I

(Acts whose publication is obligatory)

# COUNCIL REGULATION (EC) No 1984/2003 of 8 April 2003

## introducing a system for the statistical monitoring of trade in bluefin tuna, swordfish and bigeye tuna within the Community

THE COUNCIL OF THE EUROPEAN UNION.

Having regard to the Treaty establishing the European Community, and in particular Article 37 thereof,

Having regard to the proposal from the Commission (1),

Having regard to the opinion of the European Parliament (2),

#### Whereas:

- (1) Since 14 November 1997, and following the adoption of Council Decision 86/238/EEC (3), the Community has been a Contracting Party to the International Convention for the Conservation of Atlantic Tunas signed in Rio de Janeiro on 14 May 1966, as amended by the Protocol annexed to the Final Act of the Conference of Plenipotentiaries of the States Parties to the Convention signed at Paris on 10 July 1984 (hereinafter called the 'ICCAT Convention').
- The ICCAT Convention provides a framework for (2) regional cooperation in the conservation and management of tuna stocks and tuna-like species in the Atlantic Ocean and adjacent seas through the establishment of an International Commission for the Conservation of Atlantic Tunas, hereinafter called the 'ICCAT', and the adoption by the latter of conservation measures which become binding on the contracting parties.
- As part of the measures to regulate stocks of bigeye tuna (3) and swordfish, improve the quality and reliability of statistical data and control the spread of illegal fishing, the ICCAT has adopted recommendations on the introduction of statistical document programmes for bigeye tuna and Atlantic swordfish. Since these recommendations have become binding on the Community, they need to be put into effect.
- Council Decision 95/399/EC (4) approved the accession of the Community to the Agreement for the establishment of the Indian Ocean Tuna Commission. This Agreement constitutes a framework for strengthening international cooperation for the purpose of conserving and making rational use of Indian Ocean tuna and related species through the establishment of the Indian

Ocean Tuna Commission, hereinafter called the 'IOTC' and the adoption by the latter of resolutions on conservation and management in the area of competence of the IOTC which become binding on the contracting parties.

- The IOTC has adopted a resolution establishing a statis-(5) tical document programme for bigeye tuna. As this resolution has become binding on the Community, it needs to be put into effect.
- The recommendations and resolution on a statistical document programme for bluefin tuna adopted previously by the ICCAT have been incorporated in Community law by Council Regulation (EC) No 858/94 of 12 April 1994 introducing a system for the statistical monitoring of trade in bluefin tuna (Thunnus thynnus) within the Community (5). In order to ensure that the provisions on statistical documents are more easily readable and applied uniformly, Regulation (EC) No 858/94 should be repealed and all the relevant provisions brought together in this Regulation.
- The measures necessary for the implementation of this Regulation should be adopted in accordance with Council Decision 1999/468/EC of 28 June 1999 laying down the procedures for the exercise of implementing powers conferred on the Commission (6),

HAS ADOPTED THIS REGULATION:

#### CHAPTER 1

#### **GENERAL PROVISIONS**

#### Article 1

#### Object

This Regulation lays down general rules and conditions for the application by the Community of:

(a) the statistical document programmes for bluefin tuna (Thunnus thynnus), swordfish (Xiphias gladius) and bigeye tuna (Thunnus obesus) adopted by the 'ICCAT';

<sup>(</sup>¹) OJ C 331 E, 31.12.2002, p. 128. (²) Opinion of 12 February 2003 (not yet published in the Official Iournal).

OJ L 162, 18.6.1986, p. 33.

<sup>(4)</sup> OJ L 236, 5.10.1995, p. 24.

<sup>(°)</sup> OJ L 99, 19.4.1994, p. 1. Regulation as amended by Regulation (EC) No 1446/1999 (OJ L 167, 2.7.1999, p. 1).

(b) the statistical document programme for bigeye tuna (*Thunnus obesus*) adopted by the 'IOTC'.

## Article 2

#### Scope

This Regulation shall apply to bluefin tuna, swordfish and bigeye tuna as referred to in Article 1:

- (a) caught by a Community vessel or producer, or
- (b) imported into the Community, or
- (c) exported or re-exported from the Community to a third country.

This Regulation shall not apply to bigeye tuna taken by seiners or baitboats and intended mainly for the canning industry in the areas of application of the Agreement for the establishment of the Indian Ocean Tuna Commission (hereinafter called 'the IOTC Agreement') and the ICCAT Convention.

#### Article 3

#### **Definitions**

For the purposes of this Regulation, the following definitions shall apply:

- (a) bluefin tuna: fish of the species Thunnus thynnus falling within the TARIC codes listed in Annex I;
- (b) swordfish: fish of the species Xiphias gladius falling within the TARIC codes listed in Annex II;
- (c) bigeye tuna: fish of the species *Thunnus obesus* falling within the TARIC codes listed in Annex III;
- (d) fishing: capture by a vessel with a view to landing, transhipment or placing in a cage or by a producer using a tuna trap net, of any fish belonging to one of the species listed in Article 1;
- (e) Community producer: natural persons or legal entities using the means of production for obtaining fishery products with a view to first-stage marketing;
- (f) importation: the customs procedures referred to in Article 4, points 16(a) to 16(f), of Council Regulation (EEC) No 2913/92 of 12 October 1992 establishing the Community Customs Code (¹).

#### CHAPTER 2

#### STATISTICAL MONITORING

#### Section 1

#### Requirements on Member States in respect of imports

#### Article 4

#### Statistical document for importation

- 1. All quantities of fish belonging to one of the species referred to in Article 1 imported from a third country into the territory of the Community shall be accompanied by a statistical document drawn up in accordance with the specimen shown at:
- Annex IVa in the case of bluefin tuna,
- Annex V in the case of swordfish,
- Annex VI or Annex VII in the case of bigeye tuna.
- A statistical document shall:
- (a) contain all the information specified in the relevant Annexes referred to in paragraph 1 and all the signatures required by the appropriate operators, who shall be answerable for the declarations made therein;
- (b) be validated:
  - (i) where the fishing has been carried out by a vessel: by a civil servant duly approved by the flag State of the vessel which carries out the fishing or by any other person or institution duly approved by that State. In the case of the third countries listed in Annex IVb, validation may be undertaken by an institution recognised for that purpose by that country;
  - (ii) where the fishing has been carried out using a trap net: by a civil servant duly approved by the State in whose territorial waters the catch has been taken;
  - (iii) in the case of swordfish, bluefin tuna and bigeye tuna caught by a vessel operating under a charter agreement: by a civil servant or any other person or institution duly approved by the exporting State;
  - (iv) in the case of bigeye tuna caught by one of the vessels listed in Annexes VIIIa and VIIIb: by a Japanese or Taiwanese government official or by any other person duly approved for that purpose by the Governments of those countries.
- 3. The statistical document shall be delivered to the competent authorities of the Member State in which the product is imported.
- 4. The Member States shall ensure that their customs authorities or other competent official agents request and examine all documents, including the statistical document, for imports of fish belonging to one of the species referred to in Article 1.

Those authorities may also examine the content of any cargo in order to verify the accuracy of the information contained in those documents.

5. The importation of fish belonging to one of the species referred to in Article 1 shall be prohibited where the cargo concerned is not accompanied by the statistical document for the relevant importation, validated and completed in accordance with paragraphs 1 and 2.

<sup>(</sup>¹) OJ L 302, 19.10.1992, p. 1. Regulation as last amended by Regulation (EC) No 2700/2000 (OJ L 311, 12.12.2000, p. 17).

#### Section 2

#### Requirements on Member States in respect of exports

#### Article 5

## Statistical document for exportation

- 1. All quantities of fish belonging to one of the species referred to in Article 1 caught by a Community vessel or taken by a Community producer and exported to a third country shall be accompanied by a statistical document drawn up in accordance with the specimen shown at:
- Annex IVa in the case of bluefin tuna;
- Annex V in the case of swordfish;
- Annex VI or Annex VII in the case of bigeye tuna.
- 2. A statistical document shall:
- (a) contain all the information specified in the relevant Annexes referred to in paragraph 1 and all the signatures required by the appropriate operators, who shall be answerable for the declarations made therein;
- (b) be validated by:
  - (i) the competent authorities of the flag Member State, or
  - (ii) the competent authorities of another Member State in which the products are landed, provided the corresponding quantities are exported outside the Community from the territory of that Member State. The latter shall transmit a copy of the validated statistical document to the flag Member State within two months.
- 3. The Member States shall ensure that their customs authorities or other competent official agents request and examine all documents, including the statistical document, for exports of all fish belonging to one of the species referred to in Article 1.

These authorities may also examine the content of any cargo in order to verify the accuracy of the information contained in those documents.

- 4. Each Member State shall send the Commission the information concerning its competent authorities referred to in paragraph 2(b). The Commission shall forward this information to the other Member States.
- 5. The export of fish belonging to one of the species referred to in Article 1 shall be prohibited where the load concerned is not accompanied by the statistical document for the relevant export, validated and completed in accordance with paragraphs 1 and 2.

#### Section 3

#### Requirements on Member States in respect of re-exports

#### Article 6

#### Re-export certificates

- 1. A re-export certificate shall accompany all quantities of fish belonging to one of the species referred to in Article 1 which are:
- (a) re-exported from the Community to a third country following importation into the Community; or
- (b) imported into the territory of the Community from a third country after having been re-exported by that third country.

Re-export certificates shall be drawn up in accordance with the specimen shown at:

- (a) Annex IX in the case of bluefin tuna;
- (b) Annex X in the case of swordfish;
- (c) Annex XI or Annex XII in the case of bigeye tuna.
- 2. Re-export certificates shall:
- (a) contain all the information specified in the relevant Annexes referred to in the second subparagraph of paragraph 1 and all the signatures required by the appropriate operators, who shall be answerable for the declarations made therein;
- (b) be validated by the competent authorities of the Member State from which the re-export is to take place or the competent authorities of the third country from which the re-export has taken place;
- (c) be accompanied by a duly validated copy of the statistical document for importation referred to in Article 4.
- 3. Member States which authenticate re-export certificates in accordance with paragraph 2(b) shall require re-exporters to provide the necessary documents certifying that the cargo of fish re-exported corresponds to the cargo of fish originally imported. The Member States shall provide the flag State or the exporting State, upon request, with a copy of the certificate.
- 4. The re-export certificate shall be delivered to the competent authorities of the Member State of importation or re-exportation.
- 5. The Member States shall ensure that their customs authorities or other competent official agents request and examine all documents, including the re-export certificate, for the re-export of fish belonging to one of the species referred to in Article 1.

These authorities may also examine the content of any cargo in order to verify the accuracy of the information contained in those documents.

6. The re-export and import following the re-export of fish belonging to one of the species referred to in Article 1 shall be prohibited where the cargo concerned is not accompanied by the corresponding certificate, validated and completed in accordance with paragraphs 1 and 2.

#### Article 7

#### Repeated re-exports

1. All quantities of fish belonging to one of the species referred to in Article 1, re-exported after having already been re-exported, shall be accompanied by a new re-export certificate, validated and completed in accordance with Article 6(1) and (2).

Article 6(3), (4), (5) and (6) shall apply.

2. The new certificate referred to in paragraph 1 shall be accompanied by a certified copy of the preceding, duly validated, re-export certificates which accompanied the cargo.

#### CHAPTER 3

#### TRANSMISSION OF DATA

#### Article 8

#### Information concerning validation

Each Member State shall forward to the Commission not later than 30 days following the entry into force of this Regulation a specimen of its statistical documents and re-export certificates. It shall also forward to the Commission all necessary information concerning validation and, in good time, any changes to those documents and certificates, in accordance with:

- (a) the ICCAT specimen shown at Annex XIII for bluefin tuna, swordfish and bigeye tuna;
- (b) the IOTC specimen shown at Annex XIV for bigeye tuna.

#### Article 9

#### Transmission of data

- 1. Member States which import, export or re-export fish belonging to one of the species referred to in Article 1 shall forward to the Commission by computer transmission before 15 March for the period from 1 July to 31 December of the preceding year and before 15 September for the period from 1 January to 30 June of the current year, a report on:
- (a) the quantities of each commercial presentation of fish belonging to one of the species referred to in Article 1 imported into their territory, broken down by third country of origin, place of catch and type of fishing gear used;

- (b) the quantities of each commercial presentation of fish belonging to one of the species referred to in Article 1 imported into their territory after having been re-exported by a third country, broken down by third country of origin, place of capture and type of fishing gear used.
- 2. The report referred to in paragraph 1 shall contain the information specified in:
- (a) Annex XV for bluefin tuna;
- (b) Annex XVI for swordfish;
- (c) Annex XVII or Annex XVIII for bigeye tuna.

#### Article 10

#### National report

Member States which export fish belonging to one of the species referred to in Article 1 shall check that the information on imports transmitted by the Commission corresponds to their own information. They shall inform the Commission of the outcome of such cross-checks in the national report referred to in Article 9 of Council Regulation (EC) No 1936/2001 of 27 September 2001 laying down control measures applicable to fishing for certain stocks of highly migratory fish (¹).

#### CHAPTER 4

#### **FINAL PROVISIONS**

#### Article 11

## **Amendment of Annexes**

The Annexes hereto may be amended in accordance with the ICCAT and the IOTC conservation measures which become binding on the Community and in accordance with the procedure laid down in Article 12(2).

#### Article 12

## Committee procedure

- 1. The Commission shall be assisted by the Management Committee for Fisheries and Aquaculture established by Article 30 of Council Regulation (EC) No 2371/2002 of 20 December 2002 on the conservation and sustainable exploitation of fisheries resources under the Common Fisheries Policy (²).
- 2. Where reference is made to this paragraph, Articles 4 and 7 of Decision 1999/468/EC shall apply.

The period laid down in Article 4(3) of Decision 1999/468/EC shall be set at one month.

3. The Committee shall adopt its rules of procedure.

<sup>(</sup>¹) OJ L 263, 3.10.2001, p. 1.

<sup>(2)</sup> OJ L 358, 31.12.2002, p. 59.

## Article 13

# Repeal

- 1. Regulation (EC) No 858/94 is hereby repealed.
- 2. References to the repealed Regulation shall be understood as references to this Regulation and are to be read in accordance with the table of equivalence shown in Annex XIX.

## Article 14

## Entry into force

This Regulation shall enter into force on the day following its publication in the Official Journal of the European Union.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Luxembourg, 8 April 2003.

For the Council The President G. DRYS

## ANNEX I

# PRODUCTS REFERRED TO IN ARTICLE 3(a)

Without prejudice to the rules for the interpretation of the Combined Nomenclature, the wording of the description of the goods is regarded as being for information purposes only. In the context of this Annex, the TARIC codes will apply, as they exist when this Regulation is adopted.

## ANNEX II

# PRODUCTS REFERRED TO IN ARTICLE 3(b)

Without prejudice to the rules for the interpretation of the Combined Nomenclature, the wording of the description of the goods is regarded as being for information purposes only. In the context of this Annex, the TARIC codes will apply, as they exist when this Regulation is adopted.

| TARIC  | code   |
|--|--|
| 0301 99<br>0302 69<br>0303 79<br>0304 10<br>0304 10<br>0304 20<br>0304 90<br>0305 20 | 90 70<br>87 00<br>87 00<br>38 70<br>98 55<br>87 00<br>65 00<br>00 19 |
| 0305 20<br>0305 20<br>0305 30<br>0305 49<br>0305 59<br>0305 69<br>1604 19<br>1604 20 | 00 77<br>90 40<br>80 20<br>90 50<br>90 50<br>91 30<br>98 20          |

## ANNEX III

# PRODUCTS REFERRED TO IN ARTICLE 3(c)

Without prejudice to the rules for the interpretation of the Combined Nomenclature, the wording of the description of the goods is regarded as being for information purposes only. In the context of this Annex, the TARIC codes will apply, as they exist when this Regulation is adopted.

## ANNEX IVa

## SPECIMEN ICCAT BLUEFIN TUNA STATISTICAL DOCUMENT

| DOCUMENT No: ICCAT BLUEFIN TUNA STATISTICAL DOCUMENT |  |   |                            | DOCUMENT                |                       |                                   |  |  |
|--|--|---|----------------------------|-------------------------|-----------------------|-----------------------------------|--|--|
| 1. F   | EXPORT SECTION:  1. FLAG COUNTRY                       |   |                            |                         |                       |                                   |  |  |
| 2. N   | 2. NAME OF VESSEL AND REGISTRATION No (when available) |   |                            |                         |                       |                                   |  |  |
| 3. T   | TRAPS (if applicable)                                  |   |                            |                         |                       |                                   |  |  |
| 4. P   | OINT OF EXPORT   | (city, state or province, and cou   | ntry)                      |                         |                       |                                   |  |  |
| 5. D   | DESCRIPTION OF FISH:                                   |   |                            |                         |                       |                                   |  |  |
|  | Product<br>F/FR  | Type (°)<br>RD/GG/DR/FL/OT  | Gear<br>code (ʰ)           | Area of catch           | Net weight (kg)       | Tag No<br>(if applicable)         |  |  |
|  |  |   |                            |                         |                       |                                   |  |  |
|  |  |   |                            |                         |                       |                                   |  |  |
|  |  |   |                            |                         |                       |                                   |  |  |
|  |  |   |                            |                         |                       |                                   |  |  |
|  |  |   |                            |                         |                       |                                   |  |  |
|  |  |   |                            |                         |                       |                                   |  |  |
|  |  |   |                            |                         |                       |                                   |  |  |
|  |  |   |                            |                         |                       |                                   |  |  |
| (·) =  | ( ) 50 (   |   | DD 1 151 511 611           |                         |                       |                                   |  |  |
| De<br>(b) Wh   | escribe the type of pro<br>hen the gear code is (      | RD = round, GG = gilled and gutted,<br>duct:<br>DT, describe the type of gear:<br>West Atlantic, Mediterranean, Pacific |                            | = other.                |                       |                                   |  |  |
| 6. E   | XPORTER CERTI  | FICATION — I certify that the al  | oove information is comple | te, true and correct to | the best of my kno    | wledge and belief.                |  |  |
| Name   | )  | Address   | Signature                  | Date                    |                       | <b>Licence No</b> (if applicable) |  |  |
| 7. G   | OVERNMENT VA   | LIDATION — I validate that the i  | nformation listed above is | complete, true and co   | orrect to the best of | my knowledge and belief.          |  |  |
| Name   | and title  |   | Signature                  | Date Government sea     |                       | Government seal                   |  |  |
|  |  |   | IMPORT SEC                 | CTION:                  |                       |                                   |  |  |
| 8. II  | MPORTER CERTIF   | FICATION — I certify that the abo   |                            |                         | the best of my know   | vledge and belie.                 |  |  |
| IMPO   | RTER CERTIFICA   | TION (Intermediate country)   |                            |                         |                       |                                   |  |  |
| Name   | •  | Address   | Signature                  | Date                    |                       | <b>Licence No</b> (if applicable) |  |  |
| IMPO<br>Name   |  | TION (Intermediate country) Address   | Signature                  | Date                    |                       | Licence No<br>(if applicable)     |  |  |
| IMPO   | DTED CEDTIFICA   | TION (Final death attended to   | ant\                       |                         |                       | (\s\epsilon\)                     |  |  |
| Name   |  | TION (Final destination of shipme<br>Address  | ent)<br>Signature          | Date                    |                       | <b>Licence No</b> (if applicable) |  |  |
| FINAL  | L POINT OF IMPO  | RT:   |                            |                         |                       |                                   |  |  |
| City   |  |   |                            |                         |                       |                                   |  |  |
|  |  |   |                            |                         |                       |                                   |  |  |

#### BLUEFIN TUNA STATISTICAL DOCUMENT INSTRUCTION SHEET

Pursuant to the 1992 ICCAT Recommendations, bluefin tuna dealers who import bluefin tuna into the territory of an ICCAT Contracting Party or at the first entry into a regional economic organisation, will be required to complete the appropriate sections of the document. Only complete and valid documents will guarantee that shipments of bluefin tuna will be allowed to enter the territory of Contracting Parties. Shipments of bluefin tuna that are accompanied by improperly documented Bluefin Tuna Statistical Documents (i.e. improperly documented means that the Bluefin Tuna Statistical Document is either missing from the shipment, incomplete, invalid or falsified) will be considered illegitimate shipments of bluefin tuna, that are contrary to ICCAT conservation efforts, and their entry will be suspended (PENDING RECEIPT OF A PROPERLY COMPLETED DOCUMENT) into the territory of a Contracting Party or subject to administrative or other sanction.

Please use this instruction sheet as a guideline to complete that section of the Bluefin Tuna Statistical Document that applies to exporters, importers, and Government validation. if a language other than English is used in completing the form, please add the English translation on the document. **Note:** if a bluefin tuna product is exported directly to Japan, without first going through an intermediate country, all fish can be identified on one document. however, if the bluefin tuna product is exported through an intermediate country (i.e. a country other than the country which is the final destination of the product), separate documents must be prepared for different final destinations or each fish may be accompanied by a separate document to identify any possible separation of shipments by an intermediate country, the import of fish parts other than the meat (i.e. head, eyes, roe, guts, tails) may be allowed without the document.

#### INSTRUCTIONS

**DOCUMENT No:** Block for the issuing country to designate a country coded document number.

- (1) FLAG COUNTRY: Fill in the name of the country of the vessel that harvested the bluefin tuna in the shipment and issued this document. According to the ICCAT Recommendation, only the flag State of the vessel that harvested the bluefin tuna in the shipment can issue this document.
- (2) NAME OF VESSEL AND REGISTRATION No (when available): Fill in the name and registration number of the vessel that harvested the bluefin tuna in the shipment. When tag numbers are provided in section 5, this section need not be filled in.
- (3) TRAPS (if applicable): Fill in the name of the trap that harvested the bluefin tuna in the shipment.
- (4) POINT OF EXPORT: Identify the city, state or province, and country from which the bluefin tuna was exported.
- (5) **DESCRIPTION OF FISH**: The exporter must provide, to the highest degree of accuracy, the following information. **Note**: one row should describe one product type.
  - (1) Product type: identify the type of product being shipped as either FRESH or FROZEN (F/FR) and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form (RD/GG/DR/FL/OT). For OTHER, describe the type of products in the shipment.
  - (2) Gear code: identify the gear type which was used to harvest the bluefin tuna using the list below. For OTHER type, describe the type of gear.
  - (3) Area of catch: identify the general area of the ocean in which the bluefin tuna was harvested (i.e. east, west Atlantic, Mediterranean (see map 4 below), Pacific).
  - (4) Net product weight in kg.
  - (5) Country coded tag number (if applicable).
- (6) **EXPORTER CERTIFICATION**: The person or company exporting the bluefin tuna shipment must provide his/her name, address, signature, date the shipment was exported, and dealer licence number (if applicable).
- (7) GOVERNMENT VALIDATION: Fill in the name and full title of the official signing the document. The official must be in the employment of the competent Government authority of the flag State of the vessel that harvested the bluefin tuna appearing on the document. This requirement may be waived according to the ICCAT RESOLUTION CONCERNING VALIDATION BY A GOVERNMENT OFFICIAL OF THE BLUEFIN TUNA STATISTICAL DOCUMENT.
- (8) IMPORTER CERTIFICATION: The person or company that imports the bluefin tuna must provide his/her name, address, signature, date the bluefin tuna was imported, licence number (if applicable) and final point of import. This includes imports into intermediate countries. For fresh and chilled products, the signature of the importer may be substituted by that of a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

## **GEAR CODES:**

| Code | e Gear Type |      |                              |      |                                |
|------|-------------|------|------------------------------|------|--------------------------------|
| BB   | Baitboat    | MWT  | Mid-water trawl              | SURF | Surface fisheries unclassified |
| GILL | Gillnet     | PS   | Purse seine                  | TL   | Tended line                    |
| HANI | D Handline  | RR   | Rod and reel                 | TRAP | Trap                           |
| HARI | P Harpoon   | SPHL | Sport handline               | TROL | Troll                          |
| LL   | Longline    | SPOR | Sport fisheries unclassified | UNCL | Unspecified methods            |

RETURN A COPY OF COMPLETED DOCUMENT TO: (the name of the office of the competent authority of the flag State)

## ANNEX IVb

Third countries recognised by ICCAT for which the statistical document can be validated by an institution authorised for this purpose, for example a chamber of commerce: Angola, Brazil, Canada, Cape Verde, China, Côte d'Ivoire, Croatia, Equatorial Guinea, Gabon, Ghana, Guinea-Bissau, Guinea-Conakry, Japan, Korea, Libya, Morocco, Russia, São Tomé and Príncipe, South Africa, Tunisia, Uruguay, USA, Venezuela.

## ANNEX V

## SPECIMEN ICCAT SWORDFISH STATISTICAL DOCUMENT

| DOCUMENT No: |  |  | ICCAT SWORDFISH STATISTICAL DOCUMENT     |                           |   |  |
|--------------|--|--|--|---------------------------|---|--|
| 1.           | FLAG COUNTR                              | RY/ENTITY/FISHING ENTITY:  | EXPORT SECTION:                          |                           |   |  |
| 2.           | POINT OF EXP                             | ORT:   | STATE OR PROVINCE                        |                           | COUNTRY/ENTITY/FISHING ENTITY   |  |
| 3.           | AREA OF CAT                              | CH (check one of the following)  |  |                           |   |  |
|              | (a) North Atlanti<br>If (d) or (e) is ch | c (b) South Atlantic ecked, items 4 and 5 below need not                         | (c) Mediterranean be completed.          | (d) Pacific               | (e) Indian  |  |
| 4.           | DESCRIPTION                              | OF FISH:   |  |                           |   |  |
|              | Т  | ype of product (a)   | Vessel name and registration No          | Coar ando                 | Net weight  |  |
|              | F/FR                                     | RD/GG/DR/FL/OT   | vesser name and registration no          | Gear code                 | (kg)  |  |
|              |  |  |  |                           |   |  |
|              |  |  |  |                           |   |  |
|              |  |  |  |                           |   |  |
|              |  |  |  |                           |   |  |
|              |  |  |  |                           |   |  |
|              |  |  |  |                           |   |  |
|              |  |  |  |                           |   |  |
|              |  |  |  |                           |   |  |
|              |  | zen, RD = round, GG = gilled and gutted,<br>le is OT, describe the type of gear: | DR = dressed, FL = fillet, ST = steak, O | T = other (describe the t | ype:)   |  |
| 5.           |  |  |  |                           | ze provided for swordfish, the exporter must were derived from a swordfish weighing >15 |  |
|              | I certify that the                       |  | and correct to the best of my knowled    | -                         |   |  |
| Na           | me                                       | Company Name   | Address Signature                        | Date                      | Licence No (if applicable)  |  |
| 6.           |  |  | e above information is complete, true    |                           | •   |  |
| Na           | me and title                             | Signature  | Date Governmen                           | nt seal                   | Net weight (kg)   |  |
|              |  |  | IMPORT SECTION                           |                           |   |  |
| 7.           | IMPORTER CE                              | RTIFICATION — I certify that the ab  | ove information is complete, true and    | I correct to the best or  | f my knowledge and belief.  |  |
| ІМІ          |  | FICATION (Intermediate country/entit   | •  |                           |   |  |
| Na           | me                                       | Address  | Signature                                | Date                      | <b>Licence No</b> (if applicable)   |  |
|              | PORTER CERTIF                            | FICATION (Intermediate country/entit<br>Address                                  | y/fishing entity)<br>Signature           | Date                      | <b>Licence No</b><br>(if applicable)  |  |
|              |  |  |  |                           | (ii appiidabie)   |  |
|              | PORTER CERTIF<br>ime                     | CICATION (Final destination of shipm Address                                     | ent)<br>Signature                        | Date                      | <b>Licence No</b> (if applicable)   |  |
| Fir          | nal point of impo                        | rt:  |  |                           |   |  |
| Cit          | ty:                                      |  | State or Province:                       |                           | Country/Entity/Fishing entity:  |  |

#### ICCAT SWORDFISH STATISTICAL DOCUMENT INSTRUCTION SHEET

Pursuant to the 2001 ICCAT Recommendation, swordfish imported into the territory of a Contracting Party or upon first entry into a regional economic organisation must be accompanied by an ICCAT Swordfish Statistical Document (SWD) beginning 1 January 2003. Swordfish dealers who export or import from all ocean areas will be required to complete the appropriate sections of the SWD. Only complete and valid documents will guarantee that shipments of swordfish will be allowed to enter the customs territory of Contracting Parties (e.g. Japan, Canada, United States, Spain, etc.). Improperly documented swordfish shipments (i.e. the SWD is either missing from the shipment, incomplete, invalid or falsified) will be considered illegitimate and contrary to ICCAT conservation efforts. Entry of improperly documented swordfish will be suspended (PENDING RECEIPT OF A PROPERLY COMPLETED DOCUMENT) into the customs territory of a Contracting Party or subject to administrative or other sanctions.

Please use the instructions below as a guide to complete the sections that apply to exporters, importers, and Government validation. If a language other than English is used for description, please add an English translation either on the SWD or on a separate paper. **Note**: If a swordfish product is exported directly from the harvesting country/entity/fishing entity to a Contracting Party without going through an intermediate country/entity/fishing entity all fish can be identified on one document. However, if the swordfish is exported through an intermediate country/entity/fishing entity (i.e. a country/entity/fishing entity other than the country/entity/fishing entity which is the final destination of the product), separate documents must be prepared for fish destined for different final destinations, or only one fish may be identified on a document to cope with any possible separation in an intermediate country/entity/fishing entity. Import of swordfish parts other than meat (i.e. head, eyes, roe, guts, tails) may be allowed entry without an accompanying SWD.

DOCUMENT No: This block is for the country/entity/fishing entity to designate a country coded document number.

- (1) FLAG COUNTRY/ENTITY/FISHING ENTITY: Fill in the name of the country/entity/fishing entity of the vessel that harvested the swordfish in the shipment and issued this document. According to the ICCAT Recommendation, only the flag State of the vessel that harvested the swordfish in the shipment or, if the vessel is operating under a chartering arrangement, the exporting State, can issue this document.
- (2) **POINT OF EXPORT:** Enter the city and state or province, and country/entity/fishing entity from which the swordfish was exported.
- (3) AREA OF CATCH: Check the area of catch. (In case of (d) or (e) checked, items 4 and 5 need not be completed).
- (4) **DESCRIPTION OF FISH:** The exporter must provide, to the highest degree of accuracy, the following information. (**Note:** one row should describe one product type.) (1) Product type: identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET, STEAK or OTHER form. For OTHER, describe the type of products in the shipment. (2) Name of vessel and registration No: fill in the name and registration number (if available) of the vessel that harvested the swordfish. If product in shipment is from more than one vessel, list all vessels whose products are included in the shipment. (3) Gear code: identify the gear type which was used to harvest the swordfish using the listed codes. (4) Net product weight in kg.
- (5) EXPORTER CERTIFICATION: The person or company exporting the swordfish shipment must provide his/her name, signature, address, date the shipment was exported, and dealer licence number (if applicable). For countries that have adopted the ICCAT alternative minimum size for swordfish, the exporter must certify that the listed Atlantic swordfish are greater than 15 kg (33 lb) or, if it is in pieces, that the pieces were derived from a swordfish weighing >15 kg.
- (6) GOVERNMENT VALIDATION: Enter the name and position of the official signing the document. The official must be in the employment of the competent Government authority of the flag State of the vessel that harvested the swordfish described in the document. The document may be signed by any other person or institution duly accredited for this purpose by the Government of the flag State or, if the vessel is operating under a charter agreement, by the government official or any other person or institution authorised by the exporting State. (The net weight of the shipment must also be entered in kg in this session.).
- (7) **IMPORTER CERTIFICATION:** The person or company that imports swordfish must provide his/her name, signature, address, date the swordfish was imported, licence number (if applicable), and the final point of import. This includes imports into intermediate countries/entities/fishing entities. For fresh and chilled products, signature of the importer may be substituted by that of a person of a customs clearance company when the authority of signature is properly accredited to the company by the importer.

## **GEAR CODES:**

| Gear code | Type of gear    | Gear code | Type of gear                   |
|-----------|-----------------|-----------|--------------------------------|
| BB        | Baitboat        | SPHL      | Sport handline                 |
| GILL      | Gillnet         | SPOR      | Sport fisheries unclassified   |
| HAND      | Handline        | SURF      | Surface fisheries unclassified |
| HARP      | Harpoon         | TL        | Tended line                    |
| LL        | Longline        | TRAP      | Trap                           |
| MWT       | Mid-water trawl | TROL      | Troll                          |
| PS        | Purse seine     | UNCL      | Unspecified methods            |
| RR        | Rod and reel    | OT        | Other type                     |

The original completed document must accompany the exported shipment. Retain a copy for your records. The original (imports) or a copy (exports) must be postmarked and mailed, or faxed, within 24 hours of import or export to: ....

## ANNEX VI

## SPECIMEN ICCAT BIGEYE TUNA STATISTICAL DOCUMENT

| С                       | OCUMENT No:  | ICCAT BIGEYE TUNA STATISTICAL DOCUMENT |  |                                   |  |  |  |
|-------------------------|--|--|--|-----------------------------------|--|--|--|
| EXPORT SECTION:         |  |  |  |                                   |  |  |  |
|                         | <ol> <li>FLAG OF COUNTRY/ENTITY/FISHING ENTITY</li> <li>NAME OF VESSEL AND REGISTRATION No (when available)</li> </ol> |  |  |                                   |  |  |  |
|                         |  | n avaliable)                           |  |                                   |  |  |  |
| 3. TRAPS (if appli      | Cable) PORT (city, state/province, country/en  | tity/fiching optity)                   |  |                                   |  |  |  |
|                         | CH (check one of the following)  | uty/iishing entity)                    |  |                                   |  |  |  |
| (a) Atlantic            | □ (b) Pacific  (c) checked, items 6 and 7 below do   | □ (c) India                            |  |                                   |  |  |  |
| 6. DESCRIPTION          | N OF FISH  |  |  |                                   |  |  |  |
|                         | Product type (1)   |  | Gear code (²)                          | Net weight                        |  |  |  |
| F/FR                    | RD/GG/DR/FL/OT   |  |  | (kg)                              |  |  |  |
|                         |  |  |  |                                   |  |  |  |
|                         |  |  |  |                                   |  |  |  |
|                         |  |  |  |                                   |  |  |  |
|                         |  |  |  |                                   |  |  |  |
|                         |  |  |  |                                   |  |  |  |
| OT = other, descri      | zen, RD = round, GG = gilled and gutted,<br>oe the type of product:<br>de is OT, describe the type of gear:            | DR = dressed, FL = fillet              |  |                                   |  |  |  |
| 7. EXPORTER C           | ERTIFICATION — I certify that the ab   | ove information is comp                | lete, true and correct to the best of  | my knowledge and belief.          |  |  |  |
| Name                    | Company name   | Address                                | Signature Date                         | Licence No (if applicable)        |  |  |  |
| 8. GOVERNMEN            | <b>VALIDATION</b> — I validate that the  |  | is complete, true and correct to the   | best of my knowledge and belief.  |  |  |  |
| Name and title of o     | fficial  | Signature                              | Date                                   | Government seal                   |  |  |  |
|                         |  | IMPORT S                               | ECTION:                                |                                   |  |  |  |
| 9. IMPORTER CE          | RTIFICATION — I certify that the ab  | ove information is compl               | ete, true and correct to the best of a | my knowledge and belief.          |  |  |  |
| IMPORTER CERTIF         | FICATION (Intermediate country/entit   | y/fishing entity)                      |  |                                   |  |  |  |
| Name                    | Address  | Signature                              | Date                                   | <b>Licence No</b> (if applicable) |  |  |  |
| IMPORTER CERTII<br>Name | FICATION (Intermediate country/entit<br>Address  | y/fishing entity)<br>Signature         | Date                                   | <b>Licence No</b> (if applicable) |  |  |  |
| FINAL POINT OF I        | MPORT:   |  |  |                                   |  |  |  |
| City                    |  | State/Province                         | (                                      | Country/Entity/Fishing entity     |  |  |  |
|                         |  |  |  |                                   |  |  |  |

NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION TO THIS DOCUMENT.

#### INSTRUCTIONS

**DOCUMENT No:** Block for the issuing country/entity/fishing entity to designate a country/entity/fishing entity coded document number.

- (1) FLAG COUNTRY/ENTITY/FISHING ENTITY: Fill in the name of the country/entity/fishing entity of the vessel that harvested the bigeye tuna in the shipment and issued this document. According to the ICCAT Recommendation, only the flag State of the vessel that harvested the bigeye tuna in the shipment or, if the vessel is operating under a charter arrangement, the exporting State can issue this document.
- (2) NAME OF VESSEL AND REGISTRATION No: (when available): Fill in the name and registration number of the vessel that harvested the bigeye tuna in the shipment.
- (3) TRAPS (if applicable): Fill in the name of the trap that harvested the bigeye tuna in the shipment.
- (4) **POINT OF EXPORT**: Identify the city, state or province, and country/entity/fishing entity from which the bigeye tuna was exported.
- (5) AREA OF CATCH: Check the area of catch. (If (b) or (c) checked, items 6 and 7 below do not need to be filled out.)
- (6) DESCRIPTION OF FISH: The exporter must provide, to the highest degree of accuracy, the following information. Note: one row should describe one product type.
  - (1) Product type: identify the type of product being shipped as FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET, or OTHER form. For OTHER, describe the type of products in the shipment.
  - (2) Gear code: identify the gear type which was used to harvest the bigeye tuna using the list below. For OTHER TYPE, describe the type of gear, including farming.
  - (3) Net product weight in kg.
- (7) EXPORTER CERTIFICATION: The person or company exporting the bigeye tuna shipment must provide his/her name, company name, address, signature, date the shipment was exported, and dealer licence number (if applicable).
- (8) GOVERNMENT VALIDATION: Fill in the name and full title of the official signing the document. The official must be employed by a competent authority of the flag State Government of the vessel that harvested the bigeye tuna appearing on the document, or other individual or institution authorised by the flag State. When appropriate, this requirement is waived according to the validation of the document by a Government official, or, if the vessel is operating under a charter arrangement, by a Government official or other authorised individual or institution of the exporting State. The total weight of the shipment must also be specified in this block. The substitutional measure described in paragraphs A-D of the Resolution by ICCAT concerning the Validation by a Government Official of the Bluefin Tuna Statistical Document, adopted by the Commission in 1993, may be applied to the above requirements for the validations in this Bigeye Tuna Statistical Document Programme.
- (9) IMPORTER CERTIFICATION: The person or company that imports bigeye tuna must provide his/her name, address, signature, date the bigeye tuna was imported, licence number (if applicable), and final point of import. This includes imports into intermediate countries, entities or fishing entities. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

# GEAR CODES:

| Code | Type of gear    | Code | Type of gear                   |
|------|-----------------|------|--------------------------------|
| BB   | Baitboat        | SPOR | Sport fisheries unclassified   |
| GILL | Gillnet         | SURF | Surface fisheries unclassified |
| HAND | Handline        | TL   | Tended line                    |
| HARP | Harpoon         | TRAP | Trap                           |
| LL   | Longline        | TROL | Troll                          |
| MWT  | Mid-water trawl | UNCL | Unspecified methods            |
| PS   | Purse seine     | OT   | Other type                     |
| RR   | Rod and reel    |      |                                |
| SPHL | Sport handline  |      |                                |

RETURN A COPY OF COMPLETED DOCUMENT TO: (the name of the office of the competent authority of the flag State).

## ANNEX VII

## SPECIMEN IOTC BIGEYE TUNA STATISTICAL DOCUMENT

| DOCUMENT No |   |   | IOTC BIGEYE TUNA STATISTICAL DOCUMENT    |                                      |                                    |  |  |
|-------------|---|---|--|--------------------------------------|------------------------------------|--|--|
| 1.          | EXPORT SECTION  1. FLAG COUNTRY/ENTITY/FISHING ENTITY                         |   |  |                                      |                                    |  |  |
| 2.          | 2. NAME OF VESSEL AND REGISTRATION No (when available)                        |   |  |                                      |                                    |  |  |
| 3.          | 3. TRAPS (if applicable)  |   |  |                                      |                                    |  |  |
| 4.          | POINT OF EXP  | ORT (city, state/province, country/en   | tity/fishing entity)                     |                                      |                                    |  |  |
| 5.          | (a) Atlantic  | CH (check one of the following)<br>(b) Pacific<br>(c) checked, items 6 and 7 below do | (c) Indian<br>not need to be filled out. |                                      |                                    |  |  |
| 6.          | DESCRIPTION   | OF FISH   |  |                                      |                                    |  |  |
|             |   | Product type (1)  |  | Gear code (²)                        | Net weight                         |  |  |
|             | F/FR  | RD/GG/DR/FL/OT  |  | (/                                   | (kg)                               |  |  |
|             |   |   |  |                                      |                                    |  |  |
|             |   |   |  |                                      |                                    |  |  |
|             |   |   |  |                                      |                                    |  |  |
|             |   |   |  |                                      |                                    |  |  |
|             |   |   |  |                                      |                                    |  |  |
|             |   |   |  |                                      |                                    |  |  |
|             |   |   |  |                                      |                                    |  |  |
|             |   |   |  |                                      |                                    |  |  |
| (1)<br>(2)  | F = fresh, FR = fro<br>When the gear co                                       | ozen, RD = round , GG = gilled and gut<br>ode is OT, describe the type of gear:       | ted, DR = dressed, FL = fil              | llet , OT = other, describe the type | of product.                        |  |  |
| 7.          | EXPORTER CE   | RTIFICATION — I certify that the ab   | ove information is compl                 | ete, true and correct to the best of | my knowledge and belief.           |  |  |
| Na          | me  | Company name  | Address                                  | Signature Date                       | Licence No (if applicable)         |  |  |
| 8.          | GOVERNMEN <sup>*</sup>  | T VALIDATION — I validate that the  | information listed above                 | is complete, true and correct to th  | e best of my knowledge and belief. |  |  |
| То          | tal weight of shipm   | nent kg   |  |                                      |                                    |  |  |
| Na          | me and title  | Signature   |  | Date                                 | Government seal                    |  |  |
|             |   |   | IMPORT S                                 |                                      |                                    |  |  |
| 9.          | IMPORTER CE   | RTIFICATION — I certify that the abo  | ove information is comple                | ete, true and correct to the best of | my knowledge and belief.           |  |  |
| IM          | PORTER CERTIF   | CICATION (Intermediate country/entity   | y/fishing entity)                        |                                      |                                    |  |  |
| Na          | me  | Address   | Signature                                | Date                                 | <b>Licence No</b> (if applicable)  |  |  |
|             | PORTER CERTIF<br>me   | FICATION (Intermediate country/entity<br>Address                                      | y/fishing entity)<br>Signature           | Date                                 | <b>Licence No</b> (if applicable)  |  |  |
|             | FINAL POINT OF IMPORTATION  City State/Province Country/Entity/Fishing entity |   |  |                                      |                                    |  |  |

#### INSTRUCTIONS

**DOCUMENT No:** Block for the issuing country/entity/fishing entity to designate a country/entity/fishing entity coded document number.

- (1) **FLAG COUNTRY/ENTITY/FISHING ENTITY:** Fill in the name of the country/entity/fishing entity of the vessel that harvested the bigeye tuna in the shipment and issued this document. According to the IOTC Resolution, only the flag State of the vessel that harvested the bigeye tuna in the shipment or, if the vessel is operating under a charter arrangement, the exporting State can issue this document.
- (2) **NAME OF VESSEL AND REGISTRATION No** (when available): Fill in the name and registration number of the vessel that harvested the bigeye tuna in the shipment.
- (3) TRAPS (if applicable): Fill in the name of the trap that harvested the bigeye tuna in the shipment.
- (4) POINT OF EXPORT: Identify the city, state/province and country/entity/fishing entity from which the bigeye tuna was exported.
- (5) AREA OF CATCH: Check the area of catch. (If (b) or (c) checked, items 6 and 7 below need not to be filled out).
- (6) **DESCRIPTION OF FISH:** The exporter must provide, to the highest degree of accuracy, the following information. **Note**: one row should describe one product type.
  - (1) Product type: identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment.
  - (2) Gear code: identify the gear type which was used to harvest the bigeye tuna using the list below. If gear code is OT, describe the type of gear, including farming.
  - (3) Net product weight in kg.
- (7) **EXPORTER CERTIFICATION:** The person or company exporting the bigeye tuna shipment must provide his/her name, company name, address, signature, date the shipment was exported, and dealer licence number (if applicable).
- (8) **GOVERNMENT VALIDATION:** Fill in the name and full title of the official signing the document. The official must be employed by a competent authority of the flag State Government of the vessel that harvested the bigeye tuna appearing on the document or other individual or institution authorised by the flag State. When appropriate, this requirement is waived according validation of the document by a Government official, or, if the vessel is operating under a charter arrangement, by a Government or other authorised individual or institution of the exporting State. The total weight of the shipment must also be specified in this block.
- (9) IMPORTER CERTIFICATION: The person or company that imports bigeye tuna must provide his/her name, address, signature, date the bigeye tuna was imported, licence number (if applicable), and final point of import. This includes imports into intermediate countries, entities or fishing entities. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

# GEAR CODES:

| Gear code | Gear type                      |
|-----------|--------------------------------|
| BB        | Baitboat                       |
| GILL      | Gillnet                        |
| HAND      | Handline                       |
| HARP      | Harpoon                        |
| LL        | Longline                       |
| MWT       | Mid-Water Trawl                |
| PS        | Purse Seine                    |
| RR        | Rod And Reel                   |
| SPHL      | Sport Handline                 |
| SPOR      | Sport Fisheries Unclassified   |
| SURF      | Surface Fisheries Unclassified |
| TL        | Tended Line                    |
| TRAP      | Trap                           |
| TROL      | Troll                          |
| UNCL      | Unspecified Methods            |
| OT        | Other Type                     |

RETURN A COPY OF COMPLETED DOCUMENT TO: (the name of the office of the competent authority of the flag State).

## ANNEX VIIIa

# List of vessels participating in the Japanese breaking-up programme

(At 1 November 2001)

| No | Year of<br>breaking<br>up | Flag State        | Name of vessel                               | Tonnage | Year of construction | Fishing area |
|----|---------------------------|-------------------|--|---------|----------------------|--------------|
| 1  | 2002                      | BOLIVIA           | YING CHIN HSIANG 66                          | 379     | 1979                 | INDIAN OCEAN |
| 2  | 2002                      | CAMBODIA          | HUA CHENG 707                                | 606     | 1980                 | INDIAN OCEAN |
| 3  | 2002                      | CAMBODIA          | HUA CHUNG 808                                | 549     | 1980                 | INDIAN OCEAN |
| 4  | 2002                      | PHILIPPINES       | CHEN FA 736                                  | 636     | 1979                 | ATLANTIC     |
| 5  | 2002                      | BOLIVIA           | ZHONG I 85                                   | 437     | 1976                 | PACIFIC      |
| 6  | 2002                      | BELIZE            | LIEN TAI                                     | 491     | 1979                 | ATLANTIC     |
| 7  | 2003                      | BELIZE            | JEFFREY 131                                  | 597     | 1980                 | PACIFIC      |
| 8  | 2003                      | EQUATORIAL GUINEA | WIN FAR 236                                  | 672     | 1978                 | INDIAN OCEAN |
| 9  | 2003                      | EQUATORIAL GUINEA | WIN FAR 266                                  | 535     | 1979                 | INDIAN OCEAN |
| 10 | 2003                      | BOLIVIA           | CHIN I MING                                  | 663     | 1979                 | ATLANTIC     |
| 11 | 2003                      | BOLIVIA           | CHIN CHANG MING                              | 578     | 1980                 | ATLANTIC     |
| 12 | 2003                      | BOLIVIA           | GOLDEN RICH<br>(previously: ZHONG XIN<br>26) | 520     | 1974                 | ATLANTIC     |
| 13 | 2003                      | BOLIVIA           | CHI MAN                                      | 556     | 1982                 | INDIAN OCEAN |
| 14 | 2003                      | BOLIVIA           | HUNG YU 112                                  | 690     | 1981                 | INDIAN OCEAN |
| 15 | 2003                      | EQUATORIAL GUINEA | CHEN CHIANG 1                                | 578     | 1988                 | INDIAN OCEAN |

 ${\it ANNEX~VIIIb}$  List of vessels flying the Taiwanese flag participating in the re-registration programme

| No | Flag State        | Name of vessel    | Tonnage | Fishing area    | Year of construction |
|----|-------------------|-------------------|---------|-----------------|----------------------|
| 1  | EQUATORIAL GUINEA | YIH SHUEN NO 212  | 470     | INDIAN OCEAN    | 1999                 |
| 2  | SEYCHELLES        | SEYGEM            | 573     | PACIFIC         | 1997                 |
| 3  | SEYCHELLES        | SEYSTAR           | 573     | PACIFIC         | 1998                 |
| 4  | VANUATU           | NINE LUCKY No 1   | 508     | PACIFIC         | 1998                 |
| 5  | BELIZE            | WIN FAR No 868    | 498     | PACIFIC         | 1999                 |
| 6  | EQUATORIAL GUINEA | WEI CHING         | 498     | ATLANTIC        | 1997                 |
| 7  | BELIZE            | JUI YING No 666   | 498     | PACIFIC         | 1997                 |
| 8  | BELIZE            | CHEN FA No 1      | 550     | INDIAN OCEAN    | 1997                 |
| 9  | SEYCHELLES        | SEYPERAL          | 680     | PACIFIC         | 1998                 |
| 10 | BELIZE            | PING YUAN No 201  | 706     | INDIAN OCEAN    | 1996                 |
| 11 | BELIZE            | LIAN HORNG No 777 | 499     | PACIFIC         | 1998                 |
| 12 | BELIZE            | FONG KU No 36     | 521     | PACIFIC         | 1997                 |
| 13 | BELIZE            | SHYE SIN No 1     | 598     | O. INDIAN OCEAN | 1997                 |
| 14 | BELIZE            | HUNG YU No 212    | 470     | ATLANTIC        | 1997                 |
| 15 | BELIZE            | HWA CHIN No 202   | 470     | ATLANTIC        | 1997                 |
| 16 | BELIZE            | SUNG HUI          | 573     | ATLANTIC        | 1998                 |
| 17 | BELIZE            | HSIEN HUA 106     | 625     | PACIFIC         | 2000                 |
| 18 | BELIZE            | HSIEN HUA 107     | 625     | PACIFIC         | 2000                 |
| 19 | BELIZE            | FU YUAN No 66     | 683     | PACIFIC         | 1998                 |
| 20 | BELIZE            | LONG CHANG No 3   | 589     | ATLANTIC        | 1997                 |

## ANNEX IX

## SPECIMEN ICCAT BLUEFIN TUNA RE-EXPORT CERTIFICATE

| Г  | OCUMENT No                                     | I                                | CCAT BLUEFIN TUNA RE-             | EXPORT CERTIFICATE                |        |
|--|--|----------------------------------|-----------------------------------|-----------------------------------|--------|
| 1. RE-EXPORTING                                    | COUNTRY/ENTITY/FISHING ENTI                    | <i>RE-EXPORT SI</i>              | ECTION:                           |                                   |        |
| 2. POINT OF RE-E                                   | XPORT  |                                  |                                   |                                   |        |
| 3. DESCRIPTION                                     | OF IMPORTED FISH                               |                                  |                                   |                                   |        |
|  | Product Type                                   | Net weight                       | Flag country/entity/fishi         | ng entity Date of imp             | port   |
| F/FR   | RD/GG/DR/FL/OT                                 | (kg)                             | r lag country/entity/nsim         | ig entity Date of link            | JOIL   |
|  |  |                                  |                                   |                                   |        |
|  |  |                                  |                                   |                                   |        |
|  |  |                                  |                                   |                                   |        |
|  |  |                                  |                                   |                                   |        |
|  |  |                                  |                                   |                                   |        |
| F = fresh, FR = frozen,                            | RD = round, GG = gilled and gutted, DR         | = dressed, FL = fillet, OT = oth | ner (describe the type of product | )                                 |        |
| 4. DESCRIPTION                                     | OF FISH FOR RE-EXPORT                          |                                  |                                   |                                   |        |
|  | Product Type                                   |                                  | Net wei                           | ght                               |        |
| F/FR   | RD/GG/DR/FL/OT                                 |                                  | (kg)                              |                                   |        |
|  |  |                                  |                                   |                                   |        |
|  |  |                                  |                                   |                                   |        |
|  |  |                                  |                                   |                                   |        |
|  |  |                                  |                                   |                                   |        |
|  |  |                                  |                                   |                                   |        |
| F = fresh, FR = frozen,<br>(Describe the type of p | RD = round, GG = gilled and gutted, DR roduct) | = dressed, FL = fillet, OT = oth | ner.                              |                                   |        |
| 5. RE-EXPORTER                                     | R CERTIFICATION — I certify that the           | e above information is com       | plete, true and correct to the    | best of my knowledge and belief.  |        |
| Name   | Address  | Signature                        | Date                              | <b>Licence No</b> (if appli       | cable) |
| 6. GOVERNMENT                                      | 「VALIDATION — I validate that the a            |                                  | ete, true and correct to the be   | st of my knowledge and belief.    |        |
| Name and title                                     | Signature                                      | Da                               | te                                | Government seal                   |        |
|  |  | IMPORT SEC                       | TION:                             |                                   |        |
| 7. IMPORTER CE                                     | RTIFICATION — I certify that the abo           | ove information is complete      | , true and correct to the best    | of my knowledge and belief.       |        |
| Name   | Address  | Signature                        | Date                              | Licence No                        |        |
|  |  |                                  |                                   | (if applicable)                   |        |
| IMPORTER CERTIF                                    | FICATION                                       |                                  |                                   |                                   |        |
| Name   | Address  | Signature                        | Date                              | <b>Licence No</b> (if applicable) |        |
| IMPORTER OFFI                                      | CICATION                                       |                                  |                                   | (II applicable)                   |        |
| IMPORTER CERTIF                                    | -ICATION<br>Address                            | Signature                        | Date                              | Licence No                        |        |
|  |  | ÷                                |                                   | (if applicable)                   |        |
| FINAL POINT OF IN                                  | MPORT:   |                                  |                                   |                                   |        |
| City:  |  | State or Province:               |                                   | Country/Entity/Fishing entity:    |        |
|  |  |                                  |                                   |                                   |        |

#### ICCAT BLUEFIN TUNA RE-EXPORT CERTIFICATE INSTRUCTION SHEET

Under the framework of the ICCAT Bluefin Tuna Statistical Document Programme, a request for the establishment of a system enabling re-export was growing recently. In 1997 a Recommendation was adopted to implement the ICCAT Bluefin Tuna Statistical Document Programme for Re-export. Pursuant to this Recommendation, bluefin tuna dealers who import re-exported\*1 bluefin tuna to Japan will be required to submit an ICCAT bluefin tuna re-export certificate\*2 to be validated by a Government official of the intermediate country or area\*3 or by a recognised institution, such as a chamber of commerce and industry, accredited by the Government of the intermediate country or area. A copy of the original Bluefin Tuna Statistical Document (BTSD) accompanying the bluefin tuna at the time of importation must be attached to the re-export certificate. The copy of the original BTSD so attached must be verified by a Government official of the intermediate country or area, or by a recognised institution, such as a chamber of commerce and industry, accredited by the Government of the intermediate country or area. When re-exported bluefin tuna are again re-exported\*4, all copies of documents, including a verified copy of a BTSD and the re-export certificate which accompanied that bluefin tuna, must be attached to a new re-export certificate to be validated by a Government official of the last intermediate country or area, or by a recognised institution, such as a chamber of commerce and industry, accredited by the Government of the last intermediate country or area. Only bluefin tuna accompanied by a complete and valid re-export certificate will be allowed to enter Japan. Shipments of re-exported bluefin tuna accompanied by an improperly documented\*5 re-export certificate will be considered illegitimate shipments of re-exported bluefin tuna that are contrary to ICCAT conservation efforts, and their entry into Japan will be suspended pending receipt of a properly documented re-export certificate.

#### NOTE:

- (\*1) 'Re-exported' means that bluefin tuna passes through a country or an area (tax-exempted areas are excluded) after being exported from the flagship country or the area (tax-exempted areas are excluded) of the fishing vessel which has caught that bluefin tuna.
- (\*2) Hereinafter called 're-export certificate'.
- (\*3) 'An intermediate country or area' means a country or an area through which bluefin tuna pass after being exported from the flagship country or the area (tax-exempted areas are excluded) of the fishing vessel which has caught that bluefin tuna.
- (\*4) Re-export of bluefin tuna from one Member State of the European Union to another is exempted.
- (\*5) 'Improperly documented' means that the re-export certificate is either missing from the shipment, incomplete, invalid or falsified.

Please use this instruction sheet as a guideline to complete that section of the Bluefin Tuna Re-export Certificate that applies to exporters, importers, and Government validation. If a language other than English is used in completing the form, please add the English translation on the certificate. **Note**: if a bluefin tuna product is re-exported directly to Japan, without first going through an intermediate country/entity/fishing entity, all fish can be identified on one certificate. however, if the bluefin tuna product is re-exported through an intermediate country/entity/fishing entity (i.e. a country/entity/fishing entity other than the country/entity/fishing entity which is the final destination of the product), a separate certificate must be prepared for different final destinations or each fish may be accompanied by a separate certificate to identify any possible separation of shipments by an intermediate country/entity/fishing entity. the import of fish parts other than the meat (i.e. head, eyes, roe, guts, tails) may be allowed without the certificate.

#### INSTRUCTIONS

**DOCUMENT No:** Block for the issuing country/entity/fishing entity to designate a country/entity/fishing entity coded document number.

- (1) RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY: Fill in the name of the country/entity/fishing entity which re-exports the bluefin tuna in the shipment and issued this certificate. According to the ICCAT Recommendation, only the re-exporting country/entity/fishing entity can issue this certificate.
- (2) POINT OF RE-EXPORT: Identify the city, State or province and country/entity/fishing entity from which the bluefin tuna was re-exported.

- (3) DESCRIPTION OF IMPORTED FISH: The exporter must provide, to the highest degree of accuracy, the following information. Note: one row should describe one product type. (1) Product type: identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: net product weight in kg. (3) Flag country/entity/fishing entity: enter the name of the country/entity/fishing entity of the vessel that harvested the bluefin tuna in the shipment. (4) Date of import: imported date.
- (4) DESCRIPTION OF RE-EXPORTED FISH: The exporter must provide, to the highest degree of accuracy, the following information. Note: one row should describe one product type. (1) Product type: identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: net product weight in kg.
- (5) RE-EXPORTER CERTIFICATION: The person or company re-exporting the bluefin tuna shipment must provide his/her name, address, signature, date the shipment was re-exported, and re-exporter's licence number (if applicable).
- (6) GOVERNMENT VALIDATION: Fill in the name and full title of the official signing the certificate. The official must be in the employment of the competent Government authority of the re-exporting country/entity/fishing entity appearing on the certificate. This requirement may be waived according to the ICCAT RESOLUTION CONCERNING VALIDATION BY A GOVERNMENT OFFICIAL OF THE BLUEFIN TUNA STATISTICAL DOCUMENT.
- (7) IMPORTER CERTIFICATION: The person or company that imports bluefin tuna must provide his/her name, address, signature, date the bluefin tuna was imported, licence number (if applicable) and re-exported final point of import. This includes imports into intermediate countries/entities/fishing entities. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

**RETURN A COPY OF THE COMPLETED CERTIFICATE TO**: (the name of the office of the competent authority of the re-exporting country/entity/fishing entity).

## ANNEX X

## SPECIMEN ICCAT SWORDFISH RE-EXPORT CERTIFICATE

|                              | DOCUMENT No  |                                       | ICCAT SWORD               | FISH RE-EXPORT CERTIF    | FICATE                            |
|------------------------------|--|---------------------------------------|---------------------------|--------------------------|-----------------------------------|
| 1. RE-EXPORTIN               | G COUNTRY/ENTITY/FISHING EN  | RE-EXPORT S                           | SECTION:                  |                          |                                   |
| 2. POINT OF RE-              | EXPORT   |                                       |                           |                          |                                   |
| 3. DESCRIPTION               | OF IMPORTED FISH   |                                       |                           |                          |                                   |
| ŀ                            | Product type (*)   | Net weight                            | Flag country              | /entity/fishing entity   | Date of                           |
| F/FR                         | RD/GG/DR/FL/ST/OT  | (kg)                                  | r rag country/            | Charly hishing Charly    | import                            |
|                              |  |                                       |                           |                          |                                   |
|                              |  |                                       |                           |                          |                                   |
|                              |  |                                       |                           |                          |                                   |
|                              |  |                                       |                           |                          |                                   |
| 4. DESCRIPTION               | OF FISH FOR RE-EXPORT  |                                       |                           |                          |                                   |
|                              | Product type (*)   |                                       |                           | Net weight               |                                   |
| F/FR                         | RD/GG/DR/ST/FL/OT  |                                       |                           | (kg)                     |                                   |
|                              |  |                                       |                           |                          |                                   |
|                              |  |                                       |                           |                          |                                   |
|                              |  |                                       |                           |                          |                                   |
| (*)                          | an DD - naved CC - willed and suffer d                                   | D - dunand CT - stank Fl              | - fill-t OT th /d-        |                          |                                   |
| •                            | en, RD = round, GG = gilled and gutted, l                                |                                       | •                         |                          |                                   |
| the exporter must ce >15 kg. | R CERTIFICATION — For export to rtify that the listed Atlantic swordfish | are greater than 15 kg (33            | lb) or, if it is in piece |                          |                                   |
| -                            | ve information is complete, true and c                                   | -                                     | -                         | 5.4                      | N (6 11 11 )                      |
| Name                         | Company Name   | Address S                             | ignature                  | Date                     | Licence No (if applicable)        |
| 6. GOVERNMENT                | VALIDATION — I validate that the   | above information is comp             | lete. true and correc     | t to the best of mv know | <br>ledge and belief.             |
| Name and title               | Organisa   |                                       | ignature                  | Date                     | renge and wenen                   |
|                              |  |                                       |                           |                          |                                   |
|                              |  | IMPORT SE                             |                           |                          |                                   |
|                              | RTIFICATION — I certify that the ab                                      |                                       | e, true and correct to    | o the best of my knowled | dge and belief.                   |
| Name                         | FICATION (Intermediate country/entit<br>Address                          | y/fishing entity) Signature           | Date                      |                          | <b>Licence No</b> (if applicable) |
| IMPORTER CERTIF              | FICATION (Intermediate country/entit                                     | y/fishing entity)<br><b>Signature</b> | Date                      |                          | Licence No                        |
|                              |  | -                                     |                           |                          | (if applicable)                   |
| IMPORTER CERTIF              | FICATION (Intermediate country/entit<br>Address                          | y/fishing entity)<br><b>Signature</b> | Date                      |                          | Licence No                        |
|                              |  |                                       |                           |                          | (if applicable)                   |
| FINAL POINT OF IN            | MPORT  |                                       |                           |                          |                                   |
| City:                        |  | State or Province:                    |                           | Country/E                | ntity/Fishing Entity:             |
|                              |  |                                       |                           |                          |                                   |

#### INSTRUCTIONS

**DOCUMENT No:** Block for the issuing country/entity/fishing entity to designate a country/entity/fishing entity coded document number.

- (1) **RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY:** Identify the city, State or province and country/entity/fishing entity from which the swordfish was re-exported.
- (2) POINT OF RE-EXPORT: Identify the city, State or province and country/entity/fishing entity from which the swordfish was re-exported.
- (3) **POINT OF RE-EXPORT:** The exporter must provide, to the highest degree of accuracy, the following information. **Note:** one row should describe one product type. (1) Product type: identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, STEAK, FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: net product weight in kg. (3) Flag country/entity/fishing entity: the name of the country/entity/fishing entity of the vessel that harvested the swordfish in the shipment. (4) Date of import: imported date.
- (4) DESCRIPTION OF FISH FOR RE-EXPORT: The exporter must provide, to the highest degree of accuracy, the following information. Note: one row should describe one product type. (1) Product type: identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, STEAK, FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: net product weight in kg.
- (5) **RE-EXPORTER CERTIFICATION:** The person or company re-exporting the swordfish shipment must provide his/her name, company name, address, signature, date the shipment was re-exported, and re-exporter's licence number (if applicable).
- (6) GOVERNMENT VALIDATION: Fill in the name and full title of the official signing the certificate. The official must be in the employment of the competent Government authority of the re-exporting country/entity/fishing entity appearing on the certificate, or a person or institution authorised to validate such certificates by the competent Government authority. The substitutional measure described in paragraphs A-D of the Resolution by ICCAT concerning Validation by a Government official of the Bluefin Tuna Statistical Document, adopted by the Commission in 1993, may be applied to the above requirements for the validations in this Swordfish Statistical Document Programme.
- (7) **IMPORTER CERTIFICATION:** The person or company that imports swordfish must provide his/her name, company name, address, signature, date the swordfish was imported, licence number (if applicable) and re-exported final point of import. This includes imports into intermediate countries/entities/fishing entities. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

RETURN A COPY OF THE COMPLETED CERTIFICATE TO: (the name of the office of the competent authority of the re-exporting country/entity/fishing entity).

## ANNEX XI

## SPECIMEN ICCAT BIGEYE TUNA RE-EXPORT CERTIFICATE

|                         | DOCUMENT No                                      |  | ICCAT BIGEYE TUNA RE-EXPORT           | CERTIFICATE                       |
|-------------------------|--|--|---------------------------------------|-----------------------------------|
| 1. RE-EXPORTIN          | NG COUNTRY/ENTITY/FISHING ENT                    | <i>RE-EXPORT SE</i><br>TITY              | ECTION:                               |                                   |
| 2. POINT OF RE          | -EXPORT  |  |                                       |                                   |
| 3. DESCRIPTION          | OF IMPORTED FISH                                 |  |                                       |                                   |
|                         | Product type (*)                                 | Net weight                               | Flag country/entity/fishing entity    | Date of import                    |
| F/FR                    | RD/GG/DR/FL/OT                                   | (kg)                                     |                                       |                                   |
|                         |  |  |                                       |                                   |
|                         |  |  |                                       |                                   |
|                         |  |  |                                       |                                   |
|                         |  |  |                                       |                                   |
| 4. DESCRIPTION          | OF FISH FOR RE-EXPORT                            |  |                                       |                                   |
|                         | Product type (*)                                 |  | Net weight                            |                                   |
| F/FR                    | RD/GG/DR/FL/OT                                   |  | (kg)                                  |                                   |
|                         |  |  |                                       |                                   |
|                         |  |  |                                       |                                   |
|                         |  |  |                                       |                                   |
|                         |  |  |                                       |                                   |
| (*) F = fresh, FR = fro | _l<br>zen, RD = round, GG = gilled and gutted, l | L<br>DR = dressed, FL = fillet, OT =     | other. (Describe the type of product) |                                   |
|                         | R CERTIFICATION — I certify that th              |  |                                       | my knowledge and helief           |
| Name/Company name       |  | e above information is comp<br>Signature | Date                                  | Licence No (if applicable)        |
| . ,                     |  | •  |                                       | , ,,                              |
| 6. GOVERNMEN            | T VALIDATION — I validate that the               | above information is comple              | te, true and correct to the best of m | y knowledge and belief.           |
| Name and title          | Signature  | Da                                       | te Governent se                       | al                                |
|                         |  | IMPORT SEC                               | TION:                                 |                                   |
| 7. IMPORTER CI          | ERTIFICATION — I certify that the ab             | ove information is complete,             | true and correct to the best of my    | knowledge and belief.             |
|                         | FICATION (Intermediate country/entit             |  | <b>-</b> .                            |                                   |
| Name                    | Address  | Signature                                | Date                                  | <b>Licence No</b> (if applicable) |
| IMPORTER CERTI          | FICATION (Intermediate country/entit             | v/fishina entitv)                        |                                       |                                   |
| Name                    | Address  | Signature                                | Date                                  | Licence No                        |
|                         |  |  |                                       | (if applicable)                   |
|                         | FICATION (Intermediate country/entit             |  | Dete                                  | Liamas Na                         |
| Name                    | Address  | Signature                                | Date                                  | <b>Licence No</b> (if applicable) |
| FINAL POINT OF I        | MPORT:   |  |                                       |                                   |
| City                    |  | State or Province                        | Cou                                   | ntry/Entity/Fishing entity        |
|                         |  |  |                                       |                                   |

NOTE: IF A LANGUAGE OTHER THAN ENGLISH IS USED IN COMPLETING THIS FORM, PLEASE ADD THE ENGLISH TRANSLATION TO THIS DOCUMENT.

#### INSTRUCTIONS

**DOCUMENT No:** Block for the issuing country/entity/fishing entity to designate a country/entity/fishing entity coded document number.

- (1) **RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY:** Fill in the name of the country/entity/fishing entity which re-exports the bigeye tuna in the shipment and issued this certificate. According to the ICCAT Recommendation, only the re-exporting country/entity/fishing entity can issue this certificate.
- (2) **POINT OF RE-EXPORT** Identify the city, State or province and country/entity/fishing entity from which the bigeye tuna was reexported.
- (3) **DESCRIPTION OF IMPORTED FISH:** The exporter must provide, to the highest degree of accuracy, the following information. **Note:** one row should describe one product type. (1) Product type: identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: net product weight in kg. (3) Flag country/entity/fishing entity: the name of the country/entity/fishing entity of the vessel that harvested the bigeye tuna in the shipment. (4) Date of import: imported date.
- (4) DESCRIPTION OF FISH FOR RE-EXPORT: The exporter must provide, to the highest degree of accuracy, the following information. Note: one row should describe one product type. (1) Product type: identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: Net product weight in kg.
- (5) **RE-EXPORTER CERTIFICATION**: The person or company re-exporting the bigeye tuna shipment must provide his/her name, address, signature, date the shipment was re-exported, and re-exporter's licence number (if applicable).
- (6) GOVERNMENT VALIDATION: Fill in the name and full title of the official signing the certificate. The official must be employed by a competent Government authority of the re-exporting country/entity/fishing entity appearing on the certificate, or a person or institution authorised to validate such certificates by the competent Government authority. The substitutional measure described in paragraphs A-D of the Resolution by ICCAT concerning Validation by a Government official of the Bluefin Tuna Statistical Document, adopted by the Commission in 1993, may be applied to the above requirements for the validations under the Bigeye Tuna Statistical Document Programme.
- (7) IMPORTER CERTIFICATION: The person or company that imports bigeye tuna must provide his/her name, address, signature, date the bigeye tuna was imported, licence number (if applicable) and re-exported final point of import. This includes imports into intermediate countries/entities/fishing entities. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

RETURN A COPY OF THE COMPLETED CERTIFICATE TO: (the name of the office of the competent authority of the re-exporting country/entity/fishing entity).

## ANNEX XII

## SPECIMEN IOTC BIGEYE TUNA RE-EXPORT CERTIFICATE

| L  | OCUMENT No   |   | IOTC BIGEYE TUNA R                               | E-EXPORT CERTIFI                      | CATE  |  |
|--|--|---|--|---------------------------------------|---|--|
| 1. RE-EXPORTIN   | G COUNTRY/ENTITY/FISHING ENT   | <i>RE-EXPORT S</i>  | ECTION:  |                                       |   |  |
| 2. POINT OF RE-  | EXPORT   |   |  |                                       |   |  |
| 3. DESCRIPTION   | OF IMPORTED FISH   |   |  |                                       |   |  |
|  | Product type (*)   | Net weight  | Flag country/entity/                             | fishing entity                        | Date of import  |  |
| F/FR   | RD/GG/DR/FL/OT   | (kg)  | r rag ocama y ornasy                             | norming ornary                        | Bate of Import  |  |
|  |  |   |  |                                       |   |  |
|  |  |   |  |                                       |   |  |
|  |  |   |  |                                       |   |  |
|  |  |   |  |                                       |   |  |
| 4. DESCRIPTION   | OF FISH FOR RE-EXPORT  |   |  |                                       |   |  |
|  | Product type (*)   |   |  | weight                                |   |  |
| F/FR   | RD/GG/DR/FL/OT   |   |  | (kg)                                  |   |  |
|  |  |   |  |                                       |   |  |
|  |  |   |  |                                       |   |  |
|  |  |   |  |                                       |   |  |
|  |  |   |  |                                       |   |  |
| (*)  | DD   | DD - dd El - £ll-t OT   |  | £                                     |   |  |
|  | zen, RD = round, GG = gilled and gutted,   |   |  |                                       |   |  |
|  | R CERTIFICATION — I certify that the   |   |  |                                       |   |  |
| Name/Company na  | me Address   | Signature   | Dat  | ie L                                  | icence No (if applicable)   |  |
| 6. GOVERNMENT  | 6. GOVERNMENT VALIDATION — I validate that the above information is complete, true and correct to the best of my knowledge and belief.   |   |  |                                       |   |  |
| Name and title   | 「VALIDATION — I validate that the a  | above information is compl  | ete, true and correct to the                     | e best of my knowled                  | ge and belief.  |  |
|  |  |   |  |                                       |   |  |
|  | VALIDATION — I validate that the a   |   | ate Go   | e best of my knowled<br>vernment seal | ge and belief.  |  |
| 7. IMPORTER CE   |  | IMPORT SE   | ate Go   | vernment seal                         |   |  |
|  | Signature  RTIFICATION — I certify that the about the state of the sta | IMPORT SEC  | ate Go   | vernment seal                         |   |  |
|  | Signature  RTIFICATION — I certify that the abo  | IMPORT SEC  | ate Go   | vernment seal                         | and belief.  Licence No   |  |
| IMPORTER CERTIF  | Signature  RTIFICATION — I certify that the about the second seco | IMPORT SEC<br>ove information is complete<br>(/fishing entity)<br>Signature                                   | CTION: e, true and correct to the le             | vernment seal                         | and belief.   |  |
| IMPORTER CERTIF  | Signature  RTIFICATION — I certify that the about the country/entity address  FICATION (Intermediate country/entity)   | IMPORT SEC<br>ove information is complete<br>//fishing entity)<br>Signature<br>//fishing entity)              | CTION:  c, true and correct to the k             | vernment seal                         | and belief.  Licence No (if applicable)   |  |
| IMPORTER CERTIF  | Signature  RTIFICATION — I certify that the about the second seco | IMPORT SEC<br>ove information is complete<br>(/fishing entity)<br>Signature                                   | CTION: e, true and correct to the le             | vernment seal                         | and belief.  Licence No   |  |
| IMPORTER CERTIF Name  IMPORTER CERTIF Name                       | Signature  RTIFICATION — I certify that the about the second seco | IMPORT SEC<br>ove information is complete<br>//fishing entity)<br>Signature<br>//fishing entity)<br>Signature | CTION:  c, true and correct to the k             | vernment seal                         | and belief.  Licence No (if applicable)  Licence No   |  |
| IMPORTER CERTIF Name  IMPORTER CERTIF Name                       | Signature  RTIFICATION — I certify that the about the country/entity address  FICATION (Intermediate country/entity)   | IMPORT SEC<br>ove information is complete<br>//fishing entity)<br>Signature<br>//fishing entity)<br>Signature | CTION:  c, true and correct to the k             | vernment seal                         | and belief.  Licence No (if applicable)  Licence No (if applicable)  Licence No                 |  |
| IMPORTER CERTIFINAME  IMPORTER CERTIFINAME                       | Signature  RTIFICATION — I certify that the above the second seco | IMPORT SEC<br>ove information is complete<br>//fishing entity)<br>Signature<br>//fishing entity)<br>Signature | CTION:  c, true and correct to the k  Date  Date | vernment seal                         | and belief.  Licence No (if applicable)  Licence No (if applicable)                             |  |
| IMPORTER CERTIFINAME  IMPORTER CERTIFINAME                       | RTIFICATION — I certify that the about the country/entity address FICATION (Intermediate country/entity address FICATION (Intermediate country/entity address  | IMPORT SEC<br>ove information is complete<br>//fishing entity)<br>Signature<br>//fishing entity)<br>Signature | CTION:  c, true and correct to the k  Date  Date | best of my knowledge                  | and belief.  Licence No (if applicable)  Licence No (if applicable)  Licence No (if applicable) |  |
| IMPORTER CERTIFINAME  IMPORTER CERTIFINAME  IMPORTER CERTIFINAME | RTIFICATION — I certify that the about the country/entity address FICATION (Intermediate country/entity address FICATION (Intermediate country/entity address  | IMPORT SEC<br>ove information is complete<br>//fishing entity)<br>Signature<br>//fishing entity)<br>Signature | CTION:  c, true and correct to the k  Date  Date | best of my knowledge                  | and belief.  Licence No (if applicable)  Licence No (if applicable)  Licence No                 |  |

#### INSTRUCTIONS

**DOCUMENT No:** Block for the issuing country/entity/fishing entity to designate a country/entity/fishing entity coded document number.

- (1) **RE-EXPORTING COUNTRY/ENTITY/FISHING ENTITY:** Fill in the name of the country/entity/fishing entity which re-exports the bigeye tuna in the shipment and issued this certificate. According to the IOTC Resolution, only the re-exporting country/entity/fishing entity can issue this certificate.
- (2) POINT OF RE-EXPORT: Identify the city, State or province and country/entity/fishing entity from which the bigeye tuna was re-exported.
- (3) **DESCRIPTION OF IMPORTED FISH:** The exporter must provide, to the highest degree of accuracy, the following information. **Note:** one row should describe one product type. (1) Product type: identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: net product weight in kg. (3) Flag country/entity/fishing entity: the name of the country/entity/fishing entity of the vessel that harvested the bigeye tuna in the shipment. (4) Date of import: imported date.
- (4) DESCRIPTION OF FISH FOR RE-EXPORT: The exporter must provide, to the highest degree of accuracy, the following information. Note: one row should describe one product type. (1) Product type: identify the type of product being shipped as either FRESH or FROZEN, and in ROUND, GILLED AND GUTTED, DRESSED, FILLET or OTHER form. For OTHER, describe the type of products in the shipment. (2) Net weight: Net product weight in kg.
- (5) **RE-EXPORTER CERTIFICATION**: The person or company re-exporting the bigeye tuna shipment must provide his/her name, address, signature, date the shipment was re-exported, and re-exporter's licence number (if applicable).
- (6) **GOVERNMENT VALIDATION:** Fill in the name and full title of the official signing the certificate. The official must be employed by a competent Government authority of the re-exporting country/entity/fishing entity appearing on the certificate, or a person or institution authorised to validate such certificates by the competent Government authority.
- (7) IMPORTER CERTIFICATION: The person or company that imports bigeye tuna must provide his/her name, address, signature, date the bigeye tuna was imported, licence number (if applicable) and re-exported final point of import. This includes imports into intermediate countries/entities/fishing entities. For fresh and chilled products, the signature of the importer may be substituted by a person of a customs clearance company when the authority for signature is properly accredited to it by the importer.

RETURN A COPY OF THE COMPLETED CERTIFICATE TO: (the name of the office of the competent authority of the re-exporting country/entity/fishing entity).

## ANNEX XIII

# INFORMATION CONCERNING THE VALIDATION OF ICCAT STATISTICAL DOCUMENTS

| Government/Government body(ic               | es) authorised to authenticate statistical d    | ocuments                          |
|---|---|-----------------------------------|
| Name of body                                | Address of body                                 | Model seal                        |
| Note: For each body, attach a list of the r | names, positions and addresses of persons autho | orised to authenticate documents. |
| Other institutions accredited by th         |   |                                   |
| ,   | to authenticate sta                             | istical documents                 |
| Name of body                                | Address of body                                 | Model seal                        |
|   |   |                                   |

#### ANNEX XIV

## INFORMATION CONCERNING THE VALIDATION OF IOTC STATISTICAL DOCUMENTS

| <ol> <li>Flag</li> </ol> |
|--------------------------|
|--------------------------|

## 2. Government organisations/authority(ies) accredited for the validation of statistical documents

| Name of organisation | Address of organisation | Model stamp |
|----------------------|-------------------------|-------------|
|                      |                         |             |
|                      |                         |             |
|                      |                         |             |
|                      |                         |             |

NB: For each organisation, please attach a list showing the name, position and address of persons authorised to certify the documents.

## 3. Other institutions accredited by the Government/authority for the certification of statistical documents

| Name of organisation | Address of organisation | Model stamp |
|----------------------|-------------------------|-------------|
|                      |                         |             |
|                      |                         |             |
|                      |                         |             |
|                      |                         |             |

NB: For each organisation, please attach a list showing the name, position and address of persons authorised to certify the documents.

#### Instructions:

Contracting Parties, non-contracting parties, entities and fishing entities some of whose vessels fish for species for which international trade requires the presentation of a statistical document are asked to submit information using this sheet and to ensure that any changes are forwarded to the Secretariat (\*) in good time.

(\*) IOTC, B.P. 1011, Port de pêche, Victoria, Seychelles.

# ANNEX XV

|              | HALF-YEAF           | RLY REPORT OF     | N THE ICCAT BLUEFIN T | TUNA STATISTIC | CAL DOCUMENT               |        |
|--------------|---------------------|-------------------|-----------------------|----------------|----------------------------|--------|
| Period from  | to_                 |                   | IMPORTIN              | IG COUNTRY     |                            |        |
| M            | onth                | Month             | Year                  |                |                            |        |
|              |                     |                   |                       | Prod           | uct type                   | Weight |
| Flag country | Area code           | Gear code         | Place of export       | F/FR           | RD/GG/DR/FL/<br>OT         | (kg)   |
|              |                     |                   |                       |                |                            |        |
|              |                     |                   |                       |                |                            |        |
|              |                     |                   |                       |                |                            |        |
|              |                     |                   |                       |                |                            |        |
|              |                     |                   |                       |                |                            |        |
|              |                     |                   |                       |                |                            |        |
|              |                     |                   |                       |                |                            |        |
|              |                     |                   |                       |                |                            |        |
|              |                     |                   |                       |                |                            |        |
| CODE         | TYPE OF GEA         | AR                |                       | CODE           | PRODUCT T                  | YPE    |
| BB           | Baitboat            |                   |                       | F              | Fresh                      |        |
| GILL         | Gillnet             |                   |                       | FR             | Frozen                     |        |
| HAND<br>Harp | Handline            |                   |                       | RD<br>GG       | Round                      |        |
| LL           | Harpoon<br>Longline |                   |                       | DR             | Gilled and gutt<br>Dressed | tea    |
| MWT          | Mid-water trav      | xz1               |                       | FL             | Fillet                     |        |
| PS           | Purse seine         | ,,,,              |                       | OT             | Other                      |        |
| RR           | Rod and reel        |                   |                       |                |                            |        |
| SPHL         | Sport hand lin      | e                 |                       |                |                            |        |
| SPOR         | Sport fisheries     |                   |                       |                |                            |        |
| SURF         | Surface fisheri     | es unclassified   |                       | AREA           | CODE                       |        |
| TL           | Tended line         |                   |                       | WA             | West Atlantic              |        |
| TRAP         | Trap                |                   |                       | EA             | East Atlantic              |        |
| TROL         | Troll               |                   |                       | MED            | Mediterranean              |        |
| UNCL         | Unclassified m      |                   |                       | PAC            | Pacific                    |        |
| OT           | Other type (in      | dicate gear type: | )                     |                |                            |        |

| HALF-YEARLY REPORT ON THE ICCAT BLUEFIN TUNA RE-EXPORT LICENCE |                              |                 |                |                    |        |  |  |  |
|--|------------------------------|-----------------|----------------|--------------------|--------|--|--|--|
| From   | toto                         | , IMI<br>Year   | PORTING COUNTR | Y                  |        |  |  |  |
|  | , month                      |                 | De             | no decent trans    |        |  |  |  |
| Flag country   | Re-exporting country         | Place of export | PT             | roduct type        | Weight |  |  |  |
|  | 1 8 /                        | 1               | F/FR           | RD/GG/DR/FL/<br>OT | (kg)   |  |  |  |
|  |                              |                 |                |                    |        |  |  |  |
|  |                              |                 |                |                    |        |  |  |  |
|  |                              |                 |                |                    |        |  |  |  |
|  |                              |                 |                |                    |        |  |  |  |
|  |                              |                 |                |                    |        |  |  |  |
|  |                              |                 |                |                    |        |  |  |  |
|  |                              |                 |                |                    |        |  |  |  |
|  |                              |                 |                |                    |        |  |  |  |
| CODE   | TYPE OF GEAR                 |                 | CODE           | PRODUCT TYPE       |        |  |  |  |
| BB   | Baitboat                     |                 | F              | Fresh              |        |  |  |  |
| GILL   | Gillnet                      |                 | FR             | Frozen             |        |  |  |  |
| HAND   | Handline                     |                 | RD             | Round              |        |  |  |  |
| HARP   | Harpoon                      |                 | GG             | Gilled and gutted  |        |  |  |  |
| LL   | Longline                     |                 | DR             | Dressed            |        |  |  |  |
| MWT  | Mid-water trawl              |                 | FL             | Fillets            |        |  |  |  |
| PS   | Purse seine                  |                 | OT             | Other              |        |  |  |  |
| RR   | Rod and reel                 |                 |                |                    |        |  |  |  |
| SPHL   | Sport handline               |                 |                |                    |        |  |  |  |
| SPOR   | Sport fisheries unclassified |                 |                |                    |        |  |  |  |
| SURF   | Surface fisheries unclassifi | ed              | CODE           | ZONE               |        |  |  |  |
| TL   | Tended line                  |                 | WA             | West Atlantic      |        |  |  |  |
| TRAP   | Trap                         |                 | EA             | East Atlantic      |        |  |  |  |
| TROL   | Troll                        |                 | MED            | Mediterranean      |        |  |  |  |
| UNCL   | Unspecified methods          | \               | PAC            | Pacific            |        |  |  |  |
| OT   | Other (give details:         | )               |                |                    |        |  |  |  |

## ANNEX XVI

# 

| GEAR CODE | TYPE OF GEAR                   |   | CODE | PRODUCT TYPE                     |
|-----------|--------------------------------|---|------|----------------------------------|
| BB        | Baitboat                       |   | F    | Fresh                            |
| GILL      | Gillnet                        |   | FR   | Frozen                           |
| HAND      | Handline                       |   | RD   | Round                            |
| HARP      | Harpoon                        |   | GG   | Gilled and gutted                |
| LL        | Longline                       |   | DR   | Dressed                          |
| MWT       | Mid-water trawl                |   | FL   | Fillets                          |
| PS        | Purse seine                    |   | ST   | Steak                            |
| RR        | Rod and reel                   |   | OT   | Other form; describe the type of |
| SPHL      | Sport handline                 |   |      | products in the shipment         |
| SPOR      | Sport fisheries unclassified   |   |      |                                  |
| SURF      | Surface fisheries unclassified |   | CODE | AREA CODE                        |
| TL        | Tended line                    |   | NAT  | North Atlantic                   |
| TRAP      | Trap                           |   | SAT  | South Atlantic                   |
| TROL      | Troll                          |   | MED  | Mediterranean                    |
| UNCL      | Unclassified methods           |   | PAC  | Pacific Ocean                    |
| OT        | Other (give details):          | ) | ID   | Indian Ocean                     |

| HALF-YEARLY REPORT ON THE ICCAT SWORDFISH RE-EXPORT LICENCE |           |                    |                           |  |  |
|---|-----------|--------------------|---------------------------|--|--|
| From  | to        | IMPORT             | ING COUNTRY               |  |  |
| Mor   | nth Month | Year               |                           |  |  |
| Flag country Re-exporting country Place of re-export        |           | luct type          | Weight of<br>product (kg) |  |  |
|   | F/FR      | RD/GG/DR/FL/<br>OT |                           |  |  |
|   |           |                    |                           |  |  |
|   |           |                    |                           |  |  |
|   |           |                    |                           |  |  |
|   |           |                    |                           |  |  |
|   |           |                    |                           |  |  |
|   |           |                    |                           |  |  |
|   |           |                    |                           |  |  |

# PRODUCT TYPE

| F  | Fresh    |
|----|----------|
| FR | Frozen   |
| RD | Round    |
| ~~ | C:11 1 1 |

GG Gilled and gutted DR Dressed

DR Dresse
ST Steak
FL Fillets

OT Other form; describe the type of products in the shipment

## ANNEX XVII

# 

| <b>GEAR CODE</b> | TYPE OF GEAR                   | PRODUCT | TYPE                                      |
|------------------|--------------------------------|---------|---|
|                  |                                |         |   |
| BB               | Baitboat                       | F       | Fresh                                     |
| GILL             | Gillnet                        | FR      | Frozen                                    |
| HAND             | Handline                       | RD      | Round                                     |
| HARP             | Harpoon                        | GG      | Gilled and gutted                         |
| LL               | Longline                       | DR      | Dressed                                   |
| MWT              | Mid-water trawl                | FL      | Fillets                                   |
| PS               | Purse seine                    | OT      | Other form; describe the type of products |
| RR               | Rod and reel                   |         | in the shipment                           |
| SPHL             | Sport handline                 |         |   |
| SPOR             | Sport fisheries unclassified   |         |   |
| SURF             | Surface fisheries unclassified |         |   |
| TL               | Tended line                    | AREA    | CODE                                      |
| TRAP             | Trap                           | ID      | Indian Ocean                              |
| TROL             | Troll                          | PA      | Pacific Ocean                             |
| UNCL             | Unclassified methods           | AT      | Atlantic Ocean                            |
| OT               | Other (give details)           |         |   |

| HALF-YEARLY REPORT ON THE ICCAT BIGEYE TUNA RE-EXPORT LICENCE |                                   |              |                    |                 |           |
|---|-----------------------------------|--------------|--------------------|-----------------|-----------|
| From  | to                                | IMPORTING C  | OUNTRY/ ENTITY     | FISHING ENTITY  |           |
| Mon   | th Month                          | Year         |                    |                 |           |
| Flag  |                                   |              |                    | uct type        | Weight of |
| country/entity/<br>fishing entity                             | entity carrying out re-<br>export | F/FR KD/GG/L | RD/GG/DR/FL/<br>OT | product<br>(kg) |           |
|   |                                   |              |                    |                 |           |
|   |                                   |              |                    |                 |           |
|   |                                   |              |                    |                 |           |
|   |                                   |              |                    |                 |           |
|   |                                   |              |                    |                 |           |
|   |                                   |              |                    |                 |           |
|   |                                   |              |                    |                 |           |
|   |                                   |              |                    |                 |           |
|   |                                   |              |                    |                 |           |

| PRODUCT | TYPE  |
|---------|---|
| F       | Fresh   |
| FR      | Frozen  |
| RD      | Round   |
| GG      | Gilled and gutted   |
| DR      | Dressed   |
| FL      | Fillets   |
| OT      | Other form; describe the type of products in the shipment |

OT

Other (give details)

#### ANNEX XVIII

#### HALF-YEARLY REPORT ON THE IOTC BIGEYE TUNA STATISTICAL DOCUMENT \_ IMPORTING COUNTRY/ENTITY/FISHING ENTITY Month Month Year Flag country/entity/ fishing entity Product type Weight of product Area code Gear code Place of export RD/GG/DR/FL/ (kg) F/FR GEAR CODE TYPE OF GEAR CODE PRODUCT TYPE Baitboat Fresh BB GILL Gillnet FR Frozen Handline HAND Round RD HARP Harpoon Gilled and gutted GG Longline LL DR Dressed MWTMid-water trawl FL Fillets PS Purse seine OT Other form. Describe the type of RR Rod and reel products in the shipment Sport fisheries unclassified SPOR SPHL Sport handline Surface fisheries unclassified **SURF** Tended line CODE AREA CODE TL TRAP Trap ID Indian Ocean TROL Troll Pacific Ocean PA UNCL Unspecified methods AT Atlantic Ocean

| HALF-YEARLY REPORT ON THE IOTC BIGEYE TUNA RE-EXPORT LICENCE |                                   |                    |                   |                    |                 |
|--|-----------------------------------|--------------------|-------------------|--------------------|-----------------|
| From   | to                                | IMPORT)            | ING COUNTRY/ENT   | TTY/FISHING ENTITY |                 |
| Mo   | onth Month                        | Year               |                   |                    |                 |
| Flag Country/ entity /fishing                                |                                   |                    | Product type Weig | Weight of          |                 |
| country/entity/<br>fishing entity                            | entity carrying out re-<br>export | Place of re-export | F/FR              | RD/GG/DR/FL/<br>OT | product<br>(kg) |
|  |                                   |                    |                   |                    |                 |
|  |                                   |                    |                   |                    |                 |
|  |                                   |                    |                   |                    |                 |
|  |                                   |                    |                   |                    |                 |
|  |                                   |                    |                   |                    |                 |
|  |                                   |                    |                   |                    |                 |
|  |                                   |                    |                   |                    |                 |

| CODE | PRODUCT TYPE  |
|------|---|
| F    | Fresh   |
| FR   | Frozen  |
| RD   | Round   |
| GG   | Gilled and gutted   |
| DR   | Dressed   |
| FL   | Fillets   |
| OT   | Other type: describe the type of products in the shipment |

## ANNEX XIX

| Regulation (EC) No 858/94   | This Regulation |
|-----------------------------|-----------------|
| — Article 1                 | — Article 2     |
| — Article 2                 | — Article 4     |
| — Article 2a                | — Article 5     |
| — Article 3(1), (2) and (3) | — Article 4     |
| — Article 3(4)              | — Article 6     |
| — Article 3a                | — Article 6     |
| — Article 4                 | _               |
| — Article 5                 | — Article 9     |
| — Annex I                   | — Annex IVa     |
| — Annex II                  | — Annex IVb     |
| — Annex III                 | — Annex IX      |