

Changes to legislation: *There are outstanding changes not yet made to Commission Regulation (EU) No 1078/2012. Any changes that have already been made to the legislation appear in the content and are referenced with annotations. (See end of Document for details) View outstanding changes*

ANNEX

THE MONITORING PROCESS

3. Collection and analysis of information

- 3.1. The collection and analysis of information shall be carried out according to the strategy, priorities and plan(s) defined for the monitoring.
- 3.2. For each defined indicator referred to in point 2.4, the following shall be carried out:
 - (a) a collection of necessary information;
 - (b) an evaluation as to whether the processes, procedures, technical, operational and organisational risk control measures are correctly implemented;
 - (c) a check on whether the processes, procedures, technical, operational and organisational risk control measures are effective and whether they achieve the expected outcomes;
 - (d) an evaluation of whether the management system as a whole is correctly applied and whether it achieves the expected outcomes;
 - (e) an analysis and evaluation of instances of identified non-compliance with points (b), (c) and (d), as well as identification of their causes.

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Changes and effects yet to be applied to the whole legislation item and associated provisions

- Signature words omitted by [S.I. 2019/837 reg. 15\(5\)](#)
- Signature words omitted by [S.I. 2019/837 reg. 20\(5\)](#)
- Art. 1(2)(a) words substituted by [S.I. 2019/837 reg. 15\(2\)](#)
- Art. 1(2)(a) words substituted by [S.I. 2019/837 reg. 20\(2\)](#)