Council Regulation (EC) No 1936/2001 of 27 September 2001 laying down control measures applicable to fishing for certain stocks of highly migratory fish

Article 1

Purpose

This Regulation lays down control and inspection measures relating to fishing for stocks of the highly migratory fish species listed in Annex I to this Regulation and shall apply to vessels flying the flag of Member States and registered in the Community, (hereinafter referred to as Community fishing vessels), operating in one of the zones specified in Article 2.

Article 2

Zones

For the purposes of this Regulation the following marine zones are specified:

(a) Zone 1:

All waters of the Atlantic Ocean and adjacent seas included in the ICCAT Convention area specified in Article I of that Convention.

(b) Zone 2:

All Indian Ocean waters included in the area of competence specified in Article II of the Agreement for the establishment of the IOTC.

(c) Zone 3:

All Eastern Pacific Ocean waters included in the area specified in Article III of the Agreement on the International Dolphin Conservation Programme.

Article 3

Definitions

For the purposes of this Regulation the following definitions shall apply:

- (a) 'boarding': the boarding of a fishing vessel within an organisation's area of competence by one or more authorised inspectors in order to make an inspection;
- (b) 'transhipment': unloading of any quantity of highly migratory fish and/or products from such fish from on board a fishing vessel to another vessel either at sea or in port, without the products having been recorded by a port State as landed;
- (c) 'landing': unloading of any quantity of highly migratory fish and/or products from such fish from on board a fishing vessel to port or to land;
- (d) 'infringement': any presumed act committed or omitted by a fishing vessel that is recorded in an inspection report and gives serious reason for suspecting a breach of the

provisions of this Regulation or any other Regulation transposing a recommendation adopted by a regional organisation for one of the zones indicated in Article 2;

- (e) 'vessel of a non-Contracting Party': vessel observed and identified as engaged in fishing activities in one of the zones specified in Article 2 that is flying the flag of a country that is not a Contracting Party to the relevant regional organisation;
- (f) 'stateless vessel': vessel for which there are reasonable grounds for suspecting it to be without nationality[^{F1};]
- (g) [^{F2} fattening': raising of individuals in cages to increase their weight or fat content with a view to marketing;
- (h) 'caging': placing of wild individuals of any size in closed structures (cages) for fattening;
- (i) 'fattening farm': enterprise which raises wild individuals in cages for fattening;
- (j) 'transport vessel': vessel receiving wild individuals and transporting them live to fattening farms.]

Textual Amendments

- **F1** Substituted by Council Regulation (EC) No 869/2004 of 26 April 2004 amending Regulation (EC) No 1936/2001 laying down control measures applicable to fishing for certain stocks of highly migratory fish.
- F2 Inserted by Council Regulation (EC) No 869/2004 of 26 April 2004 amending Regulation (EC) No 1936/2001 laying down control measures applicable to fishing for certain stocks of highly migratory fish.

CHAPTER I

CONTROL AND INSPECTION MEASURES APPLICABLE IN ZONE 1

Section 1

Control measures

F³Article 4

[^{F3}Catch sampling]

Textual Amendments

$I^{F2}Article 4a$

Activities of vessels participating in operations relating to bluefin tuna fattening

1 Each master of a Community vessel that transfers bluefin tuna for fattening to a transport vessel shall enter in his logbook:

- quantity of bluefin tuna transferred and the number of fish,
- catch zone,
- date and position of transfer of bluefin tuna,
- name of transport vessel, its flag, registration number and international radio call sign,
- name(s) of fattening farm(s) of destination of the quantity of bluefin tuna transferred.

2 Each master of a transport vessel to which bluefin tuna have been transferred shall enter[.]

- quantity of bluefin tuna transferred per fishing vessel and the number of fish; а
- the name of the vessel that caught the quantities referred to in a), together with its flag, b registration number and international radio call sign;
- date and position of transfer of bluefin tuna; с
- name(s) of fattening farm(s) of destination of bluefin tuna. d

3 The master shall be exempt from the obligation laid down in paragraph 2 if the entry is replaced with a copy of the transhipment declaration provided for in Article 11 of Regulation (EEC) No 2847/93 or a copy of document T2M referred to in Article 13 of Regulation (EEC) No 2847/93 indicating the information referred to in paragraph 2(c) of this Article.

Member States shall ensure that all bluefin tuna put into cages by vessels flying their Δ flag are registered by their competent authority. They shall notify to the Commission, in line with Article 5, the quantities of bluefin tuna caught and caged by vessels flying their flag (task I as defined by ICCAT).

For exports and imports of bluefin tuna intended for fattening Member States shall send the Commission the numbers and dates of the statistical documents indicated in Council Regulation (EC) No 1984/2003 of 8 April 2003 introducing a system for the statistical monitoring of trade in bluefin tuna, swordfish and bigeye tuna within the Community⁽¹⁾ validated by them and state the third country of destination declared.

F45 F46

Textual Amendments

- Inserted by Council Regulation (EC) No 869/2004 of 26 April 2004 amending Regulation (EC) No F2 1936/2001 laying down control measures applicable to fishing for certain stocks of highly migratory fish.
- Deleted by Council Regulation (EC) No 302/2009 of 6 April 2009 concerning a multiannual recovery F4 plan for bluefin tuna in the eastern Atlantic and Mediterranean, amending Regulation (EC) No 43/2009 and repealing Regulation (EC) No 1559/2007.

Article 4b

Activities of bluefin tuna fattening farms

1 Member States shall act to ensure that bluefin tuna fattening farms under their jurisdiction submit a caging declaration as referred to in Annex 1a to their competent authority 72 hours after the end of each caging operation by a fishing or transport vessel. Submission of such declarations, containing all the information required under this Article, shall be the responsibility of fattening farms approved by Member States.

2 Member States shall act to ensure that fattening farms as indicated in paragraph 1 submit to them by 1 July each year a marketing declaration for the bluefin tuna fattened.

3 The marketing declaration for bluefin tuna fattened indicated in paragraph 2 must give the following information:

- name of farm,
- address,
- owner,
- quantity of bluefin tuna (in tonnes) marketed in previous year,
- destination of tuna (name of purchaser, country, date of sale),
- for exports and imports, numbers and dates of validation of statistical documents indicated in Regulation (EC) No 1984/2003,
- duration of fattening of tuna marketed (in months), where possible,
- average size of tuna marketed.

4 On the basis of the declaration submitted under paragraphs 1 and 3 Member States shall electronically notify to the Commission by 1 August each year:

- the quantities of bluefin tuna caged during the previous year,
- the quantities of bluefin tuna marketed during the previous year.

Textual Amendments

F2 Inserted by Council Regulation (EC) No 869/2004 of 26 April 2004 amending Regulation (EC) No 1936/2001 laying down control measures applicable to fishing for certain stocks of highly migratory fish.

Article 4c

Register of bluefin tuna fattening farms

1 Before 30 April 2004 each Member State shall send the Commission electronically a list of the fattening farms under its jurisdiction which it authorises to conduct fattening operations on bluefin tuna caught in the Convention area.

- 2 The list referred to in paragraph 1 shall give the following information:
- name of farm, its national registration number,
- location of farm,
- capacity of farm (in tonnes).

3 The Commission shall forward this information to the ICCAT Executive Secretariat before 31 August 2004 so that the fattening farms concerned are entered in the ICCAT register of farms authorised to conduct fattening operations on bluefin tuna caught in the ICCAT Convention area.

4 Any change to be made to the list indicated in paragraph 1 shall be notified to the Commission for transmission to the ICCAT Executive Secretariat, the same provisions applying, at least 10 working days before the farms begin fattening activities on bluefin tuna in the ICCAT Convention area.

5 Fattening farms under the jurisdiction of a Member State that are not entered on the list indicated in paragraph 1 may not conduct fattening activities on bluefin tuna caught in the ICCAT Convention area.]

Textual Amendments

F2 Inserted by Council Regulation (EC) No 869/2004 of 26 April 2004 amending Regulation (EC) No 1936/2001 laying down control measures applicable to fishing for certain stocks of highly migratory fish.

^{F3}Article 5

[^{F3}Catch notification

Textual Amendments

F3 Deleted by Regulation (EU) 2017/2107 of the European Parliament and of the Council of 15 November 2017 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), and amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.

F³Article 6

Information on shark catches

Textual Amendments

Changes to legislation: There are outstanding changes not yet made to Council Regulation (EC) No 1936/2001. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

F³Article 6a

[^{F2}Information on catches of white and blue marlin]

Textual Amendments

- F2 Inserted by Council Regulation (EC) No 869/2004 of 26 April 2004 amending Regulation (EC) No 1936/2001 laying down control measures applicable to fishing for certain stocks of highly migratory fish.
- F3 Deleted by Regulation (EU) 2017/2107 of the European Parliament and of the Council of 15 November 2017 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), and amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.

F³Article 7

Undeclared catches]

Textual Amendments

F3 Deleted by Regulation (EU) 2017/2107 of the European Parliament and of the Council of 15 November 2017 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), and amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.

F⁵Article 8

[^{F5}Observation of vessels]

Textual Amendments

F5 Deleted by Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing, amending Regulations (EEC) No 2847/93, (EC) No 1936/2001 and (EC) No 601/2004 and repealing Regulations (EC) No 1093/94 and (EC) No 1447/1999.

F³Article 8a

[^{F3}[^{F2}Register of vessels authorised to fish in the Convention area

Textual Amendments

- F2 Inserted by Council Regulation (EC) No 869/2004 of 26 April 2004 amending Regulation (EC) No 1936/2001 laying down control measures applicable to fishing for certain stocks of highly migratory fish.
- F3 Deleted by Regulation (EU) 2017/2107 of the European Parliament and of the Council of 15 November 2017 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), and amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.

F³Article 8b

Chartering of Community fishing vessels

Textual Amendments

- F2 Inserted by Council Regulation (EC) No 869/2004 of 26 April 2004 amending Regulation (EC) No 1936/2001 laying down control measures applicable to fishing for certain stocks of highly migratory fish.
- F3 Deleted by Regulation (EU) 2017/2107 of the European Parliament and of the Council of 15 November 2017 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), and amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.

F³Article 8c

Transhipment]

Textual Amendments

- F2 Inserted by Council Regulation (EC) No 869/2004 of 26 April 2004 amending Regulation (EC) No 1936/2001 laying down control measures applicable to fishing for certain stocks of highly migratory fish.
- F3 Deleted by Regulation (EU) 2017/2107 of the European Parliament and of the Council of 15 November 2017 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), and amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.

^{F3}Article 9

Annual report

Changes to legislation: There are outstanding changes not yet made to Council Regulation (EC) No 1936/2001. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Textual Amendments

F3 Deleted by Regulation (EU) 2017/2107 of the European Parliament and of the Council of 15 November 2017 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), and amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.

F³Article 9a

[^{F2}Annual statement on the application of the ICCAT management standards by large longliners]]

••••••••••••••••

Textual Amendments

- F2 Inserted by Council Regulation (EC) No 869/2004 of 26 April 2004 amending Regulation (EC) No 1936/2001 laying down control measures applicable to fishing for certain stocks of highly migratory fish.
- F3 Deleted by Regulation (EU) 2017/2107 of the European Parliament and of the Council of 15 November 2017 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), and amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.

Section 2

Port inspection procedures

F³Article 10

[^{F3}General

Textual Amendments

F3 Deleted by Regulation (EU) 2017/2107 of the European Parliament and of the Council of 15 November 2017 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), and amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.

F³Article 11

Inspectors

Textual Amendments

F3 Deleted by Regulation (EU) 2017/2107 of the European Parliament and of the Council of 15 November 2017 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), and amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.

^{F3}Article 12

Inspection procedures

Textual Amendments

F3 Deleted by Regulation (EU) 2017/2107 of the European Parliament and of the Council of 15 November 2017 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), and amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.

F³Article 13

Master's obligations during inspection

Textual Amendments

F3 Deleted by Regulation (EU) 2017/2107 of the European Parliament and of the Council of 15 November 2017 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), and amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.

F³Article 14

Procedure in event of infringement

Textual Amendments

Changes to legislation: There are outstanding changes not yet made to Council Regulation (EC) No 1936/2001. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

F³Article 15

Follow-up of infringements

Textual Amendments

F3 Deleted by Regulation (EU) 2017/2107 of the European Parliament and of the Council of 15 November 2017 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), and amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.

F³Article 16

Treatment of inspection reports]

Textual Amendments

F3 Deleted by Regulation (EU) 2017/2107 of the European Parliament and of the Council of 15 November 2017 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), and amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.

Section 3

Stateless and non-contracting parties' vessels

F³Article 17

[F3Transhipment

Textual Amendments

F³Article 18

Control measures for fishing activities

Textual Amendments

F3 Deleted by Regulation (EU) 2017/2107 of the European Parliament and of the Council of 15 November 2017 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), and amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.

F³Article 19

Member States' nationals]

Textual Amendments

F3 Deleted by Regulation (EU) 2017/2107 of the European Parliament and of the Council of 15 November 2017 laying down management, conservation and control measures applicable in the Convention area of the International Commission for the Conservation of Atlantic Tunas (ICCAT), and amending Council Regulations (EC) No 1936/2001, (EC) No 1984/2003 and (EC) No 520/2007.

F5 Article 19a

[^{F5}[^{F2}Action to combat IUU fishing

Textual Amendments

- F2 Inserted by Council Regulation (EC) No 869/2004 of 26 April 2004 amending Regulation (EC) No 1936/2001 laying down control measures applicable to fishing for certain stocks of highly migratory fish.
- F5 Deleted by Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing, amending Regulations (EEC) No 2847/93, (EC) No 1936/2001 and (EC) No 601/2004 and repealing Regulations (EC) No 1093/94 and (EC) No 1447/1999.

F5Article 19b

Information on vessels presumed to have carried out IUU fishing

Changes to legislation: There are outstanding changes not yet made to Council Regulation (EC) No 1936/2001. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

Textual Amendments

- **F2** Inserted by Council Regulation (EC) No 869/2004 of 26 April 2004 amending Regulation (EC) No 1936/2001 laying down control measures applicable to fishing for certain stocks of highly migratory fish.
- F5 Deleted by Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing, amending Regulations (EEC) No 2847/93, (EC) No 1936/2001 and (EC) No 601/2004 and repealing Regulations (EC) No 1093/94 and (EC) No 1447/1999.

F5Article 19c

Action on vessels presumed to have carried out IUU fishing]]

Textual Amendments

- F2 Inserted by Council Regulation (EC) No 869/2004 of 26 April 2004 amending Regulation (EC) No 1936/2001 laying down control measures applicable to fishing for certain stocks of highly migratory fish.
- F5 Deleted by Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing, amending Regulations (EEC) No 2847/93, (EC) No 1936/2001 and (EC) No 601/2004 and repealing Regulations (EC) No 1093/94 and (EC) No 1447/1999.

[^{F1}CHAPTER II

CONTROL AND SURVEILLANCE MEASURES APPLICABLE IN ZONE 2

SECTION 1

Control measures

Article 20

General principles

Member States shall act to ensure that vessels flying their flag respect the provisions applicable in the zone.

Article 20a

Register of vessels authorised to fish in the IOTC area

Article 8a shall apply mutatis mutandis.

Article 20b

Transhipment

Article 8c shall apply mutatis mutandis.

Article 20c

Marking of fishing gear

1 Gear used by Community vessels authorised to fish in the zone shall be marked as follows: the ends of nets, lines and other gear in the sea shall be fitted with flag or radar reflector buoys and by night with light buoys indicating their position and extent.

2 Marker buoys and similar floating objects indicating the position of fixed fishing gear shall at all times clearly indicate the letter(s) and/or number(s) of the vessel(s) to which they belong.

3 Fish aggregating devices shall be clearly marked at all times with the letter(s) and/or number(s) of the vessel(s) to which they belong.

Article 20 d

Statistical notification for scientific purposes

1 Member States shall transmit the following statistical data in electronic form, with electronic access for the Commission, to the IOTC Secretariat, in accordance with the procedures for submission of statistics referred to in Annex V:

a fishing effort and catches of species referred to in Article 1 for the previous year;

- b the sizes of the species referred to in Article 1 for the previous year;
- c tuna fishing using floating objects, including fish aggregating devices.

2 Member States shall set up a computerised database containing the statistical data provided for in paragraph 1, with electronic access for the Commission.

SECTION 2

Port inspection procedures

Article 20e

Articles 10, 12, 13, 14 and 15 shall apply mutatis mutandis.

Changes to legislation: There are outstanding changes not yet made to Council Regulation (EC) No 1936/2001. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details)

SECTION 3

Stateless vessels and vessels of non-contracting parties

F⁵Article 21

[^{F5}Observation]

.....

Textual Amendments

F5 Deleted by Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing, amending Regulations (EEC) No 2847/93, (EC) No 1936/2001 and (EC) No 601/2004 and repealing Regulations (EC) No 1093/94 and (EC) No 1447/1999.

Article 21a

Control of fishing activities

Article 18 shall apply mutatis mutandis.

^{F5}Article 21b

[^{F5}IUU vessels

Textual Amendments

F5 Deleted by Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing, amending Regulations (EEC) No 2847/93, (EC) No 1936/2001 and (EC) No 601/2004 and repealing Regulations (EC) No 1093/94 and (EC) No 1447/1999.

F5Article 21c

Measures concerning vessels presumed to have carried out IUU fishing]]

Textual Amendments

F5 Deleted by Council Regulation (EC) No 1005/2008 of 29 September 2008 establishing a Community system to prevent, deter and eliminate illegal, unreported and unregulated fishing, amending Regulations (EEC) No 2847/93, (EC) No 1936/2001 and (EC) No 601/2004 and repealing Regulations (EC) No 1093/94 and (EC) No 1447/1999.

CHAPTER III

CONTROL AND SURVEILLANCE MEASURES APPLICABLE IN ZONE 3

Article 22

General

Each Member State shall take the action necessary in order that vessels flying its flag respect the IATTC measures transposed into Community law and the International Dolphin Conservation Programme Agreement measures applicable.

CHAPTER IV

FINAL PROVISIONS

Article 23

The measures necessary for the implementation of Article 4(2), Article 5(3), Article 6(2), Article 8(6) and Article 9(2) shall be adopted in accordance with the management procedure referred to in Article 24(2).

Article 24

1 The Commission shall be assisted by the Committee established by Article 17 of Council Regulation (EEC) No 3760/92.

2 Where reference is made to this paragraph Articles 4 and 7 of Decision 1999/468/EC shall apply.

The period laid down in Article 4(3) of Decision 1999/468/EC shall be set at three months.

3 The Committee shall adopt its rules of procedure.

Article 25

1 Regulation (EC) No 1351/1999 is hereby repealed.

2 Article 22(1) of Regulation (EC) No 2742/1999 is hereby repealed.

3 References made to the repealed Regulation (EC) No 1351/1999 shall be construed as being made to this Regulation, and should be read in accordance with the correlation table in Annex III.

Article 26

This Regulation shall enter into force on the 20th day following that of its publication in the *Official Journal of the European Communities*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

(1) [^{F2}OJ L 295, 13.11.2003, p. 1.]

Textual Amendments

F2 Inserted by Council Regulation (EC) No 869/2004 of 26 April 2004 amending Regulation (EC) No 1936/2001 laying down control measures applicable to fishing for certain stocks of highly migratory fish.

Status:

Point in time view as at 31/01/2020.

Changes to legislation:

There are outstanding changes not yet made to Council Regulation (EC) No 1936/2001. Any changes that have already been made to the legislation appear in the content and are referenced with annotations.