
Changes to legislation: This version of this Regulation was derived from EUR-Lex on IP completion day (31 December 2020 11:00 p.m.). It has not been amended by the UK since then. Find out more about legislation originating from the EU as published on legislation.gov.uk. (See end of Document for details)

Council Regulation (EC) No 428/2009 of 5 May 2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items (Recast)

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F1F2F3 ANNEX IIIb

(model for brokering services authorisation forms)
(referred to in Article 14(1) of this Regulation)

Textual Amendments

- F1** Annex 3b: in the header to the model form the words “EUROPEAN COMMUNITY” are omitted (31.12.2020) by virtue of [The Trade etc. in Dual-Use Items and Firearms etc. \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/771\)](#), regs. 1, **3(30)(a)** (with reg. 5) (as amended by [S.I. 2020/1502](#), regs. 2(3), 10); 2020 c. 1, Sch. 5 para. 1(1)
- F2** Annex 3b: in the model form entry 8 is omitted (31.12.2020) by virtue of [The Trade etc. in Dual-Use Items and Firearms etc. \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/771\)](#), regs. 1, **3(30)(b)** (with reg. 5) (as amended by [S.I. 2020/1502](#), regs. 2(3), 10); 2020 c. 1, Sch. 5 para. 1(1)
- F3** Annex 3b: in the box following entry 19 to the model form the words “At discretion of the Secretary of State” substituted for the words “At discretion of Member States” (31.12.2020) by [The Trade etc. in Dual-Use Items and Firearms etc. \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/771\)](#), regs. 1, **3(30)(c)** (with reg. 5) (as amended by [S.I. 2020/1502](#), regs. 2(3), **10**); 2020 c. 1, **Sch. 5 para. 1(1)**

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EUROPEAN COMMUNITY PROVISION OF BROKERING SERVICES (Reg. (EC) No 428/2009)

LICENCE	1	1. Broker/Applicant	No	2. Identification number	3. Expiry date (if applicable)
		4. Contact point details			
		5. Exporter in originating third country		6. Issuing authority	
		7. Consignee in third country of destination	No	8. Member State in which the broker is resident or established	Code (*)
				9. Originating third country/Third country of location of the items subject of brokering services	Code (*)
		10. End user in third country of destination (if different from consignee)		11. Third country of destination	Code (*)
				12. Third parties involved, e.g. agents (if applicable)	
	1	13. Description of the items.		14. Harmonised System or Combined Nomenclature Code (if applicable)	15. Control list no
				16. Currency and Value	17. Quantity of the items
18. End use					
19. Additional information required by national legislation (to be specified on the form)					
Available for pre-printed information At discretion of Member States					
For completion by issuing authority					
			Signature	Stamp	
			Issuing Authority		
			Date		

(*) See Regulation (EC) No 1172/95 (OJ L 118, 25.5.1995, p. 10).

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