

**Changes to legislation:** There are currently no known outstanding effects for the Commission Regulation (EC) No 1828/2006, ANNEX XVIII. (See end of Document for details)

## [X1][F1] ANNEX XVIII

### ANNUAL AND FINAL REPORTING

#### Editorial Information

**X1** Substituted by [Corrigendum to Commission Regulation \(EC\) No 1828/2006 of 8 December 2006 setting out rules for the implementation of Council Regulation \(EC\) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation \(EC\) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund \(Official Journal of the European Union L 371 of 27 December 2006\)](#).

#### Textual Amendments

**F1** Substituted by [Commission Regulation \(EC\) No 846/2009 of 1 September 2009 amending Regulation \(EC\) No 1828/2006 setting out rules for the implementation of Council Regulation \(EC\) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation \(EC\) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund](#).

#### 1. IDENTIFICATION

OPERATIONAL PROGRAMME	Objective concerned
	Eligible area concerned
	Programming period
	Programme number (CCI No)
	Programme title
ANNUAL IMPLEMENTATION REPORT	Reporting year
	Date of approval of the annual report by the monitoring committee

#### 2. OVERVIEW OF THE IMPLEMENTATION OF THE OPERATIONAL PROGRAMME

##### 2.1. Achievement and analysis of the progress

##### 2.1.1. Information on the physical progress of the Operational Programme

For each quantified indicator and in particular the core indicators:

Indicators	2007	2008	2009	2010	2011	2012	2013	2014	2015	Total
<b>a</b>	The achievement should be expressed cumulatively – the value for the indicator should be the total achieved value by the end of the reporting year. Previous years' achievements can be updated when submitting later years' annual implementation reports, if more accurate information is available.									
<b>b</b>	The target can be given either annually or for the whole programming period.									
<b>c</b>	Baseline inserted only for first year when the information is available, unless the concept of a dynamic baseline is being used.									

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Indicator 1:	Achievement <sup>a</sup>									
	Target <sup>b</sup>									
	Baseline <sup>c</sup>									
...	...									
Indicator n:	Achievement									
	Target									
	Baseline									

**a** The achievement should be expressed cumulatively – the value for the indicator should be the total achieved value by the end of the reporting year. Previous years' achievements can be updated when submitting later years' annual implementation reports, if more accurate information is available.

**b** The target can be given either annually or for the whole programming period.

**c** Baseline inserted only for first year when the information is available, unless the concept of a dynamic baseline is being used.

All indicators shall be broken down by gender, where possible. If the figures (data) are not yet available, information on when they will become available and when the managing authority will provide it to the Commission shall be stated.

[<sup>F2</sup>2.1.2. *Financial information (all financial data should be expressed in euro)*

	<b>Total funding of the operational programme (Union and national)</b>	<b>Basis for calculating Union contribution (Union total cost)</b>	<b>Total amount of certified eligible expenditure paid by beneficiaries<sup>a</sup></b>	<b>Corresponding public contribution<sup>a</sup></b>	<b>Implementation rate %</b>
	<b>a</b>	<b>b</b>	<b>c</b>	<b>d</b>	<b>e = c/a if T or e = d/a if P</b>

**Priority axis 1**

Specify the Fund — of which ESF type expenditure <sup>b</sup>	N.A.				N.A.
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**a** Figures expressed in cumulative terms.

**b** This field shall be completed only in the case of the final report on implementation where the operational programme is co-financed by the ERDF or the ESF where use is made of the option under Article 34(2) of Regulation (EC) No 1083/2006.

**c** This field shall be completed only in the case of the final report on implementation where the operational programme includes support to transitional and non-transitional regions.  
For operational programmes receiving a contribution of the ERDF under the specific allocation for outermost regions: the breakdown of the expenditure between operational costs and investments in infrastructures.]

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—	of which ERDF type expenditure <sup>b</sup>				
—	Expenditure for regions not receiving transitional support <sup>c</sup>				
—	Expenditure for regions receiving transitional support <sup>c</sup>				

**Priority axis****2**

Specify the Fund	N.A.				N.A.
—	of which ESF type expenditure				
—	of which ERDF type expenditure				
—	Expenditure for regions not receiving transitional support				
—	Expenditure for regions receiving				

**a** Figures expressed in cumulative terms.

**b** This field shall be completed only in the case of the final report on implementation where the operational programme is co-financed by the ERDF or the ESF where use is made of the option under Article 34(2) of Regulation (EC) No 1083/2006.

**c** This field shall be completed only in the case of the final report on implementation where the operational programme includes support to transitional and non-transitional regions.  
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transitional support				
<b>Priority axis</b>				
...				
Specify the Fund	N.A.			N.A.
— of which ESF type expenditure				
— of which ERDF type expenditure				
— Expenditure for regions not receiving transitional support				
— Expenditure for regions receiving transitional support				
<b>Grand total</b>				

**a** Figures expressed in cumulative terms.

**b** This field shall be completed only in the case of the final report on implementation where the operational programme is co-financed by the ERDF or the ESF where use is made of the option under Article 34(2) of Regulation (EC) No 1083/2006.

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For operational programmes receiving a contribution of the ERDF under the specific allocation for outermost regions: the breakdown of the expenditure between operational costs and investments in infrastructures.]

### Textual Amendments

**F2** Substituted by [Commission Regulation \(EU\) No 832/2010 of 17 September 2010 amending Regulation \(EC\) No 1828/2006 setting out rules for the implementation of Council Regulation \(EC\) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation \(EC\) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund.](#)

2.1.3. Information about the breakdown of use of the Funds

— Information in accordance with Part C of Annex II.

2.1.4. Assistance by target groups

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- For ESF co-financed operational programmes: information by target groups in accordance with Annex XXIII.
- For ERDF co-financed operational programme: any relevant information on any specifically targeted groups, sectors or areas (where relevant).

#### 2.1.5. Assistance repaid or re-used

- Information on the use made of assistance repaid or re-used following cancellation of assistance as referred to in Articles 57 and 98(2) of Regulation (EC) No 1083/2006.

#### 2.1.6. Qualitative analysis

- Analysis of the achievements as measured by physical and financial indicators, including a qualitative analysis on the progress achieved in relation to the targets set out initially. Particular attention shall be paid to the operational programme's contribution to the Lisbon process including its contribution to the achievement of the targets of Article 9(3) of Regulation (EC) No 1083/2006.
- Demonstration of the effects of the implementation of the operational programme on the promotion of equal opportunities between men and women as appropriate and description of the partnership agreements.
- For ESF co-financed operational programmes: information required by Article 10 of Regulation (EC) No 1081/2006.

#### 2.2. Information about compliance with Community law

Any significant problems relating to the compliance with Community law which have been encountered in the implementation of the operational programme and the measures taken to deal with them.

#### 2.3. Significant problems encountered and measures taken to overcome them

- Any significant problems encountered in implementing the operational programme, including a summary of serious problems identified under the procedure in Article 62(1)(d)(i) of Regulation (EC) No 1083/2006, where appropriate, as well as any measures taken by the managing authority or the monitoring committee to solve the problems.
- For ESF funded programmes: any significant problems encountered in implementing the actions and activities of Article 10 of Regulation (EC) No 1081/2006.

#### 2.4. Changes in the context of the operational programme implementation (if relevant)

Description of any elements which, without stemming directly from the assistance of the operational programme, have a direct impact on the programme's implementation (such as legislative changes or unexpected socio-economic developments).

#### 2.5. Substantial modification pursuant to Article 57 of Regulation (EC) No 1083/2006 (if relevant)

Cases where a substantial modification pursuant to Article 57 of Regulation (EC) No 1083/2006 has been detected.

#### 2.6. Complementarity with other instruments

Summary of the implementation of the arrangements made ensuring demarcation and coordination between the assistance from the ERDF, the ESF, the Cohesion Fund, the EAFRD, the EFF, and the interventions of the EIB and other existing financial instruments (Article 9(4) of Regulation (EC) No 1083/2006).

#### 2.7. Monitoring and evaluation

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Monitoring and evaluation measures taken by the management authority or the monitoring committee, including difficulties encountered and steps taken to solve them.

2.8. National performance reserve (where applicable and only for the annual implementation report submitted for 2010)

Information described in Article 50 of Regulation (EC) No 1083/2006.

3. IMPLEMENTATION BY PRIORITY

3.1. Priority 1

3.1.1. Achievement of targets and analysis of the progress

Information on the physical progress of the priority

For each quantified indicator in the priority axis and in particular the core indicators:

Indicators	2007	2008	2009	2010	2011	2012	2013	2014	2015	Total
Indicator 1:	Achievement <sup>a</sup>									
	Target <sup>b</sup>									
	Baseline <sup>c</sup>									
...	...									
Indicator n:	Achievement									
	Target									
	Baseline									

**a** The achievement should be expressed cumulatively – the value for the indicator should be the total achieved value by the end of the reporting year. Previous years' achievements can be updated when submitting later years' annual implementation reports, if more accurate information is available.

**b** The target can be given either annually or for the whole programming period.

**c** Baseline inserted only for first year when the information is available, unless the concept of a dynamic baseline is being used.

All indicators shall be broken down by gender, where possible. If the data are not yet available, the information on when they will become available and when the managing authority will provide it to the Commission shall be stated.

— For ESF co-financed operational programmes: information by target groups in accordance with Annex XXIII.

Qualitative analysis

— Analysis of the achievements using the financial information (point 2.1.2) and physical indicators (point 3.1.1) and other relevant information.

— Demonstration of the effects of the promotion of equal opportunities between men and women (as appropriate).

— Analysis of the use of the Funds in accordance with Article 34(2) of Regulation (EC) No 1083/2006. For ESF programmes, information required in Article 10 of Regulation (EC) No 1081/2006.

— List of unfinished operations and the schedule for their completion (final report only).

3.1.2. Significant problems encountered and measures taken to overcome them

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Information on any significant problems encountered in implementing the priority, including a summary of serious problems identified pursuant to the procedure in Article 62(1)(d)(i) of Regulation (EC) No 1083/2006, where appropriate, as well as any measures taken by the managing authority or the monitoring committee to solve the problems.

### 3.2. Priority 2

Idem.

### 3.3. Priority 3

Idem.

## 4. ESF PROGRAMMES: COHERENCE AND CONCENTRATION

For ESF programmes:

- a description of how the actions supported by the ESF are consistent with and contribute to the actions undertaken in pursuance of the European Employment Strategy within the framework of the national reform programmes and national action plans for social inclusion,
- a description of how the ESF actions contribute to implementation of the employment recommendations and of the employment related objectives of the Community in the field of social inclusion, education and training (Article 4(1) of Regulation (EC) No 1081/2006).

## 5. ERDF/CF PROGRAMMES: MAJOR PROJECTS (IF APPLICABLE)

For ongoing major projects:

- Progress in the implementation of different stages of major projects as defined in the timetable laid down in point D.1 of Annexes XXI and XXII.
- Progress in the financing of major projects based on the information provided in point H.2.2 of Annexes XXI and XXII (the information must be provided cumulatively).

For completed major projects:

- List of completed major projects, including the date of completion, total final investment costs using the template provided in point H.2.2 of Annexes XXI and XXII and key output and result indicators, including, where relevant, the core indicators, laid down in the Commission decision on the major project.
- Significant problems encountered in implementing major projects and measures taken to overcome them.
- Any change in the indicative list of major projects in the operational programme.

### [<sup>F3</sup>5a. ERDF/CF PROGRAMMES: ENVIRONMENTAL PROJECTS WITH TOTAL INVESTMENT COSTS EQUAL TO OR HIGHER THAN EUR 25 MILLION AND EQUAL TO OR LOWER THAN EUR 50 MILLION (IF APPLICABLE)

For ongoing projects:

- Progress in the implementation of different stages of projects.
- Progress in the financing of projects.

For completed projects:

- List of completed projects, including the date of completion, total final investment costs, including the sources of financing, and key output and result indicators, including, where relevant, the core indicators.]

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### Textual Amendments

**F3** Inserted by Commission Regulation (EU) No 832/2010 of 17 September 2010 amending Regulation (EC) No 1828/2006 setting out rules for the implementation of Council Regulation (EC) No 1083/2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and of Regulation (EC) No 1080/2006 of the European Parliament and of the Council on the European Regional Development Fund.

#### 6. TECHNICAL ASSISTANCE

- Explanation of the use made of technical assistance.
- Percentage of the amount of the Structural Funds contribution allocated to the operational programme spent under technical assistance.

#### 7. INFORMATION AND PUBLICITY

- Information in accordance with Article 4(2) of this Regulation, including achievements, examples of good practice and significant events.]]

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