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COMMISSION REGULATION (EC) No 1379/2007

of 26 November 2007

amending Annexes IA, IB, VII and VIII of Regulation (EC) No 1013/2006 of the European Parliament and of the Council on shipments of waste, for the purposes of taking account of technical progress and changes agreed under the Basel Convention

(Text with EEA relevance)

(OJ L 309, 27.11.2007, p. 7)

Corrected by:

► **C1** Corrigendum, OJ L 299, 8.11.2008, p. 50 (1379/2007)

**COMMISSION REGULATION (EC) No 1379/2007****of 26 November 2007****amending Annexes IA, IB, VII and VIII of Regulation (EC) No 1013/2006 of the European Parliament and of the Council on shipments of waste, for the purposes of taking account of technical progress and changes agreed under the Basel Convention****(Text with EEA relevance)**

THE COMMISSION OF THE EUROPEAN COMMUNITIES,

Having regard to the Treaty establishing the European Community,

Having regard to Regulation (EC) No 1013/2006 of the European Parliament and of the Council of 14 June 2006 on shipments of waste ⁽¹⁾, and in particular Article 58(1) thereof,

Whereas:

- (1) The agreement of the eighth meeting of the Conference of the Parties to the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal, 27 November-1 December 2006, necessitates amendments of Annexes IA, IB, VII and VIII of Regulation (EC) No 1013/2006 on shipments of waste. The amendments concern the replacement of 'kg' and 'litre' by 'Tonnes (Mg)' and 'm³' in block 5 of the notification document in Annex IA, blocks 5 and 18 of the movement document in Annex IB and blocks 3 and 14 of the consignment information in Annex VII, the insertion of a new block 17 in the movement document, an amendment of footnote 1 of the consignment information and references to guidelines on environmentally sound management in items I.4-9 of Annex VIII. For the sake of clarity these Annexes should be replaced.
- (2) Regulation (EC) No 1013/2006 should therefore be amended accordingly.
- (3) The measures provided for in this Regulation are in accordance with the opinion of the Committee established by Article 18 of Directive 2006/12/EC of the European Parliament and of the Council ⁽²⁾,

HAS ADOPTED THIS REGULATION:

Article 1

Annexes IA, IB, VII and VIII to Regulation (EC) No 1013/2006 are replaced by the text set out in the Annex to this Regulation.

*Article 2*This Regulation shall enter into force on the third day following its publication in the *Official Journal of the European Union*.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

⁽¹⁾ OJ L 190, 12.7.2006, p. 1.

⁽²⁾ OJ L 114, 27.4.2006, p. 9.

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ANNEX I

Annex IA to Regulation (EC) No 1013/2006 is replaced by the following:

▼ C1

List of abbreviations and codes used in the notification document

DISPOSAL OPERATIONS (block 11) D1 Deposit into or onto land (e.g. landfill, etc.) D2 Land treatment (e.g., biodegradation of liquid or sludgy discards in soils, etc.) D3 Deep injection (e.g. injection of pumpable discards into wells, salt domes or naturally occurring repositories, etc.) D4 Surface impoundment (e.g. placement of liquid or sludge discards into pits, ponds or lagoons, etc.) D5 Specially engineered landfill (e.g. placement into lined discrete cells which are capped and isolated from one another and the environment, etc.) D6 Release into a water body except seas/oceans D7 Release into seas/oceans including sea-bed insertion D8 Biological treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list D9 Physico-chemical treatment not specified elsewhere in this list which results in final compounds or mixtures which are discarded by means of any of the operations in this list (e.g. evaporation, drying, calcination, etc.) D10 Incineration on land D11 Incineration at sea D12 Permanent storage (e.g. emplacement of containers in a mine, etc.) D13 Blending or mixing prior to submission to any of the operations in this list D14 Repackaging prior to submission to any of the operations in this list D15 Storage pending any of the operations in this list																																															
RECOVERY OPERATIONS (block 11) R1 Use as a fuel (other than in direct incineration) or other means to generate energy (Basel/OECD) — Use principally as a fuel or other means to generate energy (EU) R2 Solvent reclamation/regeneration R3 Recycling/reclamation of organic substances which are not used as solvents R4 Recycling/reclamation of metals and metal compounds R5 Recycling/reclamation of other inorganic materials R6 Regeneration of acids or bases R7 Recovery of components used for pollution abatement R8 Recovery of components from catalysts R9 Used oil re-refining or other reuses of previously used oil R10 Land treatment resulting in benefit to agriculture or ecological improvement R11 Uses of residual materials obtained from any of the operations numbered R1-R10 R12 Exchange of wastes for submission to any of the operations numbered R1-R11 R13 Accumulation of material intended for any operation in this list.																																															
PACKAGING TYPES (block 7) 1. Drum 2. Wooden barrel 3. Jerrican 4. Box 5. Bag 6. Composite packaging 7. Pressure receptacle 8. Bulk 9. Other (specify)	H-CODE AND UN CLASS (block 14) <table border="1"> <thead> <tr> <th>UN Class</th> <th>H-code</th> <th>Characteristics</th> </tr> </thead> <tbody> <tr> <td>1</td> <td>H1</td> <td>Explosive</td> </tr> <tr> <td>3</td> <td>H3</td> <td>Flammable liquids</td> </tr> <tr> <td>4.1</td> <td>H4.1</td> <td>Flammable solids</td> </tr> <tr> <td>4.2</td> <td>H4.2</td> <td>Substances or wastes liable to spontaneous combustion</td> </tr> <tr> <td>4.3</td> <td>H4.3</td> <td>Substances or wastes which, in contact with water, emit flammable gases</td> </tr> <tr> <td>5.1</td> <td>H5.1</td> <td>Oxidising</td> </tr> <tr> <td>5.2</td> <td>H5.2</td> <td>Organic peroxides</td> </tr> <tr> <td>6.1</td> <td>H6.1</td> <td>Poisonous (acute)</td> </tr> <tr> <td>6.2</td> <td>H6.2</td> <td>Infectious substances</td> </tr> <tr> <td>8</td> <td>H8</td> <td>Corrosives</td> </tr> <tr> <td>9</td> <td>H10</td> <td>Liberation of toxic gases in contact with air or water</td> </tr> <tr> <td>9</td> <td>H11</td> <td>Toxic (delayed or chronic)</td> </tr> <tr> <td>9</td> <td>H12</td> <td>Ecotoxic</td> </tr> <tr> <td>9</td> <td>H13</td> <td>Capable, by any means, after disposal of yielding another material, e.g., leachate, which possesses any of the characteristics listed above</td> </tr> </tbody> </table>		UN Class	H-code	Characteristics	1	H1	Explosive	3	H3	Flammable liquids	4.1	H4.1	Flammable solids	4.2	H4.2	Substances or wastes liable to spontaneous combustion	4.3	H4.3	Substances or wastes which, in contact with water, emit flammable gases	5.1	H5.1	Oxidising	5.2	H5.2	Organic peroxides	6.1	H6.1	Poisonous (acute)	6.2	H6.2	Infectious substances	8	H8	Corrosives	9	H10	Liberation of toxic gases in contact with air or water	9	H11	Toxic (delayed or chronic)	9	H12	Ecotoxic	9	H13	Capable, by any means, after disposal of yielding another material, e.g., leachate, which possesses any of the characteristics listed above
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MEANS OF TRANSPORT (block 8) R = Road T = Train/rail S = Sea A = Air W = Inland waterways																																															
PHYSICAL CHARACTERISTICS (block 13) 1. Powdery/powder 2. Solid 3. Viscous/paste 4. Sludgy 5. Liquid 6. Gaseous 7. Other (specify)																																															

Further information, in particular related to waste identification (block 14), i.e. on Basel Annexes VIII and IX codes, OECD codes and Y-codes, can be found in a Guidance/Instruction Manual available from the OECD and the Secretariat of the Basel Convention.⁷

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ANNEX II

Annex IB to Regulation (EC) No 1013/2006 is replaced by the following:

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ANNEX IB

▼C1

Movement document for transboundary movements/shipments of waste

1. Corresponding to notification No:		2. Serial/total number of shipments /	
3. Exporter — notifier Registration No: Name: Address: Contact person: Tel.: Fax: E-mail:		4. Importer — consignee Registration No: Name: Address: Contact person: Tel.: Fax: E-mail:	
5. Actual quantity: Tonnes (Mg): m ³ :		6. Actual date of shipment:	
7. Packaging Type(s) (1): Number of packages: Special handling requirements: (2) Yes: <input type="checkbox"/> No: <input type="checkbox"/>			
8.(a) 1st carrier (3): Registration No: Name: Address: Tel.: Fax: E-mail:		8.(b) 2nd carrier (3): Registration No: Name: Address: Tel.: Fax: E-mail:	8.(c) Last carrier (3): Registration No: Name: Address: Tel.: Fax: E-mail:
----- To be completed by carrier's representative -----			
Means of transport (1): Date of transfer: Signature:		Means of transport (1): Date of transfer: Signature:	Means of transport (1): Date of transfer: Signature:
9. Waste generator(s) — producer(s) (4) (5) (6): Registration No: Name: Address: Contact person: Tel.: Fax: E-mail: Site of generation (2):		12. Designation and composition of the waste (2):	
10. Disposal facility <input type="checkbox"/> or recovery facility <input type="checkbox"/> Registration No: Name: Address: Contact person: Tel.: Fax: E-mail: Actual site of disposal/recovery (2)		13. Physical characteristics (1):	
11. Disposal/recovery operation(s) D-code/R-code (1):		14. Waste identification (fill in relevant codes) (i) Basel Annex VIII (or IX if applicable): (ii) OECD code (if different from (i)): (iii) EC list of wastes: (iv) National code in country of export (v) National code in country of import: (vi) Other (specify): (vii) Y-code: (viii) H-code (1): (ix) UN class (1): (x) UN number: (xi) UN shipping name: (xii) Customs code(s) (HS):	
15. Exporter's — notifier's/generator's — producer's (4) declaration: I certify that the above information is complete and correct to my best knowledge. I also certify that legally enforceable written contractual obligations have been entered into, that any applicable insurance or other financial guarantee is in force covering the transboundary movement and that all necessary consents have been received from the competent authorities of the countries concerned. Name: Date: Signature:			
16. For use by any person involved in the transboundary movement in case additional information is required			
17. Shipment received by importer — consignee (if not facility): Name: Date: Signature:			
TO BE COMPLETED BY DISPOSAL/RECOVERY FACILITY			
18. Shipment received at disposal facility <input type="checkbox"/> or recovery facility <input type="checkbox"/> Date of reception: Accepted: <input type="checkbox"/> Rejected (1): <input type="checkbox"/> Quantity received: Tonnes (Mg): m ³ : (*) immediately contact competent authorities		19. I certify that the disposal/recovery of the waste described above has been completed Name: Date: Signature and stamp:	
Approximate date of disposal/recovery: Disposal/recovery operation (1): Name: Date: Signature:			

(1) See list of abbreviations and codes on the next page.

(2) Attach details if necessary.

(3) If more than three carriers, attach information as required in blocks 8 (a, b, c).

(4) Required by the Basel Convention.

(5) Attach list if more than one.

(6) If required by national legislation.

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ANNEX III

Annex VII to Regulation (EC) No 1013/2006 is replaced by the following:



ANNEX IV

Annex VIII to Regulation (EC) No 1013/2006 is replaced by the following:

'ANNEX VIII

**GUIDELINES ON ENVIRONMENTALLY SOUND MANAGEMENT
(ARTICLE 49)**

- I. Guidelines adopted under the Basel Convention:
1. Technical Guidelines on the Environmentally Sound Management of Biomedical and Health Care Wastes (Y1; Y3) ⁽¹⁾;
 2. Technical Guidelines on the Environmentally Sound Management of Waste Lead Acid Batteries ⁽¹⁾;
 3. Technical Guidelines on the Environmentally Sound Management of the Full and Partial Dismantling of Ships ⁽¹⁾;
 4. Technical Guidelines on the Environmentally Sound Recycling/Reclamation of Metals and Metal Compounds (R4) ⁽²⁾;
 5. Updated General Technical Guidelines for the Environmentally Sound Management of Wastes Consisting of, Containing or Contaminated with Persistent Organic Pollutants (POPs) ⁽³⁾;
 6. Updated Technical Guidelines for the Environmentally Sound Management of Wastes Consisting of, Containing or Contaminated with Polychlorinated Biphenyls (PCBs), Polychlorinated Terphenyls (PCTs) or Polybrominated Biphenyls (PBBs) ⁽³⁾;
 7. Technical Guidelines for the Environmentally Sound Management of Wastes Consisting of, Containing or Contaminated with the Pesticides Aldrin, Chlordane, Dieldrin, Endrin, Heptachlor, Hexachlorobenzene (HCB), Mirex or Toxaphene or with HCB as an Industrial Chemical ⁽³⁾;
 8. Technical Guidelines for the Environmentally Sound Management of Wastes Consisting of, Containing or Contaminated with 1,1,1-trichloro-2,2-bis (4 chlorophenyl)ethane (DDT) ⁽³⁾;
 9. Technical Guidelines for the Environmentally Sound Management of Wastes Containing or Contaminated with Unintentionally Produced Polychlorinated Dibenzo-p-dioxins (PCDDs), Polychlorinated Dibenzofurans (PCDFs), Hexachlorobenzene (HCB) or Polychlorinated Biphenyls (PCBs) ⁽³⁾.
- II. Guidelines adopted by the OECD:
- Technical guidance for the environmentally sound management of specific waste streams:
- Used and scrap personal computers ⁽⁴⁾.
- III. Guidelines adopted by the International Maritime Organisation (IMO):
- Guidelines on ship recycling ⁽⁵⁾.
- IV. Guidelines adopted by the International Labour Organisation (ILO):
- Safety and health in shipbreaking: guidelines for Asian countries and Turkey ⁽⁶⁾.

⁽¹⁾ Adopted by the sixth meeting of the Conference of the Parties to the Basel Convention on the Control of Transboundary Movements of Hazardous Waste and Their Disposal, 9-13 December 2002.

⁽²⁾ Adopted by the seventh meeting of the Conference of the Parties to the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal, 25-29 October 2004.

⁽³⁾ Adopted by the eighth meeting of the Conference of the Parties to the Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and Their Disposal, 27 November-1 December 2006.

⁽⁴⁾ Adopted by the Environment Policy Committee of the OECD in February 2003 (document ENV/EPOC/WGWPR(2001)3/FINAL).

⁽⁵⁾ Resolution A.962 adopted by the Assembly of the IMO at its 23rd Regular session, 24 November to 5 December 2003.

⁽⁶⁾ Approved for publication by the Governing Body of the ILO at its 289th session, 11-26 March 2004.'