Commission Regulation (EC) No 1379/2007 of 26 November 2007 amending Annexes IA, IB, VII and VIII of Regulation (EC) No 1013/2006 of the European Parliament and of the Council on shipments of waste, for the purposes of taking account of technical progress and changes agreed under the Basel Convention (Text with EEA relevance)

COMMISSION REGULATION (EC) No 1379/2007

of 26 November 2007

amending Annexes IA, IB, VII and VIII of Regulation (EC) No 1013/2006 of the European Parliament and of the Council on shipments of waste, for the purposes of taking account of technical progress and changes agreed under the Basel Convention

(Text with EEA relevance)

THE COMMISSION OF THE EUROPEAN COMMUNITIES,

Having regard to the Treaty establishing the European Community,

Having regard to Regulation (EC) No 1013/2006 of the European Parliament and of the Council of 14 June 2006 on shipments of waste⁽¹⁾, and in particular Article 58(1) thereof,

Whereas:

- (1) The agreement of the eighth meeting of the Conference of the Parties to the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal, 27 November-1 December 2006, necessitates amendments of Annexes IA, IB, VII and VIII of Regulation (EC) No 1013/2006 on shipments of waste. The amendments concern the replacement of 'kg' and 'litre' by 'Tonnes (Mg)' and 'm³' in block 5 of the notification document in Annex IA, blocks 5 and 18 of the movement document in Annex IB and blocks 3 and 14 of the consignment information in Annex VII, the insertion of a new block 17 in the movement document, an amendment of footnote 1 of the consignment information and references to guidelines on environmentally sound management in items I.4-9 of Annex VIII. For the sake of clarity these Annexes should be replaced.
- (2) Regulation (EC) No 1013/2006 should therefore be amended accordingly.
- (3) The measures provided for in this Regulation are in accordance with the opinion of the Committee established by Article 18 of Directive 2006/12/EC of the European Parliament and of the Council⁽²⁾,

HAS ADOPTED THIS REGULATION:

Article 1

Annexes IA, IB, VII and VIII to Regulation (EC) No 1013/2006 are replaced by the text set out in the Annex to this Regulation.

Article 2

This Regulation shall enter into force on the third day following its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

ANNEX I

Annex IA to Regulation (EC) No 1013/2006 is replaced by the following:

ANNEX IA

[^{X1}Notification document for transboundary movements/shipments of waste] Consignment information (1)

1. Person who arranges the shipment					orter/consignee	•		
Name:				Name:				
Address:				Address	5:			
Contact person:				Contact	person:			
Tel.:	Fax:			Tel.:			Fax:	
E-mail:				E-mail:				
3. Actual quantity: Tonnes (Mg	g):	m³:		4. Actu	al date of ship	ment:		
5.(a) First carrier (²):	5.(b)	Second of	carrier:			5.(c) Thi	rd carrier:	
Name:	Name	:				Name:		
Address:	Addre	ISS:				Address:		
Contact person:	Conta	ct person	:			Contact p	erson:	
Tel.:	Tel.:	,				Tel.:		
Fax:	Fax:					Fax:		
E-mail:	E-mai	l:				E-mail:		
Means of transport:		s of trans	port:				transport:	
Date of transfer:		of transfer				Date of tr		
Signature:	Signa					Signature		
-								
6. Waste generator (³)					overy operation of waste refe		propriate disposal of	peration in the
Original producer(s), new producer(s) or	collector:				de/D-code:			
Name:				H-00	de/D-code.			
Address:								
Contact person:				9. Usu	al description of	of the wast	te:	
Tel.: Fa	x.							
E-mail:								
	havetaw.	1		10. We	ata idantificatio	m /fill in rol	lovent and and	
7. Recovery facility La Name:	boratory □				ste identificatio		evant codes).	
Address:				(i) Bas	el Annex IX:			
Address.				(ii) OE	CD (if different f	rom (i)):		
Contact person:				(iii) EC	list of wastes:			
Tel.: Fa	x:			(iv) Nat	ional code:			
E-mail:				(,				
11. Countries/States concerned:								
Export/dispatch			Tra	nsit			Import/destinatio	n
12. Declaration of the person who arrang I also certify that effective written contractua <i>in Article 3(4)):</i>								
Name:	Date:				Sig	gnature:		
13. Signature upon receipt of the waste b	by the cons	signee:						
Name:	Date:				Sig	gnature:		
TO BE COM	IPLETED B	Y THE R	ECOVER	Y FACIL	ITY OR BY TH	E LABORA	TORY:	
14. Shipment received at recovery facility		or labo	ratory [נ	Quantity recei	ved:	Tonnes (Mg):	m ³ :

(*) Information accompanying simplifiers of green inside waste and destined for recovery of waste destined for aboratory analysis porsulation of completing this document, see also the corresponding specific instructions as contained in Annex IC of Regulation (EC) No 1013/2006.
 (*) If more than three carriers, attach information as required in blocks 5 (a), (b), (c).
 (*) When the person who arranges the shipment is not the producer or collector, information about the producer or collector shall be provided."

Consignment information (1)						
1. Person who arranges the shipment		2. Importer/consignee				
Name:		Name:				
Address:		Address:				
Contact person:		Contact person:				
Tel.:	Fax:	Tel.:	Fax:			
E-mail:		E-mail:				
3. Actual quantity: Tonnes (Mg):	m ³ :	4. Actual date of ship	ment:			
5.(a) First carrier (²):	5.(b) Second carrier:		5.(c) Third carrier:			
Name:	Name:		Name:			
Address:	Address:		Address:			
Contact person:	Contact person:		Contact person:			
Tel.:	Tel.:		Tel.:			
Fax:	Fax:		Fax:			
E-mail:	E-mail:		E-mail:			
Means of transport:	Means of transport:		Means of transport:			
Date of transfer:	Date of transfer:		Date of transfer:			
Signature:	Signature:		Signature:			
6. Waste generator (³) Original producer(s), new producer(s) or colle Name: Address:	ector:		(or if appropriate disposal operation in the red to in Article 3(4)):			
Contact person:		9. Usual description o	f the waste:			
Tel.: Fax:						
E-mail:						
	atory 🗆	10. Waste identificatio	n (fill in relevant codes):			
Name:		(i) Basel Annex IX:				
Address:		(ii) OECD (if different fr	om (i)):			
Contact person:		(iii) EC list of wastes:				
Tel.: Fax:		(iv) National code:				
E-mail:						
11. Countries/States concerned:						
Export/dispatch	Tra	nsit	Import/destination			
12. Declaration of the person who arranges the shipment: I certify that the above information is complete and correct to my best knowledge. I also certify that effective written contractual obligations have been entered into with the consignee (not required in the case of waste referred to in Article 3(4)):						
Name:	Date:	Sig	nature:			
13. Signature upon receipt of the waste by th	e consignee:					
Name:	Date:	Sig	nature:			
TO BE COMPLE	TED BY THE RECOVER	Y FACILITY OR BY THE	LABORATORY:			
14. Shipment received at recovery facility \Box	or laboratory	Quantity receiv	ved: Tonnes (Mg): m ³ :			
Name:	Date:	Sig	nature:			

(1) Information accompanying shipments of green listed waste and destined for recovery or waste destined for laboratory analysis pursuant to Regulation (EC) No 1013/2006. For completing this document, see also the corresponding specific instructions as contained in Annex IC of Regulation (EC) No 1013/2006.
 (2) If more than three carries, attach information as required in blocks 5 (a), (b), (c).
 (3) When the person who arranges the shipment is not the producer or collector, information about the producer or collector shall be provided.'

Editorial Information

Substituted by Corrigendum to Commission Regulation (EC) No 1379/2007 of 26 November 2007 **X1** amending Annexes IA, IB, VII and VIII of Regulation (EC) No 1013/2006 of the European Parliament and of the Council on shipments of waste, for the purposes of taking into account of technical progress and changes agreed under the Basel Convention (Official Journal of the European Union L 309 of 27 November 2007).

ANNEX II

Annex IB to Regulation (EC) No 1013/2006 is replaced by the following:

ANNEX IB

[^{X1}Movement document for transboundary movements/shipments of waste] Consignment information (1)

1. Person who arranges the shipmen	ıt			2. Imp	orter/consignee			
Name:				Name:				
Address:				Address	s:			
Contact person:				Contact	person:			
Tel.:		Fax:		Tel.:			Fax:	
E-mail:				E-mail:				
3. Actual quantity: Tonnes	s (Mg):	m ³ :		4. Actu	al date of ship	ment:		
5.(a) First carrier (²):		5.(b) Second of	carrier:			5.(c) Thi	rd carrier:	
Name:		Name:				Name:		
Address:		Address:				Address:		
Contact person:		Contact person:				Contact p	erson:	
Tel.:		Tel.:				Tel.:		
Fax:		Fax:				Fax:		
E-mail:		E-mail:				E-mail:		
Means of transport:		Means of transp	port:				transport:	
Date of transfer:		Date of transfer				Date of the		
Signature:		Signature:				Signature		
-								
6. Waste generator (³) Original producer(s), new producer(s)) or coll	ector:			overy operation of waste refer		propriate disposal op Article 3(4)):	peration in the
Name:				R-co	de/D-code:			
Address:								
Contact person:				9. Usu	al description o	of the wast	te:	
Tel.:	Fax:							
E-mail:								
7. Recovery facility	Labor	atory □		10. Wa	ste identificatio	n (fill in re	levant codes):	
Name:		, _			el Annex IX:			
Address:								
					CD (if different f	rom (i)):		
Contact person:				(iii) EC	list of wastes:			
Tel.:	Fax:			(iv) Nat	ional code:			
E-mail:								
11. Countries/States concerned:								
Export/dispatch			Tra	insit			Import/destinatio	n
12. Declaration of the person who an I also certify that effective written contration <i>Article 3(4)</i>):								
Name:		Date:			Sig	nature:		
13. Signature upon receipt of the was	ste by th	ne consignee:						
Name:		Date:			Sig	nature:		
TO BE	COMPLE	TED BY THE R	COVER	Y FACIL	ITY OR BY THE	LABORA	TORY:	
14. Shipment received at recovery fa	cility 🗆	or labo	ratory [2	Quantity recei	ved:	Tonnes (Mg):	m ³ :
14. Shipment received at recovery la								

(*) Information accompanying simplifiers of green inside waste and destined for recovery of waste destined for aboratory analysis porsulation of completing this document, see also the corresponding specific instructions as contained in Annex IC of Regulation (EC) No 1013/2006.
 (*) If more than three carriers, attach information as required in blocks 5 (a), (b), (c).
 (*) When the person who arranges the shipment is not the producer or collector, information about the producer or collector shall be provided."

Consignment information (1)						
1. Person who arranges the shipment		2. Importer/consignee				
Name:		Name:				
Address:		Address:				
Contact person:		Contact person:				
Tel.:	Fax:	Tel.:	Fax:			
E-mail:	1 601	E-mail:				
3. Actual quantity: Tonnes (Mg):	m ³ :	4. Actual date of ship	ment:			
5.(a) First carrier (²):	5.(b) Second carrier:		5.(c) Third carrier:			
Name:	Name:		Name:			
Address:	Address:		Address:			
Address.	Address.		Address.			
Contact person:	Contact person:		Contact person:			
Tel.:	Tel.:		Tel.:			
Fax:	Fax:		Fax:			
E-mail:	E-mail:		E-mail:			
Means of transport:	Means of transport:		Means of transport:			
Date of transfer:	Date of transfer:		Date of transfer:			
Signature:	Signature:		Signature:			
Signature.	Signature.		Signature.			
6. Waste generator (3)			(or if appropriate disposal operation in the			
Original producer(s), new producer(s) or colle	ector:	case of waste refer	red to in Article 3(4)):			
Name:		R-code/D-code:				
Address:						
, ad obo.						
Contact person:		9. Usual description o	f the waste:			
Tel.: Fax:						
E-mail:						
7. Recovery facility Labora	atory 🗆	10. Waste identificatio	n (fill in relevant codes):			
Name:		(i) Basel Annex IX:	· · ·			
Address:		(ii) OECD (if different fr	om (i)):			
Contact person:		(iii) EC list of wastes:				
Tel.: Fax:						
E-mail:		(iv) National code:				
11. Countries/States concerned:		-				
Export/dispatch	Tra	Insit	Import/destination			
12. Declaration of the person who arranges the shipment: I certify that the above information is complete and correct to my best knowledge. I also certify that effective written contractual obligations have been entered into with the consignee (not required in the case of waste referred to in Article 3(4)):						
Name:	Date:	Sig	nature:			
13. Signature upon receipt of the waste by the	e consignee:					
Name:	Date:	Sig	nature:			
TO BE COMPLE	TED BY THE RECOVER	RY FACILITY OR BY THE	LABORATORY:			
14. Shipment received at recovery facility	or laboratory	Quantity receiv	ved: Tonnes (Mg): m ³ :			
Name:	Date:	Sig	nature:			

(¹) Information accompanying shipments of green listed waste and destined for recovery or waste destined for laboratory analysis pursuant to Regulation (EC) No 1013/2006. For completing this document, see also the corresponding specific instructions as contained in Annex IC of Regulation (EC) No 1013/2006.
 (²) If more than three carriers, attach information as required in blocks 5 (a), (b), (c).
 (³) When the person who arranges the shipment is not the producer or collector, information about the producer or collector shall be provided.'

ANNEX III

Annex VII to Regulation (EC) No 1013/2006 is replaced by the following:

Changes to legislation: There are currently no known outstanding effects for the Commission Regulation (EC) No 1379/2007. (See end of Document for details)

ANNEX VII

INFORMATION ACCOMPANYING SHIPMENTS OF WASTE AS REFERRED TO IN ARTICLE 3(2) AND (4)

1. Person who arranges the shipment 2. Importer/consignee Name: Name: Address: Address: Contact person: Contact person: Tel.: Fax: E-mail: Tonnes (Mg): Mathematical and the shipment 4. Actual date of shipment:
Address: Address: Contact person: Contact person: Tel.: Fax: E-mail: E-mail:
Contact person: Contact person: Tel.: Fax: E-mail: E-mail:
Tel.: Fax: Tel.: Fax: E-mail: E-mail: E-mail:
E-mail: E-mail:
Solution of the second s
3. Actual quantity: Tonnes (Mg): m ³ : 4. Actual date of shipment:
5.(a) First carrier (²): 5.(b) Second carrier: 5.(c) Third carrier:
Name: Name: Name:
Address: Address: Address:
Contact person: Contact person: Contact person:
Tel.: Tel.: Tel.:
Fax: Fax: Fax:
E-mail: E-mail:
Means of transport: Means of transport: Means of transport:
Date of transfer: Date of transfer: Date of transfer:
Signature: Signature: Signature:
6. Waste generator (³) 8. Recovery operation (or if appropriate disposal operation in the
Original producer(s), new producer(s) or collector: case of waste referred to in Article 3(4)):
Name: R-code/D-code:
Address:
Contact person: 9. Usual description of the waste:
Tel: Fax:
E-mail:
7. Recovery facility Laboratory 10. Waste identification (fill in relevant codes):
Name: (i) Basel Annex IX:
Address: (i) OECD (if different from (i)):
Contact person: (iii) EC list of wastes:
Tel.: Fax: (iv) National code:
E-mail:
11. Countries/States concerned:
Export/dispatch Transit Import/destination
12. Declaration of the person who arranges the shipment: I certify that the above information is complete and correct to my best knowledge. I also certify that effective written contractual obligations have been entered into with the consignee (not required in the case of waste referred to in Article 3(4)):
Name: Date: Signature:
13. Signature upon receipt of the waste by the consignee:
Name: Date: Signature:
TO BE COMPLETED BY THE RECOVERY FACILITY OR BY THE LABORATORY:
14. Shipment received at recovery facility or laboratory Quantity received: Tonnes (Mg): m ³ :
Name: Date: Signature:

(¹) Information accompanying shipments of green listed waste and destined for recovery or waste destined for laboratory analysis pursuant to Regulation (EC) No 1013/2006. For completing this document, see also the corresponding specific instructions as contained in Annex IC of Regulation (EC) No 1013/2006.
 (²) If more than three carriers, attach information as required in blocks 5 (a), (b), (c).
 (³) When the person who arranges the shipment is not the producer or collector, information about the producer or collector shall be provided.'

ANNEX IV

Annex VIII to Regulation (EC) No 1013/2006 is replaced by the following:

ANNEX VIII

GUIDELINES ON ENVIRONMENTALLY SOUND MANAGEMENT (ARTICLE 49)

I.

Guidelines adopted under the Basel Convention:

- 1. Technical Guidelines on the Environmentally Sound Management of Biomedical and Health Care Wastes (Y1; Y3)⁽³⁾;
- 2. Technical Guidelines on the Environmentally Sound Management of Waste Lead Acid Batteries⁽³⁾;
- 3. Technical Guidelines on the Environmentally Sound Management of the Full and Partial Dismantling of Ships⁽³⁾;
- 4. Technical Guidelines on the Environmentally Sound Recycling/Reclamation of Metals and Metal Compounds (R4)⁽⁴⁾;
- 5. Updated General Technical Guidelines for the Environmentally Sound Management of Wastes Consisting of, Containing or Contaminated with Persistent Organic Pollutants (POPs)⁽⁵⁾;
- 6. Updated Technical Guidelines for the Environmentally Sound Management of Wastes Consisting of, Containing or Contaminated with Polychlorinated Biphenyls (PCBs), Polychlorinated Terphenyls (PCTs) or Polybrominated Biphenyls (PBBs)⁽⁵⁾;
- 7. Technical Guidelines for the Environmentally Sound Management of Wastes Consisting of, Containing or Contaminated with the Pesticides Aldrin, Chlordane, Dieldrin, Endrin, Heptachlor, Hexachlorobenzene (HCB), Mirex or Toxaphene or with HCB as an Industrial Chemical⁽⁵⁾;
- 8. Technical Guidelines for the Environmentally Sound Management of Wastes Consisting of, Containing or Contaminated with 1,1,1-trichloro-2,2-bis (4 chlorophenyl)ethane (DDT)⁽⁵⁾;
- 9. Technical Guidelines for the Environmentally Sound Management of Wastes Containing or Contaminated with Unintentionally Produced Polychlorinated Dibenzop-dioxins (PCDDs), Polychlorinated Dibenzofurans (PCDFs), Hexachlorobenzene (HCB) or Polychlorinated Biphenyls (PCBs)⁽⁵⁾.
- II. Guidelines adopted by the OECD:

Technical guidance for the environmentally sound management of specific waste streams:

Used and scrap personal computers⁽⁶⁾.

III. Guidelines adopted by the International Maritime Organisation (IMO):

Guidelines on ship recycling⁽⁷⁾.

IV. Guidelines adopted by the International Labour Organisation (ILO):

Safety and health in shipbreaking: guidelines for Asian countries and Turkey⁽⁸⁾.

- (1) OJ L 190, 12.7.2006, p. 1.
- (2) OJ L 114, 27.4.2006, p. 9.
- (3) Adopted by the sixth meeting of the Conference of the Parties to the Basel Convention on the Control of Transboundary Movements of Hazardous Waste and Their Disposal, 9-13 December 2002.
- (4) Adopted by the seventh meeting of the Conference of the Parties to the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal, 25-29 October 2004.
- (5) Adopted by the eighth meeting of the Conference of the Parties to the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal, 27 November-1 December 2006.
- (6) Adopted by the Environment Policy Committee of the OECD in February 2003 (document ENV/ EPOC/WGWPR(2001)3/FINAL).
- (7) Resolution A.962 adopted by the Assembly of the IMO at its 23rd Regular session, 24 November to 5 December 2003.
- (8) Approved for publication by the Governing Body of the ILO at its 289th session, 11-26 March 2004.'

Changes to legislation:

There are currently no known outstanding effects for the Commission Regulation (EC) No 1379/2007.