

Commission Implementing Regulation (EU) No 1097/2012 of 23 November 2012 amending Regulation (EU) No 142/2011 implementing Regulation (EC) No 1069/2009 of the European Parliament and of the Council laying down health rules as regards animal by-products and derived products not intended for human consumption and implementing Council Directive 97/78/EC as regards certain samples and items exempt from veterinary checks at the border under that Directive as regards dispatch of animal by-products and derived products between Member States (Text with EEA relevance)

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**Changes to legislation:** There are currently no known outstanding effects for the Commission Implementing Regulation (EU) No 1097/2012, ANNEX. (See end of Document for details)

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## ANNEX

The Annexes to Regulation (EU) No 142/2011 are amended as follows:

- (1) in Annex VIII, Chapter III, the model commercial document is replaced by the following:

**Commercial document**

For the transport of animal by-products and derived products not intended for human consumption in accordance with Regulation (EC) No 1069/2009 within the European Union

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| EUROPEAN UNION  |  |  |          | Commercial document  |  |                                |                           |  |
|---|--|--|----------|--|--|--------------------------------|---------------------------|--|
| Part I: Details of dispatched consignment   | I.1. Consignor<br>Name<br>Address<br>Postcode  |  |          |  | I.2. Document reference No   |                                | I.2.a. Local reference No |  |
|   |  |  |          |  | I.3. Central competent authority   |                                |                           |  |
|   |  |  |          |  | I.4. Local competent authority   |                                |                           |  |
|   |  |  |          |  | I.5. Consignee<br>Name<br>Address<br>Postcode<br>Tel.  |                                |                           |  |
|   |  |  |          |  | I.6.   |                                |                           |  |
|   |  |  |          |  | I.7.   |                                |                           |  |
|   | I.8. Country of origin   |  | ISO code |  | I.9. Region of origin  |                                | Code                      |  |
|   | I.10. Country of destination   |  | ISO code |  | I.11. Region of destination  |                                | Code                      |  |
|   | I.12. Place of origin<br>Establishment <input type="checkbox"/><br>Name<br>Address<br>Postcode |  |          |  | I.13. Place of destination<br>Establishment <input type="checkbox"/> Other <input type="checkbox"/><br>Name<br>Address<br>Postcode |                                |                           |  |
|   | Approval number  |  |          |  | Approval number  |                                |                           |  |
| I.14. Place of loading  |  |  |          | I.15. Date of departure  |  |                                |                           |  |
| I.16. Means of transport<br>Aeroplane <input type="checkbox"/> Ship <input type="checkbox"/> Railway wagon <input type="checkbox"/><br>Road vehicle <input type="checkbox"/> Other <input type="checkbox"/><br>Identification |  |  |          | I.17. Transporter<br>Name<br>Address<br>Postcode<br>Approval number<br>Member State  |  |                                |                           |  |
| I.18. Description of commodity  |  |  |          |  |  | I.19. Commodity code (CN code) |                           |  |
|   |  |  |          |  |  | I.20. Quantity                 |                           |  |
| I.21. Temperature of product<br>Ambient <input type="checkbox"/> Chilled <input type="checkbox"/> Frozen <input type="checkbox"/> Controlled temperature <input type="checkbox"/>   |  |  |          |  |  | I.22. Number of packages       |                           |  |
| I.23. Seal/Container No   |  |  |          |  |  | I.24. Type of packaging        |                           |  |
| I.25. Commodities certified for:<br>Animal feedingstuff <input type="checkbox"/> Technical use <input type="checkbox"/>   |  |  |          |  |  |                                |                           |  |
| I.26.   |  |  |          | I.27. Transit through Member States <input type="checkbox"/><br>Member State<br>Member State<br>Member State<br>ISO Code<br>ISO Code<br>ISO Code |  |                                |                           |  |
| I.28. Export <input type="checkbox"/><br>Third country<br>Exit point<br>ISO Code<br>Code  |  |  |          | I.29.  |  |                                |                           |  |
| I.30.   |  |  |          |  |  |                                |                           |  |
| I.31. Identification of the commodities<br><br>Species (scientific name)    Nature of commodity    Category    Treatment type    Approval number of establishments<br>Manufacturing plant    Batch number                     |  |  |          |  |  |                                |                           |  |

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| COUNTRY  |   | Animal by-products/derived products not intended for human consumption |       |
|--|---|--|-------|
| II.  | Health information  | II.a. Certificate reference number                                     | II.b. |
| II.1.  | Declaration by the consignor  |  |       |
|  | I, the undersigned, declare that:   |  |       |
| II.1.1.  | the information in Part I is factually correct;   |  |       |
| II.1.2.  | all precautions have been taken to avoid contamination of the animal by-products or derived products with pathogenic agents and cross-contamination between various categories.   |  |       |
| Part II: Certification   | <b>Notes:</b>   |  |       |
|  | <b>Part I:</b>  |  |       |
|  | — Box reference I.9 and I.11: if appropriate.   |  |       |
|  | — Box reference I.12, I.13 and I.17: approval number or registration number. In the case of processed manure indicate in Box I.13 the approval or registration number of plant or holding of destination.   |  |       |
|  | — Box reference I.14: complete if different from 'I.1. Consignor'.  |  |       |
|  | — Box reference I.25: technical use: any use other than for animal consumption.   |  |       |
|  | — Box reference I.31:   |  |       |
|  | <b>Animal species:</b> For Category 3 material and products derived therefrom destined for use as feed material. Select from the following: Aves, Ruminants, Non-Ruminants, <i>Mammalia</i> , <i>Pesca</i> , <i>Mollusca</i> , Crustacea, Invertebrates.  |  |       |
|  | <b>Nature of commodity:</b> Enter a commodity chosen from the following list: 'apiculture by-products', 'blood products', 'blood', 'bloodmeal', 'digestion residues', 'digestive tract content', 'dog-chews', 'fishmeal', 'flavouring innards', 'gelatine', 'greaves', 'hides and skins', 'hydrolysed proteins', 'organic fertilisers', 'pet food', 'processed animal protein', 'processed pet food', 'raw pet food', 'rendered fats', 'compost', 'processed manure', 'fish oil', 'milk products', 'centrifuge or separator sludge from milk processing', 'dicalciumphosphate', 'tricalciumphosphate', 'collagen', 'egg products', 'serum of equidae', 'game trophies', 'wool', 'hair', 'pig bristles', 'feathers', 'animal by-products for processing', 'derived products'.  |  |       |
|  | <b>Category:</b> Specify Category 1, 2 or 3 materials.<br>In case of Category 3 material, indicate the point of Article 10 of Regulation (EC) No 1069/2009 that refers to the animal by-product concerned (e.g. Article 10(a), Article 10(b), etc.).<br>In the case of Category 3 material for use in raw petfood indicate '3a', '3b(i)' or '3b(ii)' depending on whether the animal by-products are referred to in Article 10(a) or in Article 10(b)(i) or (ii) of Regulation (EC) No 1069/2009.<br>In the case of hides and skins and products derived therefrom, indicate '3b(iii)' or '3(n)' depending on whether the animal by-products or derived products are referred to in Article 10(b)(iii) or in Article 10(n) of Regulation (EC) No 1069/2009.<br>Where the consignment is made of more than one category, indicate the quantity and if applicable the number of containers per category of materials. |  |       |
| <b>Treatment type:</b> For treated hides and skins indicate the treatment:<br>'(a)' for dried;<br>'(b)' for dry-salted or wet-salted for at least 14 days prior to dispatch;<br>'(c)' for salted for seven days in sea salt with the addition of 2 % sodium carbonate.<br>For Categories 1 and 2 materials describe the method of processing or transformation. Indicate the relevant processing method (choose a method from 1 to 5 referred to in Chapter III of Annex IV to Regulation (EU) No 142/2011).<br>For Category 3 materials and derived products from Category 3 material destined for use in feed: if appropriate describe the nature and the methods of the treatment. Indicate the relevant processing method (choose a method from 1 to 7 referred to in Chapter III of Annex IV to Regulation (EU) No 142/2011). |   |  |       |
| <b>Batch number:</b> Enter batch number or ear tag number, if applicable.  |   |  |       |
| <b>Part II:</b>  |   |  |       |
| — The signature must be in a different colour to that of the printing.   |   |  |       |
| Signature  |   |  |       |
| Done at ..... on .....   |   |  |       |
| (place)  |   | (date)   |       |
| .....  |   |  |       |
| (signature of the responsible person/consignor)<br>(name, in capital letters)  |   |  |       |

(2) in Annex XVI, Chapter III, Section 10 is replaced by the following:

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Section 10

**Standard format for applications for certain authorisations in intra-Union trade**

Operators shall apply to the competent authority of the Member State of destination for the authorisation of the dispatch of animal by-products and derived products referred to in Article 48(1) of Regulation (EC) No 1069/2009 in accordance with the following format:

|  |  |
|--|--|
| PAGE 1/2   |  |
| <p><b>APPLICATION FOR THE AUTHORISATION OF THE DISPATCH OF ANIMAL BY-PRODUCTS AND DERIVED PRODUCTS TO ANOTHER MEMBER STATE</b><br/>(ARTICLE 48 OF REGULATION (EC) No 1069/2009)</p>  |  |
| Name and address of place of origin  | Approval or registration number, issued by (competent authority)   |
| Name and address of consignor  | Approval or registration number, issued by (competent authority)   |
| Name and address of applicant  | Approval or registration number, issued by (competent authority)   |
| Name and address of place of destination   | Approval or registration number, issued by (competent authority)   |
| <p><b>Animal by-products/derived products <sup>(1)</sup></b></p> <p><input type="checkbox"/> Category 1 material consisting of:<br/>.....<br/>(nature of the material)</p> <p><input type="checkbox"/> Category 2 material consisting of:<br/>.....<br/>(nature of the material)</p> <p><input type="checkbox"/> Meat-and-bone meal derived from Category 1 material</p> <p><input type="checkbox"/> Animal fat derived from Category 1 material</p> <p><input type="checkbox"/> Meat-and-bone meal derived from Category 2 material</p> <p><input type="checkbox"/> Animal fat derived from Category 2 material</p> | <p><b>Intended use <sup>(1)</sup></b></p> <p><input type="checkbox"/> Disposal</p> <p><input type="checkbox"/> Processing</p> <p><input type="checkbox"/> Combustion</p> <p><input type="checkbox"/> Application to land</p> <p><input type="checkbox"/> Transformation into biogas</p> <p><input type="checkbox"/> Composting</p> <p><input type="checkbox"/> Petfood <sup>(2)</sup></p> <p><input type="checkbox"/> Production of biodiesel</p> <p><input type="checkbox"/> For feeding to <sup>(3)</sup>:<br/>.....</p> <p><input type="checkbox"/> For the manufacture of the following derived products <sup>(4)</sup>:<br/>.....</p> |
| <p>Indicate the quantity of animal by-products/derived products (volume or mass) <sup>(4)</sup> <sup>(5)</sup><br/>.....</p>   |  |

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|   |                                  |
|---|----------------------------------|
| <b>PAGE 2/2</b>   |                                  |
| <p><b>(APPLICATION FOR THE AUTHORISATION OF THE DISPATCH OF ANIMAL BY-PRODUCTS AND DERIVED PRODUCTS TO ANOTHER MEMBER STATE<br/>(ARTICLE 48 OF REGULATION (EC) No 1069/2009))</b></p>   |                                  |
| <p><b>In case of meat-and-bone meal and animal fat:</b></p> <p>The materials have been processed according to the following method <sup>(6)</sup>: .....</p>  | <p><b>Species of origin:</b></p> |
| <p><b>I, the undersigned, declare that the above information is factually correct.</b></p> <p>.....<br/>(Signature: name, date, contact details: telephone, fax (if applicable), e-mail)</p>  |                                  |
| <p><b>Decision by the competent authority of the Member State of destination <sup>(7)</sup>:</b></p> <p><b>The dispatch of the consignment is:</b></p> <p><input type="checkbox"/> refused.</p> <p><input type="checkbox"/> accepted.</p> <p><input type="checkbox"/> accepted subject to the application of pressure sterilisation (method 1) to the materials.</p> <p><input type="checkbox"/> accepted subject to the following conditions for the dispatch <sup>(4)</sup>: .....</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p> <p>.....</p> <p><b>This authorisation is valid until:</b> ..... <sup>(8)</sup></p> <p>.....</p> <p>(Date, stamp and signature of the competent authority)</p> |                                  |

**Notes:**

Complete the document in BLOCK capitals.

<sup>(1)</sup> Tick as appropriate.

<sup>(2)</sup> In the case of petfood produced with Category 1 material comprising animal by-products derived from animals which have been submitted to illegal treatment as defined in Article 1(2)(d) of Directive 96/22/EC or Article 2(b) of Directive 96/23/EC.

<sup>(3)</sup> Specify in accordance with Article 18 of Regulation (EC) No 1069/2009.

<sup>(4)</sup> Fill in, if appropriate.

<sup>(5)</sup> Specify.

<sup>(6)</sup> Specify one of the processing methods referred to in Chapter III of Annex IV to Regulation (EU) No 142/2011.

<sup>(7)</sup> For the competent authority: tick as appropriate.

<sup>(8)</sup> Insert date of expiration of authorisation.'

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