)	Straw-headed bulbul
			<b>Mynas</b>	
			<i>Gracula religiosa</i> (II)	Hill myna
				Bali myna
			<b>White-eyes</b>	
			<i>Leucopsar rothschildii</i> (I)	White-cheasted white-eye
			<b>Zosteropidae</b>	
			<i>Zosterops albogularis</i> (I)	
			<b>PELECANIFORMES</b>	
			<b>Frigatebirds</b>	
			<i>Fregata andrewsi</i> (I)	Christmas frigatebird
			<b>Pelecanidae</b>	
			<i>Pelecanus crispus</i> (I)	Dalmatian pelican

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Sulidae</b>	<i>Papasula abbotti</i> (I)			<b>Boobies</b> Abbott's booby
<i>PICIFORMES</i>				
<b>Capitonidae</b>				
<b>Picidae</b>				
	<i>Campephilus imperialis</i> (I) <i>Dryocopus javensis richardsi</i> (I)			
<b>Ramphastidae</b>				
		<i>Baillonius bailloni</i> (III Argentina) <i>Pteroglossus aracari</i> (II) <i>Pteroglossus castanotis</i> (III Argentina) <i>Pteroglossus viridis</i> (II) <i>Ramphastos dicolorus</i> (III Argentina) <i>Ramphastos sulfuratus</i> (II) <i>Ramphastos toco</i> (II) <i>Ramphastos tucanus</i> (II) <i>Ramphastos vitellinus</i> (II) <i>Selenidera maculirostris</i> (III Argentina)		
<i>PODICIPEDIFORMES</i>				
<b>Podicipedidae</b>				<i>Podilymbus gigas</i> (I)
<i>PROCELLARIIFORMES</i>				
<b>Dioniedidae</b>				<i>Phoebastria albatrus</i> (I)
				Short-tailed albatross

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<i>PSITTACIFORMES</i>		<p>PSITTACIFORMES spp. (II) (Except for the species included in Annex A and excluding <i>Agapornis roseicollis</i>, <i>Melopsittacus undulatus</i>, <i>Nymphicus hollandicus</i> and <i>Psitacula krameri</i>, which are not included in the Annexes to this Regulation)</p> <p><b>Cacatuidae</b></p> <p><i>Cacatua goffini</i> (I) <i>Cacatua haematuropygia</i> (I) <i>Cacatua moluccensis</i> (I) <i>Cacatua sulphurea</i> (I) <i>Probosciger aterrimus</i> (I)</p> <p><b>Loriidae</b></p> <p><i>Eos histrio</i> (I) <i>Vini</i> spp. (I/II) (<i>Vini ultramarina</i> is listed in Appendix I, the other species are listed in Appendix II)</p> <p><b>Psittacidae</b></p>		<p>Cockatoos, lorries, macaws, parakeets, parrots etc.</p> <p><b>Cockatoos</b></p> <p>Tanimbar cockatoo Philippine cockatoo Salmon-crested cockatoo Yellow-crested cockatoo Palm cockatoo</p> <p><b>Lories, lorikeets</b></p> <p>Red and blue lory Blue lorikeets</p> <p><b>Amazons, macaws, parakeets, parrots</b></p> <p>Red-necked parrot Yellow-naped parrot Yellow-shouldered parrot Red-tailed parrot Lilac-crowned parrot St Vincent parrot Imperial parrot Cuban parrot Yellow-headed parrot</p>

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
	<i>Amazona pretrei</i> (I) <i>Amazona rhodocorytha</i> (I) <i>Amazona tucumana</i> (I) <i>Amazona versicolor</i> (I) <i>Amazona vinacea</i> (I) <i>Amazona viridigenalis</i> (I) <i>Amazona vittata</i> (I) <i>Anodorhynchus</i> spp. (I) <i>Ara ambiguus</i> (I) <i>Ara glaucopterus</i> (I) <i>Ara macao</i> (I) <i>Ara militaris</i> (I) <i>Ara rubrogenys</i> (I) <i>Cyanopsitta spixii</i> (I) <i>Cyanoramphus cookii</i> (I) <i>Cyanoramphus forbesi</i> (I) <i>Cyanoramphus novaezelandiae</i> (I) <i>Cyanoramphus saisseti</i> (I) <i>Cyclopsitta diophthalma coxeni</i> (I) <i>Eunymphicus cornutus</i> (I) <i>Geopsittacus occidentalis</i> (possibly extinct) (I) <i>Guarouba guarouba</i> (I) <i>Neophema chrysogaster</i> (I) <i>Ognorhynchus icterotis</i> (I) <i>Pezoporus wallicus</i> (I) <i>Pionopsitta pileata</i> (I)			

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<i>RHEIFORMES</i>				
<i>Rheidae</i>				
	<i>Pterocnemia pennata</i> (I) <i>Pterocnemia pennata pennata</i> which is included in Annex B)			
			<i>Pterocnemia pennata pennata</i> (II) <i>Rhea americana</i> (II)	
<i>SPHENISCIFORMES</i>				
<i>Spheniscidae</i>				
		<i>Spheniscus demersus</i> (II)		
<i>STRIGIFORMES</i>				
<i>Strigidae</i>				
		<i>Spheniscus humboldti</i> (I)		
			<i>STRIGIFORMES</i> spp. (II) (Except for the species included in Annex A)	
<i>Owls</i>				
			<i>Aegolius funereus</i> (II)	
			<i>Asio flammeus</i> (II)	
			<i>Asio otus</i> (II)	
<i>Boreal owl</i>				
<i>Short-eared owl</i>				
<i>Long-eared owl</i>				

	<i>Aannex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
	<i>Athene noctua</i> (II)			Little owl
	<i>Bubo bubo</i> (II)			Eurasian eagle-owl
	<i>Glaucidium passerinum</i> (II)			Eurasian pygmy-owl
	<i>Heteroglaux blevittii</i> (I)			Forest owllet
	<i>Mimizuku garneyi</i> (I)			Lesser eagle-owl
	<i>Ninox natalis</i> (I)			Christmas hawk-owl
	<i>Ninox novaeseelandiae undulata</i> (I)			Norfolk boobook
	<i>Nyctea scandiaca</i> (II)			Snowy owl
	<i>Otus ireneae</i> (II)			Sokoke scops-owl
	<i>Otus scops</i> (II)			Eurasian scops-owl
	<i>Strix aluco</i> (II)			Tawny owl
	<i>Strix nebulosa</i> (II)			Great grey owl
	<i>Strix uralensis</i> (II)			Ural owl
	<i>Surnia ulula</i> (II)			Northern hawk owl
			<b>Barn owls</b>	
			<b>Barn owl</b>	
			<b>Soumagne's owl</b>	
			<b>Ostrich</b>	
			Ostrich	
			<b>STRUTTIONIFORMES</b>	
			<b>Struthionidae</b>	
			<i>Struthio camelus</i> (I) (Only the populations of Algeria, Burkina Faso, Cameroon, the Central African Republic, Chad, Mali, Mauritania, Morocco, the Niger, Nigeria, Senegal and the Sudan; all other populations are not included in the Annexes to this Regulation)	
			<b>TINAMIFORMES</b>	
			<b>Tinamidae</b>	
			<i>Tinamus solitarius</i> (I)	
			<b>Tinamous</b>	
			Solitary tinamou	

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<i>TROGONIFORMES</i>				
<i>Trogonidae</i>				
<i>REPTILIA</i>				
<i>CROCODYLIA</i>			CROCODYLIA spp. (I) (Except for the species included in Annex A)	
<i>Alligatoridae</i>				
	<i>Alligator sinensis</i> (I)			
	<i>Caiman crocodilus apaporiensis</i> (I)			
	<i>Caiman latirostris</i> (I) (Except for the population of Argentina, which is included in Annex B)			
	<i>Melanosuchus niger</i> (I) (Except for the population of Brazil, which is included in Annex B, and population of Ecuador, which is included in Annex B and is subject to a zero annual export quota until an annual export quota has been approved by the CITES Secretariat and the IUCN/SSC Crocodile Specialist Group)			
<i>Crocodylidae</i>				
	<i>Crocodylus acutus</i> (I) (Except for the population of Cuba, which is included in Annex B)			
	<i>Crocodylus cataphractus</i> (I)			
	<i>Crocodylus intermedius</i> (I)			
	<i>Crocodylus mindorensis</i> (I)			
	<i>Crocodylus moreletii</i> (I)			
			American crocodile	
			African slender-snouted crocodile	
			Orinoco crocodile	
			Philippine crocodile	
			Morelet's crocodile	

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
	<i>Crocodylus niloticus</i> (I) (Except for the populations of Botswana, Ethiopia, Kenya, Madagascar, Malawi, Mozambique, Namibia, South Africa, Uganda, the United Republic of Tanzania [subject to an annual export quota of no more than 1 600 wild specimens including hunting trophies, in addition to ranched specimens], Zambia and Zimbabwe; these populations are included in Annex B)			Nile crocodile
				Mugger crocodile Estuarine crocodile
				Cuban crocodile Siamese crocodile West African dwarf crocodile False gharial
				<b>Gavial or gharial</b> Gharial
				<b>Tuataras</b> Tuataras
				<b>Spiny-tailed lizards (Agamas, mastigures)</b> <i>Uromastyx</i> spp. (II) Spiny-tailed lizards
				<b>Chameleons</b> <i>Bradypodion</i> spp. (II) Dwarf chameleons

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<i>Brookesia perarmata</i> (I)	<i>Brookesia</i> spp. (II) (Except for the species included in Annex A) <i>Calumma</i> spp. (II) <i>Chamaeleo</i> spp. (II) (Except for the species included in Annex A)			Dwarf chameleons Dwarf spiny chameleon Madagascar chameleons Chameleons
<i>Chamaeleo chamaeleon</i> (II)	<i>Furcifer</i> spp. (II)			European chameleon Madagascar chameleons
<b>Cordylidae</b>	<i>Cordylus</i> spp. (II)			<b>Spiny-tailed lizards</b> Girdled lizards
<b>Gekkonidae</b>	<i>Cyrtodactylus serpensinsula</i> (II)	<i>Hoplodactylus</i> spp. (III New Zealand)		<b>Geckos</b> Serpent Island gecko Sticky-toed geckos
<b>Helodermatidae</b>	<i>Phelsuma</i> spp. (II) (Except for the species included in Annex A) <i>Uroplatus</i> spp. (II)	<i>Naultinus</i> spp. (III New Zealand) <i>Phelsuma guentheri</i> (II)		<b>Gila monster and beaded lizard</b> Gila monster and beaded lizard Guatemalan beaded lizard
<b>Iguanidae</b>	<i>Heloderma horridum charlesbogerti</i> (I)			<b>Iguanas</b> <i>Amblyrhynchus cristatus</i> (II) <i>Brachylophus</i> spp. (I) <i>Conolophus</i> spp. (II) <i>Cyclura</i> spp. (I)
				Galapagos marine iguana Fiji iguanas Galapagos land iguanas Ground iguanas Iguanas

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Lacertidae</b>	<i>Sauromalus varius</i> (I)	<i>Phrynosoma coronatum</i> (II)		Coast horned lizard San Esteban Island chuckwalla
<b>Scincidae</b>	<i>Gallotia simonyi</i> (I) <i>Podarcis lilfordi</i> (II) <i>Podarcis pityusensis</i> (III)	<i>Corucia zebra</i> (I)	<b>Lizards</b> Hierro giant lizard Lilford's wall lizard Ibiza wall lizard	
<b>Tiidae</b>		<i>Crocodilurus amazonicus</i> (II)	<b>Skinks</b> Prehensile-tailed skink	
<b>Varanidae</b>		<i>Dracaena</i> spp. (II) <i>Tupinambis</i> spp.(II)	<b>Caiman lizards, tegu lizards</b> Dragon lizard Caiman lizards Tegus	
<b>Xenosauridae</b>			<b>Monitor lizards</b> Monitor lizards	
<b>SERPENTES</b>		<i>Varanus</i> spp. (II) (Except for the species included in Annex A)	<i>Varanus bengalensis</i> (I) <i>Varanus flavescens</i> (I) <i>Varanus griseus</i> (I) <i>Varanus komodoensis</i> (I) <i>Varanus nebulosus</i> (I) <i>Varanus olivaceus</i> (II)	Indian monitor Yellow monitor Desert monitor Komodo dragon Clouded monitor Gray's monitor
<b>Boidae</b>		<i>Shinisaurus crocodilurus</i> (II)	<b>Chinese crocodile lizard</b> Chinese crocodile lizard	
			<b>Snakes</b>	
			<b>Boas</b>	
			<b>Boas</b>	
				Madagascar ground boas
				<i>Acrantophis</i> spp. (I)
				<i>Boidae</i> spp. (II) (Except for the species included in Annex A)

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Boa constrictor occidentalis (I)</b>				Argentine boa constrictor
<i>Epicrates inornatus</i> (I)				Puerto Rican boa
<i>Epicrates monensis</i> (I)				Virgin Island tree boa
<i>Epicrates subflavus</i> (I)				Jamaican boa
<i>Eryx jacchus</i> (II)				Spotted sand boa
<i>Sanzinia madagascariensis</i> (I)				Madagascar tree boa
<b>Bolyeriidae</b>				<b>Round Island boas</b>
<i>Bolyeria multocarinata</i> (I)				Round Island boas
<i>Casarea dussumieri</i> (I)				Round Island keel-scaled boa
<b>Colubridae</b>				<b>Typical snakes, water snakes, whip snakes</b>
<i>Bolyeriidae</i> spp. (II) (Except for the species included in Annex A)				<i>Atretium schistosum</i> (III India) <i>Cerberus rynchos</i> (III India)
<i>Bolyeria multocarinata</i> (I)				<i>Clelia clelia</i> (II)
<i>Casarea dussumieri</i> (I)				<i>Cyclagras gigas</i> (II)
				<i>Elachistodon westermanni</i> (II)
				<i>Ptyas mucosus</i> (II)
				<i>Xenochrophis piscator</i> (III India)
<b>Elapidae</b>				<i>Hoplocephalus bungaroides</i> (II)
				<i>Micruurus diastema</i> (III Honduras)
				<i>Micruurus nigrocinctus</i> (III Honduras)
				<i>Naja atra</i> (II)
				<i>Naja kaouthia</i> (II)
				<i>Naja mandalayensis</i> (II)
				<i>Naja naja</i> (II)
				Chinese spitting cobra
				Monocellate cobra
				Burmese spitting cobra
				Indian cobra

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
		<i>Naja oxiana</i> (II)		Central Asian cobra
		<i>Naja philippinensis</i> (II)		North Philippine spitting cobra
		<i>Naja sagittifera</i> (II)		Andaman cobra
		<i>Naja samarensis</i> (II)		South-east Philippine spitting cobra
		<i>Naja siamensis</i> (II)		Indochinese spitting cobra
		<i>Naja sputatrix</i> (II)		South Indonesian spitting cobra
		<i>Naja sumatrana</i> (II)		Golden spitting cobra
		<i>Ophiophagus hannah</i> (II)		King cobra
			<b>Mexican dwarf boa</b>	
			Mexican dwarf boa	
			<b>Pythons</b>	
			Pythons	
			Indian python	
			<b>Wood boas</b>	
			Wood boas	
			<b>Vipers</b>	
			Neotropical rattlesnake	
			Aruba rattlesnake	
			Russell's viper	
			Latifi's viper	
			Orsini's viper	
				Wagner's viper
<b>▼M12</b>				
			<i>Crotalus durissus</i> (III Honduras)	
			<i>Daboia russelii</i> (III India)	
			<i>Crotalus durissus unicolor</i>	
			<i>Tropidophiidae</i>	
			<i>Tropidophiidae</i> spp. (II)	
			<b>Viperidae</b>	
			<i>Vipera latifii</i>	
			<i>Vipera urinii</i> (I) (Only the population of Europe, except the area which formerly constituted the USSR; these latter populations are not included in the Annexes to this Regulation)	
			<i>Vipera wagneri</i> (II)	

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<i>TESTUDINES</i>				
<b>Carettochelyidae</b>		<i>Carettochelys insculpta</i> (I)		<b>Pig-nosed turtles</b> Pig-nosed turtle
<b>Chelidae</b>		<i>Chelodina mccordi</i> (II)		Astro-American sideneck turtles Roti snake-necked turtle
<b>Cheloniidae</b>		<i>Pseudemydura umbrina</i> (I)		Western swamp turtle
<b>Chelydridae</b>		<i>Cheloniiidae</i> spp. (I)		<b>Sea turtles</b> Sea turtles
<b>Dermatemydidae</b>			<i>Macrochelys temminckii</i> (III United States of America)	<b>Snapping turtles</b> Alligator snapping turtle
<b>Dermochelyidae</b>		<i>Dermatemys mawii</i> (II)		<b>Central American river turtle</b> Central American river turtle
<b>Emydidae</b>		<i>Dermochelys coriacea</i> (I)		<b>Leatherback turtle</b> Leatherback turtle
<b>Glyptemysidae</b>				<b>Box turtles, freshwater turtles</b> Alligator snapping turtle
<b>Terrapenidae</b>		<i>Glyptemys muhlenbergii</i> (I)		<b>Painted turtle</b> Painted turtle
<b>Trachemysidae</b>		<i>Terrapene coahuila</i> (I)		<b>Wood turtle</b> Wood turtle
<b>Geoemydidae</b>			<i>Graptemys</i> spp. (III United States of America)	<b>Bog turtle</b> Bog turtle
			<i>Terrapene</i> spp. (II) (Except for the species included in Annex A)	<b>Map turtles</b> Map turtles
				<b>Box turtles</b> Box turtles
				<b>Aquatic box turtle</b> Aquatic box turtle
				<b>Red-eared terrapin</b> Red-eared terrapin
				<b>Batagur</b> Batagur
				<b>Painted terrapin</b> Painted terrapin
				<b>Asian box turtles</b> Asian box turtles
<b>▼M12</b>				

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
	<i>Geoclemys hamiltonii</i> (I)		<i>Geoemyda spengleri</i> (III China)	Black pond turtle Black-breasted leaf turtle Yellow-headed temple turtle
		<i>Heosemys annandalii</i> (II) <i>Heosemys depressa</i> (II) <i>Heosemys grandis</i> (II) <i>Heosemys spinosa</i> (II) <i>Kachuga</i> spp. (II) <i>Leucocephalon yunnanensis</i> (II) <i>Malayemys macrocephala</i> (II) <i>Malayemys subtrijuga</i> (II) <i>Mauremys annamensis</i> (II) <i>Mauremys mutica</i> (II)		Arakan forest turtle Giant Asian turtle Spiny turtle Roofed turtles Sulawesi forest turtle Snail-eating turtle Ricefield turtle Annam pond turtle Fujian pond turtle Big-headed pond turtle Yellow pond turtle Red-necked turtle Pritchard's pond turtle Reeves's turtle Chinese stripe-necked turtle Three-keeled land tortoise Burmese swamp turtle Malayan flat-shelled turtle Notch-mouthed stripe-necked turtle Philippen's stripe-necked turtle Malayan giant turtle Roofed turtles Indian roofed turtle Beal's eyed turtle
			<i>Mauremys rivsoni</i> (III China) <i>Mauremys megalocephala</i> (III China) <i>Muremys nigricans</i> (III China) <i>Mauremys pritchardi</i> (III China) <i>Mauremys reevesii</i> (III China) <i>Mauremys sinensis</i> (III China) <i>Melanochelys tricarinata</i> (I) <i>Morenia ocellata</i> (I) <i>Notochelys platynota</i> (I) <i>Orlitia borneensis</i> (I) <i>Pangshura</i> spp. (Except for the species included in Annex A) <i>Pangshura tecta</i> (I)	<i>Sacalia bealei</i> (III China)

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
		<i>Sacalia pseudocellata</i> (III China) <i>Sacalia quadriocellata</i> (III China)		Chinese false-eyed turtle Four-eyed turtle
<b>Plastridae</b>		<i>Siebenrockiella crassicollis</i> (II) <i>Siebenrockiella leytenensis</i> (II)		Black marsh turtle Philippine pond turtle
<b>Big-headed turtle</b>		<i>Plastron megacephalum</i> (II)		Big-headed turtle
<b>Afro-American sideneck turtles</b>				
		<i>Erymnochelys madagascariensis</i> (II) <i>Peltocephalus dumerilianus</i> (II) <i>Podocnemis</i> spp. (II)		Madagascar sideneck turtle Big-headed sideneck turtle Sideneck turtles
<b>Tortoises</b>				Tortoises
				Radiated tortoise Angonoka Galapagos giant tortoise Bolson tortoise Pancake tortoise Geometric tortoise Madagascar spider tortoise Madagascar flat-shelled tortoise
<b>Podocnemididae</b>				
				Spur-thighed tortoise
<b>Testudinidae</b>				Hermann's tortoise Egyptian tortoise Marginated tortoise
<b>M12</b>				

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>Trionychidae</b>				
		<i>Amyda cartilaginea</i> (II)		<b>Softshell turtles, terrapins</b>
		<i>Apalone spinifera atra</i> (I)	Southeast Asian soft-shelled turtle	
		<i>Aspideretes gangeticus</i> (I)	Cuatro Cienegas soft-shell turtle	
		<i>Aspideretes hurum</i> (I)	Indian soft-shell turtle	
		<i>Aspideretes nigricans</i> (I)	Peacock soft-shell turtle	
		<i>Chitra</i> spp. (II)	Black soft-shell turtle	
		<i>Lissemys punctata</i> (II)	Narrow-headed softshell turtles	
		<i>Lissemys scutata</i> (II)	Indo-Gangetic flapshell turtle	
		<i>Pelodiscus</i> spp. (II)	Burmese flapshell turtle	
		<i>Palea steindachneri</i> (III China)	Wattle-necked softshell turtle	
		<i>Pelodiscus axenaria</i> (III China)	Giant softshell turtles	
		<i>Pelodiscus macackii</i> (III China)	Hunan softshell turtle	
		<i>Pelodiscus parviformis</i> (III China)	Amur softshell turtle	
		<i>Rafetus swinhonis</i> (III China)	Chinese softshell turtle	
			Yangtze softshell turtle	
			Amphibians	
			<b>Toads</b>	
			Malcolm's Ethiopian toad	
			Golden frog	
			Golden toad	
			Cameroon toad	
			African viviparous toads	
			Nimba toads	
			Osgood's Ethiopian toad	
			<b>Poison frogs</b>	
			Brilliant-thighed poison frog	
			Sanguine poison frog	
<b>AMPHIBIA</b>				
<i>ANURA</i>				
<b>Bufoidae</b>				
		<i>Aliophrynoidea</i> spp. (I)		
		<i>Atelopus zeteki</i> (I)		
		<i>Bufo periglenes</i> (I)		
		<i>Bufo superciliaris</i> (I)		
		<i>Nectophrynoidea</i> spp. (I)		
		<i>Nimbaphrynoidea</i> spp. (I)		
		<i>Spinophrynoidea</i> spp. (I)		
<b>Dendrobatidae</b>				
		<i>Allobates femoralis</i> (II)		
		<i>Allobates zaparo</i> (II)		

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
		<i>Cryptophyllobates azureiventris</i> (II)		Sky-blue poison frog
		<i>Dendrobates</i> spp. (II)		Poison-arrow frogs
		<i>Epipedobates</i> spp. (II)		Poison-arrow frogs
		<i>Phyllobates</i> spp. (II)		Poison-arrow frogs
<b>Mantellidae</b>		<b>Mantella frogs</b>		
		<i>Mantella</i> spp. (II)		Mantella frogs
<b>Microhylidae</b>			<b>Tomato frogs</b>	
			<i>Tomato</i> frog	
			Red rain frog	
<b>Myobatrachidae</b>				
		<i>Dyscophus antongilii</i> (I)		
		<i>Scaphiophryne gotyla</i> (II)		
			<b>Gastric brooding frogs</b>	
			<i>Rheobatrachus</i> spp. (II) (Except for the species included in Annex A)	
			<i>Rheobatrachus silus</i> (II)	
<b>Ranidae</b>				
		<i>Conraua goliath</i>		
		<i>Euphlyctis hexadactylus</i> (II)		
		<i>Hoplobatrachus tigerinus</i> (II)		
		<i>Rana catesbeiana</i>		
<b>CAUDATA</b>				
<b>Ambystomatidae</b>				
		<i>Ambystoma dumerili</i> (II)		
		<i>Ambystoma mexicanum</i> (II)		
<b>Cryptobranchidae</b>				
		<i>Andrias</i> spp. (I)		
<b>ELASMOBRANCHII</b>				
<i>LAMNIFORMES</i>				
<b>Cetorhinidae</b>				
		<i>Cetorhinus maximus</i> (II)		Basking shark
<b>Basking sharks</b>				
				Basking shark

		<i>Common name</i>		
		<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>
<b>Lamnidae</b>			<i>Carcharodon carcharias</i> (II)	<b>Great white shark</b> Great white shark
<i>ORECTOLOBIFORMES</i>				
<b>Rhinodontidae</b>			<i>Rhincodon typus</i> (II)	<b>Whale sharks</b> Whale shark
<i>RAJIFORMES</i>				
<b>Pristidae</b>			<i>Pristis spp.</i> (I) (Except for the species included in Annex B)	<b>Sawfishes</b> Sawfishes
			<i>Pristis microdon</i> (II) (For the exclusive purpose of allowing international trade in live animals to appropriate and acceptable aquaria for primarily conservation purposes. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly)	Freshwater sawfish
<i>ACTINOPTERYGHII</i>				
<i>ACIPENSERIFORMES</i>				<b>Sturgeons</b> Shortnose sturgeon Common sturgeon
<b>Acipenseridae</b>			<i>Acipenser brevirostrum</i> (I) <i>Acipenser sturio</i> (I)	<b>Freshwater eels</b> European eel
<i>ANGUILLIFORMES</i>				<i>Anguilla anguilla</i> (II) (This inclusion will enter into force on 13 March 2009)
<b>Anguillidae</b>				

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>CYPRINIFORMES</b>				
<b>Catostomidae</b>				
	<i>Chasmistes cuyus</i> (I)			<b>Cui-ui</b>
				<b>Cui-ui</b>
<b>Cyprinidae</b>				<b>Blind carps, placebos</b>
				African blind barb fish
				Ikan temoleh
<b>OSTEOGLOSSIFORMES</b>				
<b>Osteoglossidae</b>				<b>Arapaimas, bonytongues</b>
				Arapaima
				Asian arowana
<b>PERCIFORMES</b>				
<b>Labridae</b>				<b>Wrasses</b>
				Humphead wrasse
<b>Sciaenidae</b>				<b>Totobas</b>
				Totoaba
<b>SILURIFORMES</b>				
<b>Pangasiidae</b>				<b>Pangasid catfish</b>
				Giant catfish
<b>SYNGNATHIFORMES</b>				
<b>Syngnathidae</b>				<b>Pipefishes, seahorses</b>
				Seahorses
<b>SARCOPTERYGINI</b>				Lungfishes
<b>CERATODONTIFORMES</b>				
<b>Ceratodontidae</b>				<b>Australian lungfishes</b>
<b>COELACANTHIFORMES</b>				Australian lungfish
<b>Latimeriidae</b>				<b>Coelacanths</b>
				Coelacanths
<b>▼M12</b>				<i>Latimeria</i> spp. (I)

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>ECHINODERMATA (STARFISH, BRITTLE STARS, SEA URCHINS AND SEA CUCUMBERS)</b>				
<b>HOLOTHUROIDEA</b>				Sea cucumbers
<b>ASPIDOCHIROTIDA</b>				
<b>Stichopodidae</b>				<b>Sea cucumbers</b> <i>Isostichopus fuscus</i> (III Ecuador) Brown sea cucumber
<b>ARTHROPODA (ARTHROPODS)</b>				
<b>ARACHNIDA</b>				Spiders and scorpions
<b>ARANEAE</b>				
<b>Theraphosidae</b>				<b>Red-kneed tarantulas, tarantulas</b> Chihuahua rose-grey tarantula Central American tarantulas
<b>SCORPIONES</b>				
<b>Scorpionidae</b>				<b>Scorpions</b> <i>Aphonopelma albiceps</i> (II) <i>Aphonopelma pallidum</i> (II) <i>Brachyphelma</i> spp. (II)
<b>PANDINUS</b>				<i>Pandinus dictator</i> (II) <i>Pandinus gambiensis</i> (II) <i>Pandinus imperator</i> (II)
<b>INSECTA</b>				
<b>COLEOPTERA</b>				Insects
<b>Lucanidae</b>				Beetles
<b>LEPIDOPTERA</b>				<i>Catoptron</i> spp. (III South Africa) Cape stag beetles
<b>Papilionidae</b>				<b>Birdwing and swallowtail butterflies</b> Butterflies
				Sri Lankan rose
				Palu swallowtail butterfly
				Malabar rose

▼ M12

Common name	Annex C	Annex B	Annex A
Swallowtail butterflies			
Apo swallowtail butterfly			
Seram swallowtail			
Birdwing butterflies			
Queen Alexandra's birdwing			
Luzon peacock swallowtail			
Homerus swallowtail			
Corsican swallowtail			
Madagascan emperor swallowtail			
Fluminense swallowtail butterfly			
Hahnel's amazonian swallowtail butterfly			
Mountain apollo			
Kaiser-I-Hind butterflies			
Birdwing butterflies			
Birdwing butterflies			
Leeches			
Leeches			
Medicinal leech			
MOLLUSCA (MOLLUSCS)			
<b>ANNELEIDA (SEGMENTED WORMS AND LEECHES)</b>			
<b>HIRUDINOIDEA</b>			
<b>ARHYNCHOBDELLIDA</b>			
<b>Hirudinidae</b>			
<i>Hirudo medicinalis</i> (II)			
<b>BIVALVIA</b>			
Bivalve molluscs (clams, mussels etc.)			

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>MYTILOIDA</b>				
<b>Mytilidae</b>				<b>Marine mussels</b>
				European date mussel
<b>UNIONOIDA</b>				<b>Freshwater mussels, pearly mussels</b>
<b>Unionidae</b>				Birdwing pearly mussel Western fanshell mussel
				Dromedary pearly mussel Curtis' pearly mussel
				Yellow-blossom pearly mussel Wabash riffleshell
				White catspaw mussel Green-blossom pearly mussel
				Northern riffleshell Turbercle-blossom pearly mussel
				Turgid-blossom pearly mussel Tan riffleshell
				Fine-rayed pigtoe pearly mussel Shiny pigtoe pearly mussel Higgins' eye pearly mussel Pink mucket pearly mussel Sandback pocketbook mussel Alabama lamp pearly mussel White warty-back pearly mussel Orange-footed pimpleback mussel Clubshell pearly mussel
				Rough pigtoe pearly mussel
<b>Pleurobema</b>				
<b>Pleurobema plenum</b> (I)				
<b>Pleurobema clava</b> (II)				
<b>Pleurobema virescens</b> (I)				
<b>Pleurobema satur</b> (I)				
<b>Lampsilis orbicularis orbicularis</b> (I)				
<b>Lampsilis higginsii</b> (I)				
<b>Fusconaia cuneolus</b> (I)				
<b>Fusconaia edgariana</b> (I)				
<b>Epioblasma turridula</b> (I)				
<b>Epioblasma walkeri</b> (I)				
<b>Epioblasma torulosa rangiana</b> (II)				
<b>Epioblasma torulosa torulosa</b> (I)				
<b>Conradilla caelata</b> (I)				
<b>Cyprogenia aberti</b> (II)				
<b>Dromus dromus</b> (I)				
<b>Epioblasma curtisii</b> (I)				
<b>Epioblasma florentina</b> (I)				
<b>Epioblasma sampsonii</b> (I)				
<b>Epioblasma sulcata perobliqua</b> (I)				
<b>Epioblasma torulosa gubernaculum</b> (I)				
<b>Pleurobema cooperianus</b> (I)				
<b>Pleurobema cicatricosus</b> (I)				

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
	<i>Potamius capax</i> (I) <i>Quadrula intermedia</i> (I) <i>Quadrula sparsa</i> (I)			Fat pocketbook pearly mussel Cumberland monkey-face pearly mussel Appalachian monkey-face pearly mussel
	<i>Toxolasma cylindrellus</i> (I) <i>Unio nickliniana</i> (I) <i>Unio tamticensis tecomatus</i> (I) <i>Villosa trabalis</i> (I)			Pale lilliput pearly mussel Nicklin's pearly mussel Tampico pearly mussel Cumberland bean pearly mussel
				<b>Giant clams</b> Giant clams Slugs, snails and conches
				<b>Abalones</b> Midas ear abalone
				<b>Conches</b> Queen conch
				<b>Agate snails, oahu tree snails</b> Little agate shells <b>Green tree snail</b> Manus green tree snail
				CNIDARIA (CORALS, FIRE CORALS, SEA ANEMONES)
				<b>ANTHOZOA</b> <b>ANTIPATHARIA</b>
<b>▼ M12</b>				Corals, sea anemones Black corals

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<i>HELIOPORACEA</i>				
<b>Helioridae</b>				
				<b>Blue coral</b>
				Blue coral
<i>SCLERACTINIA</i>				
				<b>Stony corals</b>
<i>STOLONIFERA</i>				
				<b>Organpipe corals</b>
				Organpipe corals
				Sea ferns, fire corals, stinging medusas
<i>HYDROZOA</i>				
				<b>Tubiporidae spp. (II) (?)</b>
<i>MILLEPORINA</i>				
				<b>Milleporidae spp. (II) (?)</b>
<i>SYLASTERINA</i>				
				<b>Stylasteridae spp. (II) (?)</b>
				<b>FLORA</b>
<i>AGAVACEAE</i>				
				<b>Agaves</b>
				Santa Cruz striped agave
				Queen Victoria agave
				Dehesa bear-grass
<i>AMARYLLIDACEAE</i>				<b>Amaryllids</b>
				Snowdrops
				Sternbergias
<i>APOCYNACEAE</i>				<b>Dogbanes</b>
				Hoodia

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<i>ARALIACEAE</i>		<i>Pachypodium</i> spp. (II) (Except for the species included in Annex A) #1		Elephant trunks
	<i>Pachypodium ambongense</i> (I) <i>Pachypodium baronii</i> (I) <i>Pachypodium decaryi</i> (I)	<i>Rauvolfia serpentina</i> (II) #2		Snake-root devil-pepper
<i>ARAUCARIACEAE</i>				
		<i>Panax ginseng</i> (II) (Only the population of the Russian Federation; no other population is included in the Annexes to this Regulation) #3		Asian ginseng
		<i>Panax quinquefolius</i> (II) #3		American ginseng
<i>BERBERIDACEAE</i>				
		<i>Araucaria araucana</i> (I)		Monkey-puzzle tree
<i>BROMELIACEAE</i>				
		<i>Podophyllum hexandrum</i> (II) #2		Himalayan may-apple
				<b>Air plants, bromelias</b>
		<i>Tillandsia harrisii</i> (II) #1		Harris' tillandsia
		<i>Tillandsia kammii</i> (II) #1		Kamm's tillandsia
		<i>Tillandsia kautskyi</i> (II) #1		Kautsky's tillandsia
		<i>Tillandsia mauryana</i> (II) #1		Maury's tillandsia
		<i>Tillandsia sprengeliana</i> (II) #1		Sprengel's tillandsia
		<i>Tillandsia sucrei</i> (II) #1		Sucre tillandsia
		<i>Tillandsia xerographica</i> (II) #1		Xerographic tillandsia
<i>CACTACEAE</i>				
				CACTACEAE spp. (II) (Except for the species included in Annex A and <i>Perezezia</i> spp., <i>Perekiopsis</i> spp. and <i>Quatabentia</i> spp.) (§) #4

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
	<i>Ariocarpus</i> spp. (1)			Living rock cacti
	<i>Astrophytum asterias</i> (1)			Star cactus
	<i>Aztekium ritteri</i> (1)			Aztec cactus
	<i>Coryphantha werdermannii</i> (1)			Jobalí pincushion cactus
	<i>Discocactus</i> spp. (1)			Discocacti
	<i>Echinocereus ferreiranus</i> ssp. <i>lindleyi</i> (1)			Lindsay's hedgehog cacti
	<i>Echinocereus schmollii</i> (1)			Lamb's-tail cactus
	<i>Escobaria minima</i> (1)			Nelle's cactus
	<i>Escobaria sneedii</i> (1)			Sneed's pincushion cactus
	<i>Mammillaria pecinifera</i> (1)			Conchilinque
	<i>Mammillaria solisoides</i> (1)			Pitayita
	<i>Melocactus conoideus</i> (1)			Cone-like Turk's-cap cactus
	<i>Melocactus deinacanthus</i> (1)			Wonderfully-bristled Turk's cap cactus
	<i>Melocactus glaucescens</i> (1)			Woolly waxy-stemmed Turk's-cap cactus
	<i>Melocactus paucispinus</i> (1)			Few-spined Turk's-cap cactus
	<i>Obregonia denegrii</i> (1)			Artichoke cactus
	<i>Pachycereus militaris</i> (1)			Grenadier's cap
	<i>Pediocactus bradyi</i> (1)			Brady's pincushion cactus
	<i>Pediocactus knowltonii</i> (1)			Knowlton's cactus
	<i>Pediocactus paradisei</i> (1)			Houserock valley cactus
	<i>Pediocactus peeblesianus</i> (1)			Peebles's Navajo cactus
	<i>Pediocactus sileri</i> (1)			Siler's pincushion cactus
	<i>Pelecyphora</i> spp. (1)			Pine cane cactus
	<i>Sclerocactus brevihamatus</i> ssp. <i>tobuschii</i> (1)			Tobusch fishhook cactus
	<i>Sclerocactus erectocentrus</i> (1)			Needle-spined pineapple cactus

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>CARYOCARACEAE</b>				
	<i>Sclerocactus glaucus</i> (I)			Uinta Basin hookless cactus
	<i>Sclerocactus mariposensis</i> (I)			Mariposa cactus
	<i>Sclerocactus mesae-verdae</i> (I)			Mesa Verde cactus
	<i>Sclerocactus nyensis</i> (I)			Tonopah fishhook cactus
	<i>Sclerocactus papyracanthus</i> (I)			Gramma-grass cactus
	<i>Sclerocactus pubispinus</i> (I)			Great-Basin fishhook cactus
	<i>Sclerocactus wrightiae</i> (I)			Wright's fishhook cactus
	<i>Strombocactus</i> spp. (I)			Peyote
	<i>Turbinicarpus</i> spp. (I)			Turbinicarps
	<i>Uebelmannia</i> spp. (I)			Uebelmann cacti
				<b>Ajos</b>
				Ajillo
				<b>Asters, daisies, costus</b>
				<i>Costus</i>
<b>COMPOSITAE (ASTERACEAE)</b>				
				<b>Dudleyas, crassulas</b>
				<i>Saussurea costus</i> (I) (also known as <i>S. lappa</i> or <i>Aucklandia costus</i> )
				<i>Dudleya stolonifera</i> (II)
				<i>Dudleya traskiae</i> (II)
				<b>Cyresses</b>
				<i>Fitzroya cupressoides</i> (I)
				<i>Pilgerodendron uviferum</i> (I)
				<b>Tree ferns</b>
				<i>Cyathea</i> spp. (II) #1
				CYCADACEAE
				<i>CYCADACEAE</i>
				<i>Cycads</i>
				<i>Cycas beddomei</i> (I)
				Beddome's cycad

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<i>DICKSONIACEAE</i>		<i>Cibotium barometz</i> (II) #1 <i>Dicksonia</i> spp. (II) (Only the populations of the Americas; no other populations are included in the Annexes to this Regulation; includes <i>Dicksonia bennertiana</i> , <i>D. externa</i> , <i>D. sellowiana</i> and <i>D. stuebelii</i> ) #1		<b>Tree ferns</b>
<i>DIDIEREACEAE</i>		<i>DIDIEREACEAE</i> spp. (II) #1		<b>Didiereas</b> Alluaudias, didiereas
<i>DIOSCOREACEAE</i>		<i>Dioscorea deltoidea</i> (II) #1		<b>Yams</b> Elephant's foot
<i>DROSERACEAE</i>		<i>Dionaea muscipula</i> (II) #1		<b>Sundews</b> Venus fly-trap
<i>EUPHORBIACEAE</i>				<b>Spurges</b> Euphorbias
				<i>Euphorbia</i> spp. (II) (Except for the species included in Annex A; succulent species only; artificially propagated specimens of cultivars of <i>Euphorbia trigona</i> , artificially propagated, crested, fan-shaped or colour mutants of <i>Euphorbia lactea</i> , when grafted on artificially propagated root stock of <i>Euphorbia nerifolia</i> and artificially propagated specimens of cultivars of <i>Euphorbia Milii</i> when they are traded in shipments of 100 or more plants and readily recognisable as artificially propagated specimens, are not subject to the provisions of this Regulation) #1
				<i>Euphorbia ambovombensis</i> (I) <i>Euphorbia capsaintemarrensis</i> (I) <i>Euphorbia cremersii</i> (I)

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
	<i>Euphorbia cylindrifolia</i> (I) <i>Euphorbia decaryi</i> (I) <i>Euphorbia francoisii</i> (I) <b><i>Euphorbia handiensis</i> (II)</b> <b><i>Euphorbia lambii</i> (II)</b> <i>Euphorbia moratii</i> (I) <i>Euphorbia parvicyathophora</i> (I) <i>Euphorbia quartziticola</i> (I) <i>Euphorbia tulearensis</i> (I) <b><i>Euphorbia stygiana</i> (II)</b>			
			<b>Ocotillos, boojums</b> Boojum tree Arbol del barril	
			<b>Joint firs</b>	
		<i>Fouquieria columnaris</i> (II) #1  <i>Fouquieria fasciculata</i> (I) <i>Fouquieria purpusii</i> (I)	<i>Gnetum montanum</i> (III Nepal) #1	
				<b>Walnuts, gavilan</b> Gavilán
				<b>Legumes</b> Brazil wood
				Brazilian rosewood Black rosewood Honduras rosewood
				<i>Dalbergia nigra</i> (I)  <i>Dalbergia retusa</i> (III population of Guatemala) #5 <i>Dalbergia stevensonii</i> (III population of Guatemala) #5 <i>Dipteryx panamensis</i> (III Costa Rica/Nicaragua)
				<i>Pericopsis elata</i> (II) #5 <i>Platymiscium pleiotostachyum</i> (II) #1
<b>▼M12</b>				Afromosia Quira macawood

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<i>LILIACEAE</i>		<i>Pterocarpus santalinus</i> (II) #7		Red sandalwood

**Lilies**  
Aloes

*Aloe* spp. (II) (Except for the species included in Annex A and *Aloe vera*, also known as *Aloe barbadensis*, which is not included in the Annexes to this Regulation)  
#1

*Aloe albida* (I)  
*Aloe albiflora* (I)  
*Aloe alfredii* (I)  
*Aloe bakeri* (I)  
*Aloe bellatula* (I)  
*Aloe calcairophila* (I)  
*Aloe compressa* (I)  
*Aloe delphinensis* (I)  
*Aloe descoingsii* (I)  
*Aloe fragilis* (I)  
*Aloe havorthioides* (I)  
*Aloe helentae* (I)  
*Aloe laeta* (I)  
*Aloe parallelifolia* (I)  
*Aloe parvula* (I)  
*Aloe pillansii* (I)  
*Aloe polyphylla* (I)  
*Aloe rauhii* (I)  
*Aloe suzannae* (I)  
*Aloe versicolor* (I)  
*Aloe vossii* (I)

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<i>MAGNOLIACEAE</i>			<i>Magnolia liliifera</i> var. <i>obovata</i> (III Nepal) #1	<b>Magnolias</b>
<i>MELIACEAE</i>			<i>Cedrela odorata</i> (III Population of Colombia, Population of Guatemala, Population of Peru) #5	<b>Mahoganyies</b>
			<i>Swietenia humilis</i> (II) #1 <i>Swietenia macrophylla</i> (II) (Population of the Neotropics — includes Central and South America and the Caribbean) #6 <i>Swietenia mahagoni</i> (II) #5	Spanish cedar Big-leaf mahogany
			<i>Nepenthes</i> spp. (II) (Except for the species included in Annex A) #1	<b>Pitcher plants (old-world)</b>
			<i>Nepenthes khasiana</i> (I) <i>Nepenthes rajah</i> (I)	Tropical pitcher plants
			<i>ORCHIDACEAE</i> spp. (II) (Except for the species included in Annex A) (#) #1	Indian pitcher plant Giant tropical pitcher plant
			<i>ORCHIDACEAE</i>	<b>Orchids</b>
				Orchids
				Madeiran lady's-tresses
<b>For all of the following Annex A species, seedling or tissue cultures obtained in vitro, in solid or liquid media, transported in sterile containers, are not subject to the provisions of this Regulation.</b>				
			<i>Aerangis ellisiae</i> (1)	
			<i>Cephalanthera cucullata</i> (II)	<b>Hooded helleborine</b>
			<i>Cypripedium calceolus</i> (II)	Lady's slipper orchid
			<i>Dendrobium cruentum</i> (1)	
			<i>Goodyera macrophylla</i> (II)	

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
	<i>Laelia jongheana</i> (I) <i>Laelia lobata</i> (I) <b><i>Liparis loeselii</i> (II)</b> <i>Ophrys argolica</i> (II) <b><i>Ophrys lunulata</i> (II)</b> <i>Orchis scopulorum</i> (II) <i>Paphiopedilum</i> spp. (I) <i>Peristeria elata</i> (I) <i>Phragmipedium</i> spp. (I) <i>Renanthera imschootiana</i> (I) <b><i>Spiranthes aestivalis</i> (II)</b>	<i>Cistanche deserticola</i> (II) #1 <i>Beccariophoenix madagascariensis</i> (II) #1	<i>Chrysalidocarpus decipiens</i> (I)	Fen orchid Eyed bee orchid Crescent ophrys Madeiran orchid Asian slipper orchids Holy ghost orchid South American slipper orchids Red vanda Summer lady's-tresses <b>Broomrapes</b> Desert cistanche
				<b>Palms</b> Manarano  Butterfly palm Hovitra varimena Ravimbe Triangle palm Lakamarefo  Gora Satranabe Voanioala
				<b>Poppies</b> <i>Mecconopsis regia</i> (III Nepal) #1
<b>▼M12</b>				<i>Abies guatemalensis</i> (I) <b>PAPAVERACEAE</b> <i>PINACEAE</i> Himalayan poppy <b>Pine family</b> Guatemalan fir

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>PODOCARPACEAE</b>			<i>Podocarpus nerifolius</i> (III Nepal) #1	<b>Podocarps</b> Yellow wood  Parlatore's podocarp
<b>PORNLACACEAE</b>				<b>Portulacas, purslanes</b>  Purslanes
<b>PRIMULACEAE</b>				<b>Primulas, cyclamens</b>  Saw-toothed lewisia
<b>PROTEACEAE</b>				<b>Proteas</b>  Cyclamens
<b>RANUNCULACEAE</b>				<b>Buttercups</b>  Marsh-rose
<b>ROSACEAE</b>				<b>Roses, cherries</b>  Ground-rose
<b>RUBIACEAE</b>				African cherry  Golden seal
<b>SARRACENIACEAE</b>				<b>Pitcher plants (new world)</b>  Ayugue  Pitcher plants
				Green pitcher plant  Alabama canebrake pitcher plant  Mountain sweet pitcher plant
<b>▼M12</b>				<i>Sarracenia</i> spp. (II) (Except for the species included in Annex A) #1  <i>Sarracenia oreophila</i> (I) <i>Sarracenia rubra</i> ssp. <i>alabamensis</i> (I)  <i>Sarracenia rubra</i> ssp. <i>jonesii</i> (I)

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
<b>SCROPHULARIACEAE</b>				<b>Figworts</b>
				Indian gentian
<b>STANGERIACEAE</b>				<b>Stangerias (cycads)</b>
				Cycads
				<b>Yews</b>
				Chinese yew
				Japanese yew
				Tibetan yew
				Sumatran yew
				Himalayan yew
				<b>Agarwood, ramin</b>
				Agarwood
				Ramin
				Agarwood
				<b>Tetracentrons</b>
				<i>Tetracentron sinense</i> (III Nepal) #1
<b>THYMELEACEAE (AQUILLARIACEAE)</b>				<b>Valerians</b>
				<i>Nardostachys grandiflora</i> #2
<b>TROCHODENDRACEAE (TETRACENTRACEAE)</b>				<b>Welwitschias</b>
<b>VALERIANACEAE</b>				<i>Welwitschia mirabilis</i> (II) #1
<b>WELWITSCHIACEAE</b>				ZAMIACEAE spp. (II) (Except for the species included in Annex A) #1
<b>ZAMIACEAE</b>				<i>Ceratozamia</i> spp. (I) <i>Chigua</i> spp. (I)
				Horncones

	<i>Annex A</i>	<i>Annex B</i>	<i>Annex C</i>	<i>Common name</i>
ZINGIBERACEAE	<i>Encephalartos</i> spp. (I) <i>Microcybeas calocoma</i> (I)	<i>Hedychium philippinense</i> (II) #1 <i>Guaiacum</i> spp. (II) #2		Bread palms Palm corcho <b>Ginger lilies</b> <b>Lignum-vitae</b> Lignum-vitae Guaiacwood
ZYGOPHYLLACEAE		<i>Bulnesia sarmientoi</i> (III Argentina) #11		

(<sup>1</sup>) Population of Argentina (listed in Annex B).

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B, in cloth and in derived manufactured products and other handicraft artefacts. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-ARGENTINA'. Other products must bear a label including the logotype and the designation 'VICUÑA-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(<sup>2</sup>) Population of Bolivia (listed in Annex B):

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-BOLIVIA-ARTESANÍA'. Other products must bear a label including the logotype and the designation 'VICUÑA-BOLIVIA-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(<sup>3</sup>) Population of Chile (listed in Annex B):

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas of the populations included in Annex B, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-CHILE-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(<sup>4</sup>) Population of Peru (listed in Annex B):

For the exclusive purpose of allowing international trade in wool sheared from live vicuñas and in the stock extant at the time of the ninth meeting of the Conference of the Parties (November 1994) of 3 249 kg of wool, and in cloth and items made thereof, including luxury handicrafts and knitted articles. The reverse side of the cloth must bear the logotype adopted by the range States of the species, which are signatories to the Convenio para la Conservación y Manejo de la Vicuña, and the selvages the words 'VICUÑA-PERU-ARTESANÍA'. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(<sup>5</sup>) All species are listed in Appendix II except *Balaenoptera bonaerensis*, *Eubalaena mysticetus*, *Eubalaena australis*, *Lipotes vexillifer*, *Caperea marginata*, *Neophocaena phocaenoides*, *Phocoena sinus*, *Physeter catodon*, *Platanista spp.*, *Berardius spp.*, *Hyperoodon spp.*, which are listed in Appendix I. Specimens of the species listed in Appendix II to the Convention, including products and derivatives other than meat products for commercial purposes, taken by the people of Greenland under licence granted by the competent authority concerned, shall be treated as belonging to Annex B. A zero annual export quota is established for live specimens from the Black Sea population of *Tursiops truncatus* removed from the wild and traded for primarily commercial purposes.

(<sup>6</sup>) Populations of Botswana, Namibia, South Africa and Zimbabwe (listed in Annex B):

For the exclusive purpose of allowing: a) trade in hunting trophies for non-commercial purposes; b) trade in live animals to appropriate and acceptable destinations as defined in Res. Conf. 11.20 for Botswana and Zimbabwe and for in situ conservation programmes for Namibia and South Africa; c) trade in leather goods for commercial or non-commercial purposes for Botswana, Namibia and South Africa and for non-commercial purposes for Zimbabwe; d) trade in hides; e) trade in hair; f) trade in individually marked and certified Elephas incorporated in finished jewellery for non-commercial purposes for Namibia and ivory carvings for non commercial purposes for Zimbabwe; g) trade in registered raw ivory (for Botswana, Namibia, South Africa and Zimbabwe whole tusks and pieces) subject to the following: i) only registered government-owned stocks, originating in the State (excluding seized ivory and ivory of unknown origin); ii) only to trading partners that have been verified by the Secretariat, in consultation with the Standing Committee, to have sufficient national legislation and domestic trade controls to ensure that the imported ivory will not be re-exported and will be managed in accordance with all requirements of Resolution Conf. 10.10 (Rev. CoPI4) concerning domestic manufacturing and trade; iii) not before the Secretariat has verified the prospective importing countries and the registered government-owned stocks; iv) Raw ivory pursuant to the conditional sale of registered government-owned ivory stocks agreed at COP12, government-owned ivory stocks registered by the 31st of January 2007 and verified by the Secretariat may be traded and despatched, with the ivory in g) iv) in a single sale per destination under strict supervision of the Secretariat; vi) the proceeds of the

**▼M12**

trade are used exclusively for elephant conservation and community conservation programmes within or adjacent to the elephant range; and vii) The additional quantities specified in g) v) shall be traded only after the Standing Committee has agreed that the above conditions have been met; h) No further proposals to allow trade in elephant ivory from populations already on annex B shall be submitted to the Conference of the Parties for the period from COP14 and ending nine years from the date of the single sale of ivory that is to take place in accordance with provisions in paragraph g) i), g) ii), g) iii), g) vi) and g) vii). In addition, such further proposals shall be dealt with in accordance with Decisions 14.77 and 14.78. On a proposal from the Secretariat, the Standing Committee can decide to cause this trade to cease partially or completely in the event of non-compliance by exporting or importing countries, or in the case of proven detrimental impacts of the trade on other elephant populations. All other specimens shall be deemed to be specimens of species included in Annex A and the trade in them shall be regulated accordingly.

(7) The following are not subject to the provisions of this Regulation:

## Fossils

Coral sand, that is to say material consisting entirely or in part of finely crushed fragments of dead coral no larger than 2 mm in diameter and which may also contain, amongst other things, the remains of *Foraminifera*, mollusc and crustacean shell, and coralline algae

Coral fragments (including gravel and rubble), that is to say unconsolidated fragments of broken finger-like dead coral and other material between 2 and 30 mm in diameter.

(8) Artificially propagated specimens of the following hybrids and/or cultivars are not subject to the provisions of this Regulation:

*Hatiora* × *griseeri**Schlumbergera buckleyi**Schlumbergera russelliana* × *Schlumbergera truncata**Schlumbergera orssichiana* × *Schlumbergera truncata**Schlumbergera opuntioides* × *Schlumbergera truncata**Cactaceae* spp. colour mutants lacking chlorophyll, grafted on the following grafting stocks: *Harrisia'Jusbertii'*, *Hylocereus trigonus* or *Hylocereus undatus**Opuntia microdasys* (cultivars)(9) Artificially propagated hybrids of the following genera are not subject to the provisions of this Regulation, if conditions, as indicated in paragraphs a) and b) below, are met: *Cymbidium*, *Dendrobium*, *Phalaenopsis* and *Pandanus*:

- a) Specimens are readily recognizable as artificially propagated and do not show any signs of having been collected in the wild such as mechanical damage or strong dehydration resulting from collection, irregular growth and heterogeneous size and shape within a taxon and shipment, algae or other epiphyllous organisms adhering to leaves, or damage by insects or other pests; and
- b) i) when shipped in non flowering state, the specimens must be traded in shipments consisting of individual containers (such as cartons, boxes, crates or individual shelves of CC-containers) each containing 20 or more plants of the same hybrid; the plants within each container must exhibit a high degree of uniformity and healthiness; and the shipment must be accompanied by documentation, such as an invoice, which clearly states the number of plants of each hybrid; or
- ii) when shipped in flowering state, with at least one fully open flower per specimen, no minimum number of specimens per shipment is required but specimens must be professionally processed for commercial retail sale, e.g. labelled with printed labels or packaged with printed packages indicating the name of the hybrid and the country of final processing. This should be clearly visible and allow easy verification. Plants not clearly qualifying for the exemption must be accompanied by appropriate CITES documents.

(10) Artificially propagated specimens of cultivars of *Cyclamen persicum* are not subject to the provisions of this Regulation. However, the exemption does not apply to such specimens traded as dormant tubers.

(11) Artificially propagated hybrids and cultivars of *Taxus cuspidata*, live, in pots or other small containers, each consignment being accompanied by a label or document stating the name of the taxon or taxa and the text 'artificially propagated', are not subject to the provisions of this Regulation.

	<i>Annex D</i>	<i>Common name</i>
<b>▼M12</b>		
<b>FAUNA</b>		
<b>CHORDATA (CHORDATES)</b>		
<b>MAMMALIA</b>		
<b>CARNIVORA</b>		
<b>Canidae</b>		
<i>Vulpes vulpes griffithi</i> (III India) §1	<b>Dogs, foxes, wolves</b>	
<i>Vulpes vulpes montana</i> (III India) §1	Red fox	
<i>Vulpes vulpes pusilla</i> (III India) §1	Red fox	
<b>Mustelidae</b>		
<i>Mustela altaica</i> (III India) §1	<b>Badgers, martens, weasels etc.</b>	
<i>Mustela erminea ferghanae</i> (III India) §1	Mountain weasel	
<i>Mustela kathiah</i> (III India) §1	Stoat	
<i>Mustela sibirica</i> (III India) §1	Yellow-bellied weasel	
	Siberian weasel	
<b>DIPROTODONTIA</b>		
<b>Macropodidae</b>		
<i>Dendrolagus dorianus</i>	<b>Kangaroos, wallabies</b>	
<i>Dendrolagus goodfellowi</i>	Doria's tree-kangaroo	
<i>Dendrolagus matschiei</i>	Goodfellow's tree-kangaroo	
<i>Dendrolagus pulcherrimus</i>	Huon tree-kangaroo	
<i>Dendrolagus stellarum</i>	Golden-mantled tree-kangaroo	
	Seri's tree-kangaroo	
<b>AVES</b>		
<b>ANSERIFORMES</b>		
<b>Anatidae</b>		
<i>Anas melleri</i>	<b>Ducks, geese, swans</b>	
	Meller's duck	
<b>COLUMBIFORMES</b>		
<b>Columbidae</b>		
<i>Columba oenops</i>	<b>Doves, pigeons</b>	
	Peruvian pigeon	



		Annex D	Common name
<b>M12</b>			
<b>Cotingidae</b>			<b>Cotingas</b>
	<i>Procnias nudicollis</i>		Bare-throated bellbird
<b>Emberizidae</b>			<b>Cardinals, seedeaters, tanagers</b>
	<i>Dacnis nigripes</i>		Black-legged dacnis
	<i>Sporophila falcirostris</i>		Temminck's seedeater
	<i>Sporophila frontalis</i>		Buffy-throated seedeater
	<i>Sporophila hypochroma</i>		Grey-and-chestnut seedeater
	<i>Sporophila palustris</i>		Marsh seedeater
<b>Estrildidae</b>			<b>Mannikins, waxbills</b>
	<i>Amandava amandava</i>		Red avadavat
	<i>Cryptospiza reichenovii</i>		Red-faced crimson-wing
	<i>Erythrura coloria</i>		Red-eared parrotfinch
	<i>Erythrura viridifacies</i>		Green-faced parrotfinch
	<i>Estrilda quartinia</i> (Frequently traded as <i>Estrilda melanotis</i> )		Yellow-bellied waxbill
	<i>Hypargos niveoguttatus</i>		Peters's twinspot
	<i>Lonchura griseicapilla</i>		Grey-headed silverbill
	<i>Lonchura punctulata</i>		Scaly-breasted munia
	<i>Lonchura stygia</i>		Black munia
<b>Fringillidae</b>			<b>Finches</b>
	<i>Carduelis ambigua</i>		Black-headed greenfinch
	<i>Carduelis atrata</i>		Black siskin
	<i>Kozlowia roborowskii</i>		Tibetan rosefinch
	<i>Pyrrhula erythaca</i>		Grey-headed bullfinch
	<i>Serinus canicollis</i>		Cape canary
	<i>Serinus cirlinelloides hypostictus</i> (Frequently traded as <i>Serinus citrinelloides</i> )		East African citril
<b>Icteridae</b>			<b>New-world blackbirds</b>
	<i>Sturnella militaris</i>		Pampas meadowlark

	<i>Annex D</i>	<i>Common name</i>
<b>▼ M12</b>		
<b>Muscicapidae</b>		
	<b>Old-world flycatchers, thrushes</b>	
	<i>Cochlea azurea</i> <i>Cochlea purpurea</i> <i>Garrulax formosus</i> <i>Garrulax galbanus</i> <i>Garrulax milnei</i> <i>Niltava davidi</i> <i>Stachyris whiteheadi</i> <i>Swynnertonia swynnertoni</i> (Also referenced as <i>Pogonicichla swynnertoni</i> ) <i>Turdus dissimilis</i>	Javan cochoa Purple cochoa Red-winged laughingthrush Yellow-throated laughingthrush Red-tailed laughing thrush Fujian niltava Chestnut-faced babbler Swynnerton's robin Black-breasted thrush
	<b>Pittidae</b>	
	<i>Pitta nipalensis</i> <i>Pitta steerii</i>	Blue-naped pitta Azure-breasted pitta
	<b>Sittidae</b>	
	<i>Sitta magna</i> <i>Sitta yunnanensis</i>	Giant nuthatch Yunnan nuthatch
	<b>Sturnidae</b>	
	<i>Cosmopsarus regius</i> <i>Mino dumontii</i> <i>Sturnus erythropygius</i>	Golden-breasted starling Yellow-faced myna White-headed starling
	<b>REPTILIA</b>	
	<i>TESTUDINES</i>	
	<b>Geoemydidae</b>	
	<i>Melanochelys trijuga</i>	Freshwater turtles Indian black turtle

	Annex D	Common name
<b>Sauria</b>		
<b>Cordylidae</b>		
	<i>Zonosaurus karsteni</i>	<b>Spiny-tailed lizards</b>
	<i>Zonosaurus quadrimaculatus</i>	Karsten's girdled lizard Four-lined girdled lizard
<b>Gekkonidae</b>		
	<i>Rhacodactylus auriculatus</i>	<b>Geckos</b>
	<i>Rhacodactylus ciliatus</i>	New Caledonia bumpy gecko Guichenot's giant gecko
	<i>Rhacodactylus leachianus</i>	New Caledonia giant gecko
	<i>Teratoscincus microlepis</i>	Small-scaled wonder gecko
	<i>Teratoscincus scincus</i>	Common wonder gecko
<b>Scincidae</b>		
	<i>Tribolomotus gracilis</i>	<b>Skinks</b>
	<i>Tribolomotus novaeguineae</i>	Crocodile skink New Guinea helmet skink
<b>SERPENTES</b>		
<b>Colubridae</b>		
	<i>Elaphe carinata</i> §1	<b>Typical snakes, water snakes, whip snakes</b>
	<i>Elaphe radiata</i> §1	Taiwan stink snake
	<i>Elaphe taeniura</i> §1	Radiated rat snake
	<i>Enhydris bocourti</i> §1	Taiwan beauty snake
	<i>Homalopsis buccata</i> §1	Bocourt's water snake
	<i>Langaha nasuta</i>	Masked water snake
	<i>Leioheterodon madagascariensis</i>	Northern leafnose snake
	<i>Ptyas korros</i> §1	Madagascar menara snake
	<i>Rhabdophis subminiatus</i> §1	Indochinese rat snake Redneck keelback
<b>Hydrophiidae</b>		
	<i>Lapemis curtus</i> (Includes <i>Lapemis hardwickei</i> ) §1	<b>Sea snakes</b>
<b>Viperidae</b>		
	<i>Calloselasma rhodostoma</i> §1	Shaw's sea snake <b>Vipers</b> Malayan pit viper

		Annex D	Common name
<i>ACTINOPTERYGII</i>			
<i>PERCIFORMES</i>		Fish	
<i>Apogonidae</i>		<i>Pterapogon kauderni</i>	Banggai cardinalfish
<i>INSECTA</i>			
<i>LEPIDOPTERA</i>			
<i>Papilionidae</i>		<i>Baronia brevicornis</i> <i>Papilio grovesmithi</i> <i>Papilio maraho</i>	<b>Birdwing and swallow-tail butterflies</b> Short-horned baronia Broad-tailed swallowtail
<i>ARTHROPODA (ARTHROPODS)</i>			
<i>INSECTA</i>			
<i>LEPIDOPTERA</i>			
<i>Papilionidae</i>		<i>Baronia brevicornis</i> <i>Papilio grovesmithi</i> <i>Papilio maraho</i>	<b>Birdwing and swallow-tail butterflies</b> Short-horned baronia Broad-tailed swallowtail
<i>FLORA</i>			
<i>AGAVACEAE</i>			
<i>ARACEAE</i>			
		<i>Calibanus hookeri</i> <i>Dasylistron longissimum</i>	<b>Agaves</b> Beargrass <b>Arums</b>
			Green dragon
		<i>Arisaema dracontium</i> <i>Arisaema erubescens</i> <i>Arisaema galeatum</i> <i>Arisaema nepenthoides</i> <i>Arisaema sikokianum</i> <i>Arisaema thunbergii</i> var. <i>urashima</i> <i>Arisaema tortuosum</i> <i>Biarum davisi</i> ssp. <i>marmarisense</i> <i>Biarum ditrichium</i>	

		Annex D	Common name
	<i>COMPOSITAE (ASTERACEAE)</i>		
	<i>ERICACEAE</i>		
	<i>GENTIANACEAE</i>		
	<i>LEGUMINOSAE (FABACEAE)</i>		
	<i>LYCOPodiACEAE</i>		
	<i>MELIACEAE</i>		
<b>▼M12</b>			
	<i>Othonna montana</i> §3	<b>Asters, daisies, costus</b>	
	<i>Othonna cacalioides</i>	Mountain tobacco	
	<i>Othonna clavifolia</i>		
	<i>Othonna hallii</i>		
	<i>Othonna herrei</i>		
	<i>Othonna lepidocaulis</i>		
	<i>Othonna retrorsa</i>		
	<i>Arctostaphylos uva-ursi</i> §3	<b>Heathers, rhododendrons</b>	
	<i>Gentiana lutea</i> §3	Bearberry	
	<i>Dalbergia granadillo</i> §4	<b>Gentians</b>	
	<i>Dalbergia retusa</i> (Except for the populations which are included in Annex C) §4	Great yellow gentian	
	<i>Dalbergia stevensonii</i> (Except for the populations which are included in Annex C) §4	<b>Legumes</b>	
	<i>Lycopodium clavatum</i> §3	Black rosewood	
	<i>Cedrela fissilis</i> §4	Black rosewood	
	<i>Cedrela lilloi</i> ( <i>C. angustifolia</i> ) §4	Honduras rosewood	
	<i>Cedrela montana</i> §4	<b>Clubmosses</b>	
	<i>Cedrela oaxacensis</i> §4	Stagshorn clubmoss	
	<i>Cedrela odorata</i> (Except for the populations which are included in Annex C) §4	<b>Mahoganies</b>	
	<i>Cedrela salvadorensis</i> §4	Spanish cedar	
	<i>Cedrela tonduzii</i> §4		

		Annex D	Common name
MENYANTHACEAE	<i>Menyanthes trifoliata</i> §3	Bogbeans Bogbean	
PARMELIACEAE	<i>Cetraria islandica</i> §3	Parmelioid lichens Icelandic moss	
PASSIFLORACEAE	<i>Adenia glauca</i> <i>Adenia pechuelii</i>	Desert roses Desert rose Desert rose	
PORTULACACEAE	<i>Ceraria carrisoana</i> <i>Ceraria fruticulosa</i>	Portulas, purslanes	
LILIACEAE	<i>Trillium pusillum</i> <i>Trillium rugelii</i> <i>Trillium sessile</i>	Lilies Dwarf wakerobin Hscented wakerobin Sessile-flowered wakerobin wood-lily	
PEDALIACEAE	<i>Harpagophytum</i> spp. §3	Sesame, devil's claw Devil's claw	
SELAGINELLACEAE	<i>Selaginella lepidophylla</i>	Clubmosses, spikemosses Rose of Jericho	