

[^{F1}ANNEX IIb

[^{F2}RETAINED] **GENERAL EXPORT AUTHORISATION No [^{F3}002]**
(referred to in Article 9(1) of this Regulation)

Textual Amendments

- F1** Substituted by [Commission Delegated Regulation \(EU\) 2020/1749 of 7 October 2020 amending Council Regulation \(EC\) No 428/2009 setting up a Community regime for the control of exports, transfer, brokering and transit of dual-use items.](#)
- F2** Word in Annex 2b heading substituted (31.12.2020) by [The Trade etc. in Dual-Use Items and Firearms etc. \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/771\), regs. 1, 3\(24\)\(a\)\(i\) \(with reg. 5\) \(as amended by S.I. 2020/1502, regs. 2\(3\), 10\); 2020 c. 1, Sch. 5 para. 1\(1\)](#)
- F3** Word in Annex 2b heading substituted (31.12.2020) by [The Trade etc. in Dual-Use Items and Firearms etc. \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/771\), regs. 1, 3\(24\)\(a\)\(ii\) \(with reg. 5\) \(as amended by S.I. 2020/1502, regs. 2\(3\), 10\); 2020 c. 1, Sch. 5 para. 1\(1\)](#)

Exports of certain dual-use items to certain destinations

Issuing authority: [^{F4}the Secretary of State]

Part 1 This general export authorisation covers the following dual-use items specified in –**Items** Annex I to this Regulation:

- 1A001,
- 1A003,
- 1A004,
- 1C003.b.,
- 1C003.c.,
- 1C004,
- 1C005,
- 1C006,
- 1C008,
- 1C009,
- 2B008,
- 3A001.a.3.,
- 3A001.a.6.,
- 3A001.a.7.,
- 3A001.a.9.,
- 3A001.a.10.,
- 3A001.a.11.,
- 3A001.a.12.,
- 3A002.c.,
- 3A002.d.,
- 3A002.e.,
- 3A002.f.,
- 3C001,
- 3C002,
- 3C003,
- 3C004,

Changes to legislation: There are currently no known outstanding effects for the Council Regulation (EC) No 428/2009, ANNEX IIb. (See end of Document for details)

- 3C005,
- 3C006.

Part 2 – This authorisation is valid ^{F5}... for exports to the following destinations:

Destinations

Textual Amendments

F5 Words in Annex 2b Pt. 2 omitted (31.12.2020) by virtue of [The Trade etc. in Dual-Use Items and Firearms etc. \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/771\)](#), regs. 1, **3(24)(c)** (with reg. 5) (as amended by [S.I. 2020/1502](#), regs. 2(3), 10); 2020 c. 1, Sch. 5 para. 1(1)

- Argentine Republic,
- Iceland,
- Republic of Croatia,
- Republic of Korea,
- Republic of South Africa,
- Republic of Turkey.

Part 3 – 1. This authorisation does not authorise the export of items where:

Conditions and requirements for use

- (1) the exporter has been informed by the [^{F6}Secretary of State] that the items in question are or may be intended, in their entirety or in part:
- (a) for use in connection with the development, production, handling, operation, maintenance, storage, detection, identification or dissemination of chemical, biological or nuclear weapons or other nuclear explosive devices, or the development, production, maintenance or storage of missiles capable of delivering such weapons;
 - (b) for a military end-use as defined in Article 4(2) of this Regulation in a country subject to an arms embargo imposed by ^{F7}... a decision of the Organisation for Security and Cooperation in Europe or an arms embargo imposed by a binding resolution of the Security Council of the United Nations; or
 - (c) for use as parts or components of military items listed in national military lists that have been exported from the territory of the [^{F8}United Kingdom] without authorisation or in breach of an authorisation prescribed by the [^{F8}United Kingdom];
- (2) the exporter, under his obligation to exercise due diligence, is aware that the items in question are intended, in their entirety or in part, for any of the uses referred to in subparagraph (1);
- (3) the relevant items are exported to a customs-free zone or a free warehouse which is located in a destination covered by this authorisation.

Textual Amendments

F6 Words in Annex 2b Pt. 3 para. 1(1) substituted (31.12.2020) by [The Trade etc. in Dual-Use Items and Firearms etc. \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/771\)](#), regs. 1,

Changes to legislation: There are currently no known outstanding effects for the Council Regulation (EC) No 428/2009, ANNEX IIb. (See end of Document for details)

- 3(24)(d)(i)** (with reg. 5) (as amended by S.I. 2020/1502, regs. 2(3), 10); 2020 c. 1, Sch. 5 para. 1(1)
- F7** Words in Annex 2b Pt. 3 para. 1(1)(b) omitted (31.12.2020) by virtue of *The Trade etc. in Dual-Use Items and Firearms etc. (Amendment) (EU Exit) Regulations 2019* (S.I. 2019/771), regs. 1, **3(24)(d)(ii)** (with reg. 5) (as amended by S.I. 2020/1502, regs. 2(3), 10); 2020 c. 1, Sch. 5 para. 1(1)
- F8** Words in Annex 2b Pt. 3 para. 1(1)(c) substituted (31.12.2020) by virtue of *The Trade etc. in Dual-Use Items and Firearms etc. (Amendment) (EU Exit) Regulations 2019* (S.I. 2019/771), regs. 1, **3(24)(d)(iii)** (with reg. 5) (as amended by S.I. 2020/1502, regs. 2(3), 10); 2020 c. 1, Sch. 5 para. 1(1)

2. Exporters must mention the ^{F9}... reference number X002 and specify that the items are being exported under ^{F9}... General Export Authorisation [^{F10}002] in box 44 of the Single Administrative Document.

Textual Amendments

- F9** Word in Annex 2b Pt. 3 para. 2 omitted (31.12.2020) by virtue of *The Trade etc. in Dual-Use Items and Firearms etc. (Amendment) (EU Exit) Regulations 2019* (S.I. 2019/771), regs. 1, **3(24)(d)(iv)(aa)** (with reg. 5) (as amended by S.I. 2020/1502, regs. 2(3), 10); 2020 c. 1, Sch. 5 para. 1(1)
- F10** Word in Annex 2b Pt. 3 para. 2 substituted (31.12.2020) by *The Trade etc. in Dual-Use Items and Firearms etc. (Amendment) (EU Exit) Regulations 2019* (S.I. 2019/771), regs. 1, **3(24)(d)(iv)(bb)** (with reg. 5) (as amended by S.I. 2020/1502, regs. 2(3), 10); 2020 c. 1, Sch. 5 para. 1(1)

3. Any exporter who uses this authorisation must notify the [^{F11}Secretary of State] of the first use of this authorisation no later than 30 days after the date when the first export took place or, alternatively, and in accordance with a requirement [^{F12}of the Secretary of State], prior to the first use of this authorisation. ^{F13}...

Reporting requirements attached to the use of this authorisation and additional information that the [^{F14}Secretary of State] might require on items exported under this authorisation are defined [^{F15}by the Secretary of State].

Textual Amendments

- F14** Words in Annex 2b Pt. 3 para. 3 substituted (31.12.2020) by *The Trade etc. in Dual-Use Items and Firearms etc. (Amendment) (EU Exit) Regulations 2019* (S.I. 2019/771), regs. 1, **3(24)(d)(v)(dd)** (with reg. 5) (as amended by S.I. 2020/1502, regs. 2(3), 10); 2020 c. 1, Sch. 5 para. 1(1)
- F15** Words in Annex 2b Pt. 3 para. 3 substituted (31.12.2020) by *The Trade etc. in Dual-Use Items and Firearms etc. (Amendment) (EU Exit) Regulations 2019* (S.I. 2019/771), regs. 1, **3(24)(d)(v)(ee)** (with reg. 5) (as amended by S.I. 2020/1502, regs. 2(3), 10); 2020 c. 1, Sch. 5 para. 1(1)

[^{F16}The Secretary of State] may require [^{F17}exporters] to register prior to the first use of this authorisation. Registration shall be automatic and acknowledged by the [^{F18}Secretary of State] to the exporter without delay and in any case within 10 working days of receipt, subject to Article 9(1) of this Regulation.

*Changes to legislation: There are currently no known outstanding effects for the
Council Regulation (EC) No 428/2009, ANNEX IIb. (See end of Document for details)*

Textual Amendments

- F16** Words in Annex 2b Pt. 3 para. 3 substituted (31.12.2020) by [The Trade etc. in Dual-Use Items and Firearms etc. \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/771\)](#), regs. 1, **3(24)(d)(v)(ff)** (with reg. 5) (as amended by [S.I. 2020/1502](#), regs. 2(3), 10); 2020 c. 1, Sch. 5 para. 1(1)
- F17** Word in Annex 2b Pt. 3 para. 3 substituted (31.12.2020) by [The Trade etc. in Dual-Use Items and Firearms etc. \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/771\)](#), regs. 1, **3(24)(d)(v)(gg)** (with reg. 5) (as amended by [S.I. 2020/1502](#), regs. 2(3), 10); 2020 c. 1, Sch. 5 para. 1(1)
- F18** Words in Annex 2b Pt. 3 para. 3 substituted (31.12.2020) by [The Trade etc. in Dual-Use Items and Firearms etc. \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/771\)](#), regs. 1, **3(24)(d)(v)(hh)** (with reg. 5) (as amended by [S.I. 2020/1502](#), regs. 2(3), 10); 2020 c. 1, Sch. 5 para. 1(1)

Where applicable the requirements set out in the second and third paragraphs shall be based on those defined for the use of national general export authorisations granted by [^{F19}the Secretary of State].]

Textual Amendments

- F19** Words in Annex 2b Pt. 3 para. 3 substituted (31.12.2020) by [The Trade etc. in Dual-Use Items and Firearms etc. \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/771\)](#), regs. 1, **3(24)(d)(v)(ii)** (with reg. 5) (as amended by [S.I. 2020/1502](#), regs. 2(3), 10); 2020 c. 1, Sch. 5 para. 1(1)

Textual Amendments

- F11** Words in Annex 2b Pt. 3 para. 3 substituted (31.12.2020) by [The Trade etc. in Dual-Use Items and Firearms etc. \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/771\)](#), regs. 1, **3(24)(d)(v)(aa)** (with reg. 5) (as amended by [S.I. 2020/1502](#), regs. 2(3), 10); 2020 c. 1, Sch. 5 para. 1(1)
- F12** Words in Annex 2b Pt. 3 para. 3 substituted (31.12.2020) by [The Trade etc. in Dual-Use Items and Firearms etc. \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/771\)](#), regs. 1, **3(24)(d)(v)(bb)** (with reg. 5) (as amended by [S.I. 2020/1502](#), regs. 2(3), 10); 2020 c. 1, Sch. 5 para. 1(1)
- F13** Words in Annex 2b Pt. 3 para. 3 omitted (31.12.2020) by virtue of [The Trade etc. in Dual-Use Items and Firearms etc. \(Amendment\) \(EU Exit\) Regulations 2019 \(S.I. 2019/771\)](#), regs. 1, **3(24)(d)(v)(cc)** (with reg. 5) (as amended by [S.I. 2020/1502](#), regs. 2(3), 10); 2020 c. 1, Sch. 5 para. 1(1)

Changes to legislation:

There are currently no known outstanding effects for the Council Regulation (EC) No 428/2009, ANNEX IIb.